

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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**Jereza, Catherine**

Deputy Assistant Secretary, Transmission Permitting & Technical Assistance, Department of Energy

Date of Appointment: 06/01/2017

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Jereza, Catherine [electronically signed on 05/11/2017 by Jereza, Catherine in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kestenbaum, Rachel, Certifying Official [electronically signed on 05/12/2017 by Kestenbaum, Rachel in Integrity.gov]

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Other review conducted by

/s/ Kestenbaum, Rachel, Ethics Official [electronically signed on 05/12/2017 by Kestenbaum, Rachel in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 05/12/2017

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Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(05/12/2017, Kestenbaum, Rachel): 502: General Electric, Edison Electric Institute

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Edison Electric Institute	Washington, District of Columbia	Non-Profit	Director, Infrastructure Resilience	7/2015	Present
2	McLeod Group, LLC	Columbia, Maryland	Corporation	Director	11/2014	7/2015

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Edison Electric Institute, Washington, DC	N/A		Salary/Bonus	\$205,250
2	VSE Prudential 401K				
2.1	Guaranteed Income Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	Prudential Total Return Z	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.3	American Fund American Mutual R4	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.4	Fidelity Advisor New Insights	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
2.5	American Century Mid Cap	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.6	Janus Enterprise Fund Class T	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.7	Fidelity Advisor Small Cap	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.8	Janus Triton T	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.9	American Funds EuroPac Gr R4	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Edison Electric Institute Vanguard 401K				
3.1	Vanguard Target Retirement 2035	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4	Maryland Teachers and State Employees Supplemental Retirement Plans (MSRP) 401K				
4.1	American Century Equity Growth Institutional Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.2	Delaware Value Inst	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.3	Fidelity Puritan Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.4	T Rowe Price Institutional Small Cap Stock Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5	Maryland Teachers and State Employees Supplemental Retirement Plans (MSRP) 457				
5.1	American Century Equity Growth Institutional Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.2	Delaware Value Inst	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	Fidelity Puritan Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.4	T Rowe Price Inst Small Cap Stock Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6	Maryland Teachers and State Employees Supplemental Retirement Plans (MSRP) 401a				
6.1	T Rowe Price Institutional Small Cap Stock Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Vanguard Roth IRA				
7.1	Vanguard Target Retirement 2035 Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	VSE Corporation	Arlington, Virginia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	12/2004
2	Edison Electric Institute	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	7/2015
3	Maryland Teachers and State Employees Supplemental Retirement Plans (MSRP)	Baltimore, Maryland	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	5/2002

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Edison Electric Institute	Washington, District of Columbia	Serve as Director, Infrastructure Resilience and Senior Manager, Electric Sector and National Infrastructure Protection.
2	McLeod Group, LLC	Columbia, Maryland	Serve as Director

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	General Electric	N/A		salary, bonus	
2	Morgan Stanley IRA				
2.1	Clearbridge Value Trust C (LMVTX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	QS International Equity C (LMGEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	General Electric Fidelity 401K				
3.1	Fidelity 2025 Target Retirement Fund	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4	Massimo Fidelity 401K				
4.1	American Funds 2025 Target Date Retirement Fund R6	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5	Washington Adventist Hospital Voya 403B				
5.1	Voya Growth and Income Portfolio I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.2	Fidelity VIP Growth Portfolio I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.3	VY Oppenheimer Global Portfolio I	Yes	\$1,001 - \$15,000		\$201 - \$1,000

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Residential Property, Annapolis, MD	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
2	US Bank 1 cash account	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	US Bank 2 cash account	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Estate of Family Member				
4.1	Residential Property, Fremont, OH	N/A	\$100,001 - \$250,000	14% interest in inherited real property; no income	\$0

## 7. Transactions

(N/A) - Not required for this type of report

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## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Bank of America	Mortgage (investment/rental property)	\$100,001 - \$250,000	2014	5.25	30 Yr

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## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

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## Endnotes