

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

---

**Myers, Barry Lee**

Under Secretary of Commerce for Oceans and Atmosphere, Department of Commerce

---

Other Federal Government Positions Held During the Preceding 12 Months:

None

---

Names of Congressional Committees Considering Nomination:

- **Committee on Commerce, Science, and Transportation**
- 

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Myers, Barry Lee [electronically signed on 02/19/2019 by Myers, Barry Lee in Integrity.gov]**

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Maggi, David, Certifying Official [electronically signed on 03/20/2019 by Maggi, David in Integrity.gov]**

---

Other review conducted by

**/s/ Jacob, Dana, Ethics Official [electronically signed on 03/18/2019 by Jacob, Dana in Integrity.gov]**

---

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 03/20/2019 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	AccuWeather, Inc.	See Endnote	State College, Pennsylvania	Corporation	CEO, Secretary, Director, Other (See Endnote)	1/1964	1/2019
2	AccuWeather Acquisition Sub, Inc.		State College, Pennsylvania	Corporation	CEO, Secretary, Director	10/2013	1/2019
3	AccuWeather Holdco, Inc.		State College, Pennsylvania	Corporation	CEO, Secretary, Director	12/2016	1/2019
4	AccuWeather Canada, Inc.		State College, Pennsylvania	Corporation	CEO, Secretary, Director	10/2013	1/2019
5	AccuWeather Enterprise Solutions, LLC		Wichita, Kansas	LLC	Manager	12/2016	1/2019
6	AccuWeather International, LLC		State College, Pennsylvania	LLC	Manager	4/2015	1/2019
7	AccuWeather Intl., LLC		State College, Pennsylvania	LLC	Manager	11/2006	1/2019
8	AccuWeather Sales and Service, LLC		State College, Pennsylvania	LLC	Manager	5/1997	1/2019
9	Mirror Management One, LLC (an IP management company)		State College, Pennsylvania	LLC	Manager	3/2006	1/2019
10	Mirror Management Two, LLC (an IP management company)		State College, Pennsylvania	LLC	Manager	3/2006	1/2019
11	Sky Motion Research, ULC (a technology research and development company)		Montreal, Quebec, Canada, Outside U.S.	Corporation	CEO, Secretary, Director	11/2013	1/2019
12	MWX Services, Inc. (provided weather and related services to radio stations)	See Endnote	State College, Pennsylvania	Corporation	Director	5/1983	8/2017

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
13	Perfect Date, Inc. (provided classified advertising pages for newspapers)	See Endnote	State College, Pennsylvania	Corporation	CEO, Secretary, Director	3/1995	6/2017
14	American Weather and Climate Industry Association	See Endnote	Edmond, Oklahoma	Non-Profit	Board Member	1/1995	1/2019
15	Family Trust		State College, Pennsylvania	Trust	Trustee	8/1980	6/2017
16	Westside Village I LLC (a real estate management company)	See Endnote	State College, Pennsylvania	LLC	Consultant	1/2002	12/2017

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #1				
1.1	Alphabet Inc. Class C (GOOG)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.2	ASA Gold & Precious Metals Limited (ASA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.3	Berkshire Hathaway Inc. Class B (BRK-B)	N/A	\$100,001 - \$250,000	Capital Gains	\$100,001 - \$1,000,000
1.4	Bristol-Myers Squibb Company (BMY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
1.5	Ennis, Inc. (EBF)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.6	Franco-Nevada Corp (FNV)	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
1.7	Gabelli Equity Trust Inc. (GAB-H)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.8	General American Investors Company, Inc. (GAM-B)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.9	Goldman Sachs Group, Inc. (GS-J)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.10	Hooker Furniture Corp (HOFT)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
1.11	Kish BanCorp (KISB)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
1.12	Lockheed Martin Corp (LMT)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.13	Macy's Inc. (M)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
1.14	Penske Automotive Group, Inc. (PAG), notes	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
1.15	ProShares UltraShort S&P500 (SDS)	Yes	\$1,001 - \$15,000		\$15,001 - \$50,000
1.16	Reading International, Inc. Class A (RDI)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.17	REX American Resources Corp (REX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.18	Rocky Brands Inc (RCKY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.19	Source Capital, Inc. (SOR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.20	The Southern Company (SOJA), notes	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.21	Townsquare Media, Inc. (TSQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.22	Tri-Continental Corporation (TY)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.23	VanEck Vectors Gold Miners ETF (GDX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.24	3M Co (MMM)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.25	Aceto Corporation (ACET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.26	Amazon.com Inc. (AMZN)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.27	At Home Group Inc (HOME)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.28	Aurora Cannabis Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.29	Beazer Homes USA, Inc. (BZH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.30	Big 5 Sporting Goods Corporation (BGFV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.31	British American Tobacco PLC (BTI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.32	CACI International Inc (CACI)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.33	Container Store Group Inc. (TCS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.34	Cronos Group Inc. (CRON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.35	Delta Apparel, Inc (DLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.36	Dreyfus Government Cash Management Fund Institutional Shares (DGCXX)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
1.37	Flexsteel Industries, Inc. (FLXS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.38	Green Organic Dutchman Holdings Lt (TGODF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.39	Hallador Energy Company (HDNG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.40	Hunt Companies Finance Trust Inc. (HCFT-A)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.41	International Paper Company (IP)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.42	Jacobs Engineering Group Inc. (JEC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.43	Johnson & Johnson (JNJ)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.44	Micron Technology, Inc. (MU)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.45	MPX Biocetical Corporation (MPXEF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.46	Newmark Group, Inc. (NMRK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.47	ObsEva SA (OBSV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.48	Olympic Steel Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.49	OrganiGram Holdings Inc. (OGRMF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.50	Phillips 66 (PSX)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.51	Pioneer Energy Services Corp (PES)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.52	PowerShares QQQ Trust, Series 1 (QQQ)	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.53	ProShares Ultra S&P 500 (SSO)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
1.54	ProShares TR/Short S&P 500 (SH)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
1.55	Snap Inc. (SNAP)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
1.56	Strattec Security Corp. (STRT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.57	Taiwan Semiconductor Mfg. Co. Ltd. (TSM)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.58	Universal Stainless & Alloy Products, Inc. (USAP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.59	Vanguard Federal Money Market Fund(VMFXX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
1.60	Vodafone Group Plc (VOD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.61	U.S. Retirement Account (Cash)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
1.62	SPDR S&P 500 ETF Trust (SPY)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
2	IRA #2				
2.1	U.S. Retirement Account (Cash)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
2.2	Dominion Energy, Inc. (DRUA)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
2.3	F.N.B. Corp (FNB-E)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.4	Gabelli Asset Fund Class C (GATCX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.5	Gabelli Enterprise Mergers & Acquisitions Class C (EMACX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
2.6	Gabelli Equity Trust Inc. (GAB-H)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.7	Gabelli Equity Trust Inc. (GAB-J)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2.8	Gabelli Focus Five Class C (GWSCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.9	Gabelli Healthcare & Wellness Trust (GRX-B)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
2.10	Gabelli Utility Trust (GUT-C)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
2.11	General American Investors Company, Inc. (GAM-B)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
2.12	Goldman Sachs Group, Inc. (GS-D)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.13	Goldman Sachs Group, Inc. (GS-J)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
2.14	ICAHN Entprs LP Fin Corp SR Note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
2.15	American Funds International Growth & Income Fund Class C (IGICX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.16	JPMorgan Chase & Co. (JPM-G)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
2.17	NextEra Energy, Inc. (NEE-K), bonds	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
2.18	PIMCO Total Return Class C (PTTCX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.19	PNC Financial Services Group, Inc. (PNC-P)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.20	Public Storage (PSA-B)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.21	The Southern Company (SOJA), notes	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
2.22	U.S. Bancorp (USB-M)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.23	Wells Fargo & Company (WFC-X)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
2.24	Wells Fargo & Company (WFC-Q)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
2.25	Dreyfus Government Cash Management Fund Institutional Shares (DGCXX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	IRA #3				
3.1	Altria Group, Inc. (MO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	Amazon.com, Inc. (AMZN)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
3.3	ASA Gold and Precious Metals Limited (ASA)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
3.4	Berkshire Hathaway Inc. Class B (BRK-B)	N/A	\$100,001 - \$250,000	Capital Gains	\$100,001 - \$1,000,000
3.5	Crown Castle International Corp. (CCI)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
3.6	Ducommun Incorporated (DCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.7	Ennis, Inc. (EBF)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.8	Flexsteel Industries, Inc. (FLXS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3.9	Franco-Nevada Corporation (FNV)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
3.10	General Dynamics Corporation (GD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
3.11	Hanesbrands Inc. (HB)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
3.12	ICAHN Entprs LP SR Note	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
3.13	iShares Barclays 1-3 Year Credit Bond Fund (CSJ)	Yes	None (or less than \$1,001)		\$201 - \$1,000
3.14	Kimball Electronics, Inc. (KE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.15	Kish Bancorp, Inc. (KISB)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
3.16	Lockheed Martin Corp (LMT)	N/A	\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000
3.17	Macy's, Inc. (M)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
3.18	Markel Corp (MKL)	N/A	\$15,001 - \$50,000	Capital Gains	\$50,001 - \$100,000
3.19	Microsoft Corp (MSFT)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
3.20	Northrop Grumman Corp (NOC)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
3.21	PC Connection, Inc. (CNXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.22	PCM, Inc. (PCMI)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.23	Phillips 66 (PSX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
3.24	PowerShares QQQ ETF (QQQ)	Yes	None (or less than \$1,001)		\$201 - \$1,000
3.25	ProShares UltraShort S&P500 (SDS)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
3.26	Public Storage (PSA-B)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
3.27	RCM Technologies, Inc. (RCMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.28	Reading International, Inc. (RDI)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3.29	Renewable Energy Group, Inc. (REGI)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
3.30	Rocky Brands, Inc. (RCKY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.31	Seneca Foods Corp (SENEA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.32	Source Capital, Inc. (SOR)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
3.33	SPDR Gold Trust (GLD)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.34	SPDR S&P 500 ETF (SPY)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
3.35	Townsquare Media, Inc. (TSQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.36	Tri-Continental Corporation (TY)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
3.37	VanEck International Investors Gold Y (INIYX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.38	VanEck Vectors Gold Miners ETF (GDX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.39	Visa Inc. Class A (V)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
3.40	Vishay Precision Group, Inc. (VPG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.41	Wells Fargo & Company (WFC-W)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3.42	Wells Fargo & Company (WFC-X)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3.43	Wells Fargo & Company (WFC)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
3.44	Willamette Valley Vineyards, Inc. (WVVI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.45	Aberdeen Standard Phys Platinum Shrs ETF (PPLT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.46	Aceto Corporation (ACET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.47	Amgen, Inc. (AMGN)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
3.48	Amira Nature Foods Ltd (ANFI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.49	At Home Group Inc (HOME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.50	Aurora Cannabis Inc (ACB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.51	Big 5 Sporting Goods Corporation (BGFV)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3.52	CACI International Inc (CACI)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.53	CenturyLink, Inc. (CTL)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
3.54	Container Store Group Inc. (TCS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.55	CPI Aerostructures, Inc. (CVU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.56	Cronos Group Inc. (CRON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.57	CSS Industries, Inc. (CSS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.58	Delta Apparel, Inc. (DLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.59	Walt Disney Co (DIS)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
3.60	DowDuPont Inc (DWDP)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
3.61	Dreyfus Government Cash Management Federal Institution (DGCXX)	Yes	\$250,001 - \$500,000		\$201 - \$1,000
3.62	General Mills, Inc. (GIS)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
3.63	Green Organic Dutchman Holdings Lt (TGODF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.64	Hallador Energy Company (HDNG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
3.65	Hunt Companies Finance Trust, Inc. (HCFT-A) (formerly Five Oaks Investment Corporation)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
3.66	IAC/InterActiveCorp (IAC)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
3.67	Icahn Enterprises LP Common Stock (IEP)	N/A	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.68	iShares MSCI China ETF (MCHI)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.69	ishares MSCI Global Select Metals & Mining Producers Fund New ETF (PICK)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
3.70	iShares S&P 500 Index (IVV)	Yes	None (or less than \$1,001)		\$201 - \$1,000
3.71	Johnson & Johnson (JNJ)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
3.72	Kraft Heinz Co (KHC)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
3.73	mCig Inc. (MCIG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.74	Metlife Inc. (MET)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
3.75	Micron Technology Inc. (MU)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.76	MPX Biocetical Corporation (MPXEF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.77	The New Home Company Inc. (NWHM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.78	Newmark Group, Inc. (NMRK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.79	Newell Brands Inc. (NWL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
3.80	Newmont Mining Corp Hldg (NEM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.81	ObsEva SA (OBSV)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.82	Olympic Steel Inc (ZEUS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.83	OrganiGram Holdings Inc (OGRMMF)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
3.84	Patterson Companies, Inc. (PDCO)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
3.85	Regeneron Pharmaceuticals Inc. (REGN)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
3.86	Industrial Select Sector SPDR ETF (XLI)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
3.87	Snap Inc. (SNAP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.88	Strattec Security Corp. (STRT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.89	Taiwan Semiconductor Mfg. Co. Ltd. (TSM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
3.90	Target Corporation (TGT)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
3.91	Tencent Holdings Limited (TCEHY)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.92	Toll Brothers Inc. (TOL)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
3.93	Universal Stainless & (USAP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.94	VSE Corporation (VSEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.95	Weyerhaeuser Company (WY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
3.96	WhiteHorse Finance Inc (WHF)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000



#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.97	U.S. Retirement Account (Cash)		N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
4	VALIC, Fixed Annuities		N/A	\$100,001 - \$250,000	Dividends Interest	\$5,001 - \$15,000
5	TIAA Traditional		N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
6	AccuWeather, Inc. (2018 Salary)		N/A		2018 Salary/Bonus	\$573,004
7	AccuWeather, Inc. (2018 Pass-Through Income)	See Endnote	N/A	None (or less than \$1,001)	2018 Estimated Pro Rata Share of Subchapter S Pass-Through Income of AccuWeather, Inc. (assigned Subchapter S earnings for tax purpose of the individual shareholders)	\$1,396,102
8	AccuWeather, Inc. (2019 Salary)		N/A		2019 Salary and Payment for Unused Vacation Time	\$205,884
9	Locator IP, LP (2018 Pass-Through Income)	See Endnote	N/A	None (or less than \$1,001)	2018 Estimated Pro Rata Share of Pass-Through Income of Locator IP (assigned Limited Partnership earnings for tax purposes of the individual partners)	\$41,017

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	AccuWeather, Inc. (Ownership Interest)	See Endnote	N/A	None (or less than \$1,001)	Sale proceeds of AccuWeather, Inc. and Underlying Partnerships	\$15,908,407
11	Northwestern Mutual Life Ins. Co., Whole Life Policies #2	See Endnote	N/A	\$250,001 - \$500,000		None (or less than \$201)
12	Potential Receivable from 2018 Estimated Pro Rata Share of Subchapter S earnings for tax purposes of the individual shareholder)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	AccuWeather, Inc.	State College, Pennsylvania	The estimated 2018 pass-through income (reported on Part 2, Line 7 -- \$1,396,102) will be reviewed and may be adjusted upon the filing of the AccuWeather, Inc. 2018 federal income tax return. In the unlikely event that an additional tax distribution is made, I have irrevocably assigned the additional receivable for the benefit of my granddaughter.	1/2019

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	AccuWeather, Inc.	State College, Pennsylvania	CEO
2	Westside Village I LLC	State College, Pennsylvania	Legal and Business Consulting

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #4				
1.1	ASA Gold and Precious Metals Limited (ASA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	Berkshire Hathaway Inc. Class B (BRK-B)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
1.3	Franco-Nevada Corporation (FNV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4	General American Investors Company Inc	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	Public Storage (PSA-B)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.6	Reading International, Inc. (RDI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.7	Tri-Continental Corporation (TY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	Wells Fargo & Company (WFC-X)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.9	British American Tobacco PLC (BTI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.10	Dreyfus Government Cash Management Federal Institution (DGCXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.11	Markel Corporation (MKL)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.12	Micron Technology Inc. (MU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	401K				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.1	Franklin Small Cap Value R Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.2	American Funds American Balanced R6	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.3	iShares Russel Mid-Cap Index Fund - K	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	AccuWeather, Inc.	N/A		Salary	

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Investment Account #1				
1.1	U.S. Investment Account (Cash)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
1.2	Gabelli Healthcare & Wellness Trust (GRX-B)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
1.3	Gabelli Utility Trust (GUT-C)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
1.4	Neshaminy School District, PA, bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
1.5	Quaker Valley School District, PA, bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
1.6	Quakertown Community School District, PA, bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
1.7	Wells Fargo & Company (WFC-X)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.8	West Chester, PA, bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
1.9	Dreyfus Government Cash Management Federal Institution(DGCXX)	Yes	\$5,000,001 - \$25,000,000		\$15,001 - \$50,000
1.10	Haverford Township School District, PA, Bonds	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
1.11	Mars Area School District, PA, bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
1.12	North Penn School District, PA, bonds	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.13	Springfield Township School District, PA, bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	U.S. bank accounts #1 (Cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
3	U.S. bank accounts #2 (Cash)	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
4	U.S. bank accounts #3 (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	U.S. bank accounts #4 (Cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
6	Northwestern Mutual Life Ins. Co., Whole Life Policies #1	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
7	Residential and Commercial Income Properties (located in Centre County, Pennsylvania)	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
8	Personal Loan to a Family Member	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
9	U.S. Investment Account #3				
9.1	Invesco High Income 2023 Target Term Fund (IHIT)	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2	JPMorgan Strategic Income Opports I (JSOSX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
9.3	Lord Abbett Floating Rate I (LFRIX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
9.4	Templeton Global Bond Adv (TGBAX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
10	U.S. Investment Account #4				
10.1	Alger Small Cap Focus Fund Class Z (AGOZX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.2	Alger SMid Cap Focus Fund Class Z (ASMZX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.3	Delaware Value Inst (DDVIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.4	First Trust Exchange / Dow Jones Internet ETF (FDN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.5	First Trust Exchange Traded Fund 2 Technology AlphaDEX ETF (FXL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.6	Goldman Sachs Emerging Markets Equity Fund Institutional Class (GEMIZ)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.7	Invesco High Income 2023 Target Term Fund (IHIT)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.8	Invesco S&P 500 Equal Weight ETF (RSP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.9	JPMorgan Strategic Income Opports I (JSOSX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
10.10	Lord Abbett Floating Rate I (LFRIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.11	Pacer Trendpilot US Mid Cap ETF (PTMC)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.12	Reinhart Genesis PMV Advisor (RPMFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.13	Reinhart Mid Cap PMV Adv (RPMVX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
10.14	T. Rowe Price International Discovery Fund I Class (TIDDX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.15	Templeton Global Bond Adv (TGBAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.16	TETON Westwood Mighty Mites Class Institutional (WEIMX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.17	Vanguard Russell 3000 ETF (VTHR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.18	Wells Fargo Alternative Strategies Inst Fund (WAITX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
10.19	U.S. Investment Account (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	U.S. Investment Account #5				
11.1	Alger Small Cap Focus Fund Class Z (AGOZX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.2	Delaware Value Inst (DDVIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.3	JPMorgan Strategic Income Opports I (JSOSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.4	Pacer Trendpilot US Mid Cap ETF (PTMC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.5	Templeton Global Bond Adv (TGBAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.6	Vanguard Russell 3000 ETF (VTHR)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.7	U.S. Investment Account (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	Irrevocable Life Insurance Trust (value not readily ascertainable)	See Endnote	No		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Kish Bank 1	Mortgage (investment/rental property)	\$1,000,001 - \$5,000,000	2009	4.11%	20 years
2	Kish Bank 2	Mortgage (investment/rental property)	\$250,001 - \$500,000	2010	4.31%	20 years
3	Kish Bank 3	Mortgage (investment/rental property)	\$250,001 - \$500,000	2014	4.02%	20 years
4	Kish Bank 4	Mortgage (investment/rental property)	\$50,001 - \$100,000	2014	4.02%	20 years
5	Kish Bank 5	Mortgage (investment/rental property)	\$250,001 - \$500,000	2016	3.95%	15 years



#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
6	Kish Bank 6	Exercised Line of Credit	\$15,001 - \$50,000	2004	6 Month Treasury Bill + 3.25%	15 years
7	Wells Fargo Bank	Mortgage on Personal Residence	\$250,001 - \$500,000	2012	3.875%	30 years

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

PART	#	ENDNOTE
1.	1	AccuWeather, Inc. was incorporated in 1975. Prior to that, it was a solely owned proprietorship. It adopted the trade name AccuWeather in 1971. Throughout my time at AccuWeather, Inc., I held various positions such as: General Counsel, Executive Vice President, and Chief Legal Officer. I was an independent self-employed sole proprietorship business serving as a contracted executive to AccuWeather, Inc. until January 2014 when I became an employee.
1.	12	Dissolved as of 8.4.17
1.	13	Dissolved as of 6.9.17
1.	14	I became a Board Member in the mid-1990s; the start date of 1/1995 is an estimate.
1.	16	The start date listed is an estimate.

PART	#	ENDNOTE
2.	7	<p>Estimated 2018 pro rata share of Subchapter S pass-through income attributable to AccuWeather, Inc., as Barry Lee Myers and other shareholders pay the income taxes in lieu of corporate tax on the company directly.</p> <p>The following chart demonstrates AccuWeather, Inc.'s current ownership interests.</p> <ol style="list-style-type: none"> <li>1. AccuWeather, Inc. <ol style="list-style-type: none"> <li>1.1. AccuWeather International, LLC <ol style="list-style-type: none"> <li>1.1.1. Huafeng-AccuWeather Meteorological Technology (Beijing) Co., Ltd. (35% ownership)</li> <li>1.1.2. AccuWeather Korea Limited</li> <li>1.1.3. AccuWeather Japan, G.K.</li> <li>1.1.4. Beijing AccuWeather Meteorological Science and Technology Co., Ltd.</li> <li>1.1.5. AccuWeather Ireland Limited</li> <li>1.1.6. AccuWeather India Private Limited (also 1% ownership by AccuWeather Intl., LLC.)</li> </ol> </li> <li>1.2. AccuWeather Canada, Inc. <ol style="list-style-type: none"> <li>1.2.1. Sky Motion Research, ULC</li> </ol> </li> <li>1.3. AccuWeather Holdco, Inc. <ol style="list-style-type: none"> <li>1.3.1. AccuWeather Intl., LLC</li> <li>1.3.2. AccuWeather Sales and Service, LLC</li> <li>1.3.3. AccuWeather Enterprise Solutions, LLC</li> </ol> </li> <li>1.4. AccuWeather Acquisition Sub, Inc. (inactive)</li> </ol> </li> </ol>
2.	9	<p>This entity holds weather-related and nonweather-related location-based and location-aware service patents.</p> <p>Estimated 2018 pro rata share of pass-through income attributable to Locator IP, as Barry Lee Myers and other partners pay the income taxes of the Limited Partnership. As of January 1, 2019, Barry Lee Myers does not have an equity or other interest in Locator IP, LP.</p>
2.	10	<p>In addition to the cash listed here, the redemption agreement also included a transfer of life insurance policies (with a cash value of approximately \$273,000), and a cancellation of insurance premium repayments.</p>
2.	11	<p>The redemption agreement from the sale of AccuWeather, Inc. included a transfer of two life insurance policies on January 1, 2019.</p>
2.	12	<p>The estimated 2018 pass-through income (reported on Part 2, Line 7 -- \$1,396,102) will be reviewed and may be adjusted upon the filing of the AccuWeather, Inc. 2018 federal income tax return. In the unlikely event that an additional tax distribution is made, I have irrevocably assigned the additional receivable for the benefit of my granddaughter.</p>
6.	12	<p>The underlying asset of this trust is not reportable. As a contingent beneficiary, Ms. Myers has the right to withdraw contributions within 30 days after a contribution is made. The withdrawal limit is one fourth of the total contribution but no more than \$5,000 per year.</p>

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---