

Return of Organization Exempt From Income Tax

2016

Open to Public Inspection

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

- ▶ Do not enter social security numbers on this form as it may be made public.
- ▶ Information about Form 990 and its instructions is at www.irs.gov/form990.

Department of the Treasury
Internal Revenue Service

A For the 2016 calendar year, or tax year beginning , 2016, and ending , 20

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization ROCKEFELLER PHILANTHROPY ADVISORS, INC. Doing business as		D Employer identification number 13-3615533
	Number and street (or P.O. box if mail is not delivered to street address) Room/suite 6 WEST 48TH STREET, 10TH FLOOR		E Telephone number (212) 812-4330
	City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10036		G Gross receipts \$ 283,860,831.
	F Name and address of principal officer: MELISSA A. BERMAN 6 WEST 48 STREET, 10TH FLOOR NEW YORK, NY 10036		H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions)
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		J Website: WWW.ROCKPA.ORG	
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		L Year of formation: 1992	M State of legal domicile: DE

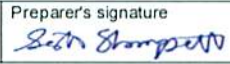
Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: HELP DONORS CREATE THOUGHTFUL, EFFECTIVE PHILANTHROPY THROUGHOUT THE WORLD. RPA ASSISTS INDIVIDUALS, TRUSTS, FOUNDATIONS, & CORPORATIONS IN ACHIEVING THEIR PHILANTHROPIC GOALS.	
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.	
	3 Number of voting members of the governing body (Part VI, line 1a)	17.
	4 Number of independent voting members of the governing body (Part VI, line 1b)	16.
	5 Total number of individuals employed in calendar year 2016 (Part V, line 2a)	296.
	6 Total number of volunteers (estimate if necessary)	17.
	7a Total unrelated business revenue from Part VIII, column (C), line 12	0.
7b Net unrelated business taxable income from Form 990-T, line 34	0.	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year: 205,065,709. Current Year: 204,486,774.
	9 Program service revenue (Part VIII, line 2g)	6,353,488. 5,453,636.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	1,283,240. 5,699,405.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	469,910. 161,497.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	213,172,347. 215,801,312.
	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	109,957,563. 85,665,975.
Expenses	14 Benefits paid to or for members (Part IX, column (A), line 4)	0. 0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	26,583,000. 30,963,890.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	211,000. 327,400.
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 686,118.	
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	41,863,798. 50,066,548.
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	178,615,361. 167,023,813.
Net Assets or Fund Balances	19 Revenue less expenses. Subtract line 18 from line 12	34,556,986. 48,777,499.
	20 Total assets (Part X, line 16)	Beginning of Current Year: 197,645,943. End of Year: 239,055,438.
	21 Total liabilities (Part X, line 26)	55,241,993. 53,171,138.
	22 Net assets or fund balances. Subtract line 21 from line 20	142,403,950. 185,884,300.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here
 Signature of officer: 
 Date: 9/15/17
 Type or print name and title: Tina Beyers V.P. CFO

Paid Preparer Use Only	Print/Type preparer's name SCOTT THOMPSETT	Preparer's signature 	Date 9/13/17	Check <input type="checkbox"/> if self-employed	PTIN P00741490
	Firm's name ▶ GRANT THORNTON LLP		Firm's EIN ▶ 36-6055558		
	Firm's address ▶ 757 THIRD AVENUE, 3RD FLOOR NEW YORK, NY 10017-2013		Phone no. 212-599-0100		

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

For Paperwork Reduction Act Notice, see the separate instructions.

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission:

ATTACHMENT 1

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 136,647,975. including grants of \$ 67,504,123.) (Revenue \$ 1,113,094.)

ATTACHMENT 2

4b (Code:) (Expenses \$ 17,595,377. including grants of \$ 17,315,345.) (Revenue \$ 601,744.)

CHARITABLE FUNDS - RPA SERVES AS A GRANT-MAKING VEHICLE THAT ENABLES A DONOR TO MAKE RECOMMENDATIONS WITH RESPECT TO HIS OR HER PHILANTHROPIC OBJECTIVES. RPA'S CHARITABLE GIVING FUND IS A FLEXIBLE, COST-EFFECTIVE WAY FOR ITS DONORS TO ACHIEVE THEIR CHARITABLE AIMS. IT IS AN OPTIMAL ALTERNATIVE FOR INDIVIDUALS, COUPLES OR FAMILIES SEEKING EXPERT PHILANTHROPIC ADVICE WITHOUT THE EXPENSE AND COMPLEXITY OF FORMING A PRIVATE FOUNDATION.

4c (Code:) (Expenses \$ 7,139,276. including grants of \$ 846,507.) (Revenue \$ 3,738,798.)

GENERAL FUND/RPA CONSULTING - TO FACILITATE PHILANTHROPY AND TO SUPPORT THE GROWTH OF A VITAL, CREATIVE, NOT-FOR-PROFIT SECTOR. RPA HAS THE SKILL AND EXPERTISE TO ENGAGE CLIENTS AT ALL STAGES OF THE PHILANTHROPIC LIFE CYCLE, FROM CONCEPT AND START-UP TO ONGOING OPERATIONS AND SUCCESSION PLANNING. AS PART OF OUR MISSION OF THOUGHTFUL AND EFFECTIVE PHILANTHROPY, WE SHARE RESEARCH, BEST PRACTICES AND NEW-THINKING THAT ELEVATE THE FIELD OF PHILANTHROPY AND SUPPORT THE SECTOR.

4d Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses 161,382,628.

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A.</i>	X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I.</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II.</i>	X	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III.</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I.</i>	X	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II.</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III.</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV.</i>		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V.</i>	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i>	X	
b Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i>		X
c Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII.</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX.</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i>	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X.</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII.</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional.</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E.</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?	X	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV.</i>	X	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV.</i>	X	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV.</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> (see instructions).	X	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II.</i>		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III.</i>		X

Part IV Checklist of Required Schedules (continued)

	Yes	No
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H.</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II.</i>	X	
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III.</i>	X	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J.</i>	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a.</i>		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I.</i>		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I.</i>		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II.</i>		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III.</i>		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV.</i>		X
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV.</i>		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV.</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M.</i>	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M.</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I.</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II.</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I.</i>	X	
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1.</i>		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2.</i>		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2.</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI.</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Table with columns for question number, question text, and Yes/No response boxes. Includes questions 1a through 14b regarding IRS filings and tax compliance.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include 1a (17), 1b (16), 2, 3, 4, 5, 6, 7a, 7b, 8, 8a, 8b, 9.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include 10a, 10b, 11a, 11b, 12a, 12b, 12c, 13, 14, 15, 15a, 15b, 16a, 16b.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed ATTACHMENT 3
18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, address, and telephone number of the person who possesses the organization's books and records: TINA BEYERS 6 WEST 48 STREET, 10TH FLOOR NEW YORK, NY 10036 212-812-4330

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII.

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1)MELISSA A. BERMAN PRESIDENT AND CEO	35.00 0.	X		X				375,000.	0.	51,611.
(2)STEVEN WAYNE CHAIR	1.00 0.	X		X				0.	0.	0.
(3)ADAM WOLFENSOHN TREASURER	1.00 0.	X		X				0.	0.	0.
(4)KYUNGSUN CHUNG BOARD MEMBER	1.00 0.	X						0.	0.	0.
(5)RIEN VAN GENDT BOARD MEMBER	1.00 0.	X						0.	0.	0.
(6)WENDY O'NEILL BOARD MEMBER	1.00 0.	X						0.	0.	0.
(7)MARNIE S. PILLSBURY BOARD MEMBER	1.00 0.	X						0.	0.	0.
(8)MICHAEL ROCKEFELLER BOARD MEMBER	1.00 0.	X						0.	0.	0.
(9)LUTHER M. RAGIN, JR. BOARD MEMBER	1.00 0.	X						0.	0.	0.
(10)JUANITA T. JAMES BOARD MEMBER	1.00 0.	X						0.	0.	0.
(11)PETER C. ROCKEFELLER BOARD MEMBER	1.00 0.	X						0.	0.	0.
(12)BARBARA B. ROCKEFELLER BOARD MEMBER	1.00 0.	X						0.	0.	0.
(13)SARAH TEACHER BOARD MEMBER	1.00 0.	X						0.	0.	0.
(14)VALERIE ROCKEFELLER WAYNE BOARD MEMBER	1.00 0.	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(15) JULIA LOPEZ BOARD MEMBER	1.00 0.	X					0.	0.	0.	
(16) MAC BRODERICK BOARD MEMBER	1.00 0.	X					0.	0.	0.	
(17) THEO SPENCER BOARD MEMBER	1.00 0.	X					0.	0.	0.	
(18) DARREN WALKER BOARD MEMBER (THRU APRIL 2016)	1.00 0.	X					0.	0.	0.	
(19) CHRIS B. PAGE EXECUTIVE VICE PRESIDENT	35.00 0.			X			338,655.	0.	43,794.	
(20) WALTER SWEET VICE PRESIDENT&CORP SECRETARY	35.00 0.			X			213,446.	0.	47,798.	
(21) YOLANDA A. ARIAS-BROWNELL VP OF HR & ASST. CORP. SEC.	35.00 0.			X			213,569.	0.	38,760.	
(22) NICHOLAS HODGES SENIOR VICE PRESIDENT/COO	35.00 0.			X			294,636.	0.	35,726.	
(23) TINA MARIE BEYERS VICE PRESIDENT AND CFO	35.00 0.			X			207,445.	0.	35,551.	
(24) JANE M. LEVIKOW VP SPONSORED PROJECTS & FUNDS	35.00 0.			X			210,861.	0.	29,456.	
(25) JEFFREY A. NESBIT EXEC. DIRECTOR, SPONSORED PROJ	35.00 0.					X	261,906.	0.	24,556.	
1b Sub-total							375,000.	0.	51,611.	
c Total from continuation sheets to Part VII, Section A							2,808,267.	0.	357,425.	
d Total (add lines 1b and 1c)							3,183,267.	0.	409,036.	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **79**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
ATTACHMENT 4		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **84**

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII.

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns	1a						
	b Membership dues	1b						
	c Fundraising events	1c						
	d Related organizations	1d						
	e Government grants (contributions) . .	1e	6,341,112.					
	f All other contributions, gifts, grants, and similar amounts not included above .	1f	198,145,662.					
	g Noncash contributions included in lines 1a-1f: \$		1,907,231.					
	h Total. Add lines 1a-1f ▶			204,486,774.				
Program Service Revenue	2a <u>ADVISORY FEES</u>			Business Code				
				523930	3,738,798.	3,738,798.		
	b <u>ASSESSMENT FEES</u>			523920	601,744.	601,744.		
	c <u>MEMBERSHIP DUES</u>			525990	1,750.	1,750.		
	d <u>EDUCATIONAL & OTHER CONTRACT INCOME</u>			900099	1,111,344.	1,111,344.		
	e _____							
	f All other program service revenue							
g Total. Add lines 2a-2f ▶				5,453,636.				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts). ▶			837,109.			837,109.	
	4 Income from investment of tax-exempt bond proceeds . ▶			0.				
	5 Royalties ▶			0.				
	6a Gross rents	(i) Real	58,371.					
		(ii) Personal						
		b Less: rental expenses						
	c Rental income or (loss)		58,371.					
	d Net rental income or (loss) ▶			58,371.			58,371.	
	7a Gross amount from sales of assets other than inventory	(i) Securities	72,921,815.					
		(ii) Other						
		b Less: cost or other basis and sales expenses		68,059,519.				
	c Gain or (loss)		4,862,296.					
	d Net gain or (loss) ▶			4,862,296.			4,862,296.	
	8a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18 a			0.				
		b Less: direct expenses b		0.				
c Net income or (loss) from fundraising events. ▶				0.				
9a Gross income from gaming activities. See Part IV, line 19 a			0.					
	b Less: direct expenses b		0.					
	c Net income or (loss) from gaming activities. ▶			0.				
10a Gross sales of inventory, less returns and allowances a			0.					
	b Less: cost of goods sold b		0.					
	c Net income or (loss) from sales of inventory. ▶			0.				
Miscellaneous Revenue			Business Code					
11a <u>CONTRACTUAL INCOME</u>		900099	80,941.	80,941.				
b <u>MISCELLANEOUS REVENUE</u>		900099	22,185.			22,185.		
c _____								
d All other revenue								
e Total. Add lines 11a-11d ▶			103,126.					
12 Total revenue. See instructions. ▶			215,801,312.	5,534,577.		5,779,961.		

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	55,567,030.	55,567,030.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22	31,852.	31,852.		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	30,067,093.	30,067,093.		
4 Benefits paid to or for members	0.			
5 Compensation of current officers, directors, trustees, and key employees	2,265,851.	1,134,452.	1,023,252.	108,147.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0.			
7 Other salaries and wages	23,043,149.	21,766,493.	1,164,169.	112,487.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	1,346,696.	1,233,111.	102,209.	11,376.
9 Other employee benefits	2,317,916.	2,075,144.	220,505.	22,267.
10 Payroll taxes	1,990,278.	1,848,835.	128,486.	12,957.
11 Fees for services (non-employees):				
a Management	0.			
b Legal	520,470.	455,389.	65,081.	
c Accounting	139,557.	7,500.	132,057.	
d Lobbying	0.			
e Professional fundraising services. See Part IV, line 17.	327,400.			327,400.
f Investment management fees	126,211.		126,211.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	462,275.	204,313.	257,962.	
12 Advertising and promotion	819,692.	747,036.	72,656.	
13 Office expenses	349,994.	252,474.	97,520.	
14 Information technology	2,905,634.	2,693,910.	192,253.	19,471.
15 Royalties	0.			
16 Occupancy	3,064,490.	2,425,899.	579,864.	58,727.
17 Travel	6,855,826.	6,643,528.	212,298.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0.			
19 Conferences, conventions, and meetings	1,827,986.	1,802,524.	25,462.	
20 Interest	71,933.		71,933.	
21 Payments to affiliates	0.			
22 Depreciation, depletion, and amortization	360,466.	215,997.	131,183.	13,286.
23 Insurance	113,720.	10,300.	103,420.	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a PROGRAM RELATED FEES	30,984,681.	30,984,681.		
b TEMP HELP/EMPLOYMENT AGENCY	861,869.	613,323.	248,546.	
c GRANTS ASSESSMENT	601,744.	601,744.		
d _____				
e All other expenses _____				
25 Total functional expenses. Add lines 1 through 24e	167,023,813.	161,382,628.	4,955,067.	686,118.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)	0.			

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X.

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	58,338,645.	1	51,922,913.
	2 Savings and temporary cash investments	49,388,823.	2	75,827,601.
	3 Pledges and grants receivable, net	52,705,936.	3	84,738,449.
	4 Accounts receivable, net	1,014,298.	4	869,207.
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L	0.	5	0.
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L	0.	6	0.
	7 Notes and loans receivable, net	0.	7	0.
	8 Inventories for sale or use	0.	8	0.
	9 Prepaid expenses and deferred charges	132,373.	9	158,321.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 3,454,484.		
	b Less: accumulated depreciation	10b 1,819,232.	1,520,869.	10c 1,635,252.
	11 Investments - publicly traded securities	29,433,257.	11	18,299,206.
	12 Investments - other securities. See Part IV, line 11	5,111,742.	12	5,604,489.
	13 Investments - program-related. See Part IV, line 11	0.	13	0.
	14 Intangible assets	0.	14	0.
	15 Other assets. See Part IV, line 11	0.	15	0.
16 Total assets. Add lines 1 through 15 (must equal line 34)	197,645,943.	16	239,055,438.	
Liabilities	17 Accounts payable and accrued expenses	5,548,486.	17	6,375,777.
	18 Grants payable	45,409,976.	18	38,571,337.
	19 Deferred revenue	1,752,471.	19	5,680,876.
	20 Tax-exempt bond liabilities	0.	20	0.
	21 Escrow or custodial account liability. Complete Part IV of Schedule D	0.	21	0.
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L	0.	22	0.
	23 Secured mortgages and notes payable to unrelated third parties	1,065,000.	23	1,065,000.
	24 Unsecured notes and loans payable to unrelated third parties	0.	24	0.
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	1,466,060.	25	1,478,148.
	26 Total liabilities. Add lines 17 through 25	55,241,993.	26	53,171,138.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	30,823,628.	27	29,858,270.
	28 Temporarily restricted net assets	110,580,322.	28	155,026,030.
	29 Permanently restricted net assets	1,000,000.	29	1,000,000.
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	142,403,950.	33	185,884,300.
	34 Total liabilities and net assets/fund balances	197,645,943.	34	239,055,438.

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI.

1	Total revenue (must equal Part VIII, column (A), line 12)	1	215,801,312.
2	Total expenses (must equal Part IX, column (A), line 25)	2	167,023,813.
3	Revenue less expenses. Subtract line 2 from line 1	3	48,777,499.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	142,403,950.
5	Net unrealized gains (losses) on investments	5	-3,419,424.
6	Donated services and use of facilities	6	0.
7	Investment expenses	7	0.
8	Prior period adjustments	8	0.
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-1,877,725.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	185,884,300.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII.

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	X	
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	X	

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Open to Public Inspection

Name of the organization ROCKEFELLER PHILANTHROPY ADVISORS, INC.	Employer identification number 13-3615533
--	---

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: _____
- 10 An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**.
Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
 - a **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
 - b **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
 - c **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
 - d **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
 - e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
 - f Enter the number of supported organizations.
 - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
(A)						
(B)						
(C)						
(D)						
(E)						
Total						

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2016

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	41,754,138.	63,824,498.	152,332,044.	205,065,709.	204,486,774.	667,463,163.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0.
3 The value of services or facilities furnished by a governmental unit to the organization without charge						0.
4 Total. Add lines 1 through 3.	41,754,138.	63,824,498.	152,332,044.	205,065,709.	204,486,774.	667,463,163.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f).						197,946,270.
6 Public support. Subtract line 5 from line 4.						469,516,893.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
7 Amounts from line 4	41,754,138.	63,824,498.	152,332,044.	205,065,709.	204,486,774.	667,463,163.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	992,073.	1,072,619.	1,480,609.	1,576,188.	895,480.	6,016,969.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						0.
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . ATCH. 1	103,511.	260,060.	245,743.	144,810.	103,125.	857,249.
11 Total support. Add lines 7 through 10						674,337,381.
12 Gross receipts from related activities, etc. (see instructions)					12	34,036,158.
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶ <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))	14	69.63 %
15 Public support percentage from 2015 Schedule A, Part II, line 14	15	71.15 %
16a 33 1/3% support test - 2016. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here . The organization qualifies as a publicly supported organization ▶ <input checked="" type="checkbox"/>		
b 33 1/3% support test - 2015. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here . The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
17a 10%-facts-and-circumstances test - 2016. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here . Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
b 10%-facts-and-circumstances test - 2015. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here . Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ <input type="checkbox"/>		

Part III Support Schedule for Organizations Described in Section 509(a)(2)
 (Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II.
 If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5.						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b.						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
9 Amounts from line 6.						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

Section C. Computation of Public Support Percentage

15 Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f)).	15	%
16 Public support percentage from 2015 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2015 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2016. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ►

b 33 1/3% support tests - 2015. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ►

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ►

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		

Part IV Supporting Organizations (continued)

		Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?		
a	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	11 a	
b	A family member of a person described in (a) above?	11 b	
c	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI .	11 c	

Section B. Type I Supporting Organizations

		Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1	
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.	2	

Section C. Type II Supporting Organizations

		Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).	1	

Section D. All Type III Supporting Organizations

		Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	1	
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).	2	
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.	3	

Section E. Type III Functionally Integrated Supporting Organizations

1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).			
a	<input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.			
b	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.			
c	<input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).			
2	Activities Test. Answer (a) and (b) below.		Yes	No
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.	2a		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.	2b		
3	Parent of Supported Organizations. Answer (a) and (b) below.			
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI .	3a		
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.	3b		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3.	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 Adjusted Net Income (subtract lines 5, 6, and 7 from line 4).	8		

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):			
a Average monthly value of securities	1a		
b Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d Total (add lines 1a, 1b, and 1c)	1d		
e Discount claimed for blockage or other factors (explain in detail in Part VI):			
2 Acquisition indebtedness applicable to non-exempt-use assets	2		
3 Subtract line 2 from line 1d.	3		
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by .035.	6		
7 Recoveries of prior-year distributions	7		
8 Minimum Asset Amount (add line 7 to line 6)	8		

Section C - Distributable Amount			Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2 Enter 85% of line 1.	2		
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4 Enter greater of line 2 or line 3.	4		
5 Income tax imposed in prior year	5		
6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6		

7 Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions.	
7 Total annual distributions. Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required-explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2016:			
a			
b			
c From 2013.			
d From 2014.			
e From 2015.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2016 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.			
6 Remaining underdistributions for 2016. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.			
7 Excess distributions carryover to 2017. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a			
b Excess from 2013. . . .			
c Excess from 2014. . . .			
d Excess from 2015. . . .			
e Excess from 2016. . . .			

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

ATTACHMENT 1

SCHEDULE A, PART II - OTHER INCOME

DESCRIPTION	2012	2013	2014	2015	2016	TOTAL
OTHER INCOME	103,511.	260,060.	245,743.	144,810.	103,125.	857,249.
TOTALS	<u>103,511.</u>	<u>260,060.</u>	<u>245,743.</u>	<u>144,810.</u>	<u>103,125.</u>	<u>857,249.</u>

SCHEDULE C
(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

OMB No. 1545-0047

For Organizations Exempt From Income Tax Under section 501(c) and section 527

2016

Department of the Treasury
Internal Revenue Service

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.**

Open to Public Inspection

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization ROCKEFELLER PHILANTHROPY ADVISORS, INC.	Employer identification number 13-3615533
---	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV. (see instructions for definition of "political campaign activities")
- 2 Political campaign activity expenditures (see instructions) ▶ \$ _____
- 3 Volunteer hours for political campaign activities (see instructions) _____

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955. ▶ \$ _____
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ _____
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
- 4a Was a correction made? Yes No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities. ▶ \$ _____
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$ _____
- 4 Did the filing organization file **Form 1120-POL** for this year? Yes No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2016

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)														
b Total lobbying expenditures to influence a legislative body (direct lobbying)	12,500.													
c Total lobbying expenditures (add lines 1a and 1b)	12,500.													
d Other exempt purpose expenditures	16,828,341.													
e Total exempt purpose expenditures (add lines 1c and 1d)	16,840,841.													
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.	992,042.													
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">If the amount on line 1e, column (a) or (b) is:</th> <th style="text-align: left;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:													
Not over \$500,000	20% of the amount on line 1e.													
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.													
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.													
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.													
Over \$17,000,000	\$1,000,000.													
g Grassroots nontaxable amount (enter 25% of line 1f)	248,011.													
h Subtract line 1g from line 1a. If zero or less, enter -0-	0.	0.												
i Subtract line 1f from line 1c. If zero or less, enter -0-	0.	0.												
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No												

4-Year Averaging Period Under section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below.

See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) Total
2a Lobbying nontaxable amount	1,000,000.	1,000,000.	1,000,000.	1,000,000.	4,000,000.
b Lobbying ceiling amount (150% of line 2a, column (e))					6,000,000.
c Total lobbying expenditures	96,000.	633,075.	89,350.	12,500.	830,925.
d Grassroots nontaxable amount	250,000.	250,000.	250,000.	250,000.	1,000,000.
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000.
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes," response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year.	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues.	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

Part IV Supplemental Information *(continued)*

SCHEDULE D (Form 990)

Supplemental Financial Statements

OMB No. 1545-0047

2016

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

Employer identification number

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

13-3615533

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 3 columns: Line number, Description, (a) Donor advised funds, (b) Funds and other accounts. Includes rows for total number at end of year, aggregate values, and yes/no questions.

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Table with 3 columns: Line number, Description, and Held at the End of the Tax Year. Includes rows for purpose(s) of easements, total number, acreage, and monitoring expenses.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Table with 3 columns: Line number, Description, and Amount. Includes rows for reporting requirements for art and historical treasures.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2016

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a** Public exhibition
 - b** Scholarly research
 - c** Preservation for future generations
 - d** Loan or exchange programs
 - e** Other _____
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No
- b** If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|--|-----------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No
- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	992,827.				
b Contributions		1,000,000.			
c Net investment earnings, gains, and losses	60,434.	-7,173.			
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance	1,053,261.	992,827.			

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a** Board designated or quasi-endowment _____ %
- b** Permanent endowment 94.9400 %
- c** Temporarily restricted endowment 5.0600 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i)** unrelated organizations
- (ii)** related organizations

	Yes	No
3a(i)	X	
3a(ii)		X
3b		

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		1,631,308.	917,800.	713,508.
d Equipment		1,823,176.	901,432.	921,744.
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				1,635,252.

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ►		

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) ►		

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ►	

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value	
(1) Federal income taxes		
(2) ACCRUED PENSION AND POSTRETIRE	312,586.	
(3) CAPITAL LEASE	2,708.	
(4) DEFERRED LIABILITIES	1,162,854.	
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ►		1,478,148.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XIII Supplemental Information (continued)

SCHEDULE D, PART V

RPA'S ENDOWMENT CONSISTS OF ONE INDIVIDUAL DONOR-RESTRICTED ENDOWMENT FUND ESTABLISHED FOR SPECIAL CHARITABLE PROJECTS APPROVED BY ITS BOARD OF DIRECTORS. AS REQUIRED BY US GAAP, NET ASSETS ASSOCIATED WITH ENDOWMENT FUNDS, INCLUDING FUNDS DESIGNATED BY RPA'S BOARD OF DIRECTORS TO FUNCTION AS ENDOWMENTS, ARE CLASSIFIED AND REPORTED BASED ON THE EXISTENCE OR ABSENCE OF DONOR-IMPOSED RESTRICTIONS.

THE PRIMARY INVESTMENT OBJECTIVES OF RPA ARE TO PROVIDE FUNDS FOR CHARITABLE PROJECTS AND THE OPERATION OF RPA AND TO MAINTAIN AND ENHANCE THE VALUE OF THE INVESTMENT ASSETS. THE OVERALL INVESTMENT GOAL OF RPA THEREFORE, IS TO ACHIEVE THE PROPER BALANCE BETWEEN PRESERVATION OF PRINCIPAL AND CURRENT SUPPORT AND THE NEED FOR REAL GROWTH AND FUTURE SUPPORT.

SCHEDULE D, PART X, LINE 2

RPA FOLLOWS GUIDANCE THAT CLARIFIES THE ACCOUNTING FOR UNCERTAINTY IN TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN A TAX RETURN, INCLUDING ISSUES RELATING TO FINANCIAL STATEMENT RECOGNITION AND MEASUREMENT. THIS GUIDANCE PROVIDES THAT THE TAX EFFECTS FROM AN UNCERTAIN TAX POSITION IS "MORE LIKELY THAN NOT" TO BE SUSTAINED IF THE POSITION WERE TO BE CHALLENGED BY A TAXING AUTHORITY. THE ASSESSMENT OF THE TAX POSITION IS BASED SOLELY ON THE TECHNICAL MERITS OF THE POSITION, WITHOUT REGARD TO THE LIKELIHOOD THAT THE TAX POSITION MAY BE CHALLENGED.

RPA IS EXEMPT FROM FEDERAL INCOME TAX UNDER 501(C)(3), THOUGH IT IS SUBJECT TO TAX ON INCOME UNRELATED TO ITS EXEMPT PURPOSE, UNLESS THAT

Part XIII Supplemental Information (continued)

INCOME IS EXCLUDED BY THE CODE. RPA HAS PROCESSES PRESENTLY IN PLACE TO ENSURE THE MAINTENANCE OF ITS TAX-EXEMPT STATUS; TO IDENTIFY AND REPORT UNRELATED INCOME; TO DETERMINE ITS FILING AND TAX OBLIGATIONS IN JURISDICTIONS FOR WHICH IT HAS NEXUS; AND TO IDENTIFY AND EVALUATE OTHER MATTERS THAT MAY BE CONSIDERED TAX POSITIONS. RPA DETERMINED THAT THERE ARE NO MATERIAL UNCERTAIN TAX POSITIONS THAT REQUIRE RECOGNITION OR DISCLOSURE IN THE FINANCIAL STATEMENTS FOR THE YEARS ENDING DECEMBER 31, 2016 AND DECEMBER 31, 2015.

SCHEDULE D, PART XII, LINE 2D

DIVESTITURE OF SPONSORED PROJECT \$1,816,668

THE ROCKEFELLER PHILANTHROPY FUND RECORDED VARIOUS PLEDGES (IN A PRIOR CALENDAR YEAR) TOTALING \$1,816,668 TO FUND ONE OF ITS SPONSORED PROJECTS; IN 2016, THAT SPONSORED PROJECT WAS SPUN-OFF FROM ROCKEFELLER'S PORTFOLIO AND BECAME INDEPENDENT. THE PLEDGES ASSOCIATED WITH THE SPONSORED PROJECT, ONCE FULFILLED, WILL BE RECEIVED BY THE SPONSORED ORGANIZATION AND NOT BY ROCKEFELLER. FOR FINANCIAL STATEMENT PURPOSES, THIS PLEDGE WAS WRITTEN OFF AND RECORDED AS A GRANT EXPENSE; FOR 990 PURPOSES, THE PLEDGE IS NOT REPORTED AS AN EXPENSE, BUT INSTEAD REPORTED AS A GRANT DIVESTITURE.

**SCHEDULE F
(Form 990)**

Statement of Activities Outside the United States

OMB No. 1545-0047

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
- ▶ Attach to Form 990.
- ▶ Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-361533

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? **Yes** **No**

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
(1) EUROPE			INVESTMENTS		5,604,489.
(2) CENTRAL AMERICA/CARIBBEAN			GRANTMAKING		159,576.
(3) EUROPE	1.	9.	GRANTMAKING		17,843,239.
(4) NORTH AMERICA			GRANTMAKING		1,463,289.
(5) MIDDLE EAST AND NORTH AFRICA			GRANTMAKING		3,736,384.
(6) RUSSIA/INDEPENDENT STATES			GRANTMAKING		10,000.
(7) SOUTH AMERICA			GRANTMAKING		1,287,652.
(8) SUB-SAHARAN AFRICA			GRANTMAKING		511,749.
(9) SOUTH ASIA			GRANTMAKING		284,558.
(10) EAST ASIA AND THE PACIFIC	1.	11.	GRANTMAKING		4,770,646.
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
3a Sub-total	2.	20.			35,671,582.
b Total from continuation sheets to Part I					
c Totals (add lines 3a and 3b)	2.	20.			35,671,582.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2016

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EAST ASIA/PACIFIC	SUPPORT	192,000.	CHECK			
(2)			SOUTH ASIA	SUPPORT	50,000.	CHECK			
(3)			SUB-SAHARAN AFRICA	SUPPORT	25,625.	CHECK			
(4)			NORTH AMERICA	SUPPORT	10,000.	CHECK			
(5)			EAST ASIA/PACIFIC	SUPPORT	89,570.	CHECK			
(6)			SOUTH AMERICA	SUPPORT	122,121.	CHECK			
(7)			EAST ASIA/PACIFIC	SUPPORT	40,000.	CHECK			
(8)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(9)			EUROPE/ICELAND/GREENLAND	SUPPORT	7,507.	CHECK			
(10)			EAST ASIA/PACIFIC	SUPPORT	58,117.	CHECK			
(11)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(12)			EAST ASIA/PACIFIC	SUPPORT	20,000.	CHECK			
(13)			EUROPE/ICELAND/GREENLAND	SUPPORT	151,065.	CHECK			
(14)			SUB-SAHARAN AFRICA	SUPPORT	75,000.	CHECK			
(15)			EAST ASIA/PACIFIC	SUPPORT	20,000.	CHECK			
(16)			EAST ASIA/PACIFIC	SUPPORT	20,000.	CHECK			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. ▶ _____

3 Enter total number of other organizations or entities. ▶ _____

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EAST ASIA/PACIFIC	SUPPORT	15,000.	CHECK			
(2)			CENTRAL AMERICA AND THE	SUPPORT	67,659.	CHECK			
(3)			EAST ASIA/PACIFIC	SUPPORT	33,000.	CHECK			
(4)			NORTH AMERICA	SUPPORT	20,000.	CHECK			
(5)			EAST ASIA/PACIFIC	SUPPORT	123,766.	CHECK			
(6)			EAST ASIA/PACIFIC	SUPPORT	183,100.	CHECK			
(7)			EAST ASIA/PACIFIC	SUPPORT	138,677.	CHECK			
(8)			NORTH AMERICA	SUPPORT	15,000.	CHECK			
(9)			NORTH AMERICA	SUPPORT	25,000.	CHECK			
(10)			NORTH AMERICA	SUPPORT	25,000.	CHECK			
(11)			NORTH AMERICA	SUPPORT	12,500.	CHECK			
(12)			EUROPE/ICELAND/GREENLAND	SUPPORT	18,000.	CHECK			
(13)			EAST ASIA/PACIFIC	SUPPORT	25,000.	CHECK			
(14)			NORTH AMERICA	SUPPORT	10,000.	CHECK			
(15)			EAST ASIA/PACIFIC	SUPPORT	180,021.	CHECK			
(16)			RUSSIA AND NEIGHBORING S	SUPPORT	10,000.	CHECK			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. ▶ _____

3 Enter total number of other organizations or entities. ▶ _____

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			SOUTH AMERICA	SUPPORT	92,608.	CHECK			
(2)			EAST ASIA/PACIFIC	SUPPORT	100,000.	CHECK			
(3)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(4)			MIDDLE EAST/NORTH AFRICA	SUPPORT	25,000.	CHECK			
(5)			EAST ASIA/PACIFIC	SUPPORT	300,000.	CHECK			
(6)			EUROPE/ICELAND/GREENLAND	SUPPORT	217,741.	CHECK			
(7)			EAST ASIA/PACIFIC	SUPPORT	271,213.	CHECK			
(8)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(9)			NORTH AMERICA	SUPPORT	20,000.	CHECK			
(10)			NORTH AMERICA	SUPPORT	100,000.	CHECK			
(11)			NORTH AMERICA	SUPPORT	10,000.	CHECK			
(12)			SUB-SAHARAN AFRICA	SUPPORT	301,124.	CHECK			
(13)			EAST ASIA/PACIFIC	SUPPORT	40,000.	CHECK			
(14)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(15)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(16)			EAST ASIA/PACIFIC	SUPPORT	30,000.	CHECK			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. ▶ _____

3 Enter total number of other organizations or entities. ▶ _____

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EUROPE/ICELAND/GREENLAND	SUPPORT	130,000.	CHECK			
(2)			SOUTH AMERICA	SUPPORT	100,000.	CHECK			
(3)			EUROPE/ICELAND/GREENLAND	SUPPORT	20,000.	CHECK			
(4)			EUROPE/ICELAND/GREENLAND	SUPPORT	20,000.	CHECK			
(5)			NORTH AMERICA	SUPPORT	10,000.	CHECK			
(6)			NORTH AMERICA	SUPPORT	578,000.	CHECK			
(7)			NORTH AMERICA	SUPPORT	15,000.	CHECK			
(8)			EUROPE/ICELAND/GREENLAND	SUPPORT	1,400,000.	CHECK			
(9)			SOUTH AMERICA	SUPPORT	100,000.	CHECK			
(10)			EUROPE/ICELAND/GREENLAND	SUPPORT	75,000.	CHECK			
(11)			EUROPE/ICELAND/GREENLAND	SUPPORT	11,460.	CHECK			
(12)			EUROPE/ICELAND/GREENLAND	SUPPORT	200,000.	CHECK			
(13)			EUROPE/ICELAND/GREENLAND	SUPPORT	496,000.	CHECK			
(14)			EAST ASIA/PACIFIC	SUPPORT	40,000.	CHECK			
(15)			NORTH AMERICA	SUPPORT	20,000.	CHECK			
(16)			EUROPE/ICELAND/GREENLAND	SUPPORT	145,445.	CHECK			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. ▶ _____

3 Enter total number of other organizations or entities. ▶ _____

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EAST ASIA/PACIFIC	SUPPORT	15,000.	CHECK			
(2)			EUROPE/ICELAND/GREENLAND	SUPPORT	25,000.	CHECK			
(3)			EUROPE/ICELAND/GREENLAND	SUPPORT	6,785.	CHECK			
(4)			EUROPE/ICELAND/GREENLAND	SUPPORT	11,343,750.	CHECK			
(5)			SOUTH AMERICA	SUPPORT	298,644.	CHECK			
(6)			SUB-SAHARAN AFRICA	SUPPORT	100,000.	CHECK			
(7)			MIDDLE EAST/NORTH AFRICA	SUPPORT	180,884.	CHECK			
(8)			EUROPE/ICELAND/GREENLAND	SUPPORT	20,000.	CHECK			
(9)			NORTH AMERICA	SUPPORT	10,000.	CHECK			
(10)			EUROPE/ICELAND/GREENLAND	SUPPORT	63,925.	CHECK			
(11)			EAST ASIA/PACIFIC	SUPPORT	40,000.	CHECK			
(12)			EUROPE/ICELAND/GREENLAND	SUPPORT	40,000.	CHECK			
(13)			EUROPE/ICELAND/GREENLAND	SUPPORT	6,700.	CHECK			
(14)			EAST ASIA/PACIFIC	SUPPORT	88,888.	CHECK			
(15)			EAST ASIA/PACIFIC	SUPPORT	122,500.	CHECK			
(16)			EAST ASIA/PACIFIC	SUPPORT	49,761.	CHECK			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. ▶ _____

3 Enter total number of other organizations or entities. ▶ _____

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			SOUTH AMERICA	SUPPORT	15,000.	CHECK			
(2)			CENTRAL AMERICA AND THE	SUPPORT	10,000.	CHECK			
(3)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(4)			EUROPE/ICELAND/GREENLAND	SUPPORT	40,000.	CHECK			
(5)			EAST ASIA/PACIFIC	SUPPORT	40,000.	CHECK			
(6)			EAST ASIA/PACIFIC	SUPPORT	22,500.	CHECK			
(7)			EAST ASIA/PACIFIC	SUPPORT	43,817.	CHECK			
(8)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(9)			EAST ASIA/PACIFIC	SUPPORT	93,955.	CHECK			
(10)			EAST ASIA/PACIFIC	SUPPORT	40,000.	CHECK			
(11)			EUROPE/ICELAND/GREENLAND	SUPPORT	146,000.	CHECK			
(12)			NORTH AMERICA	SUPPORT	10,000.	CHECK			
(13)			EAST ASIA/PACIFIC	SUPPORT	50,000.	CHECK			
(14)			EUROPE/ICELAND/GREENLAND	SUPPORT	95,759.	CHECK			
(15)			NORTH AMERICA	SUPPORT	157,381.	CHECK			
(16)			EAST ASIA/PACIFIC	SUPPORT	328,700.	CHECK			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. ▶ _____

3 Enter total number of other organizations or entities. ▶ _____

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EAST ASIA/PACIFIC	SUPPORT	728,040.	CHECK			
(2)			NORTH AMERICA	SUPPORT	35,000.	CHECK			
(3)			EAST ASIA/PACIFIC	SUPPORT	30,000.	CHECK			
(4)			EAST ASIA/PACIFIC	SUPPORT	49,761.	CHECK			
(5)			EUROPE/ICELAND/GREENLAND	SUPPORT	40,000.	CHECK			
(6)			SOUTH AMERICA	SUPPORT	269,980.	CHECK			
(7)			NORTH AMERICA	SUPPORT	92,171.	CHECK			
(8)			EAST ASIA/PACIFIC	SUPPORT	25,000.	CHECK			
(9)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(10)			NORTH AMERICA	SUPPORT	10,000.	CHECK			
(11)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(12)			EUROPE/ICELAND/GREENLAND	SUPPORT	36,728.	CHECK			
(13)			EUROPE/ICELAND/GREENLAND	SUPPORT	6,852.	CHECK			
(14)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(15)			NORTH AMERICA	SUPPORT	15,000.	CHECK			
(16)			EAST ASIA/PACIFIC	SUPPORT	242,718.	CHECK			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. ▶ _____

3 Enter total number of other organizations or entities. ▶ _____

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EAST ASIA/PACIFIC	SUPPORT	25,000.	CHECK			
(2)			EUROPE/ICELAND/GREENLAND	SUPPORT	50,000.	CHECK			
(3)			EUROPE/ICELAND/GREENLAND	SUPPORT	15,000.	CHECK			
(4)			EAST ASIA/PACIFIC	SUPPORT	115,000.	CHECK			
(5)			EUROPE/ICELAND/GREENLAND	SUPPORT	6,762.	CHECK			
(6)			EUROPE/ICELAND/GREENLAND	SUPPORT	7,428.	CHECK			
(7)			EUROPE/ICELAND/GREENLAND	SUPPORT	56,459.	CHECK			
(8)			EUROPE/ICELAND/GREENLAND	SUPPORT	40,000.	CHECK			
(9)			EUROPE/ICELAND/GREENLAND	SUPPORT	2,507,759.	CHECK			
(10)			MIDDLE EAST/NORTH AFRICA	SUPPORT	130,500.	CHECK			
(11)			MIDDLE EAST/NORTH AFRICA	SUPPORT	3,400,000.	CHECK			
(12)			SOUTH AMERICA	SUPPORT	242,500.	CHECK			
(13)			EUROPE/ICELAND/GREENLAND	SUPPORT	7,248.	CHECK			
(14)			EUROPE/ICELAND/GREENLAND	SUPPORT	25,000.	CHECK			
(15)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(16)			EUROPE/ICELAND/GREENLAND	SUPPORT	13,500.	CHECK			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. ▶ _____

3 Enter total number of other organizations or entities. ▶ _____

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EAST ASIA/PACIFIC	SUPPORT	40,000.	CHECK			
(2)			SOUTH ASIA	SUPPORT	125,558.	CHECK			
(3)			NORTH AMERICA	SUPPORT	12,500.	CHECK			
(4)			EAST ASIA/PACIFIC	SUPPORT	49,761.	CHECK			
(5)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(6)			EAST ASIA/PACIFIC	SUPPORT	165,354.	CHECK			
(7)			NORTH AMERICA	SUPPORT	10,000.	CHECK			
(8)			EAST ASIA/PACIFIC	SUPPORT	10,000.	CHECK			
(9)			EUROPE/ICELAND/GREENLAND	SUPPORT	15,000.	CHECK			
(10)			NORTH AMERICA	SUPPORT	10,000.	CHECK			
(11)			SOUTH ASIA	SUPPORT	105,000.	CHECK			
(12)			EUROPE/ICELAND/GREENLAND	SUPPORT	15,000.	CHECK			
(13)			EUROPE/ICELAND/GREENLAND	SUPPORT	20,000.	CHECK			
(14)			EUROPE/ICELAND/GREENLAND	SUPPORT	7,403.	CHECK			
(15)			EAST ASIA/PACIFIC	SUPPORT	10,000.	CHECK			
(16)			EUROPE/ICELAND/GREENLAND	SUPPORT	23,000.	CHECK			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. ▶ _____

3 Enter total number of other organizations or entities. ▶ _____

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EUROPE/ICELAND/GREENLAND	SUPPORT	23,437.	CHECK			
(2)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(3)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(4)			EUROPE/ICELAND/GREENLAND	SUPPORT	7,622.	CHECK			
(5)			NORTH AMERICA	SUPPORT	10,000.	CHECK			
(6)			EAST ASIA/PACIFIC	SUPPORT	50,000.	CHECK			
(7)			EUROPE/ICELAND/GREENLAND	SUPPORT	11,500.	CHECK			
(8)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(9)			EAST ASIA/PACIFIC	SUPPORT	20,000.	CHECK			
(10)			EAST ASIA/PACIFIC	SUPPORT	50,000.	CHECK			
(11)			EAST ASIA/PACIFIC	SUPPORT	25,000.	CHECK			
(12)			EAST ASIA/PACIFIC	SUPPORT	249,582.	CHECK			
(13)			EAST ASIA/PACIFIC	SUPPORT	9,069.	CHECK			
(14)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(15)			EUROPE/ICELAND/GREENLAND	SUPPORT	40,000.	CHECK			
(16)			CENTRAL AMERICA AND THE	SUPPORT	81,917.	CHECK			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. ▶ _____

3 Enter total number of other organizations or entities. ▶ _____

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EAST ASIA/PACIFIC	SUPPORT	50,000.	CHECK			
(2)			NORTH AMERICA	SUPPORT	10,000.	CHECK			
(3)			NORTH AMERICA	SUPPORT	246,939.	CHECK			
(4)			EUROPE/ICELAND/GREENLAND	SUPPORT	15,000.	CHECK			
(5)			EUROPE/ICELAND/GREENLAND	SUPPORT	10,000.	CHECK			
(6)			SUB-SAHARAN AFRICA	SUPPORT	10,000.	CHECK			
(7)			SOUTH AMERICA	SUPPORT	22,500.	CHECK			
(8)			SOUTH AMERICA	SUPPORT	57,355.	CHECK			
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. 133.

3 Enter total number of other organizations or entities. 36.

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* Yes No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990)* Yes No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)* Yes No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* Yes No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* Yes No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990)* Yes No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

PART I, LINE 2

ROCKEFELLER PHILANTHROPY ADVISORS INC. HAS A STRONG COMMITMENT TO ACCOUNTABILITY AND SOUND GOVERNANCE, AS EXPRESSED IN ITS BY-LAWS AND BOARD OF DIRECTORS POLICIES AND PROCEDURES. TO REDUCE THE LIKELIHOOD THAT GRANTS RECOMMENDED OR ADMINISTERED BY ROCKEFELLER PHILANTHROPY ADVISORS, INC., WILL BE DIVERTED FOR INAPPROPRIATE PURPOSES, THE ORGANIZATION HAS ADOPTED GENERAL PRINCIPLES, PRACTICES, AND DUE DILIGENCE PROCEDURES THAT INCLUDE COMPLIANCE WITH IRS GUIDELINES AND OTHER INDUSTRY BEST PRACTICES AND MORE SPECIFICALLY INCLUDE THE FOLLOWING:

- 1.CHARITABLE PURPOSE AND INTENT
- 2.ANTI-TERRORISM SCREEN
- 3.VERIFICATION OF EXEMPT STATUS
- 4.RISK ASSESMENT AND IF NECESSARY MORE DETAILED REVIEW
- 5.WRITTEN AGREEMENTS
- 6.POST GRANT MONITORING FOR COMPLIANCE BASED ON INDIVIDUAL CIRCUMSTANCES FOR BOTH PROGRAM AND FINANCIAL REPORTING.

ALL GRANTS ISSUED BY ROCKEFELLER PHILANTHROPY ADVISORS ARE GENERAL SUPPORT GRANTS; TO THE EXTENT ANY ADDITIONAL INFORMATION IS REQUIRED ABOUT A SPECIFIC GRANT, THAT INFORMATION IS AVAILABLE UPON REQUEST.

SCHEDULE F, PART IV

ROCKEFELLER PHILANTHROPY ADVISORS INVESTS IN DOMESTIC AND FOREIGN LIMITED PARTNERSHIPS THAT MAY OWN AN INTEREST IN A FOREIGN CORPORATION, PASSIVE FOREIGN INVESTMENT COMPANY, OR FOREIGN PARTNERSHIP. RPA'S INVESTMENT ACTIVITIES MAY NOT REACH THE THRESHOLDS REQUIRED FOR FILING FORM 5471 AND

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

FORM 926; TO THE EXTENT THAT THE ORGANIZATION IS REQUIRED TO FILE THESE
FORMS, THEY HAVE BEEN ATTACHED TO THE ORGANIZATION'S FORM 990-T.

**SCHEDULE G
(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a Mail solicitations
- b Internet and email solicitations
- c Phone solicitations
- d In-person solicitations
- e Solicitation of non-government grants
- f Solicitation of government grants
- g Special fundraising events

- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? **Yes** **No**
- b** If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

	(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
			Yes	No			
1	ATTACHMENT 1						
2							
3							
4							
5							
6							
7							
8							
9							
10							
Total					325,310.	327,400.	-2,090.

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AL, AK, AR, CA, CO, CT, DC, FL, GA, HI, IL,
KS, KY, ME, MD, MA, MI, MN, MS, NH, NJ, NM, NY, NC, ND, OH,
OK, OR, PA, RI, SC, TN, UT, VA, WA, WV, WI,

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events	
		(event type)	(event type)	(total number)	(add col. (a) through col. (c))	
Revenue	1	Gross receipts				
	2	Less: Contributions				
	3	Gross income (line 1 minus line 2)				
Direct Expenses	4	Cash prizes				
	5	Noncash prizes				
	6	Rent/facility costs				
	7	Food and beverages				
	8	Entertainment				
	9	Other direct expenses				
	10	Direct expense summary. Add lines 4 through 9 in column (d) ▶				
	11	Net income summary. Subtract line 10 from line 3, column (d) ▶				

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))	
Revenue	1	Gross revenue				
Direct Expenses	2	Cash prizes				
	3	Noncash prizes				
	4	Rent/facility costs				
	5	Other direct expenses				
	6	Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7	Direct expense summary. Add lines 2 through 5 in column (d) ▶				
	8	Net gaming income summary. Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? Yes No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No

b If "Yes," explain: _____

- 11 Does the organization conduct gaming activities with nonmembers? Yes No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No
- 13 Indicate the percentage of gaming activity conducted in:

a The organization's facility	13a	%
b An outside facility	13b	%
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ _____

Address ▶ _____

- 15 a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____.
- c If "Yes," enter name and address of the third party:

Name ▶ _____

Address ▶ _____

16 Gaming manager information:

Name ▶ _____

Gaming manager compensation ▶ \$ _____

Description of services provided ▶ _____

- Director/officer
- Employee
- Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

SCHEDULE G, PART I

ROCKEFELLER PHILANTHROPY ADVISORS ENGAGED WITH FUNDRAISING CONSULTANTS (THAT ARE DISCLOSED ON SCHEDULE G) THAT PROVIDED BOTH EVENT PLANNING AND FUNDRAISING SERVICES TO THE ORGANIZATION. THE CONTRACTS WITH THESE CONSULTANTS DO NOT SPECIFICALLY BIFURCATE THE PAYMENT BETWEEN THE VARIOUS SERVICES RENDERED; ACCORDINGLY, IN THE INTERESTS OF FULL DISCLOSURE, RPA IS TAKING THE CONSERVATIVE POSITION OF DISCLOSING THE FULL CONTRACT

- 11 Does the organization conduct gaming activities with nonmembers? Yes No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No
- 13 Indicate the percentage of gaming activity conducted in:

a The organization's facility	13a	%
b An outside facility	13b	%
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ _____

Address ▶ _____

- 15 a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____.
- c If "Yes," enter name and address of the third party:

Name ▶ _____

Address ▶ _____

16 Gaming manager information:

Name ▶ _____

Gaming manager compensation ▶ \$ _____

Description of services provided ▶ _____

- Director/officer
- Employee
- Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

AMOUNT ON SCHEDULE G.

990, SCHEDULE G, PART I - HIGHEST PAID FUNDRAISER

NAME AND ADDRESS OF FUNDRAISER	ACTIVITY	DID FUNDRAISER HAVE CUSTODY OR CONTROL OF CONTRIBUTIONS?		GROSS RECEIPTS FROM ACTIVITY	AMOUNT PAID TO (OR RETAINED BY) FUNDRAISER	AMOUNT PAID TO (OR RETAINED BY) ORGANIZATION
		YES	NO			
GARWOOD EVENTS 225 W. 106TH STREET, STE. 15M NEW YORK NY 10025	FUNDRAISING CONSULTANT	X		325,310.	45,000.	280,310.
THE KAVELMAN GROUP 59 EAST 54TH STREET, 9TH FLOOR NEW YORK NY 10022	FUNDRAISING CONSULTANT		X		25,000.	-25,000.
COMMUNITY COUNSELING SERVICE 527 MADISON AVENUE, 5TH FLOOR NEW YORK NY 10022	FUNDRAISING CONSULTANT		X		141,900.	-141,900.
ECOSTRATEGIES 10536 PUTNEY ROAD LOS ANGELES CA 90064	FUNDRAISING CONSULTANT		X		67,500.	-67,500.
THIRD PLATEAU SOCIAL IMPACT STRATEGIES, LLC 209 KEARNY STREET, 3RD FLOOR SAN FRANCISCO CA 94108	FUNDRAISING CONSULTANT		X		48,000.	-48,000.

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) A BLADE OF GRASS 137 FIFTH AVE. NEW YORK, NY 10010	27-4311567	501(C)(3)	10,000.				SUPPORT
(2) A STEP AHEAD FOUNDATION P.O. BOX 41601 MEMPHIS, TN 38174	45-1828684	501(C)(3)	60,000.				SUPPORT
(3) ADOBE FOUNDATION, INC. 5906 OVERLEA RD. BETHESDA, MD 20816	85-0442799	501(C)(3)	13,000.				SUPPORT
(4) ALAMEDA CTY. DEPUTY SHERIFF ACT. LEAGUE 16378 E. 14TH ST. SAN LEANDRO, CA 94578	83-0410537	501(C)(3)	400,000.				SUPPORT
(5) ALASKA CONSERVATION FOUNDATION 911 W. 8TH AVE. ANCHORAGE, AK 99501-2340	92-0061466	501(C)(3)	10,000.				SUPPORT
(6) ALI FORNEY CENTER 224 W. 35TH ST. NEW YORK, NY 10001	30-0104507	501(C)(3)	25,000.				SUPPORT
(7) ALLEGHENY GENERAL HOSPITAL 320 E. N. AVE. PITTSBURGH, PA 15212	25-0969492	501(C)(3)	44,185.				SUPPORT
(8) AMAGANSETT LIFE-SAVING & CST GUARD STN. PO BOX 51 AMAGANSETT, NY 11930	45-2832134	501(C)(3)	20,000.				SUPPORT
(9) AMERICA VOTES 1155 CT AVE. NW WASHINGTON, DC 20036	26-4568349	501(C)(3)	50,000.				SUPPORT
(10) AMERICAN ACADEMY OF NURSING 1000 VT AVE. NW WASHINGTON, DC 20005	52-2213870	501(C)(3)	50,400.				SUPPORT
(11) AMERICAN BIRD CONSERVANCY 4249 LOUDOUN AVE THE PLAINS, VA 20198	52-1501259	501(C)(3)	10,000.				SUPPORT
(12) AMERICAN FRIENDS OF THE BAJIO 3510 ANDREWS LANE RALEIGH, NC 27607	61-1707614	501(C)(3)	10,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) AMERICAN FRIENDS OF VERSAILLES, INC. 1400 N.LAKE SHORE DR. CHICAGO, IL 60610	36-4237214	501(C)(3)	10,000.				SUPPORT
(2) AMERICAN INDIAN INSTITUTE 502 W. MENDENHALL ST. BOZEMAN, MT 59715	81-0339551	501(C)(3)	6,000.				SUPPORT
(3) ANIXTER CENTER 2001 N. CLYBOURN AVE CHICAGO, IL 60614	36-2244895	501(C)(3)	40,000.				SUPPORT
(4) ARCADIA PICTURES, LTD. 380 W. 12TH ST. NEW YORK, NY 10014	13-3448208	501(C)(3)	6,000.				SUPPORT
(5) ARTS FOR LA 1149 S. HILL ST. LOS ANGELES, CA 90015	20-2797313	501(C)(3)	60,000.				SUPPORT
(6) ARTWORXLA 1140 N. CITRUS AVE. LOS ANGELES, CA 90038	95-4199692	501(C)(3)	60,000.				SUPPORT
(7) ASIA SOCIETY 725 PARK AVE. NEW YORK, NY 10021	13-3234632	501(C)(3)	10,000.				SUPPORT
(8) ASIAN CULTURAL COUNCIL, INC. 6 W. 48TH ST. 12TH FL. NEW YORK, NY 10036	13-3018822	501(C)(3)	303,500.				SUPPORT
(9) ATLANTA PUBLIC SCHOOLS 130 TRINITY AVE. SW ATLANTA, GA 30303-3624	58-6000134	501(C)(3)	50,000.				SUPPORT
(10) AURORA HEALTH CARE 750 W. VIRGINIA ST. MILWAUKEE, WI 53204	39-1442285	501(C)(3)	44,185.				SUPPORT
(11) AUSTIN INDEPENDENT SCHOOL DISTRICT 1111 W. SIXTH ST. AUSTIN, TX 78703	74-6000064	501(C)(3)	10,000.				SUPPORT
(12) AVANTI FELLOWS USA 1600 EL CAMINO REAL MENLO PARK, CA 94025	27-3567586	501(C)(3)	100,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) BAYSTATE MEDICAL CENTER P.O. BOX 414168 SPRINGFIELD, MA 02241-4168	04-2888373	501(C)(3)	44,185.				SUPPORT
(2) BEND THE ARC: A JEWISH PSHIP FOR JUSTICE 330 7TH AVE, 19TH FL. NEW YORK, NY 10001	52-1332694	501(C)(3)	203,000.				SUPPORT
(3) BETH ISRAEL DEACONESS MEDICAL CENTER 330 BROOKLINE AVE. BOSTON, MA 02215	04-2103881	501(C)(3)	39,957.				SUPPORT
(4) BLACK ALLIANCE FOR EDUCATIONAL OPTIONS 1001 G STREET NW WASHINGTON, DC 20001	39-2015443	501(C)(3)	62,506.				SUPPORT
(5) BLUE ENGINE, INC. 75 BROAD ST. NEW YORK, NY 10004	27-1182991	501(C)(3)	60,000.				SUPPORT
(6) BLUE RIBBON COMM.-PREV OF YTH VIOLENCE 507 MCRAE ST. WILMINGTON, NC 28401	35-2454819	501(C)(3)	60,000.				SUPPORT
(7) BORGESS MEDICAL CENTER 1521 GULL ROAD KALAMAZOO, MI 49048	38-1360526	501(C)(3)	25,000.				SUPPORT
(8) BOSTON CHINATOWN NEIGHBORHOOD CENTER 885 WASHINGTON ST. BOSTON, MA 02111	23-7209691	501(C)(3)	450,000.				SUPPORT
(9) BOYS & GIRLS CLUB OF THE PENINSULA 401 PIERCE RD. MENLO PARK, CA 94025	94-1552134	501(C)(3)	60,000.				SUPPORT
(10) BRIDGEPORT PUBLIC SCHOOL BOE 45 LYON TERRACE BRIDGEPORT, CT 06604	06-6001865	501(C)(3)	5,200.				SUPPORT
(11) BRIGHAM AND WOMEN'S HOSPITAL, INC. 116 HUNTINGTON AVE. BOSTON, MA 02116	04-2312909	501(C)(3)	44,185.				SUPPORT
(12) BRONX MUSEUM OF THE ARTS, INC. 1040 GRAND CONCOURSE BRONX, NY 10456	13-2709368	501(C)(3)	25,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) BROOKS SCHOOL 1160 GREAT POND RD. NORTH ANDOVER, MA 01845	04-2130844	501(C)(3)	10,000.				SUPPORT
(2) CABRILLO COLLEGE FOUNDATION 6500 SOQUEL DR. APTOS, CA 95003	94-6121953	501(C)(3)	322,461.				SUPPORT
(3) CALIFORNIANS DEDICATED TO EDUCATION FDN. 425 BROADWAY REDWOOD CITY, CA 94603	45-0676449	501(C)(3)	200,000.				SUPPORT
(4) CAMFED USA FOUNDATION 465 CALIFORNIA ST. SAN FRANCISCO, CA 94104	54-2033897	501(C)(3)	750,000.				SUPPORT
(5) CAMP PHOENIX PO BOX 732 MARBLE FALLS, TX 78654	26-0310507	501(C)(3)	60,000.				SUPPORT
(6) CAMPAIGN FOR COLLEGE OPPORTUNITY 714 W. OLYMPIC BLVD. LOS ANGELES, CA 90015	20-0427622	501(C)(3)	200,000.				SUPPORT
(7) CATHOLIC CHARITIES OF SANTA CLARA COUNTY 2625 ZANKER ROAD SAN JOSE, CA 95134	94-2762269	501(C)(3)	10,000.				SUPPORT
(8) CELINE COUSTEAU FILM FELLOWSHIP 4521 MERCIER STREET KANSAS CITY, MO 64111	27-3264552	501(C)(3)	10,000.				SUPPORT
(9) CENTER FOR AMERICAN PROGRESS 1333 H ST NW 10TH FL. WASHINGTON, DC 20005	30-0126510	501(C)(3)	43,000.				SUPPORT
(10) CENTER FOR COMMUNITY BASED ENTERPRISE 4605 CASS AVE. DETROIT, MI 48201	26-1336414	501(C)(3)	300,000.				SUPPORT
(11) CENTER FOR COMMUNITY CHANGE ACTION 1536 U STREET NW WASHINGTON, DC 20009	27-0061100	501(C)(4)	25,000.				SUPPORT
(12) CENTER FOR DISASTER PHILANTHROPY 1201 CT AVE. NW WASHINGTON, DC 20036	45-5257937	501(C)(3)	12,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) CENTER FOR EFFECTIVE PHILANTHROPY 675 MA AVE. 7TH FL. CAMBRIDGE, MA 02139	04-3523528	501(C)(3)	373,200.				SUPPORT
(2) CENTER FOR REPRODUCTIVE RIGHTS 199 WATER ST. 22ND FL. NEW YORK, NY 10038	13-3669731	501(C)(3)	60,000.				SUPPORT
(3) CEN. UPPER PENINSULA PLANNING & DEV. 2950 COLLEGE AVE. ESCANABA, MI 49829	38-1882980	501(C)(3)	460,000.				SUPPORT
(4) CHEYENNE RIVER YOUTH PROJECT PO BOX 410 EAGLE BUTTE, SD 57625	46-0423106	501(C)(3)	325,000.				SUPPORT
(5) CHICAGO PUBLIC SCHOOLS 42 W. MADISON ST. CHICAGO, IL 60602	36-6005821	501(C)(3)	750,000.				SUPPORT
(6) CHILD ADVOCATES OF FORT BEND 5403 AVE N. ROSENBERG, TX 77471	76-0337426	501(C)(3)	60,000.				SUPPORT
(7) CITY OF BOSTON 1 CITY HALL SQ. BOSTON, MA 02201	04-6001380	501(C)(3)	62,725.				SUPPORT
(8) CITY OF CHICAGO 121 N. LASALLE ST. CHICAGO, IL 60602	36-6005820	501(C)(3)	320,112.				SUPPORT
(9) CITY OF DALLAS 1500 MARILLA ST. DALLAS, TX 75201	75-6000508	501(C)(3)	449,883.				SUPPORT
(10) CITY OF LOS ANGELES 200 N. SPRING ST. LOS ANGELES, CA 90012	95-6000735	501(C)(3)	269,242.				SUPPORT
(11) CITY OF NEW ORLEANS 1300 PERDIDO ST. NEW ORLEANS, LA 70112	72-6000969	501(C)(3)	125,000.				SUPPORT
(12) CITY OF OAKLAND 1 FRANK H. OGAWA PL. OAKLAND, CA 94612	94-6000384	501(C)(3)	297,041.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) CITY OF ST. LOUIS 1200 MARKET ST. ST. LOUIS, MO 63103	43-6003231	501(C)(3)	326,400.				SUPPORT
(2) CITY OF TULSA 175 E. 2ND ST. TULSA, OK 74103	73-6005470	501(C)(3)	282,092.				SUPPORT
(3) CIVIC INNOVATION WORKS 110 LIVINGSTON ST. BROOKLYN, NY 11201	46-3733471	501(C)(4)	25,000.				SUPPORT
(4) CLEVELAND CLINIC FOUNDATION 9500 EUCLID AVE. CLEVELAND, OH 44195	34-0714585	501(C)(3)	44,185.				SUPPORT
(5) CLEVELAND METROPOLITAN SCHOOL DISTRICT 1111 SUPERIOR AVE. E. CLEVELAND, OH 44114	34-6000662	501(C)(3)	10,000.				SUPPORT
(6) CLINTON COMMUNITY CHRISTIAN CORPORATION 2001 W. NORTHSIDE DR. CLINTON, MS 39056	64-0587959	501(C)(3)	500,000.				SUPPORT
(7) COLONIAL WILLIAMSBURG FOUNDATION P.O. BOX 1776 WILLIAMSBURG, VA 23187-1776	54-0505888	501(C)(3)	14,000.				SUPPORT
(8) COLORADO NONPROFIT DEVELOPMENT CENTER 789 SHERMAN ST. DENVER, CO 80203	84-1493585	501(C)(3)	55,000.				SUPPORT
(9) COLORADO STATE UNIVERSITY FOUNDATION 601 S. HOWES ST. FORT COLLINS, CO 80521	23-7098397	501(C)(3)	45,000.				SUPPORT
(10) COLUMBIA UNIVERSITY MEDICAL CENTER 161 FORT WA AVE.6TH FL. NEW YORK, NY 10032	13-3957095	501(C)(3)	44,185.				SUPPORT
(11) COMMUNITY FDN. OF THE VIRGIN ISLAND PO BOX 11790 ST. THOMAS, VI 00801	66-0470703	501(C)(3)	456,000.				SUPPORT
(12) CONCRETE SAFARIS 1775 THIRD AVE. NEW YORK, NY 10029	20-4976317	501(C)(3)	25,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) COOKE CENTER 475 RIVERSIDE DR. NEW YORK, NY 10115	13-3396691	501(C)(3)	50,000.				SUPPORT
(2) CORNERSTONE CAMPAIGN 660 PA AVE. WASHINGTON, DC 20003	05-0528669	501(C)(3)	500,000.				SUPPORT
(3) CREATIVE LINK FOR THE ARTS 408 E. 79TH ST. NEW YORK, NY 10075	13-3376289	501(C)(3)	135,736.				SUPPORT
(4) CREATIVE TIME, INC. 59 E. 4TH ST. 6TH FL. NEW YORK, NY 10003	13-2835847	501(C)(3)	30,000.				SUPPORT
(5) CURATORS OF THE UNIVERSITY OF MISSOURI SINCLAIR SCH. OF NURSING COLUMBIA, MO 65211	43-6003859	501(C)(3)	7,000.				SUPPORT
(6) D.C. PREPARATORY ACADEMY 707 EDGEWOOD ST. NE WASHINGTON, DC 20017	02-0550253	501(C)(3)	8,000.				SUPPORT
(7) DATA QUALITY CAMPAIGN 1341 G ST. NW WASHINGTON, DC 20005	27-4566795	501(C)(3)	50,000.				SUPPORT
(8) DEERFIELD ACADEMY 7 BOYDEN LANE DEERFIELD, MA 01342	04-2103563	501(C)(3)	150,700.				SUPPORT
(9) DEMOCRACY COLLABORATIVE 1422 EUCLID AVE. CLEVELAND, OH 44115	20-0387511	501(C)(3)	7,500.				SUPPORT
(10) DESERT BOTANICAL GARDEN 1201 NORTH GALVIN PKY. PHOENIX, AZ 85008	86-0136925	501(C)(3)	496,500.				SUPPORT
(11) DOANE UNIVERSITY 1014 BOSWELL AVE. CRETE, NE 68333	47-0377991	501(C)(3)	15,000.				SUPPORT
(12) DOCTORS WITHOUT BORDERS 333 7TH AVE. 2ND FL. NEW YORK, NY 10001	13-3433452	501(C)(3)	12,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) DRAYTON HALL PRESERVATION TRUST 3380 ASHLEY RIVER RD. CHARLESTON, SC 29414	45-4938941	501(C)(3)	6,000.				SUPPORT
(2) DUKE UNIVERSITY 710 MAIN ST. STE. 200 DURHAM, NC 27701	56-0532129	501(C)(3)	44,185.				SUPPORT
(3) DUPAGE REGIONAL OFFICE OF EDUCATION 421 N. CTY. FARM RD. WHEATON, IL 60187	36-3419358	501(C)(3)	50,000.				SUPPORT
(4) EAST END NEIGHBORHOOD HOUSE ASSOC. 2749 WOODHILL RD. CLEVELAND, OH 44104	34-0714656	501(C)(3)	60,000.				SUPPORT
(5) EDUCATIONAL POLICY IMPROVEMENT CENTER 1700 MILLRACE DR. EUGENE, OR 97403	82-0569407	501(C)(3)	200,000.				SUPPORT
(6) EDUCATORS FOR EXCELLENCE 80 PINE ST. 28TH FL. NEW YORK, NY 10005	27-3382030	501(C)(3)	200,000.				SUPPORT
(7) EL BUEN SAMARITANO EPISCOPAL MISSION 700 WOODHUE DR. AUSTIN, TX 78745	74-2488682	501(C)(3)	60,000.				SUPPORT
(8) EL CENTRO HISPANO 346 S LEXINGTON AVE. WHITE PLAINS, NY 10606	13-4149424	501(C)(3)	17,500.				SUPPORT
(9) EMORY UNIVERSITY 1599 CLIFTON RD. NE. ATLANTA, GA 30322	58-0566256	501(C)(3)	44,185.				SUPPORT
(10) ENVIRONMENTAL DEFENSE FUND, INC. 257 PARK AVE. S. NEW YORK, NY 10010	11-6107128	501(C)(3)	144,736.				SUPPORT
(11) EPIPHANY COMM. HEALTH OUTREACH SVCS 9600 S. GESSNER HOUSTON, TX 77071	76-0645238	501(C)(3)	60,000.				SUPPORT
(12) EXPONENT PHILANTHROPY 1720 N. ST. NW WASHINGTON, DC 20036	65-0617866	501(C)(3)	122,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) FAIRFIELD UNIVERSITY 1073 N. BENSON RD. FAIRFIELD, CT 06824-5171	06-0646623	501(C)(3)	20,000.				SUPPORT
(2) FAMILY SERVICE CTR. OF GALVESTON CTY. 2200 MARKET ST. GALVESTON, TX 77550	74-1157849	501(C)(3)	60,000.				SUPPORT
(3) FARMWORKER ASSOC. OF FLORIDA 450 DAVIS PKY. FLORIDA CITY, FL 33034	59-2683978	501(C)(3)	400,000.				SUPPORT
(4) FIDELITY CHARITABLE GIFT FUND PO BOX 770001 CINCINNATI, OH 45277-0053	11-0303001	501(C)(3)	12,109.				SUPPORT
(5) FIRST NATIONS DEVELOPMENT INSTITUTE 2432 MAIN ST. 2ND FL. LONGMONT, CO 80501	54-1254491	501(C)(3)	400,000.				SUPPORT
(6) FOOD BANK FOR WESTCHESTER INC. 200 CLEARBROOK RD. ELMSFORD, NY 10523	13-3507988	501(C)(3)	5,500.				SUPPORT
(7) FORUM OF REG. ASSOC. OF GRANTMAKERS 1020 19TH ST. NW WASHINGTON, DC 20036	52-2122029	501(C)(3)	300,000.				SUPPORT
(8) FOUNDATION CENTER 79 FIFTH AVE. NEW YORK, NY 10003	13-1837418	501(C)(3)	486,706.				SUPPORT
(9) FDN. FOR CALIFORNIA COMM. COLLEGES 1102 Q ST. STE. 4800 SACRAMENTO, CA 95811	68-0412350	501(C)(3)	200,000.				SUPPORT
(10) FOUNDATION FOR LOUISIANA 4354 S SHERWD. BLVD BATON ROUGE, LA 70816	20-3399944	501(C)(3)	30,000.				SUPPORT
(11) FRIENDS OF GRACE CHURCH SCHOOL, INC. 86 4TH AVE. NEW YORK, NY 10003	13-6226003	501(C)(3)	10,000.				SUPPORT
(12) FRIENDS OF SWAN'S ISLAND LIGHTHOUSE 1300 SW 21ST LANE BOCA RATON, FL 33486-6651	26-4546654	501(C)(3)	7,500.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) FRIENDS OF ROCKEFELLER ST. PARK PRESERVE P.O. BOX 8444 SLEEPY HOLLOW, NY 10591	58-2295320	501(C)(3)	11,500.				SUPPORT
(2) FSG, INC 123 MISSION ST. SAN FRANCISCO, CA 94117	20-2776974	501(C)(3)	271,000.				SUPPORT
(3) FUTURE WEST 321 E. MAIN ST. BOZEMAN, MT 59715	27-1277635	501(C)(3)	350,000.				SUPPORT
(4) GENESYS WORKS - BAY AREA 101 2ND ST. SAN FRANCISCO, CA 94105	46-1568087	501(C)(3)	40,000.				SUPPORT
(5) GEORGETOWN UNIVERSITY 3300 WHITEHAVEN ST. WASHINGTON, DC 20007	53-0196603	501(C)(3)	28,000.				SUPPORT
(6) GLEANERS COMMUNITY FOOD BANK 2131 BEAUFIT ST. DETROIT, MI 48207	38-2156255	501(C)(3)	60,000.				SUPPORT
(7) GLOBAL CORAL REEF ALLIANCE 37 PLEASANT ST. CAMBRIDGE, MA 02139	13-3668961	501(C)(3)	7,500.				SUPPORT
(8) GLOBAL FUND FOR CHILDREN 1101 14TH ST. NW WASHINGTON, DC 20005	56-1834887	501(C)(3)	500,000.				SUPPORT
(9) GLOBAL IMPACT INVESTING NETWORK 8 WALNUT AVE. NEW YORK, PA 19312	27-3166994	501(C)(3)	6,207,561.				SUPPORT
(10) GOOD WORLD SOLUTIONS 1500 BROADWAY OAKLAND, CA 94612	56-2435785	501(C)(3)	40,000.				SUPPORT
(11) GRACE IN ACTION 1725 LAWDALE DETROIT, MI 48209	45-2882309	501(C)(3)	350,000.				SUPPORT
(12) GRANTMAKERS FOR EFFECTIVE ORGANIZATIONS 1725 DESALES ST. NW WASHINGTON, DC 20036	01-0669150	501(C)(3)	165,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) GRANTMAKERS IN THE ARTS 4055 21ST AVE. W. SEATTLE, WA 98199-1247	36-3670955	501(C)(3)	60,000.				SUPPORT
(2) GREATER BOSTON LEGAL SERVICES, INC. 197 FRIEND ST. BOSTON, MA 02114	04-2103907	501(C)(3)	60,000.				SUPPORT
(3) GREATER YELLOWSTONE COALITION, INC. 215 S. WALLACE AVE. BOZEMAN, MT 59715	81-0414042	501(C)(3)	17,500.				SUPPORT
(4) GREENWICH ACADEMY 200 N. MAPLE AVE. GREENWICH, CT 06830	06-0653118	501(C)(3)	8,500.				SUPPORT
(5) GUIDESTAR 4801 COURTHOUSE ST. WILLIAMSBURG, VA 23188	54-1774039	501(C)(3)	90,000.				SUPPORT
(6) HABITAT FOR HUMANITY GREATER SAN FRAN. 500 WA ST. #250 SAN FRANCISCO, CA 94111	94-3088881	501(C)(3)	60,000.				SUPPORT
(7) HARVARD COLLEGE FUND 124 MNT. AUBURN ST. CAMBRIDGE, MA 02138	04-2103580	501(C)(3)	10,000.				SUPPORT
(8) HISTORIC HUDSON VALLEY 639 BEDFORD RD. POCANTICO HILLS, NY 10591	13-1692606	501(C)(3)	36,500.				SUPPORT
(9) HOMEPORT 3443 AGLER RD. COLUMBUS, OH 43219	31-1208260	501(C)(3)	60,000.				SUPPORT
(10) HUMANE SOCIETY OF THE UNITED STATES 700 PROFESSIONAL DR. GAITHERSBURG, MD 20879	53-0225390	501(C)(3)	7,500.				SUPPORT
(11) ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI 1 GUSTAVE L LEVY PL. NEW YORK, NY 10029	13-1624096	501(C)(3)	88,370.				SUPPORT
(12) ILEX FOUNDATION 84 REVERE ST. BOSTON, MA 02114	34-7089903	501(C)(3)	242,500.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) IN OUR BACKYARDS 540 PRES. ST. 3RD FL. BROOKLYN, NY 11215	26-3283639	501(C)(3)	60,000.				SUPPORT
(2) INDIANA UNIVERSITY FOUNDATION 1801 N. SENATE BLVD. INDIANAPOLIS, IN 46202	35-6018940	501(C)(3)	44,185.				SUPPORT
(3) INNOVATIONS FOR POVERTY ACTION 101 WHITNEY AVE. NEW HAVEN, CT 06510	06-1660068	501(C)(3)	468,450.				SUPPORT
(4) INSTITUTE ON SCIENCE FOR GLOBAL POLICY 13630 E. SUNSET RD. TUCSON, AZ 85749	45-3998749	501(C)(3)	10,000.				SUPPORT
(5) INT. CENTER FOR SUSTAINABLE TECH. 777S. WADSWORTH BLVD. LAKEWOOD, CO 80226	41-2139623	501(C)(3)	60,000.				SUPPORT
(6) INT. INSTITUTE OF RURAL RECONSTRUCTION 601 W. 26TH ST. NEW YORK, NY 10001	13-6175722	501(C)(3)	13,500.				SUPPORT
(7) INTERNATIONAL RESCUE COMMITTEE, INC. 122 E. 42ND ST. NEW YORK, NY 10168	13-5660870	501(C)(3)	25,000.				SUPPORT
(8) ISLAND INSTITUTE 386 MAIN ST. ROCKLAND, ME 04841	22-2786731	501(C)(3)	5,500.				SUPPORT
(9) ISSUE ONE 1401 K ST. NW WASHINGTON, DC 20005	32-0384285	501(C)(3)	10,000.				SUPPORT
(10) JEWISH COMMUNAL FUND 575 MADISON AVE. NEW YORK, NY 10022	23-7174183	501(C)(3)	1,000,000.				SUPPORT
(11) JOHNS HOPKINS UNIVERSITY 2701 N. CHARLES ST. BALTIMORE, MD 21218	52-0595110	501(C)(3)	60,000.				SUPPORT
(12) JUVENILE LAW CENTER 1315 WALNUT ST. PHILADELPHIA, PA 19107	23-1976386	501(C)(3)	30,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) KING PARK DEVELOPMENT CORP. 1704 BELLEFONTAINE INDIANAPOLIS, IN 46202	35-1704590	501(C)(3)	200,000.				SUPPORT
(2) LATINO POLICY FORUM 180 N. MI AVE. CHICAGO, IL 60601	36-3676873	501(C)(3)	121,652.				SUPPORT
(3) LEARNING POLICY INSTITUTE 1530 PAGE MILL RD. PALO ALTO, CA 94304	47-2772048	501(C)(3)	100,000.				SUPPORT
(4) LEGAL AID SOCIETY 199 WATER ST. NEW YORK, NY 10038	13-5562265	501(C)(3)	20,000.				SUPPORT
(5) LEGASEAS 1388 PARKERS TRACE NEW RICHMOND, OH 45157	36-4516825	501(C)(3)	15,000.				SUPPORT
(6) LEHIGH UNIVERSITY 125 GOODMAN DRIVE BETHLEHEM, PA 18015	24-0795445	501(C)(3)	10,000.				SUPPORT
(7) LIFT, INC. 1620 I ST. NW WASHINGTON, DC 20006	52-2168409	501(C)(3)	350,000.				SUPPORT
(8) LONE STAR CIRCLE OF CARE 2015 E UNI. AVE. GEORGETOWN, TX 78626	74-3001674	501(C)(3)	60,000.				SUPPORT
(9) L.A. AREA CHAMBER OF COMMERCE FDN. 350 S BIXEL ST. LOS ANGELES, CA 90017	95-2597392	501(C)(3)	200,000.				SUPPORT
(10) LOYOLA UNIVERSITY MEDICAL CENTER 2160 S. 1ST AVE. MAYWOOD, IL 60153	36-4015560	501(C)(3)	44,185.				SUPPORT
(11) LULAC INSTITUTE, INC. 1133 19TH ST. WASHINGTON, DC 20036	52-2072106	501(C)(3)	50,000.				SUPPORT
(12) MAKE THE ROAD NEW YORK 301 GROVE ST. BROOKLYN, NY 11237	11-3344389	501(C)(3)	35,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) MALAMA PONO HEALTH SERVICES 4366 KUKUI GR. ST. LIHUE, HI 96766	99-0260914	501(C)(3)	60,000.				SUPPORT
(2) MARINE CONSERVATION INSTITUTE 4010 STONE WAY N. SEATTLE, WA 98103	91-1725640	501(C)(3)	20,000.				SUPPORT
(3) MASSACHUSETTS GENERAL HOSPITAL 55 FRUIT ST. BOSTON, MA 02114-2621	04-1564655	501(C)(3)	44,185.				SUPPORT
(4) MA INSTITUTE OF TECHNOLOGY 245 FIRST ST. CAMBRIDGE, MA 02139	04-2103594	501(C)(3)	10,000.				SUPPORT
(5) MAYO CLINIC 200 FIRST ST. SW ROCHESTER, MN 55905	41-6011702	501(C)(3)	44,185.				SUPPORT
(6) MCCOLL CENTER FOR ART + INNOVATION 721 N. TRYON ST. CHARLOTTE, NC 28202	51-0195015	501(C)(3)	350,000.				SUPPORT
(7) MEMORIAL ASSISTANCE MINISTRIES 1625 BLALOCK RD. HOUSTON, TX 77080	76-0044172	501(C)(3)	60,000.				SUPPORT
(8) MEN ELEVATE NOW, INC. 811A LONGFELLOW AVE. BRONX, NY 10474	47-5035420	501(C)(3)	10,000.				SUPPORT
(9) MERCY CORPS INTERNATIONAL P.O. BOX 2669 PORTLAND, OR 97208-2669	91-1148123	501(C)(3)	10,000.				SUPPORT
(10) MERIDIAN INSTITUTE 1800 M ST. NW WASHINGTON, DC 20036	84-1435420	501(C)(3)	176,000.				SUPPORT
(11) METRO NASHVILLE PUBLIC SCHOOLS 2601 BRANSFORD AVE. NASHVILLE, TN 37204	62-0717138	501(C)(3)	10,000.				SUPPORT
(12) METROPOLITAN MUSEUM OF ART 1000 FIFTH AVE. NEW YORK, NY 10028-0128	13-1624086	501(C)(3)	36,498.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) MIAMI FOUNDATION 40 NW 3RD ST. MIAMI, FL 33128	65-0350357	501(C)(3)	425,000.				SUPPORT
(2) MINNEAPOLIS FOUNDATION 80 SOUTH EIGHTH ST. MINNEAPOLIS, MN 55402	41-6029402	501(C)(3)	30,000.				SUPPORT
(3) MIRALGO FOUNDATION P.O. BOX 1270 LA JOLLA, CA 92038	45-2499438	501(C)(3)	10,000.				SUPPORT
(4) MIXED BLOOD THEATRE 1501 S. 4TH ST. MINNEAPOLIS, MN 55454	41-1377499	501(C)(3)	350,000.				SUPPORT
(5) MOM-MENTUM 4940 MERRICK RD. MASSAPEQUA PARK, NY 11762	11-3242529	501(C)(3)	175,000.				SUPPORT
(6) MS. FOUNDATION FOR WOMEN, INC. 12 METROTECH CTR. BROOKLYN, NY 11201	23-7252609	501(C)(3)	120,000.				SUPPORT
(7) MULTIDISCIPLINARY ASSOC. PSYCH. STUDIES 1215 MISSION ST. SANTA CRUZ, CA 95060	59-2751953	501(C)(3)	200,000.				SUPPORT
(8) MUNICIPALITY OF SAN JUAN PO BOX 70179 SAN JUAN, PR 00936-8179	66-0427034	501(C)(3)	241,487.				SUPPORT
(9) MY BROTHER'S KEEPER ALLIANCE 1101 30TH ST. NW WASHINGTON, DC 20007	47-4044251	501(C)(3)	2,556,781.				SUPPORT
(10) NATIONAL CATHEDRAL SCHOOL 3612 WOODLEY RD. NW WASHINGTON, DC 20016	53-0196604	501(C)(3)	5,500.				SUPPORT
(11) NATIONAL CENTER FOR CIVIC INNOVATION 121 AVE. OF AMERICAS NEW YORK, NY 10013	02-0590588	501(C)(3)	78,500.				SUPPORT
(12) NATIONAL CENTER FOR FAMILY PHILANTHROPY 1101 CT AVE. NW WASHINGTON, DC 20036	52-2055016	501(C)(3)	304,980.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) NATIONAL CENTER FOR LEARNING DISABILITIES 1220 L STREET NW WASHINGTON, DC 20005	13-2899381	501(C)(3)	15,000.				SUPPORT
(2) NAT. CONGRESS OF PARENTS & TEACHERS 1250 NORTH PITT ST. ALEXANDRIA, VA 22314	36-2169155	501(C)(3)	49,997.				SUPPORT
(3) NATIONAL COUNCIL OF LA RAZA 1126 16TH ST. NW WASHINGTON, DC 20036	86-0212873	501(C)(3)	50,000.				SUPPORT
(4) NATIONAL FISH AND WILDLIFE FOUNDATION 1133 FIFTEENTH ST. WASHINGTON, DC 20005	52-1384139	501(C)(3)	25,000.				SUPPORT
(5) NATIONAL LEAGUE FOR NURSING 2600 VA AVE. NW WASHINGTON, DC 20037	13-1896510	501(C)(3)	121,000.				SUPPORT
(6) NATIONAL PARK FOUNDATION 1201 I ST. NW STE 550B WASHINGTON, DC 20005	52-1086761	501(C)(3)	25,000.				SUPPORT
(7) NATIONAL POETRY SERIES 57 MOUNTAIN AVE PRINCETON, NJ 08540	13-2964957	501(C)(3)	10,000.				SUPPORT
(8) NATIVE AMERICAN RIGHTS FUND 1506 BROADWAY BOULDER, CO 80302-6296	84-0611876	501(C)(3)	123,000.				SUPPORT
(9) NATURAL RESOURCES DEFENSE COUNCIL 40 W. 20TH ST. 11TH FL. NEW YORK, NY 10011	13-2654926	501(C)(3)	306,500.				SUPPORT
(10) NATURE CONSERVANCY 4245 N FAIRAX DR. ARLINGTON, VA 22203	53-0242652	501(C)(3)	69,500.				SUPPORT
(11) NEIGHBORHOOD HOUSING SVCS OF CHICAGO 1279 N. MILWAUKEE AVE. CHICAGO, IL 60622	23-7443009	501(C)(3)	60,000.				SUPPORT
(12) NEIGHBORHOOD TRUST FINANCIAL PARTNERS 530 W.166TH ST. 4TH FL. NEW YORK, NY 10032	13-3849263	501(C)(3)	15,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) NEO PHILANTHROPY 45 W. 36TH ST. 6TH FL. NEW YORK, NY 10018	13-3191113	501(C)(3)	10,000.				SUPPORT
(2) NEW DOOR VENTURES 3221 20TH ST. SAN FRANCISCO, CA 94110	94-2780274	501(C)(3)	60,000.				SUPPORT
(3) NEW MUSEUM OF CONTEMPORARY ART 235 BOWERY NEW YORK, NY 10002	13-2986881	501(C)(3)	7,500.				SUPPORT
(4) NEW VENTURE FUND 734 15TH ST. NW WASHINGTON, DC 20036	20-5806345	501(C)(3)	287,200.				SUPPORT
(5) NEW YORK CIVIL LIBERTIES UNION FDN. 125 BROAD ST. 17TH FL. NEW YORK, NY 10004	90-0808294	501(C)(3)	15,000.				SUPPORT
(6) NEW YORK-PRESBYTERIAN FUND, INC. 525 E. 68TH ST. NEW YORK, NY 10065	13-3160356	501(C)(3)	15,000.				SUPPORT
(7) NEW YORK PUBLIC LIBRARY 476 FIFTH AVE. NEW YORK, NY 10018	13-1887440	501(C)(3)	6,000.				SUPPORT
(8) NEW YORK ROAD RUNNERS 9 E. 89TH ST. NEW YORK, NY 10128	13-2949483	501(C)(3)	6,000.				SUPPORT
(9) NEW YORK URBAN LEAGUE INC 204 W. 136TH ST. NEW YORK, NY 10030	13-1671035	501(C)(3)	90,000.				SUPPORT
(10) NISKANEN CENTER 820 FIRST ST. NE WASHINGTON, DC 20002	45-5308952	501(C)(3)	50,000.				SUPPORT
(11) N. CAROLINA BUSINESS LEADERS FOR EDU. 2826 LAZY LANE WINSTON-SALEM, NC 27106	46-2988453	501(C)(3)	72,420.				SUPPORT
(12) NORTH COUNTRY SCHOOL, INC. 4382 CASCADE ROAD LAKE PLACID, NY 12946	14-1430542	501(C)(3)	50,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) NORTH STAR FUND, INC. 520 EIGHT AVE. NEW YORK, NY 10018	13-2950801	501(C)(3)	15,000.				SUPPORT
(2) NORTHEND CHRISTIAN COMM. DEV. CORP. 9227 GOODWIN STREET DETROIT, MI 48211	38-3435371	501(C)(3)	500,000.				SUPPORT
(3) NORTHERN PLAINS RESOURCE COUNCIL 220 S 27TH ST. BILLINGS, MT 59101	81-0367205	501(C)(3)	10,000.				SUPPORT
(4) NORTHWESTERN UNIVERSITY 633 CLARK STREET EVANSTON, IL 60208	36-2167817	501(C)(3)	90,370.				SUPPORT
(5) NUCLEAR INFO. & RESOURCE SERVICE 6930 CARROLL AVE. TAKOMA PARK, MD 20912	52-1119677	501(C)(3)	50,000.				SUPPORT
(6) NURSE FAMILY PARTNERSHIP 1900 GRANT ST. DENVER, CO 80203	20-0234163	501(C)(3)	60,000.				SUPPORT
(7) NYU SCHOOL OF MEDICINE 550 FIRST AVE. NEW YORK, NY 10016	13-5562308	501(C)(3)	20,000.				SUPPORT
(8) OAKLAND UNIFIED SCHOOL DISTRICT 1000 BROADWAY OAKLAND, CA 94607	94-6000385	501(C)(3)	760,000.				SUPPORT
(9) OCEAN FOUNDATION 1320 19TH ST. NW WASHINGTON, DC 20036	71-0863908	501(C)(3)	702,344.				SUPPORT
(10) OCEANA 1350 CT AVE. NW WASHINGTON, DC 20036	51-0401308	501(C)(3)	375,000.				SUPPORT
(11) OCEANIC PRESERVATION SOCIETY 3063 STERLING CIRCLE NICASIO, CO 80301	38-3891081	501(C)(3)	29,500.				SUPPORT
(12) ON THE MOVE 780 LINCOLN AVENUE NAPA, CA 94558	75-3149095	501(C)(3)	60,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) OPA-LOCKA COMM. DEVELOP. CORP. 490 OPA-LOCKA BLVD. OPA-LOCKA, FL 33054	59-2106635	501(C)(3)	450,000.				SUPPORT
(2) OPHIDIAN RESEARCH INSTITUTE 1530 LOCUST ST. PHILADELPHIA, PA 19102-4415	26-0033065	501(C)(3)	40,000.				SUPPORT
(3) OR MUSEUM OF SCIENCE & INDUSTRY 1945 SE WATER AVE. PORTLAND, OR 97214	93-0402877	501(C)(3)	60,000.				SUPPORT
(4) OUR FUND, INC. 1600 NE 26TH ST. WILTON MANORS, FL 33305	27-4734125	501(C)(3)	40,000.				SUPPORT
(5) OUR HOUSE 302 E.ROOSEVELT RD. LITTLE ROCK, AR 72206	71-0653846	501(C)(3)	60,000.				SUPPORT
(6) OXFAM AMERICA, INC. 226 CAUSEWAY ST. BOSTON, MA 02114	23-7069110	501(C)(3)	40,000.				SUPPORT
(7) PACE CENTER FOR GIRLS ONE WEST ADAMS ST. JACKSONVILLE, FL 32202	59-2414492	501(C)(3)	60,000.				SUPPORT
(8) PARAGON MEDIA 2600 10TH ST. STE. 438 BERKELEY, CA 94710	20-1820092	501(C)(3)	41,860.				SUPPORT
(9) PARCC, INC. 1747 PA AVE. 6TH FL. WASHINGTON, DC 20006	46-2081206	501(C)(3)	50,000.				SUPPORT
(10) PARTNERSHIP FOR PALLIATIVE CARE 6 W. 48TH ST. 12TH FL. NEW YORK, NY 10036	26-1184336	501(C)(3)	10,000.				SUPPORT
(11) PAWS CROSSED ANIMAL RESCUE 100 S. WAREHOUSE LN. ELMSFORD, NY 10523	47-4712475	501(C)(3)	10,000.				SUPPORT
(12) PAYGO ENERGY 3044 NW 57TH ST. SEATTLE, WA 98107	81-1498180	501(C)(3)	100,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) PEACE DEVELOPMENT FUND, INC. PO BOX 40250 SAN FRANCISCO, CA 94140	04-2738794	501(C)(3)	267,000.				SUPPORT
(2) PENCILS OF PROMISE 37 W. 28TH ST. NEW YORK, NY 10001	26-3618722	501(C)(3)	12,500.				SUPPORT
(3) PEOPLE'S EMERG. CTR. COMM. DEV. CORP. 325 N. 39TH STREET PHILADELPHIA, PA 19104	23-2687223	501(C)(3)	100,000.				SUPPORT
(4) PETEY GREENE PRISONER ASST. 57 N. TULANE STREET PRINCETON, NJ 08542	30-0499760	501(C)(3)	300,000.				SUPPORT
(5) PHILADELPHIA ORCHESTRA ASSOC. 1 S. BROAD ST. PHILADELPHIA, PA 19107	23-1352289	501(C)(3)	10,000.				SUPPORT
(6) PLANNED PARENTHOOD ACTION FUND 123 WILLIAM ST. NEW YORK, NY 10038	13-3539048	501(C)(4)	22,000.				SUPPORT
(7) PLANNED PARENTHOOD OF S. NE 345 WHITNEY AVENUE NEW HAVEN, CT 06510	06-0263565	501(C)(3)	135,736.				SUPPORT
(8) POGO ACTION, INC. 1100 G ST. WASHINGTON, DC 20005	81-1092790	501(C)(4)	15,000.				SUPPORT
(9) PRATHAM USA 9703 RICHMOND AVE. HOUSTON, TX 77042	76-0620808	501(C)(3)	600,000.				SUPPORT
(10) PRINCETON UNIVERSITY P.O. BOX 5357 PRINCETON, NJ 08543-0046	21-0634501	501(C)(3)	226,310.				SUPPORT
(11) PROJECT& 220 N. GREEN ST. CHICAGO, IL 60607	46-4912831	501(C)(3)	360,397.				SUPPORT
(12) PROUNITAS, INC. 4802 LOCKWOOD DR. HOUSTON, TX 77026	47-1573396	501(C)(3)	60,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) PUBLIC CONVERSATIONS PROJECT, INC. 46 KONDAZIAN ST. CAMBRIDGE, MA 02472-2832	22-3432160	501(C)(3)	42,558.				SUPPORT
(2) PUBLIC LAB. FOR OPEN TECH. & SCI. P.O. BOX 426113 CAMBRIDGE, MA 02142	45-2846555	501(C)(3)	60,000.				SUPPORT
(3) QUEER WOMEN OF COLOR MEDIA ARTS PROJ. 59 COOK ST. SAN FRANCISCO, CA 94118	80-0094746	501(C)(3)	60,000.				SUPPORT
(4) REDF 2 EMBARCADERO CTR. SAN FRANCISCO, CA 94111	54-2132153	501(C)(3)	500,000.				SUPPORT
(5) THE REGENTS OF THE UNIVERSITY OF CA UC SAN DIEGO 9500 LA JOLLA, CA 92093	94-6036494	501(C)(3)	538,370.				SUPPORT
(6) REGION FIVE DEVELOPMENT COMMISSION 200 1ST ST. NE STAPLES, MN 56479	41-1238886	501(C)(3)	440,000.				SUPPORT
(7) REGIONAL FOOD BANK OF OKLAHOMA 3355 S. PURDUE AVE. OKLAHOMA CITY, OK 73179	73-1100380	501(C)(3)	60,000.				SUPPORT
(8) REMERGE OF OKLAHOMA CITY PO BOX 837 OKLAHOMA CITY, OK 73101	46-4504748	501(C)(3)	60,000.				SUPPORT
(9) RENSSELAER POLYTECHNIC INSTITUTE GIFTS PROCESSING CTR. BOSTON, MA 02241-3164	14-1340095	501(C)(3)	15,000.				SUPPORT
(10) RESTAURANT OPPT. CENTERS UNITED 275 7TH AVE. NEW YORK, NY 10001	01-0939141	501(C)(3)	35,000.				SUPPORT
(11) RHODE ISLAND PUBLIC RADIO ONE UNION STATION PROVIDENCE, RI 02903	05-0498502	501(C)(3)	5,629.				SUPPORT
(12) ROCKEFELLER BROTHERS FUND, INC. 475 RIVERSIDE DR. NEW YORK, NY 10115	13-1760106	501(C)(3)	20,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) ROCKEFELLER FAMILY FUND, INC. 475 RIVERSIDE DR. NEW YORK, NY 10115	13-6257658	501(C)(3)	21,500.				SUPPORT
(2) ROOM TO READ 465 CA ST. STE.1000 SAN FRANCISCO, CA 94104	91-2003533	501(C)(3)	1,600,000.				SUPPORT
(3) RUSH UNIVERSITY MEDICAL CENTER 1653 W CONGRESS PKY. CHICAGO, IL 60612	36-2174823	501(C)(3)	44,185.				SUPPORT
(4) SACRAMENTO CITY UNIFIED SCH. DIST. 5735 47TH AVENUE SACRAMENTO, CA 95824	94-6002491	501(C)(3)	15,000.				SUPPORT
(5) SAFEART PO BOX 251 CHELSEA, VT 05038	75-3210110	501(C)(3)	10,000.				SUPPORT
(6) SAILORS FOR THE SEA 449 THAMES ST. 300D NEWPORT, RI 02840	51-0506943	501(C)(3)	44,800.				SUPPORT
(7) SAINT LOUIS UNIVERSITY 3635 VISTA AVE. ST. LOUIS, MO 63110	43-0654872	501(C)(3)	44,185.				SUPPORT
(8) SAINT SAVIOUR'S CHURCH 350 SOUND BEACH OLD GREENWICH, CT 06870	06-6012702	501(C)(3)	8,000.				SUPPORT
(9) SAMARITAN CTR. FOR CNSLNG.&PASTORAL CARE 8956 RESEARCH BLVD. AUSTIN, TX 78758	74-1832864	501(C)(3)	60,000.				SUPPORT
(10) SAMARITAN COUNSELING CTR. 1803 OREGON PIKE LANCASTER, PA 17601	23-2467315	501(C)(3)	150,000.				SUPPORT
(11) SANCTUARY FOR FAMILIES PO BOX 1406 NEW YORK, NY 10268-1406	13-3193119	501(C)(3)	25,000.				SUPPORT
(12) SARGASSO SEA PROJECT, INC. 1630 CT AVE. WASHINGTON, DC 20009	27-3229137	501(C)(3)	100,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) SASHA BRUCE YOUTHWORX, INC. 745 8TH ST. SE WASHINGTON, DC 20003	52-1006486	501(C)(3)	15,000.				SUPPORT
(2) SCENIC HUDSON, INC. ONE CIVIC CTR. PL. POUGHKEEPSIE, NY 12601	13-2898799	501(C)(3)	11,000.				SUPPORT
(3) SCRIPPS HEALTH 10666 N.TORREY PN. RD. LA JOLLA, CA 92037	95-1684089	501(C)(3)	44,185.				SUPPORT
(4) SECOND HARVEST FOOD BANK SANTA CLARA 4001 N 1ST STREET SAN JOSE, CA 95134	94-2614101	501(C)(3)	62,000.				SUPPORT
(5) SEED FOR CHANGE 2710 DANIEL ROAD CHEVY CHASE, MD 20815	47-4545687	501(C)(3)	15,000.				SUPPORT
(6) SENSIBILITIES PREVENTION SERVICES 4405 GARFIELD AVE. S. MINNEAPOLIS, MN 55419	41-1747002	501(C)(3)	75,000.				SUPPORT
(7) SHARED INTEREST, INC. 121 W. 27TH ST. NEW YORK, NY 10001	13-3836581	501(C)(3)	10,000.				SUPPORT
(8) SHELBY RESIDENTIAL & VOCATIONAL SVCS 3971 KNIGHT ARNOLD RD. MEMPHIS, TN 38118	62-0854890	501(C)(3)	60,000.				SUPPORT
(9) SILICON VALLEY COMMUNITY FOUNDATION 2440 W. EL CAMINO MOUNTAIN VIEW, CA 94040	20-5205488	501(C)(3)	75,000.				SUPPORT
(10) SKOWHEGAN SCH. OF PAINTING & SCULPTURE 136 W. 22ND ST. NEW YORK, NY 10011	01-0263908	501(C)(3)	6,000.				SUPPORT
(11) SMITHSONIAN INSTITUTION 1500 REMOUNT RD. FRONT ROYAL, VA 22630	53-0206027	501(C)(3)	12,500.				SUPPORT
(12) SMITHSONIAN INSTITUTION 24411 NETWORK PL. NEW YORK, IL 60673	53-0206027	501(C)(3)	1,939,840.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) SOLAR CITES 973 FRONT ROAD GLENMOORE, PA 19343	47-4365279	501(C)(3)	10,000.				SUPPORT
(2) SOUTH BOSTON NEIGHBORHOOD HOUSE 136 H STREET SOUTH BOSTON, MA 02127	04-2104807	501(C)(3)	50,000.				SUPPORT
(3) S.CAROLINA COASTAL CONSERVATION LEAGUE P.O. BOX 1765 CHARLESTON, SC 29402-9940	57-0887278	501(C)(3)	12,500.				SUPPORT
(4) SPELMAN COLLEGE 350 SPELMAN LN. SW ATLANTA, GA 30314	58-0566243	501(C)(3)	10,500.				SUPPORT
(5) SPRINGBOARD TO OPPORTUNITY 3000 OLD CANTON RD. JACKSON, MS 39216	46-1917760	501(C)(3)	60,000.				SUPPORT
(6) ST. VINCENT HOSPITAL FOUNDATION 8333 NAAB RD. INDIANAPOLIS, IN 46260	35-6088862	501(C)(3)	44,185.				SUPPORT
(7) STAND FOR CHILDREN ACTION FUND, INC. 2121 SW BDWY. #111 PORTLAND, OR 97201	52-2146673	501(C)(4)	12,500.				SUPPORT
(8) STAND FOR CHILDREN LEADERSHIP CENTER 2121 SW BDWY. #111 PORTLAND, OR 97201	52-1957214	501(C)(3)	200,000.				SUPPORT
(9) STANFORD UNIVERSITY 326 GALVEZ ST. STANFORD, CA 94305-6105	94-1156365	501(C)(3)	7,000.				SUPPORT
(10) STATE ENGAGEMENT FUND 4931 MA AVE. NW WASHINGTON, DC 20016	81-0865943	501(C)(4)	100,000.				SUPPORT
(11) STATE INNOVATION EXCHANGE PO BOX 260230 MADISON, WI 53726-0230	46-1368531	501(C)(3)	110,000.				SUPPORT
(12) STOCKPORT VOLUNTEER FIRE CO. NO. 1, INC. PO BOX 158 COLUMBIAVILLE, NY 12050	27-5251142	501(C)(3)	10,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) STONE BARN CENTER FOR FOOD & AGR. 630 BEDFORD ROAD POCANTICO HILLS, NY 10591	13-4150082	501(C)(3)	103,500.				SUPPORT
(2) STONY HILL STABLES FOUNDATION 268 TOWN LN. BOX 283 AMAGANSETT, NY 11930	45-5444990	501(C)(3)	5,876.				SUPPORT
(3) SWEET WATER FOUNDATION 5749 S. PERRY AVE. CHICAGO, IL 60621	27-1391983	501(C)(3)	300,000.				SUPPORT
(4) SYRIAN AMERICAN MEDICAL SOCIETY FDN. 1012 14TH ST. NW WASHINGTON, DC 20005	16-1717058	501(C)(3)	15,000.				SUPPORT
(5) TAIJA PEACE FOUNDATION 45 MAIN ST. BROOKLYN, NY 11201	20-8261600	501(C)(3)	30,000.				SUPPORT
(6) TEACH PLUS 27-43 WORMWOOD ST. BOSTON, MA 02210	26-3849472	501(C)(3)	120,000.				SUPPORT
(7) TEACHERS COLLEGE 525 W. 120TH ST. NEW YORK, NY 10027	13-1624202	501(C)(3)	6,000.				SUPPORT
(8) TEENFORCE 760 HILLSDALE AVE. #13 SAN JOSE, CA 95136	27-2117804	501(C)(3)	60,000.				SUPPORT
(9) TETON REGIONAL LAND TRUST, INC. P.O. BOX 247 DRIGGS, ID 83422	94-3146525	501(C)(3)	10,000.				SUPPORT
(10) TEXAS A & M UNIVERSITY 4461 TAMU COLLEGE STATION, TX 77843-4461	74-2648747	501(C)(3)	7,350.				SUPPORT
(11) THE AFRICA-AMERICA INSTITUTE 420 LEXINGTON AVE. NEW YORK, NY 10170-0002	53-0218859	501(C)(3)	8,000.				SUPPORT
(12) THE AMERICAN IDEAS INSTITUTE 815 N. 1ST AVE. PHOENIX, AZ 85003	27-0311492	501(C)(3)	40,000.				SUPPORT

- Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
- Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) THE ARNOLD GOLD FOUNDATION 619 PALISADE AVE. ENGLEWOOD CL., NJ 07632	22-3052098	501(C)(3)	135,736.				SUPPORT
(2) THE ASPEN INSTITUTE - ALLIANCE FOR ARTISAN 1 DUPONT CIR. NW WASHINGTON, DC 20036	52-2042014	501(C)(3)	40,000.				SUPPORT
(3) THE BOT OF LELAND STANFORD JR. UNI. 326 GALVEZ ST. STANFORD, CA 94305	94-1156365	501(C)(3)	577,290.				SUPPORT
(4) THE BOOKS FOR KIDS FOUNDATION 440 PARK AVE. S. NEW YORK, NY 10016	13-3539811	501(C)(3)	25,000.				SUPPORT
(5) THE BREARLEY SCHOOL 610 E. 83RD ST. NEW YORK, NY 10028-7988	13-1623915	501(C)(3)	10,000.				SUPPORT
(6) THE BRIDGE FUND 1730 RI AVE. NW WASHINGTON, DC 20036	91-2144422	501(C)(3)	672,188.				SUPPORT
(7) THE BUCKLEY SCHOOL 113 EAST 73RD STREET NEW YORK, NY 10021	13-1837409	501(C)(3)	40,000.				SUPPORT
(8) THE CANTATA SINGERS, INC. 729 BOYLSTON ST. BOSTON, MA 02116	04-6138594	501(C)(3)	30,000.				SUPPORT
(9) THE CORNERSTONE SCHOOL, INC. 2313 LAKE WEIR AVE. Ocala, FL 34471	59-2217451	501(C)(3)	7,400.				SUPPORT
(10) THE EDUCATION TRUST 306 S. WA ST. ROYAL OAK, MI 48067	52-1982223	501(C)(3)	100,000.				SUPPORT
(11) THE ENGLISH CONCERT IN AMERICA, INC. 207 DEPEW ST. DUMONT, NJ 07628	26-4409640	501(C)(3)	10,000.				SUPPORT
(12) THE FINANCIAL CLINIC 115 W. 30TH ST. NEW YORK, NY 10001	76-0833915	501(C)(3)	30,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) THE MOUNTAIN SCHOOL OF MILTON ACADEMY 151 MOUNTAIN RD. VERSHIRE, VT 05079	04-2103603	501(C)(3)	10,000.				SUPPORT
(2) THE MUSEUM OF MODERN ART 11 WEST 53RD STREET NEW YORK, NY 10019	13-1624100	501(C)(3)	70,000.				SUPPORT
(3) THE NATURE CONSERVANCY OF CALIFORNIA 201 MISSION STREET SAN FRANCISCO, CA 94105	20-5797732	501(C)(3)	425,793.				SUPPORT
(4) THE NBHD. DEFENDER SVC OF HARLEM 317 LENOX AVE. NEW YORK, NY 10027	06-1296692	501(C)(3)	50,000.				SUPPORT
(5) THE OHIO STATE UNIVERSITY FDN. 473 W 12TH AVE. COLUMBUS, OH 43202	31-1145986	501(C)(3)	88,370.				SUPPORT
(6) THE OLANA PARTNERSHIP P.O. BOX 199 HUDSON, NY 12534	14-1828430	501(C)(3)	21,500.				SUPPORT
(7) THE PARRISH ART MUSEUM 279 MONTAUK HIGHWAY WATERMILL, NY 11976	11-1782495	501(C)(3)	25,000.				SUPPORT
(8) THE PARTNERSHIP FOR THE HOMELESS 305 SEVENTH AVE. NEW YORK, NY 10001-6008	13-3732698	501(C)(3)	35,000.				SUPPORT
(9) THE PEW CHARITABLE TRUSTS 901 E ST. N.W. WASHINGTON, DC 20004	56-2307147	501(C)(3)	250,000.				SUPPORT
(10) THE SYNERGOS INSTITUTE, INC. 3 E. 54TH ST. NEW YORK, NY 10022	13-3392006	501(C)(3)	369,500.				SUPPORT
(11) TIBET FUND 241 E. 32ND STREET NEW YORK, NY 10016	13-3115145	501(C)(3)	10,500.				SUPPORT
(12) THE PURELAND PROJECT 5007 CEDAR AVE. PHILADELPHIA, PA 19143	27-4042809	501(C)(3)	13,814.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) THEODORE ROOSEVELT CONSERVATION PSHIP 1660 L ST. NW WASHINGTON, DC 20036	04-3706385	501(C)(3)	10,000.				SUPPORT
(2) THUNDER VALLEY COMM. DEV. CORP. 290 EMPOWERMENT DR. PORCUPINE, SD 57772	20-8090454	501(C)(3)	500,000.				SUPPORT
(3) TOWN OF GREENBURGH 177 HILLSIDE AVENUE GREENBURGH, NY 10607	13-6198294	501(C)(3)	10,000.				SUPPORT
(4) TRAINING RESOURCES FOR THE ENV. COMM. 600 AGUA FRIA STREET SANTE FE, NM 87501	91-1919141	501(C)(3)	506,698.				SUPPORT
(5) TRUSTEES OF BOSTON UNIVERSITY 232 BAYSTATE ROAD BOSTON, MA 02215	04-2103547	501(C)(3)	20,546.				SUPPORT
(6) UNION CAPITAL BOSTON 3353 WA ST. JAMAICA PLAIN, MA 02130	47-1136081	501(C)(3)	60,000.				SUPPORT
(7) UNITARIAN CHURCH OF ALL SOULS 1157 LEXINGTON AVE. NEW YORK, NY 10075	13-1782493	501(C)(3)	35,000.				SUPPORT
(8) UNITED NATIONS DEVELOPMENT PROGRAMME ONE UNITED NATIONS PL. NEW YORK, NY 10017	13-2626199	501(C)(3)	746,227.				SUPPORT
(9) UNITED TEEN EQUALITY CENTER 15 WARREN STREET, #3 LOWELL, MA 01852	38-3669532	501(C)(3)	60,000.				SUPPORT
(10) UNITED WAY OF BUFFALO & ERIE COUNTY 742 DELAWARE AVE. BUFFALO, NY 14209	16-0743969	501(C)(3)	60,000.				SUPPORT
(11) UNIVERSITY HOSPITALS OF CLEVELAND 11100 EUCLID AVE. CLEVELAND, OH 44106	34-6512673	501(C)(3)	44,185.				SUPPORT
(12) UNIVERSITY OF ALABAMA AT BIRMINGHAM 1900 UNIVERSITY BLVD. BIRMINGHAM, AL 35294	63-6005396	501(C)(3)	44,185.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) UNIVERSITY OF CALIFORNIA, SAN DIEGO 9434 MEDICAL CTR. DR. LA JOLLA, CA 92037	95-6006144	501(C)(3)	88,150.				SUPPORT
(2) UNIVERSITY OF FLORIDA 1600 SW ARCHER RD. GAINESVILLE, FL 32610	59-0974739	501(C)(3)	44,185.				SUPPORT
(3) UNIVERSITY OF KENTUCKY RESEARCH FDN. 900 S. LIMESTONE CLEVELAND, KY 40536	61-6033693	501(C)(3)	44,185.				SUPPORT
(4) UNIVERSITY OF MARYLAND BALTIMORE FDN. 620 W.LEXINGTON ST. BALTIMORE, MD 21201	31-1678679	501(C)(3)	25,000.				SUPPORT
(5) UNIVERSITY OF N. CAROLINA AT CHAPEL HILL 160 DENTAL CIR. CHAPEL HILL, NC 27599	56-6001393	501(C)(3)	44,185.				SUPPORT
(6) UNIVERSITY OF PITTSBURGH PHYSICIANS 200 LOTHROP ST. PITTSBURGH, PA 15213	23-2919472	501(C)(3)	44,185.				SUPPORT
(7) UNIVERSITY OF SOUTH CAROLINA EDU. FDN. 910 SUMTER ST. COLUMBIA, SC 29208	57-6017985	501(C)(3)	10,000.				SUPPORT
(8) UNIVERSITY OF SOUTHERN CALIFORNIA 1510 SAN PABLO ST. LOS ANGELES, CA 90033	95-1642394	501(C)(3)	24,186.				SUPPORT
(9) UNIVERSITY OF UTAH 75 S. 2000 E. SALT LAKE CITY, UT 84112	87-6000525	501(C)(3)	44,185.				SUPPORT
(10) UNIVERSITY OF WASHINGTON 4333 BROOKLYN AVE. NE SEATTLE, WA 98195	94-3079432	501(C)(3)	88,370.				SUPPORT
(11) URBAN JUSTICE CENTER 123 WILLIAM ST. NEW YORK, NY 10038	13-3442022	501(C)(3)	50,000.				SUPPORT
(12) URBAN LEAGUE OF GREATER NEW ORLEANS 4740 CARROLLTON AVE. NEW ORLEANS, LA 70119	72-0423627	501(C)(3)	50,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) URBAN STRATEGIES COUNCIL 1720 BDWY. 2ND FL. OAKLAND, CA 94612	94-3044453	501(C)(3)	82,700.				SUPPORT
(2) USA FOR UNHCR 259 W. 30TH ST. 16TH FL. NEW YORK, NY 10001	52-1662800	501(C)(3)	15,000.				SUPPORT
(3) UTAH DINÉ BIKÉYAH 352 S. DENVER ST. SALT LAKE CITY, UT 84111	61-1729917	501(C)(3)	400,000.				SUPPORT
(4) VT PUBLIC INTEREST RESEARCH & EDU. FUND 141 MAIN ST. MONTPELIER, VT 05602-2916	51-0163801	501(C)(3)	6,000.				SUPPORT
(5) VERMONT STUDIO CENTER, INC. P.O. BOX 613 JOHNSON, VT 05656	22-2478074	501(C)(3)	30,000.				SUPPORT
(6) VILLAGE FOR FAMILIES & CHILDREN 1680 ALBANY AVE. HARTFORD, CT 06105	06-0668594	501(C)(3)	60,000.				SUPPORT
(7) VIRGIN UNITE USA 65 BLEECKER ST. NEW YORK, NY 10012	20-3963486	501(C)(3)	40,000.				SUPPORT
(8) VOLUNTEERS IN ASIA 1663 MISSION ST. SAN FRANCISCO, CA 94103	94-1622372	501(C)(3)	25,000.				SUPPORT
(9) WAKE FOREST UNIVERSITY HEALTH SCIENCES 1 MEDICAL CTR. WINSTON-SALEM, NC 27157	22-3849199	501(C)(3)	85,768.				SUPPORT
(10) WARM COOKIES OF THE REVOLUTION 576 GILPIN STREET DENVER, CO 80218	45-5150898	501(C)(3)	325,000.				SUPPORT
(11) WARREN CITY SCHOOLS 105 HIGH STREET WARREN, OH 44481	34-6002975	501(C)(3)	50,000.				SUPPORT
(12) WASHINGTON OFFICE ON LATIN AMERICA, INC. 1666 CT AVE. NW WASHINGTON, DC 20009	52-1249353	501(C)(3)	50,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) WASHINGTON PROGRESS ALLIANCE 1402 3RD AVE. SEATTLE, WA 98101	20-4258530	501(C)(4)	17,000.				SUPPORT
(2) WA UNIVERSITY SCHOOL OF MEDICINE 660 S. EUCLID AVE. ST. LOUIS, MO 63110	43-0653611	501(C)(3)	44,185.				SUPPORT
(3) WASHOE COUNTY SCHOOL DISTRICT 425 EAST 9TH STREET RENO, NV 89520	88-6000919	501(C)(3)	10,000.				SUPPORT
(4) WAYNFLETE SCHOOL 360 SPRING STREET PORTLAND, ME 04102	01-0211565	501(C)(3)	200,000.				SUPPORT
(5) WEILL CORNELL MEDICAL COLLEGE 1300 YORK AVE. NEW YORK, NY 10065	15-0532082	501(C)(3)	44,185.				SUPPORT
(6) WELLESLEY COLLEGE 160 CENTRAL ST. WELLESLEY, MA 02181	04-2103637	501(C)(3)	10,000.				SUPPORT
(7) WENDELL GILLEY MUSEUM OF BIRD CARVING 4 HERRICK RD. SOUTHWEST HARBOR, ME 04679	01-0368574	501(C)(3)	40,750.				SUPPORT
(8) WILLIAM BEAUMONT HOSPITAL 3601 W. 13 MILE RD, ROYAL OAK, MI 48073	38-1459362	501(C)(3)	44,185.				SUPPORT
(9) WOMEN MAKE MOVIES 115 WEST 29TH ST. NEW YORK, NY 10001-5059	13-2740460	501(C)(3)	115,000.				SUPPORT
(10) WOMEN'S FUNDING NETWORK 156 2ND STREET SAN FRANCISCO, CA 94111	41-1685134	501(C)(3)	350,000.				SUPPORT
(11) WOODROW WILSON INT. CTR. FOR SCHOLARS 1300 PA AVE. NW WASHINGTON, DC 20004-3027	52-1067541	501(C)(3)	50,000.				SUPPORT
(12) WORKING AMERICA 815 16TH ST. NW WASHINGTON, DC 20036	20-0263611	501(C)(4)	20,000.				SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I General Information on Grants and Assistance

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) WORLD MEDIA FOUNDATION P.O. BOX 990007 BOSTON, MA 02199	04-3150786	501(C)(3)	30,000.				SUPPORT
(2) WORLD WILDLIFE FUND, INC. 1250 24TH ST. NW WASHINGTON, DC 20037-1193	52-1693387	501(C)(3)	930,235.				SUPPORT
(3) WORLDCOVER 40 W. 25TH ST., 8TH FL. NEW YORK, NY 10010	47-4664169	501(C)(3)	25,000.				SUPPORT
(4) WORLDWIDE FISTULA FUND 1100 E. WOODFIELD RD. SCHAUMBURG, IL 60173	30-0139210	501(C)(3)	7,500.				SUPPORT
(5) YALE UNIVERSITY P.O. BOX 2038 NEW HAVEN, CT 06521-2038	06-0646973	501(C)(3)	13,500.				SUPPORT
(6) YOUTH REPRESENT, INC. 11 PARK PL. STE. 1512 NEW YORK, NY 10007	20-8034010	501(C)(3)	50,000.				SUPPORT
(7) OLD STONE MILL CENTER LLC 494 STAGE RD. CUMMINGTON, MA 01026	47-4650899	501(C)(3)	319,000.				SUPPORT
(8) THE MAGDALEN ENVIRONMENTAL TRUST UNITED KINGDOM UK			10,000.				SUPPORT
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶ 373.

3 Enter total number of other organizations listed in the line 1 table ▶ 8.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1 GRANTS TO INDIVIDUALS	2.	31,852.			
2					
3					
4					
5					
6					
7					

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

PART I, LINE 2

ROCKEFELLER PHILANTHROPY ADVISORS INC. HAS A STRONG COMMITMENT TO ACCOUNTABILITY AND SOUND GOVERNANCE, AS EXPRESSED IN ITS BY-LAWS AND BOARD OF DIRECTORS POLICIES AND PROCEDURES. TO REDUCE THE LIKELIHOOD THAT GRANTS RECOMMENDED OR ADMINISTERED BY ROCKEFELLER PHILANTHROPY ADVISORS, INC., WILL BE DIVERTED FOR INAPPROPRIATE PURPOSES, THE ORGANIZATION HAS ADOPTED GENERAL PRINCIPLES, PRACTICES, AND DUE DILIGENCE PROCEDURES THAT INCLUDE COMPLIANCE WITH IRS GUIDELINES AND OTHER INDUSTRY BEST PRACTICES AND MORE SPECIFICALLY INCLUDE THE FOLLOWING:

1. CHARITABLE PURPOSE AND INTENT

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
4					
5					
6					
7					

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

- 2. ANTI-TERRORISM SCREEN
- 3. VERIFICATION OF EXEMPT STATUS
- 4. RISK ASSESMENT AND IF NECESSARY MORE DETAILED REVIEW
- 5. WRITTEN AGREEMENTS
- 6. POST GRANT MONITORING FOR COMPLIANCE BASED ON INDIVIDUAL CIRCUMSTANCES FOR BOTH PROGRAM AND FINANCIAL REPORTING.

ALL GRANTS ISSUED BY ROCKEFELLER PHILANTHROPY ADVISORS ARE GENERAL SUPPORT GRANTS; TO THE EXTENT ANY ADDITIONAL INFORMATION IS REQUIRED ABOUT A SPECIFIC GRANT, THAT INFORMATION IS AVAILABLE UPON REQUEST.

**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
- ▶ Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Open to Public Inspection

Employer identification number

13-3615533

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|---|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (such as, maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
 - b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
 - c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
 - b** Any related organization?
- If "Yes" on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
 - b** Any related organization?
- If "Yes" on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III.

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1a		
1b		
2		
3		
4a		X
4b		X
4c		X
5a		X
5b		X
6a		X
6b		X
7	X	
8		X
9		

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2016

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1	MELISSA A. BERMAN PRESIDENT AND CEO	(i) 345,000.	(ii) 30,000.	(iii) 0.	22,550.	29,061.	426,611.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
2	CHRIS B. PAGE EXECUTIVE VICE PRESIDENT	(i) 296,095.	(ii) 42,560.	(iii) 0.	23,850.	19,944.	382,449.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
3	WALTER SWEET VICE PRESIDENT&CORP SECRETARY	(i) 192,146.	(ii) 21,300.	(iii) 0.	19,210.	28,588.	261,244.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
4	YOLANDA A. ARIAS-BROWNE VP OF HR & ASST. CORP. SEC.	(i) 190,546.	(ii) 23,023.	(iii) 0.	19,144.	19,616.	252,329.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
5	JEFFREY A. NESBIT EXEC. DIRECTOR, SPONSORED PROJ	(i) 261,906.	(ii) 0.	(iii) 0.	23,572.	984.	286,462.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
6	NICHOLAS HODGES SENIOR VICE PRESIDENT/COO	(i) 258,336.	(ii) 36,300.	(iii) 0.	15,900.	19,826.	330,362.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
7	TINA MARIE BEYERS VICE PRESIDENT AND CFO	(i) 182,875.	(ii) 24,570.	(iii) 0.	18,670.	16,881.	242,996.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
8	JANE M. LEVIKOW VP SPONSORED PROJECTS & FUNDS	(i) 191,576.	(ii) 19,285.	(iii) 0.	18,967.	10,489.	240,317.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
9	ANDREW GEORGE SALKIN SENIOR VP SPONSORED PROJECT	(i) 265,937.	(ii) 0.	(iii) 0.	23,680.	2,204.	291,821.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
10	BRYNA ANNE LIPPER SR. VP SPONSORED PROJECTS	(i) 276,875.	(ii) 0.	(iii) 0.	23,850.	10,151.	310,876.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
11	DARLENE CURLEY JACKSON CEO & DIR. SPONSORED PROJECTS	(i) 264,000.	(ii) 0.	(iii) 0.	18,744.	986.	283,730.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
12	ELIZABETH YEE VICE PRES. SPONSORED PROJECTS	(i) 260,937.	(ii) 0.	(iii) 0.	21,188.	981.	283,106.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
13		(i)						
	(ii)							
14		(i)						
	(ii)							
15		(i)						
	(ii)							
16		(i)						
	(ii)							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 7

RPA HAS A PERFORMANCE BASED INCENTIVE COMPENSATION PLAN. EMPLOYEES OF RPA CAN EARN INCENTIVE COMPENSATION IF THEY MEET THE CRITERIA OUTLINED IN THEIR WORK PLANS. THESE WORK PLANS ARE REVIEWED AND APPROVED BY EACH EMPLOYEE'S RESPECTIVE SUPERIORS AND RPA'S CEO.

THE CEO IS ELIGIBLE TO PARTICIPATE IN THE INCENTIVE COMPENSATION PLAN AS WELL; HOWEVER, MS. BERMAN'S WORK PLAN IS REVIEWED BY THE BOARD OF DIRECTORS AND HER BONUS IS REVIEWED AND APPROVED BY THE BOARD (AND SHE RECUSES HERSELF FROM THOSE DELIBERATIONS).

**SCHEDULE M
(Form 990)**

Noncash Contributions

OMB No. 1545-0047

2016

**Open To Public
Inspection**

Department of the Treasury
Internal Revenue Service

- ▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
- ▶ Attach to Form 990.
- ▶ Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	46 .	1,907,231 .	FMV
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (_____)				
26 Other ▶ (_____)				
27 Other ▶ (_____)				
28 Other ▶ (_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement **29**

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?		X
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?	X	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	X	
b If "Yes," describe in Part II.		
33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2016)

JSA

6E1298 1.000

8685FS 700J

V 16-6.4F

PAGE 96

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

PART I, LINE 31

ROCKEFELLER PHILANTHROPY ADVISORS RESERVES THE RIGHT TO DECLINE ANY CONTRIBUTION THAT IS UNACCEPTABLE TO THE ORGANIZATION, TO VERIFY THE SOURCE OF ANY NONCASH CONTRIBUTIONS, AND IN THE CASE OF NONMARKETABLE ASSETS, REQUIRE AN INDEPENDENT APPRAISAL TO DETERMINE THE FAIR MARKET VALUE OF THE ITEM.

PART I, LINE 32B

THE ORGANIZATION USES JP MORGAN CHASE TO RECEIVE AND SELL DONATED SECURITIES.

**SCHEDULE O
(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

**Open to Public
Inspection**

Employer identification number

13-3615533

FORM 990, PART VI, SECTION A, LINE 2

MICHAEL ROCKEFELLER AND PETER ROCKEFELLER HAVE A FAMILY RELATIONSHIP.

STEVEN WAYNE AND VALERIE ROCKEFELLER WAYNE HAVE A FAMILY RELATIONSHIP.

PROCESS USED TO REVIEW FORM 990

PART VI, SECTION B - QUESTION 11B

RPA HIRES AN INDEPENDENT ACCOUNTING FIRM TO PREPARE AND REVIEW THE ORGANIZATION'S FORM 990 BASED ON INFORMATION PROVIDED BY RPA'S ACCOUNTING STAFF. A COPY OF THE FORM 990 IS DISTRIBUTED TO THE ORGANIZATION'S AUDIT COMMITTEE FOR REVIEW PRIOR TO FILING WITH THE IRS. A COPY OF THE FORM 990 IS DISTRIBUTED TO THE FULL BOARD OF DIRECTORS ONCE THE AUDIT COMMITTEE HAS APPROVED IT FOR FILING.

PART VI, SECTION B - QUESTION 12C

THE SECRETARY OF RPA ANNUALLY CIRCULATES A DISCLOSURE FORM FOR DIRECTORS AND STAFF TO LIST ORGANIZATIONS IN WHICH EITHER THE DIRECTOR, STAFF OR EITHER OF THEIR SPOUSES OR CHILDREN PLAY A FIDUCIARY OR LEADING ROLE. IN ADDITION, DIRECTORS AND STAFF WILL LIST THOSE ORGANIZATIONS IN WHICH THEY, A SPOUSE OR A CHILD HOLD A SIGNIFICANT FINANCIAL INTEREST AND WHICH HAVE A BUSINESS RELATIONSHIP WITH RPA. ON THE SAME FORM, DIRECTORS AND STAFF ACKNOWLEDGE THAT THEY UNDERSTAND AND ADHERE TO RPA'S CONFLICT OF INTEREST POLICY AND PROCEDURE. DIRECTORS AND STAFF WILL INFORM THE SECRETARY OF RPA OF ANY CHANGES OR NEW RELATIONSHIPS. IF A POTENTIAL CONFLICT EXISTS, INVOLVED PARTIES WILL DISCLOSE THE POTENTIAL CONFLICT

Name of the organization ROCKEFELLER PHILANTHROPY ADVISORS, INC.	Employer identification number 13-3615533
---	--

AND EXCUSE THEMSELVES FROM DISCUSSION OR ANY VOTE RELATED TO THE MATTER.

PART VI, SECTION B - QUESTIONS 15A & 15B

RPA IS COMMITTED TO RECRUITING, RETAINING, AND MOTIVATING HIGHLY QUALIFIED AND HIGH-PERFORMING STAFF, AND PROVIDING A MARKET COMPETITIVE COMPENSATION AND BENEFITS PACKAGE, LINKED TO THE SUCCESS OF THE INDIVIDUAL, THE ORGANIZATION AND ITS MISSION-DRIVEN OUTCOMES. RPA PRIMARILY COMPARES ITS COMPENSATION TO THE LARGER FOUNDATIONS IN THE NEW YORK CITY REGION AS WELL AS TO SMALL TO MID-SIZE CONSULTING ORGANIZATIONS NATIONWIDE, WHILE ALSO MONITORING PRACTICES AND TRENDS IN THE BROADER NONPROFIT AND GENERAL EMPLOYMENT MARKETS. RPA IS COMMITTED TO BOTH EXTERNAL COMPETITIVENESS AND INTERNAL EQUITY FOR ITS COMPENSATION PROGRAM PRACTICES.

EMPLOYEE POLICIES:

RPA'S PERFORMANCE AND COMPENSATION PLANS, INCLUDING BUDGETS ARE APPROVED ANNUALLY BY THE BOARD OF DIRECTORS. THE FOLLOWING IS A SUMMARY OF THE GENERAL PRINCIPLES ON WHICH RPA'S PERFORMANCE ASSESSMENT AND COMPENSATION PROCESSES ARE BASED. PERFORMANCE REVIEW AND COMPENSATION DECISIONS AT RPA ARE MADE ON THE BASIS OF A BOARD-APPROVED PERFORMANCE APPRAISAL FORM, WHICH ESTABLISHES PERFORMANCE STANDARDS AND IMPORTANT VALUES THAT ARE EXPECTED OF ALL EMPLOYEES. AT THE BEGINNING OF EACH CALENDAR YEAR, EACH RPA EMPLOYEE DEVELOPS A PERFORMANCE PLAN THAT HIS/HER SUPERVISOR AND THE PRESIDENT OF RPA MUST APPROVE. ELIGIBLE EMPLOYEES ALSO ESTABLISH SPECIFIC GOALS FOR INCENTIVE COMPENSATION (BONUS) PAYMENTS. AT THE END OF THE CALENDAR YEAR, AN EMPLOYEE AND HIS/HER SUPERVISOR REVIEW HOW THE

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

EMPLOYEE HAS PERFORMED AGAINST THIS PLAN AND THE PERFORMANCE STANDARDS. SUPERVISORS COMPLETE THE PERFORMANCE APPRAISAL FORM IN WRITING, AND RECOMMEND SALARY INCREASES (AS WELL AS INCENTIVE PAYMENTS FOR ELIGIBLE EMPLOYEES) BASED ON PERFORMANCE. BOTH SUPERVISOR AND EMPLOYEE MUST SIGN THE PERFORMANCE APPRAISAL FORM. SALARY ADJUSTMENTS AND BONUS PAYMENTS MUST BE APPROVED BY THE PRESIDENT OF RPA.

PART VI, SECTION C - QUESTION 19

THE ORGANIZATION MAKES ITS FORM 990 AVAILABLE TO THE PUBLIC BY RETAINING A COPY AT ITS PLACE OF BUSINESS. THE FORM 990 IS LIKEWISE PUBLISHED ON THE INTERNET AT WWW.GUIDESTAR.ORG. THE ORGANIZATION'S FINANCIAL STATEMENTS, GOVERNING DOCUMENTS AND CONFLICT OF INTEREST POLICY ARE NOT ORDINARILY MADE AVAILABLE TO THE PUBLIC, BUT, IF REQUESTED, WILL BE PROVIDED AT MANAGEMENT'S DISCRETION.

FORM 990, PART XI, LINE 9

DIVESTITURE OF SPONSORED PROJECT	(\$1,816,668)
PENSION RELATED COSTS	(\$ 61,057)
TOTAL	(\$1,877,725)

ATTACHMENT 1FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

ROCKEFELLER PHILANTHROPY ADVISORS (RPA) AIMS TO ENCOURAGE THOUGHTFUL, EFFECTIVE PHILANTHROPY THROUGHOUT THE WORLD. TO THIS END, RPA DEVELOPS, AND MANAGES CHARITABLE PROGRAMS; CONDUCTS RESEARCH, AUTHORS PUBLICATIONS AND PROVIDES GUIDANCE ON CHARITABLE GIVING AND PHILANTHROPY; AND OFFERS PROGRAMMATIC, ADMINISTRATIVE AND

Name of the organization ROCKEFELLER PHILANTHROPY ADVISORS, INC.	Employer identification number 13-3615533
---	--

ATTACHMENT 1 (CONT'D)

FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

GRANT-MAKING SUPPORT TO DONORS, ASSISTING INDIVIDUALS, INSTITUTIONS, GOVERNMENT AGENCIES AND GROUPS IN ACHIEVING THEIR PHILANTHROPIC GOALS. CURRENTLY, RPA WORKS WITH MORE THAN 540 DONORS, FACILITATING APPROXIMATELY \$200 MILLION IN GRANTS AND SOCIAL INVESTMENTS TO MORE THAN 40 COUNTRIES IN AFRICA, ASIA, AUSTRALIA, THE CARIBBEAN, EUROPE, LATIN AMERICAN AND NORTH AMERICA. RPA'S BROAD RANGE OF WORK INCLUDES:

1. ADVISING DONORS REGARDING THE ARTICULATION AND IMPLEMENTATION OF CHARITABLE PROGRAMMING;
2. MANAGING A CHARITABLE GIVING FUND TO PROVIDE DONORS WITH A FLEXIBLE, COST-EFFECTIVE WAY TO REACH THEIR CHARITABLE GOALS;
3. PROVIDING FISCAL, ADMINISTRATIVE AND PROGRAMMATIC SUPPORT TO INDIVIDUALS AND GROUPS SEEKING TO PURSUE A VARIETY OF SPECIALIZED CHARITABLE PROGRAMMING CONSISTENT WITH THE GENERAL MISSION OF RPA;
AND
4. SERVING AS A THOUGHT-LEADER IN THE PHILANTHROPIC COMMUNITY, AUTHORIZING PUBLICATIONS AND CONVENING EXPERTS TO DISCUSS CURRENT TOPICS IN PHILANTHROPY AND STRENGTHEN THE SECTOR.

ATTACHMENT 2

FORM 990, PART III - PROGRAM SERVICE, LINE 4A

SPONSORED PROJECTS - RPA'S SPONSORED PROJECT PROGRAM ENABLES INDIVIDUALS AND GROUPS TO PURSUE A VARIETY OF SPECIALIZED CHARITABLE GRANT-MAKING PROJECTS AND ACTIVITIES CONSISTENT WITH

Name of the organization ROCKEFELLER PHILANTHROPY ADVISORS, INC.	Employer identification number 13-3615533
---	--

ATTACHMENT 2 (CONT'D)

THE GENERAL MISSION OF RPA.

RPA PROVIDES ADMINISTRATIVE AND PROGRAMMATIC SUPPORT TO ENABLE FUNDERS TO DEVELOP LAUNCH AND PROMOTE HIGH-IMPACT PROJECTS AROUND THE GLOBE. FISCAL SPONSORSHIP SUBSTANTIALLY REDUCES THE COSTS TYPICALLY ASSOCIATED WITH DEVELOPING NONPROFIT GOVERNANCE, MANAGEMENT AND OPERATIONAL INFRASTRUCTURE.

RPA HAS EXTENSIVE EXPERIENCE INCUBATING START-UP, INNOVATIVE AND COMPLEX PROJECTS IN THE U.S AND OVERSEAS. RPA CAN:

1. PROVIDE STRONG ACCOUNTING, HR, FINANCE & IT INFRASTRUCTURE
2. DEVELOP AND MONITOR SOPHISTICATED PROJECT BUDGET AND GRANT REPORTING
3. OFFER RESEARCH, ANALYSIS, GRANTMAKING AND EVALUATION SERVICES
4. ADOPT PROJECT GOVERNANCE STRUCTURES THAT ENGAGE EXTERNAL ADVISORS, FUNDERS AND OTHER PRINCIPALS.

ATTACHMENT 3

FORM 990, PART VI, LINE 17 - STATES

AL, AK, AR, CA, CO, CT,
DC, FL, GA, HI, IL, KS, KY, ME, MD, MA, MI,
MN, MS, NH, NJ, NM, NY, ND, OH, OK, OR, PA,
RI, SC, TN, UT, VA, WA, WV, WI,

Name of the organization ROCKEFELLER PHILANTHROPY ADVISORS, INC.	Employer identification number 13-3615533
---	--

ATTACHMENT 4

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

<u>NAME AND ADDRESS</u>	<u>DESCRIPTION OF SERVICES</u>	<u>COMPENSATION</u>
SAFEGUARD WORLD INTERNATIONAL 108 WILD BASIN ROAD SOUTH, STE. 200 AUSTIN, TX 78746	INT'L PAYROLL SVCS	2,396,455.
BANKABLE FRONTIER ASSOCIATES LLC 259 ELM ST., STE. 200 SOMERVILLE, MA 02144	CONSULTING	1,963,770.
HR&A ADVISORS, INC. 99 HUDSON STREET, 3RD FLOOR NEW YORK, NY 10013	CONSULTING	1,339,393.
AECOM 1999 AVENUE OF THE STARS, STE. 2600 LOS ANGELES, CA 90067	CONSULTING	1,196,141.
MCKINSEY & COMPANY, INC. P.O. BOX 7247-7255 PHILADELPHIA, PA 19170-7255	CONSULTING	1,150,000.

**SCHEDULE R
(Form 990)**

Related Organizations and Unrelated Partnerships

OMB No. 1545-0047

2016

**Open to Public
Inspection**

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**

▶ **Attach to Form 990.**

▶ **Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.**

Department of the Treasury
Internal Revenue Service

Name of the organization

ROCKEFELLER PHILANTHROPY ADVISORS, INC.

Employer identification number

13-3615533

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) ARTPLACE AMERICA, LLC 195 MONTAGUE STREET, 14TH FL. BROOKLYN, NY 11201 46-4698925	ARTS/CULTURE	NY	21,524,530.	36,180,919.	RPA
(2)					
(3)					
(4)					
(5)					
(6)					

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2016

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1)												
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
q Reimbursement paid by related organization(s) for expenses	1q	
r Other transfer of cash or property to related organization(s)	1r	
s Other transfer of cash or property from related organization(s)	1s	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			

Part VI **Unrelated Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													
(8)													
(9)													
(10)													
(11)													
(12)													
(13)													
(14)													
(15)													
(16)													

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R. See instructions.
