

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Bramwell, Austin**

Senior Advisor, Office of Tax Policy, Department of the Treasury

Date of Appointment: 6/26/17

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Bramwell, Austin [electronically signed on 06/24/2017 by Bramwell, Austin in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Horton, Elizabeth A, Certifying Official [electronically signed on 08/25/2017 by Horton, Elizabeth A in Integrity.gov]**

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Other review conducted by

**/s/ Riesen, Peter, Ethics Official [electronically signed on 08/18/2017 by Riesen, Peter in Integrity.gov]**

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U.S. Office of Government Ethics Certification

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Data Revised 08/18/2017

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Milbank, Tweed, Hadley & McCloy LLP		New York, New York	Law Firm	Partner	1/2014	6/2017
2	New York University School of Law		New York, New York	University/College	Adjunct Professor of Law	8/2013	6/2017
3	Estate of a Family Member		New York, New York	Estate of a decedent	Co-Executor	3/2015	Present
4	Guardianship estate of a family member	See Endnote	New York, New York	Guardianship estate and trust estate	Guardian of the property of a family member; Trustee of family member's Supplemental Needs Trust	7/2015	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Milbank, Tweed, Hadley & McCloy LLP (law firm)	See Endnote	N/A		Partnership Shares for 2016 and 2017	\$1,985,504
1.1	Milbank, Tweed, Hadley & McCloy LLP - anticipated withdrawal benefit	See Endnote	N/A	\$250,001 - \$500,000		None (or less than \$201)
1.2	Milbank, Tweed, Hadley & McCloy LLP anticipated capital account return		N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3	Defined Contribution Plan - Vanguard Target Retirement Fund 2045	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
1.4	Defined Contribution Plan - Fidelity Small Cap Stock	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.5	Milbank Cash Balance Pension Plan anticipated lump sum	N/A	\$250,001 - \$500,000		None (or less than \$201)
2	Inherited IRA - Keeley Small Cap Value Fund Class A	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	Traditional IRA - Vanguard Target Retirement Fund 2045	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4	Traditional IRA - Vanguard REIT Index Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5	Inherited IRA - Vanguard Target Retirement Fund 2045	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
6	New York University School of Law	N/A		Salary	\$1,500
7	Roth IRA - Vanguard Target Retirement Fund 2045	Yes	\$1,001 - \$15,000		\$201 - \$1,000

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Milbank, Tweed, Hadley & McCloy LLP	New York, New York	Pursuant to the partnership agreement, following my withdrawal from the firm, I will receive my capital account over a five-year period.	1/2014
2	Milbank, Tweed, Hadley & McCloy LLP	New York, New York	Pursuant to the partnership agreement, following my withdrawal from the firm, I will receive a withdrawal benefit over a five-year period.	6/2017

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	Milbank, Tweed, Hadley & McCloy LLP	New York, New York	I will continue to participate in the firm's defined contribution plan, although I plan to roll it over to my individual IRA. The plan sponsor will not make further contributions after my separation.	1/2005
4	Milbank, Tweed, Hadley & McCloy LLP	New York, New York	I will receive a lump sum payout of the firm's cash balance pension plan (to be rolled over to my traditional IRA) upon withdrawal from the firm.	1/2014

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Milbank, Tweed, Hadley & McCloy LLP      See Endnote	New York, New York	Legal services to confidential clients. All client services rendered here were for sensitive legal matters with the clear understanding that their names and the nature of the legal services provided would remain confidential.
2	JPMorgan Chase Bank, N.A.	New York, New York	Legal services (client of Milbank, Tweed, Hadley & McCloy LLP)
3	Trustees of Trusts (NY Co. Sur. Ct. File No. 2004/3624)	New York, New York	Legal services (clients of Milbank, Tweed, Hadley & McCloy LLP)
4	Executors of Estate (NY Co. Surr. Ct. File # 2016-2672)	New York, New York	Legal services (clients of Milbank, Tweed, Hadley & McCloy LLP)
5	Executors of Estate (NY Co. Surr. Ct. File #2014/4352)	New York, New York	Legal services (clients of Milbank, Tweed, Hadley & McCloy LLP)
6	Ludwig Institute	New York, New York	Legal services (client of Milbank, Tweed, Hadley & McCloy LLP)
7	Executor of Estate (NY Co. Surr. Ct. 2016/3157)	New York, New York	Legal services (client of Milbank, Tweed, Hadley & McCloy LLP)
8	Personal Representatives of Estate (MA Probate Court, Middlesex Div Docket # 15P6995EA)	Cambridge, Massachusetts	Legal services (clients of Milbank, Tweed, Hadley & McCloy LLP)

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The Brearley School	N/A		Salary	
2	Traditional IRA - Vanguard Target Retirement Fund 2045	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3	Traditional IRA - Vanguard REIT Index Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4	Roth IRA - Vanguard Target Retirement Fund 2045	Yes	\$15,001 - \$50,000		\$201 - \$1,000

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	USAA Federal Savings Bank - joint checking account	No	\$15,001 - \$50,000		None (or less than \$201)
2	JPMorgan Chase Bank, N.A. - joint checking account	No	\$1,001 - \$15,000		None (or less than \$201)
3	The Walt Disney Company		\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4	Discretionary beneficial interest in family trust created under Will of deceased family member	See Endnote	No	\$5,000,001 - \$25,000,000	None (or less than \$201)
5	Discretionary beneficial interest in residuary trust created under Will of deceased family member	See Endnote	No	\$1,000,001 - \$5,000,000	None (or less than \$201)
6	Health Savings Account	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Fidelity US Bond Index Investor Class	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8	Fidelity Total Market Index Premium Class	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
9	Fidelity International Index Premium Class	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10	Illinois Tool Works Inc	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
11	iShares MSCI EAFE Index	Yes	\$15,001 - \$50,000		\$201 - \$1,000
12	Ishares Core U.S. Aggregate Bond	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13	iShares Russell 2000 Index	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14	iShares Russell 1000 Index	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15	OneGas, Inc.	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
16	OneOk, Inc.	No	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
17	Vanguard Inflation Protected Securities Fund Admiral Shares	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
18	Vanguard Prime Money Market Fund	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
19	Vanguard Target Retirement Fund 2045	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
20	Vanguard Small-Cap Value Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
21	Vanguard Target Retirement 2060	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22	Vanguard Small-Cap Value Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
23	Vanguard Target Retirement 2045	Yes	\$15,001 - \$50,000		\$201 - \$1,000
24	Child #1 Trust	No	\$250,001 - \$500,000	Dividends Capital Gains Interest	\$5,001 - \$15,000
24.1	Financial Select Sector SPDR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.2	Healthcare Select Sector SPDR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.3	iShares Core Dividend Growth	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.4	Parnassus Core Equity FD-INS	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.5	SDPR S&P 500 ETF Trust	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
24.6	iShares Edge MSCI USA Value Factor ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.7	JPM Value Advantage Fund - L Fund 1400	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.8	Edgewood Growth FD-INS	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.9	Vanguard Info Tech ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.10	Artisan International Fund Institutional Shares	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.11	Capital Growth Non-US Equity	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.12	Dodge & Cox International Stock Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.13	iShares MSCI EAFE Small Cap Index	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.14	iShares MSCI EAFE Index Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
24.15	iShares Core MSCI Europe	Yes	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
24.16	iShares Inc MSCI Japan New	Yes	\$1,001 - \$15,000		None (or less than \$201)
24.17	Chilton Strategic European Equities Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
24.18	CRM Long-Short Opportunities Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
24.19	Diamond Hill Long/Short-I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.20	American Beacon GLG Tr-UTL	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.21	AQR Managed Future Strategy Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
24.22	Equinox Campbell Strategy-I	Yes	\$1,001 - \$15,000		None (or less than \$201)
24.23	Janus High-Yield Fund-I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
24.24	iShares MSCI EAFE Value Index Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25	Child #2 Trust	No	\$250,001 - \$500,000	Dividends Capital Gains Interest	\$5,001 - \$15,000
25.1	Financial Select Sector SPDR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.2	Health Care Select Sector SPDR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.3	iShares Core Dividend Growth	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.4	Parnassus Core Equity FD-INS	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.5	SPDR S&P 500 ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
25.6	iShares Edge MSCI USA Value Factor E	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.7	JPM Value Advantage FD-L Fund 1400	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.8	Edgewood Growth FD-INS	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.9	Vanguard Info Tech ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.10	Artisan International Fund Institutional Shares	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.11	Capital GR Non US Equity	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.12	Dodge & Cox International Stock Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.13	iShares MSCI EAFE Index Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
25.14	iShares MSCI EAFE Small-CAP	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.15	iShares MSCI EAFE Value Index Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.16	iShares Core MSCI Europe	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25.17	iShares Inc MSCI Japan New	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.18	Chilton Strategic European Equity Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.19	CRM Long/Short Opportunities Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.20	Diamond Hill Long/Short-I	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.21	American Beacon GLG Tr-UTL	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.22	AQR Managed Future Strategy Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.23	Equinox Campbell Strategy-I	Yes	\$1,001 - \$15,000		None (or less than \$201)
25.24	Janus High-Yield FD-I	Yes	\$1,001 - \$15,000		\$201 - \$1,000

## 7. Transactions

(N/A) - Not required for this type of report

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## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

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## Endnotes

<b>PART</b>	<b>#</b>	<b>ENDNOTE</b>
1.	4	I serve as Guardian of the Property of a family member (who was determined by New York Supreme Court to be a Person in Need of a Guardian) and as Trustee of that family member's Supplemental Needs Trust, which is a trust through which I hold and manage certain of the family member's assets.
2.	1	Sub-entries for this line item are in addition to, and not included in, amount listed under Income Amount.
2.	1.1	Separate from partnership shares reported in top line for this item.
4.	1	In consultation with OGE, determined that client names and nature of services provided could remain confidential consistent with New York State bar rules.

PART	#	ENDNOTE
6.	4	My spouse, my dependent children and I are included in the class of beneficiaries of a trust created under the Last Will and Testament of a deceased family member. We have no right to distributions of income or principal, which are made in the sole discretion of the Trustee (JPMorgan Chase Bank, N.A.). We have not requested distributions to be made and have no plans to do so. None of us serves as Trustee and none of us has any control over investment decisions. The trust is a discretionary trust described in Office of Government Ethics DO-08-024 and LA-13-04.
6.	5	I am the beneficiary of a trust created under the Last Will and Testament of a deceased family member. I have no right to distributions of income or principal, which are made in the sole discretion of the Trustee (JPMorgan Chase Bank, N.A.). I have not requested distributions and have no plans to do so. I do not serve Trustee and have no control over investment decisions. The trust is a discretionary trust described in Office of Government Ethics DO-08-024 and LA-13-04.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## **8. Liabilities**

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## **9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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