

# EXHIBIT 3

**Lisa N. Himes**

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**From:** Taylor, Felecia <TaylorF@SEC.GOV>  
**Sent:** Wednesday, May 2, 2018 4:23 PM  
**To:** James A. Hinds  
**Subject:** 18-01818-FOIA (Nova Oculus Partners, LLC)  
**Attachments:** 18-01818-FOIA - Request Description.pdf

Mr. Hinds,

The FOIA Office is processing your request (copy attached) for access to various records described in items 1 through 20 of your request, from January 1, 2008 through April 25, 2018, concerning Nova Oculus Partners, LLC, further known as The Eye Machine, LLC. Based on my interpretation of your request, it appears you are only seeking correspondence pertaining to investigative records relating to Nova Oculus Partners, LLC. If my interpretation is incorrect, please let me know as soon as possible and clarify the types of records you are seeking. Note, if you are seeking correspondence unrelated to investigations, please provide me with the e-mail domains of the persons or companies of interest to you.

If you have any questions, please do not hesitate to contact me.

Thank you,

Felecia Taylor  
FOIA Lead Research Specialist  
U.S. Securities & Exchange Commission  
Office of FOIA Services  
100 F Street, NE  
Washington, DC 20549-2465  
(202) 551-8349 - telephone number  
(202) 772-9337 - fax number

18-01818-FOIA

**foiapa**

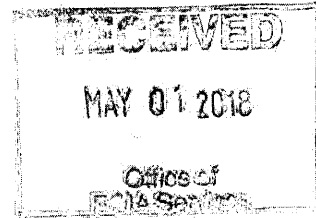
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**From:** Request@ip-10-170-21-173.ec2.internal  
**Sent:** Monday, April 30, 2018 6:51 PM  
**To:** foiapa  
**Subject:** Request for Document from Hinds, James  
**Attachments:** SEC FIOA Request 4.30.18 JAH.doc

Mr. James A. Hinds Esq.  
21257 Hawthorne Blvd.  
Second floor  
Torrance, California 90503  
United States

(310) 316-0500  
[jhinds@jhindslaw.com](mailto:jhinds@jhindslaw.com)  
Hinds & Shankman, LLP

Request:  
COMP\_NAME: Nova Oculus Partners, LLC  
DOC\_DATE: January 1, 2008 through April 25, 2018  
TYPE: Investigations  
ATTACHMENT: SEC FIOA Request 4.30.18 JAH.doc  
FEE\_AUTHORIZED: Other Amount \$: 1000.00  
FEE\_WAIVER\_REQUESTED: NO  
EXPEDITED\_SERVICE\_REQUESTED: NO



May 1, 2018

839.003

**VIA E-MAIL REQUEST**

Securities Exchange Commission  
FOIA Requester Service Center

Re: Freedom of Information Act Request

To Whom It May Concern:

On behalf of clients, Peter Pockington, Lantson E. Eldred, Nova Oculus Partners, LLC, f/k/a The Eye Machine, LLC, DTR Holdings, LLC, and AMC Holdings Co., LLC, Hinds & Shankman, LLC makes this request for the records described below pursuant to the Freedom of Information Act, 5 U.S.C. § 552.

We request that you adhere to the time limitations set forth in 5 U.S.C. § 552(a)(6)(A). *See also*, 32 C.F.R. § 286.8. We also ask that you forward information related to any individual request without waiting until all records are located (i.e., please provide records on a rolling basis). If you determine that a record contains material exempt from disclosure, please review it for possible discretionary disclosure. In addition, we request that you produce any and all segregable portions of the record in question. Please notify the undersigned when responsive records are either furnished or specifically identified and denied for release. If no records exist for any request, please provide written confirmation of same.

We further request that, pursuant to 5 U.S.C. § 552(a)(3)(B), your agency produce responsive documents in the native electronic format in which the document was created. To the extent that your agency is unable to produce the responsive documents in the requested format, we request that your agency confirm that the record does not exist in native format and produce the documents in the following format, listed in accordance with our preference: **(1)** PDF format; or **(2)** paper copy. While the burden is on the government to produce all documents within the required time period, we are willing to discuss and agree upon the means and sequence of production to facilitate government compliance with the law.

To the extent that you determine that any subject document will not be disclosed because it meets any of the criteria in the FOIA for nondisclosure, you are requested to identify such documents in accordance with the requirements of *Vaughn v. Rosen*, 523

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F.2d 1136 (D.C. Cir. 1975). To the extent that you determine that any subject document will not be disclosed because it is classified in accordance with document classification procedures of your Department, request is hereby made that such document be declassified or redacted sufficiently to enable useful review and inclusion of its identifying characteristics under *Vaughn v. Rosen*.

### **REQUESTED DOCUMENTS**

Please provide the following records<sup>1</sup> to the undersigned:

1. All communications regarding Nova Oculus Partners, LLC, f/k/a The Eye Machine, LLC for the period of January 1, 2008 through April 25, 2018, between the SEC and its staff, employees, and agents and Genentech-Roche, whose local address is 1 Antibody Way, Oceanside, CA 92056.
2. All communications regarding Nova Oculus Partners, LLC, f/k/a The Eye Machine, LLC for the period of January 1, 2008 through April 25, 2018, between the SEC and its staff, employees, and agents and Regeneron Biotechnology Company, whose local address is 777 Old Saw Mill River Road, Tarrytown, NY 10591.
3. All communications regarding Nova Oculus Partners, LLC, f/k/a The Eye Machine, LLC for the period of January 1, 2008 through April 25, 2018, between the SEC and its staff, employees, and agents and Casselman Law Group f/k/a Wasserman, Comden, Casselman & Esenstein, LLP whose local address is 5567 Reseda Boulevard, Suite 330, Tarzana, California 91356.
4. All communications regarding Nova Oculus Partners, LLC, f/k/a The Eye Machine, LLC for the period of January 1, 2008 through April 25, 2018, between the SEC and its staff, employees, and agents and Novartis USA, whose local address is 250 Massachusetts Avenue, Cambridge, MA 02139.
5. All communications regarding Nova Oculus Partners, LLC, f/k/a The Eye Machine, LLC for the period of January 1, 2008 through April 25, 2018, between the SEC and its staff, employees, and agents and Alcon Laboratories, whose local address is 15800 Alton Pkwy. Irvine, CA 92618.
6. All communications regarding Nova Oculus Partners, LLC, f/k/a The Eye Machine, LLC for the period of January 1, 2008 through April 25, 2018, between the SEC and its staff, employees, and agents and Bausch & Lomb Corporation whose local address is 10574 Acacia St., Rancho Cucamonga, CA 91730.

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<sup>1</sup> An "agency record" includes "the products of data compilation, such as all books, papers, maps, photographs, and machine readable materials, inclusive of those in electronic form or format, or other documentary materials, regardless of physical form or characteristics, made or received by an agency of the United States Government under Federal law in connection with the transaction of public business and in DA possession and control at the time the FOIA request is made." 32 CFR § 518.7(b).

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7. All communications regarding Nova Oculus Partners, LLC, f/k/a The Eye Machine, LLC for the period of January 1, 2008 through April 25, 2018, between the SEC and is staff, employees, and agents and Amerivision International, Inc., whose local address is 3800 American Blvd., Suite 1130, Bloomington, MN 55431.
8. All communications regarding Nova Oculus Partners, LLC, f/k/a The Eye Machine, LLC for the period of January 1, 2008 through April 25, 2018, between the SEC and is staff, employees, and agents and Health Canada.
9. All communications regarding treatment of macular degeneration for the period of January 1, 2008 through April 25, 2018, between the SEC and is staff, employees, and agents and any third-party.
10. All communications regarding Vanessa Puleo for the period of January 1, 2008 through April 25, 2018, between the SEC and is staff, employees, and agents and any third-party.
11. All communications regarding Yolanda C. Velazquez for the period of January 1, 2008 through April 25, 2018, between the SEC and is staff, employees, and agents and any third-party.
12. All communications regarding Robert A. Vanetten for the period of January 1, 2008 through April 25, 2018, between the SEC and is staff, employees, and agents and any third-party.
13. All communications regarding Nova Oculus Partners, LLC, f/k/a The Eye Machine, LLC for the period of January 1, 2008 through April 25, 2018, between the SEC and is staff, employees, and agents regarding Terrence J. Walton.
14. All communications regarding AMC Holdings Co., LLC for the period of January 1, 2008 through April 25, 2018, between the SEC and is staff, employees, and agents and any third-party.
15. All communications regarding Peter H. Pocklington for the period of January 1, 2008 through April 25, 2018, between the SEC and is staff, employees, and agents and any third-party.
16. All communications regarding Lantson E. Eldred for the period of January 1, 2008 through April 25, 2018, between the SEC and is staff, employees, and agents and any third-party.

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17. All communications regarding Eva S. Pocklington for the period of January 1, 2008 through April 25, 2018, between the SEC and its staff, employees, and agents and any third-party.
18. All communications regarding DTR Holdings, LLC for the period of January 1, 2008 through April 25, 2018, between the SEC and its staff, employees, and agents and any third-party.
19. All communications regarding Cobra Chemical, LLC for the period of January 1, 2008 through April 25, 2018, between the SEC and its staff, employees, and agents and any third-party.
20. All communications regarding Gold Star Resources, LLC for the period of January 1, 2008 through April 25, 2018, between the SEC and its staff, employees, and agents and any third-party.

We are willing and able to pay all reasonable and applicable fees in connection with this request as required under statute and regulation. We request prior notice only if you determine that such costs will exceed \$1,000. Please feel free to contact me by phone ((310) 316-0500) or by e-mail (jhinds@jhindslaw.com) if you have any questions about this request or need further information.

Very truly yours,  
HINDS & SHANKMAN, LLP

James Andrew Hinds, Jr., Managing Member

JAH:

cc: Clients (via e-mail)  
Rachel M. Sposato, Esq. (i/h)