

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Schriver, Randall Graham

Assistant Secretary (Asian & Pacific Security Affairs), Department of Defense

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- **Committee on Armed Services**
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Schriver, Randall Graham [electronically signed on 07/21/2017 by Schriver, Randall Graham in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Vetter, Ruth, Certifying Official [electronically signed on 11/03/2017 by Vetter, Ruth in Integrity.gov]

Other review conducted by

/s/ Vetter, Ruth, Ethics Official [electronically signed on 11/03/2017 by Vetter, Ruth in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 11/09/2017 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Armitage International, LLC	Arlington, Virginia	Limited Liability Company	Partner	5/2005	Present
2	The Project 2049 Institute	Arlington, Virginia	Non-Profit	President and CEO	2/2008	Present
3	Stanford University	Stanford, California	University/College	Adjunct Lecturer	9/2003	Present
4	Schrivier Family Revocable Trust	Arlington, Virginia	Trust	Co-Trustee	7/2016	Present
5	US-Taiwan Business Council	Arlington, Virginia	Non-Profit	Board Member	12/2009	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Armitage International, LLC. This is an International Business Development Consulting Firm	N/A	\$50,001 - \$100,000	Partnership Share	\$474,136
2	Stanford University	N/A		Salary	\$36,000
3	The Project 2049 Institute	N/A		Salary/Bonus	\$151,900
4	Honorarium Formosan Association for Public Affairs - 29 July 2017	See Endnote	N/A	Honorarium	\$300
5	Honorarium for UBS Speech - 8 May 2017	See Endnote	N/A	Honorarium	\$10,500
6	Honorarium for speech at University of Dallas - 14 November 2016	See Endnote	N/A	Honorarium	\$1,000
7	Honorarium for Mansfield Foundation Workshop - 6 June 2017	See Endnote	N/A	Honorarium	\$500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	Honorarium for speech at Marquette - 2 November 2016	See Endnote	N/A		Honorarium	\$1,000
9	Honorarium for Speech to Mt Fuji Group sponsored by Nikkei Media - 6 October 2016	See Endnote	N/A		Honorarium	\$10,000
10	Honorarium for speech at CSIS - 27 July 2016	See Endnote	N/A		Honorarium	\$500
11	Honorarium for speech for East West Center - 30 September 2016	See Endnote	N/A		Honorarium	\$200
12	Honorarium for Speech at East West Center - 19 July 2017	See Endnote	N/A		Honorarium	\$200
13	Armitage International 401k Plan		No			
13.1	American Funds Fundamental Investors		Yes	\$50,001 - \$100,000		None (or less than \$201)
13.2	American Funds Capital Growth and World Income		Yes	\$15,001 - \$50,000		None (or less than \$201)
13.3	American Funds Euro-Pacific Growth Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
13.4	American Funds Smallcap World Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
13.5	American Funds New World Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
13.6	American Funds Capital World Bond Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
13.7	American Funds American High Income Trust		Yes	\$15,001 - \$50,000		None (or less than \$201)
14	Armitage International, LLC, anticipated partnership share		N/A	\$50,001 - \$100,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Armitage International, LLC	Arlington, Virginia	Upon resignation, I will receive \$75,000 for my 1/4 partner equity paid in monthly installments of \$5,000.	8/2017
2	Armitage International, LLC	Arlington, Virginia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	1/2010
3	Armitage International, LLC	Arlington, Virginia	Pursuant to the partnership agreement, following my resignation from the firm, I will receive my final partnership share distribution by the end of the quarter. The total amount of payment will be calculated pro rata as of the date of my resignation.	5/2005

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Armitage International, LLC	Arlington, Virginia	Firm partner/consulting services
2	Stanford University	Stanford, California	I am an adjunct lecturer for Stanford-in-Washington program and teach a class on US foreign policy during the fall and spring quarters of each academic year.
3	The Project 2049 Institute	Arlington, Virginia	I am President and CEO of Project 2049, a 501c3 non-profit, research organization ("think tank").
4	The Boeing Company	St. Louis, Missouri	Consulting services for AI
5	Raytheon International	Washington, District of Columbia	Consulting services for AI
6	Japan Central Railway (JR)	Tokyo, Japan, Outside U.S.	Consulting services for AI
7	Dyncorp International	Fairfax, Virginia	Consulting services for AI
8	Garena/Sea	Singapore, Outside U.S.	Consulting services for AI
9	Embassy of Japan	Washington, District of Columbia	Consulting services for AI

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
10	Japex	Tokyo, Japan, Outside U.S.	Consulting services for AI
11	Japan Bank for International Cooperation (JBIC)	Tokyo, Japan, Outside U.S.	Consulting services for AI
12	JETRO	Tokyo, Japan, Outside U.S.	Consulting services for AI
13	Mitsubishi Corporation	Tokyo, Japan, Outside U.S.	Consulting services for AI
14	NEC	Tokyo, Japan, Outside U.S.	Consulting services for AI
15	Taisei	Tokyo, Japan, Outside U.S.	Consulting services for AI
16	Toshiba	Tokyo, Japan, Outside U.S.	Consulting services for AI
17	Nikkei Media	Tokyo, Japan, Outside U.S.	Speech/Honorarium
18	UBS (Through Sage Worldwide)	New York, New York	Speech/Honorarium
19	CSIS	Washington, District of Columbia	Speech/Honorarium

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Reston Hospital (Hospital) See Endnote	N/A		Hourly Wages	
2	Virginia Hospital Center (Hospital)	N/A		Hourly wages	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US credit union accounts (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	USAA 529 Plan DC1	No			
2.1	Nevada USAA College Savings Plan Moderate Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	USAA 529 Plan DC2	No			
3.1	Nevada USAA College Savings Plan Moderate Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	USAA 529 Plan DC3	No			
4.1	Nevada USAA College Savings Plan Moderately Aggressive Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	Nevada USAA College Savings Plan Growth Portfolio	Yes	None (or less than \$1,001)		None (or less than \$201)
4.3	Nevada USAA College Savings Plan Aggressive Growth Portfolio	Yes	None (or less than \$1,001)		None (or less than \$201)
5	USAA 529 Plan DC4	No			
5.1	Nevada USAA College Savings Plan Moderately Aggressive Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	Nevada USAA College Savings Plan Growth Portfolio	Yes	None (or less than \$1,001)		None (or less than \$201)
5.3	Nevada USAA College Savings Plan Aggressive Growth Portfolio	Yes	None (or less than \$1,001)		None (or less than \$201)
6	ABBS Investments (20% share in pooled investment company)	No	\$250,001 - \$500,000		None (or less than \$201)
6.1	DC Capital Global, LLC (private equity holding company)	No			
6.1.1	Michael Baker Holdings, LLC (holding company)	No			
6.1.1.1	Michael Baker International, LLC (international engineering, planning and consulting firm)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.1.1.2	Sallyport Global Services Ltd (full life-cycle support services facilitating client operations in remote, austere environments)	N/A			

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	SunTrust Mortgage	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2013	3.75%	30 years
2	United Bank	Exercised Line of Credit	\$50,001 - \$100,000	2013	3.75%	10 years
3	SunTrust Mortgage	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2013	4.125	30 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	4	In accordance with Armitage International partnership agreement, outside income deemed to be in a "related activities" is turned over to the company with 20% transferred back to the partner, and the remainder made available for partner distribution. This applies to speaking fees and honoraria. In this instance \$300 was transferred to me.
2.	5	In accordance with Armitage International partnership agreement, outside income deemed to be in a "related activities" is turned over to the company with 20% transferred back to the partner, and the remainder made available for partner distribution. This applies to speaking fees and honoraria. In this instance \$10,500 was transferred to me.
2.	6	In accordance with Armitage International partnership agreement, outside income deemed to be in a "related activities" is turned over to the company with 20% transferred back to the partner, and the remainder made available for partner distribution. This applies to speaking fees and honoraria. In this instance \$1,000 was transferred to me.
2.	7	In accordance with Armitage International partnership agreement, outside income deemed to be in a "related activities" is turned over to the company with 20% transferred back to the partner, and the remainder made available for partner distribution. This applies to speaking fees and honoraria. In this instance \$500 was transferred to me.
2.	8	In accordance with Armitage International partnership agreement, outside income deemed to be in a "related activities" is turned over to the company with 20% transferred back to the partner, and the remainder made available for partner distribution. This applies to speaking fees and honoraria. In this instance \$1,000 was transferred to me.
2.	9	In accordance with Armitage International partnership agreement, outside income deemed to be in a "related activities" is turned over to the company with 20% transferred back to the partner, and the remainder made available for partner distribution. This applies to speaking fees and honoraria. In this instance \$10,000 was transferred to me. This engagement was sponsored by Nikkei Media.
2.	10	In accordance with Armitage International partnership agreement, outside income deemed to be in a "related activities" is turned over to the company with 20% transferred back to the partner, and the remainder made available for partner distribution. This applies to speaking fees and honoraria. In this instance \$500 was transferred to me.
2.	11	In accordance with Armitage International partnership agreement, outside income deemed to be in a "related activities" is turned over to the company with 20% transferred back to the partner, and the remainder made available for partner distribution. This applies to speaking fees and honoraria. In this instance \$200 was transferred to me.
2.	12	In accordance with Armitage International partnership agreement, outside income deemed to be in a "related activities" is turned over to the company with 20% transferred back to the partner, and the remainder made available for partner distribution. This applies to speaking fees and honoraria. In this instance \$200 was transferred to me.
5.	1	Employment ended July 2017

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
