

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Collins-Smee, Joanne

Executive Director, FAS/TTS, General Services Administration

Date of Appointment: 09/18/2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Collins-Smee, Joanne [electronically signed on 10/25/17 by Collins-Smee, Joanne in Integrity.gov] - Filer received a 7 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Ellison, Eugenia D, Certifying Official [electronically signed on 12/20/17 by Ellison, Eugenia D in Integrity.gov]

Other review conducted by

/s/ Nieves, Crystal, Ethics Official [electronically signed on 12/20/17 by Nieves, Crystal in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 12/20/2017

Data Revised 12/19/2017

Data Revised 12/18/2017

Data Revised 12/15/2017

Data Revised 12/14/2017

Data Revised 12/13/2017

Data Revised 12/07/2017

Data Revised 12/05/2017

Data Revised 12/04/2017

Data Revised 11/01/2017

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	International Business Machines	Armonk, New York	Corporation	Restricted Executive	10/1984	1/2017

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IBM Excess 401K plan	N/A	None (or less than \$1,001)	Deferred Compensation	\$1,368,219
2	IBM, 401(k) plan	No			
2.1	Fidelity Hi Yld Emg Mkt Bond	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
2.2	Fidelity Intl Stock Mkt Idx	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
2.3	Fidelity Large-Cap Growth Idx	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
2.4	Fidelity Large-Cap Value Idx	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
2.5	Fidelity REIT Idx	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
2.6	Fidelity Small/Mid-Cap Idx	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
2.7	Fidelity Total Bond Market	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
3	IBM Qualified Defined Benefit Plan (value not readily ascertainable)	N/A		Retirement Payments (monthly)	\$5,296
4	IBM Non-Qualified Defined Benefit Plan (value not readily ascertainable)	N/A		Retirement Payments (monthly)	\$6,151
5	IBM, stock	N/A	None (or less than \$1,001)		\$100,001 - \$1,000,000
6	IBM	N/A		Salary/Bonus	\$1,100,000
7	IBM, Restricted Stock Units	N/A	\$100,001 - \$250,000		None (or less than \$201)
8	IBM, Performance Stock Units	N/A	\$250,001 - \$500,000		None (or less than \$201)
9	IBM, Stock	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	International Business Machines	Armonk, New York	I no longer participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation. Cashed out.	10/1984
2	International Business Machines	Armonk, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	10/1984
3	International Business Machines	Armonk, New York	I will continue to participate in this defined benefit plan.	10/1984
4	International Business Machines	Armonk, New York	I will continue to participate in this defined benefit plan.	10/1984

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
5	International Business Machines	Armonk, New York	Pursuant to the company's executive compensation plan, my unvested restricted stock units will vest 2017, 2018, and 2019. At that time, I will receive a cash payout.	6/2007
6	International Business Machines	Armonk, New York	Pursuant to the company's executive compensation plan, my unvested performance stock units will vest in 2018 and 2019. At that time, I will receive a cash payout.	2/2005

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	International Business Machines	Armonk, New York	General Manager of Application Management and Software Development.

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Rippowam Cisqua School (Private school)	N/A		salary	
2	403(b) Plan 1	No			
2.1	TIAA Traditional	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
2.2	TIAA Real Estate	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.3	CREF Stock R1	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
2.4	CREF Growth R1	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
3	CREF Global Equities R1	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
4	403(b) Plan 2	No			
4.1	T-C Lfcyle Idx 2020-Rtmt	Yes	\$50,001 - \$100,000		\$15,001 - \$50,000
5	403(b) Plan 3	No			
5.1	TIAA Traditional	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
5.2	TIAA Real Estate	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.3	CREF Stock R1	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
5.4	CREF Growth R1	Yes	\$1,001 - \$15,000		\$5,001 - \$15,000
5.5	CREF Global Equities R1	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
6	403(b) Plan 4	No			
6.1	T-C Lfcyle Idx 2020-Rtmt	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
7	IRA #1	No			
7.1	American Beacon FDS Small Cap Value INV	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.2	Eagle Funds Sml Cap GR 1	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.3	Franklin Mutual Global Discovery Z	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.4	Hartford FDS Dividend & Growth I	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.5	Invesco Intl Growth Y	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
7.6	John Hancock FDS International Growth I	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
7.7	JP Morgan FDS Mid Cap Val FD INSTL	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.8	Mainstay Lrg Cap GR FD CL I	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.9	Primecap Odyssey GR FD	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.10	Putnam Equity Income FD NEW CL Y	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.11	Sound Shore FD INC INSTL	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.12	Vanguard Small Cap Value Index Admiral	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
8	IRA #2	No			
8.1	Allianzgi Convertible I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.2	Blackrock Global Allocation Inst	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.3	Dodge & Cox Funds Income Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.4	First Eagle Global FD CL I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.5	FPA Crescent Portfolio	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.6	PIMCO Foreign BD FD INSTL (HEDGED)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.7	Principal High Yield FD CL I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.8	T Rowe Price Capital Appreciation I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.9	Western Asset Core Plus Bond I	Yes	\$15,001 - \$50,000		\$201 - \$1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #1 (cash)	N/A	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500
2	U.S. bank account #2 (cash)	N/A	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500
3	U.S. bank account #3 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
4	U.S. bank account #4 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
5	AT&T stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Comcast Corporation - Class A Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Tesla Motors, Inc. - Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
8	Fidelity Government Cash Reserves	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	AMG Timesquare Small Cap Growth	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10	Adirondack Small Cap Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11	Edgewood Growth Fund Retail	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
12	Columbia Acord International Class A	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13	Goldman Sachs Mid Cap Value Class A	Yes	\$15,001 - \$50,000		None (or less than \$201)
14	Goldman Sachs Large Cap Value Class A	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
15	Sound Shore Fund Inc	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
16	iShares MSCI Pacific Ex Japan	Yes	\$1,001 - \$15,000		\$201 - \$1,000
17	iShares Core SP 500	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
18	iShares Core SP Mid Cap	Yes	\$15,001 - \$50,000		\$201 - \$1,000
19	iShares SP Mid Cap 400 Growth	Yes	\$15,001 - \$50,000		\$201 - \$1,000
20	iShares Core SP Small Cap	Yes	\$15,001 - \$50,000		\$201 - \$1,000
21	iShares Inc Core MSCI Emerging Markets	Yes	\$1,001 - \$15,000		\$201 - \$1,000
22	iShares Inc MSCI JPN ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
23	SPDR Series SP Bank	Yes	\$15,001 - \$50,000		\$201 - \$1,000
24	Vanguard International Equity Index	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25	Wisdomtree Trust Japan Hedge	Yes	\$1,001 - \$15,000		None (or less than \$201)
26	Wisdomtree Trust Europe Hedged	Yes	\$15,001 - \$50,000		\$201 - \$1,000
27	iShares SP 500 Growth	Yes	\$1,001 - \$15,000		\$201 - \$1,000
28	CREF Global Securities	Yes	\$1,001 - \$15,000		\$201 - \$1,000
29	CREF Growth	Yes	\$1,001 - \$15,000		\$201 - \$1,000
30	CREF Stock	Yes	\$15,001 - \$50,000		\$201 - \$1,000
31	TIAA Real Estate	Yes	\$1,001 - \$15,000		\$201 - \$1,000
32	TIAA Traditional	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
33	Ameritrade Beacon Small Cap	Yes	\$1,001 - \$15,000		\$201 - \$1,000
34	Blackrock Funds Small Cap	Yes	\$1,001 - \$15,000		\$201 - \$1,000
35	Dodge & Cox Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
36	Eaton Vance Large Cap	Yes	\$1,001 - \$15,000		None (or less than \$201)
37	Goldman Sachs Mid Cap Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
38	Janus Perkins Mid Cap Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
39	Keeley Small Cap Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
40	Mutual Global Discovery	Yes	\$15,001 - \$50,000		\$201 - \$1,000
41	New Century International	Yes	\$1,001 - \$15,000		\$201 - \$1,000
42	Primecap Odyssey Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
43	Royce Total Return Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
44	Sound Shore Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
45	The Growth Fund of America	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
46	William Blair Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
47	Blackrock Global Allocation	Yes	\$1,001 - \$15,000		\$201 - \$1,000
48	Dodge and Cox Income Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
49	First Eagle Global Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
50	Goldman Sachs Commodity Strategy	Yes	\$1,001 - \$15,000		\$201 - \$1,000
51	Ivy Asset Strategy	Yes	\$1,001 - \$15,000		\$201 - \$1,000
52	Leuthold Core Investment	Yes	\$1,001 - \$15,000		\$201 - \$1,000
53	Merger Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
54	PIMCO Funds Foreign Bond Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
55	PIMCO Funds Real Return	Yes	\$1,001 - \$15,000		\$201 - \$1,000
56	PIMCO Funds Total Return	Yes	\$1,001 - \$15,000		\$201 - \$1,000
57	Principal Funds High Yield	Yes	\$1,001 - \$15,000		\$201 - \$1,000
58	Templeton Global Bond Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
59	Madison MI GO 3% 5/1/17, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
60	Westbrook, Conn GO 4%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
61	Granby, Conn GO 5%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
62	Milford, Conn GO 4%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
63	Butler, Ohio GO 5%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
64	State of Conn., GO 5%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
65	San Jacinto, TX, GO bonds 5%	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
66	Danbury, Conn., GO bonds 5%	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
67	NJ State Facilities Rev. 3%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
68	Penn. State, GO 5%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
69	Tolland, Conn., GO 3%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
70	Clark City, Nev., GO 5%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
71	Lake City, Ill., GO 5%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
72	University of Conn., GO 5%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
73	Crowley, Texas, GO 5%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
74	Conn. State Rev. 5%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
75	Haddonfield, New Jersey, GO 2%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
76	State of Conn., Rev. 4%, bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
77	iShares Core SP 500 ETF	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
78	U.S. brokerage account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
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