

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Shelton, Judy Lynn

U.S. Director of the European Bank for Reconstruction and Development, Department of the Treasury

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- **Committee on Foreign Relations**
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Shelton, Judy Lynn [electronically signed on 08/18/2017 by Shelton, Judy Lynn in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Horton, Elizabeth A, Certifying Official [electronically signed on 12/07/2017 by Horton, Elizabeth A in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 12/13/2017 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Atlas Network (uncompensated)	Washington, D.C., District of Columbia	Non-Profit	Senior fellow	12/2016	Present
2	Atlas Network (uncompensated)	Washington, D.C., District of Columbia	Non-Profit	Co-director of the Sound Money Project	4/2010	12/2016
3	National Endowment for Democracy (uncompensated)	Washington, D.C., District of Columbia	Non-Profit	Director	1/2017	Present
4	Trump Presidential Transition Team (uncompensated)	Washington, D.C., District of Columbia	Presidential Transition Team	Advisor on International Affairs at Treasury	10/2016	1/2017
5	Judy Shelton Living Trust	Richmond, Virginia	Trust	Trustee	4/2014	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA: Windhaven Conservative Wrap Composite (Charles Schwab)	No			
1.1	EFAV - ISHARE EDGE MSCI MIN VOL	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	TLT - ISHARES 20 PLS YEAR	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3	IEF - ISHARES 7-10 YEAR TRSURY	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	COMT - ISHARES COMMODITIES SLCT	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	HEWG - ISHARES CURRENCY HEDGED	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.6	IAU- ISHARES GOLD ETF IV	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	HYG - ISHARES IBOXX HIGH YIELD	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	LQD - ISHARES IBOXX INVT GRADE	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.9	EMB - ISHARES JPMORGAN USD MTS	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.10	IWM - ISHARES RUSSELL 2000 ETF IV	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.11	TIP - ISHARE TIPS BOND ETF IV	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.12	MINT - PIMCO ENHANCED SHRT	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.13	QQQ - POWERSHARES QQQ TRUST	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.14	SPLV - POWERSHARES S&P 500 LOW	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.15	VRP - POWERSHARES VARIABLE	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.16	SPY - SPDR S&P 500 ETF IV	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.17	VIG - VANGUARD DIVIDEND	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.18	VEA - VANGUARD FTSE DEVELOPED	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.19	VWO - VANGUARD FTSE EMERGING	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.20	VGK - VANGUARD FTSE EUROPE ETF IV	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.21	VCIT - VANGUARD INTERMEDIATE	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.22	VTI - VANGUARD TOTAL STOCK	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.23	U.S. Money Market (cash)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	"The Coming Soviet Crash" (Free Press, 1989) (value not readily ascertainable)	N/A			None (or less than \$201)
3	"Money Meltdown" (Free Press, 1994) (value not readily ascertainable)	N/A			None (or less than \$201)
4	"Trump's Contribution to Sound Money" Wall Street Journal (Dow Jones) August 10, 2016	N/A		Honararia	\$600
5	"A Trans-Atlantic Revolt Against Central Bankers", Wall Street Journal (Dow Jones) October 11, 2016	N/A		Honararia	\$600
6	"Currency Manipulation Is a Real Problem" Wall Street Journal (Dow Jones) February 13, 2017	N/A		Honoraria	\$400
7	"Trump as a Democracy Promoter" Wall Street Journal (Dow Jones) June 7, 2017	N/A		Honoraria	\$400

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	"Hello, Central" (Weekly Standard) November 7, 2016	N/A		Honoraria	\$500
9	Anticipated honoraria for speaking at New Orleans 2017 Investment Conference - October 26-27, 2016	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	Anticipated honoraria for speaking at Cato Institute's 35th Annual Monetary Conference - November 16, 2017	N/A	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

None

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA: Windhaven Aggressive Wrap Composite (Charles Schwab)	No			
1.1	EFAV - ISHARE EDGE MSCI MIN VOL	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	TLT ISHARES 20 PLS YEAR	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3	IEF - ISHARES 7-10 YEAR TRSURY	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.4	COMT - ISHARES COMMODITIES SLCT	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	HEWG - ISHARES CURRENCY HEDGED	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	IAU - ISHARES GOLD ETF IV	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.7	HYG - ISHARES IBOX HIGH YIELD	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.8	LQD - ISHARES IBOX INVT GRADE	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.9	EMB - ISHARES JPMORGAN USD MTS	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.10	IWM - ISHARES RUSSELL 2000 ETF IV	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.11	TIP - ISHARES TIPS BOND ETF IV	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.12	MINT - PIMCO ENHANCED SHRT	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.13	QQQ - POWERSHARES QQQ TRUST	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.14	SPLV - POWERSHARES S&P 500 LOW	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.15	VRP - POWERSHARES VARIABLE	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.16	SPY - SPDR S&P 500 ETF IV	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.17	VIG - VANGUARD DIVIDEND	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.18	VEA - VANGUARD FTSE DEVELOPED	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.19	VWO - VANGUARD FTSE EMERGING	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.20	VGK - VANGUARD FTSE EUROPE ETF IV	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.21	VCIT - VANGUARD INTERMEDIATE	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.22	VTI VANGUARD TOTAL STOCK	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.23	U.S. Money Market (cash)	Yes	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Microsoft Corporation - Common Stock	N/A	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000
2	Intel Corporation - Common Stock	N/A	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000
3	General Electric Common Stock	N/A	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000
4	ThomasPartners Dividend Growth Strategy (Charles Schwab)	No			
4.1	3M Company	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.2	AT & T INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.3	ABBOTT LABORATORIES	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.4	ACCENTURE PLC F CLASS A	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.5	AMERICAN EXPRESS CO	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.6	APPLE INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.7	ARTHUR J GALLAGHER&C	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.8	BB&T CORPORATION	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.9	BCE INC F	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.10	BECTON DICKINSON&CO	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.11	CHEVRON CORPORATION	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.12	CISCO SYSTEMS INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.13	COCA COLA COMPANY	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.14	COLGATE-PALMOLIVE CO	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.15	CVS HEALTH CORP	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.16	DEERE & CO	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.17	DIAGEO PLC F	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.18	EVERSOURCE ENERGY	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.19	EXXON MOBIL CORP	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.20	GENUINE PARTS CO	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.21	IBM CORP	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.22	JOHNSON & JOHNSON	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.23	JPMORGAN CHASE & CO	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.24	LEGGETT & PLATT INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.25	LOCKHEED MARTIN CORP	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.26	LOWES COMPANIES INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.27	NESTLE SA ORDF	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.28	NOVARTIS AG F	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.29	OCCIDENTAL PETROL CO	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.30	OMNICOM GROUP INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.31	ORACLE CORPORATION	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.32	PARKER-HANNIFIN CORP	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.33	PFIZER INCORPORATED	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.34	PHILIP MORRIS INTL	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.35	PPG INDUSTRIES	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.36	PROCTER & GAMBLE	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.37	RAYTHEON COMPANY	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.38	STARBUCKS CORP	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.39	T ROWE PRICE GROUP	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.40	TEXAS INSTRUMENTS	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.41	UNITED TECHNOLOGIES	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.42	VERIZON COMMUNICATN	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.43	VF CORPORATION	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.44	WATSCO INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.45	WELLS FARGO BK N A	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.46	ALPS ALERIAN MLP ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.47	U.S. Money Market (cash)	Yes	\$100,001 - \$250,000		None (or less than \$201)
5	U.S. bank account (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
6	BNP Paribas Bank Account (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
7	CIC Banque Transatlantique (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
8	CIC Banque Transatlantique (cash escrowed to guarantee lease payments on rented apartment in Paris)	N/A	\$100,001 - \$250,000		None (or less than \$201)
9	Transatlantique Private Wealth, LLC, Investment Fund (New York)	No			
9.1	iShares Core S&P Small-Cap ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.2	iShares Core S&P US Growth ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
9.3	iShares Core Total USD Bond Market ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
9.4	PIMCO 0-5 Year High Yield Corp Bd ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.5	PowerShares Dynamic Large Cap Value ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.6	SPDR Blmbg Barclays ST Corp Bd ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
9.7	Vanguard FTSE Emerging Markets ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
9.8	WisdomTree Europe Hedged Equity ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.9	Consumer Discret Sel Sect SPDR ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.10	U.S. bank account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.11	iShares 1-3 Year Credit Bond ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
9.12	iShares Core S&P Total US Stock Mkt ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.13	iShares Europe ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.14	PowerShares Fundamental HiYld CorpBd ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.15	Vanguard Information Technology ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.16	Vanguard Short-Term Corporate Bond ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.17	Vanguard Value ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Quicken Loans	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2016	2.875%	30 years
2	Quicken Loans	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2014	3.125%	30 years
3	Charles Schwab & Co.	margin loan	\$1,000,001 - \$5,000,000	2002	90-day LIBOR + .75%	no set term for repayment

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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