

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Montgomery, Brian Darrell

Assistant Secretary (Housing-Federal Housing Commissioner), Department of Housing and Urban Development

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- **Committee on Banking, Housing, and Urban Affairs**
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Montgomery, Brian Darrell [electronically signed on 06/12/2017 by Montgomery, Brian Darrell in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ CRUCIANI, LINDA M, Certifying Official [electronically signed on 09/19/2017 by CRUCIANI, LINDA M in Integrity.gov]

Other review conducted by

/s/ Allen, Lindsey, Ethics Official [electronically signed on 09/19/2017 by Allen, Lindsey in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 09/29/2017 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Collingwood Group LLC		Washington, District of Columbia	LLC	Vice Chairman	8/2009	Present
2	The Radian Group Inc.		Philadelphia, Pennsylvania	Corporation	Board of Directors	5/2012	Present
3	Reverse Mortgage Funding LLC	See Endnote	Bloomfield, New Jersey	LLC	Board of Directors	12/2016	Present
4	Commonwealth USA Settlements, LLC		Pittsburgh, Pennsylvania	LLC	Minority Partner	7/2013	12/2015
5	Kuity, Inc	See Endnote	San Diego, California	Corporation	Director	6/2011	6/2015
6	Situs Group, LLC	See Endnote	Houston, Texas	LLC	Managing Director	5/2017	Present
7	Reverse Mortgage Investment Trust		Bloomfield, New Jersey	Corporation	Board of Directors	2/2014	12/2016

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The Radian Group	See Endnote	N/A		Director fees	\$86,625
2	The Collingwood Group (Risk Management)	See Endnote	N/A		Salary	\$637,500
3	The Collingwood Group (Risk Management)		N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
4	The Radian Group, vested and unvested restricted stock units		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
5	Reverse Mortgage Investment Trust (RMIT), common stock		N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Reverse Mortgage Investment Trust (Reverse mortgage lender and servicer.)	See Endnote	N/A		Director fees	\$42,155
7	Commonwealth USA Settlements, LLC (Loan settlement services.)	See Endnote	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
8	Texas Employees Retirement System Defined Benefit Plan		N/A	\$15,001 - \$50,000		None (or less than \$201)
9	Situs Group, LLC, cash receivable		N/A	\$15,001 - \$50,000		None (or less than \$201)
10	The Collingwood Group 401K		No			
10.1	Principal LifeTime 2020		Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
11	Edward Jones IRA		No			
11.1	Bridge Builder Core Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
11.2	Bridge Builder Core Plus Bond		Yes	\$1,001 - \$15,000		None (or less than \$201)
11.3	Bridge Builder Large Growth		Yes	\$1,001 - \$15,000		None (or less than \$201)
11.4	Bridge Builder Large Value		Yes	\$15,001 - \$50,000		None (or less than \$201)
11.5	Bridge Builder SmallMid Growth		Yes	\$1,001 - \$15,000		None (or less than \$201)
11.6	Bridge Builder SmallMid Value		Yes	\$1,001 - \$15,000		None (or less than \$201)
11.7	Capital World Bond Fund CLF2		Yes	\$1,001 - \$15,000		None (or less than \$201)
11.8	Dimensional DFA International Value Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
11.9	Dodge & Cox Stock Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
11.10	EuroPacific Growth Fund CLF2		Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.11	Franklin Mutual Shares Fund CLZ	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.12	John Hancock Disciplined Value Mid Cap Fund CL1	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.13	JP Morgan US Government Money Market Fund Capital CL	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.14	Metropolitan West Funds Total Return Bond Fund CL 1	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.15	MFS International Value Fund CL 1	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.16	Pimco Funds Total Return Fund IV Inst CL	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.17	Prudential High Yield Fund CL Q	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.18	Prudential Jennison Mid-Cap Growth Fund Inc CL Q	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.19	Royce Premier Fund Institutional CL	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.20	T Rowe Price Institutional Large Cap Growth Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.21	T Rowe Price International Discovery Fund CL 1	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.22	Victory Sycamore Small Company Opportunity Fund Class 1	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	Edward Jones IRA #2	No			
12.1	Cisco Systems, Inc. - Common Stock	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
12.2	General Electric Co Common Stock	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
12.3	Hexcel Corp Common Stock	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
12.4	Johnson & Johnson Common Stock	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
12.5	Kimberly Clark Corp Common Stock	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.6	Oracle Corp Common Stock	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
12.7	Pfizer Inc Common Stock	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
12.8	Signature Bank - Common Stock	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
12.9	Time Warner Inc Common Stock	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
12.10	MFS Moderate Allocation Fund CL A	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
13	The Radian Group, anticipated director fees	N/A	\$100,001 - \$250,000		None (or less than \$201)
14	Situs Group, LLC, ordinary common units	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
15	Situs Group LLC	N/A		Salary	\$28,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	The Radian Group	Philadelphia, Pennsylvania	The Radian Group will accelerate the vesting of my nonvested restricted stock units, which will occur before I assume the duties of Assistant Secretary. Pursuant to Radian's equity plan, my vested restricted stock units are converted into shares of Radian's common stock upon resignation.	5/2012
2	The Collingwood Group	Washington, District of Columbia	I will continue to participate in this defined contribution plan. My former employer will not make further contributions after my separation.	1/2010
3	Employee Retirement System of Texas	Austin, Texas	I will continue to participate in this defined benefit plan.	2/1995
4	Situs Group, LLC	Houston, Texas	Upon confirmation, The Situs Group, Inc. will convert my ordinary common units to cash, which will occur before I assume the duties of Assistant Secretary of Housing/FHA Commissioner. The Situs Group, Inc. will also make a cash payment to me equal to the remaining balance of its acquisition of The Collingwood Group LLC, in May 2017.	5/2017

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
5	The Radian Group	Philadelphia, Pennsylvania	Upon my resignation, I will be entitled to a prorated portion of my \$115,000 annual equity award in cash for time served since the previous annual meeting.	5/2012
6	The Radian Group	Philadelphia, Pennsylvania	Pursuant to Radian's director compensation program, which is described in Radian's annual proxy statement filed with the SEC, I am paid an annual retainer for my service of \$32,500 (which is paid \$8,125 in advance on a quarterly basis) and \$2,000 per meeting attended (paid in arrears).	5/2012
7	The Radian Group	Philadelphia, Pennsylvania	I am entitled to expense reimbursements in connection with my attendance at Radian Group's August 2017 Board of Director and Board Committee meetings and in connection with any future meetings I might attend prior to my resignation.	5/2012

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	The Collingwood Group	Washington, District of Columbia	Co-founder and partner, I assist in new client prospecting and current client maintenance. I assist in the general management and strategic direction of the company.
2	The Radian Group	Philadelphia, Pennsylvania	I help guide strategic direction of the company with a focus revenue, growth, compliance, personnel, audits, investment strategy, and legal matters. I also serve on the credit and audit committees.
3	Commonwealth USA Settlements, LLC	Pittsburgh, Pennsylvania	I served as corporate director and exercised the corporate governance responsibilities that are normal and customary as a board member.
4	Situs Group, LLC	Houston, Texas	I assist in new client prospecting and current client maintenance. I assist in the general management and strategic direction of the company.
5	Caliber Home Loans	Irving, Texas	My work involved providing insight and advice on FHA policies and procedures.
6	Dorsey & Whitney, LLP	Washington, District of Columbia	My work involved providing insight and advice on FHA policies and procedures.
7	McGuireWoods, LLP	Washington, District of Columbia	My work involved providing insight and advice on FHA policies and procedures.

#	SOURCE NAME		CITY, STATE	BRIEF DESCRIPTION OF DUTIES
8	Morrison & Foerster, LLP		Washington, District of Columbia	My work involved providing insight and advice on FHA policies and procedures.
9	Nationstar Mortgage		Irving, Texas	My work involved providing insight and advice on FHA policies and procedures.
10	Solar City, Inc		San Mateo, California	My work involved providing insight and advice on FHA policies and procedures.
11	Ten-X, Inc.		Irvine, California	My work involved providing insight and advice on FHA policies and procedures.
12	Well Fargo Bank, NA		Des Moines, Iowa	My work involved providing insight and advice on FHA policies and procedures.
13	US Bank		Minneapolis, Minnesota	My work involved providing insight and advice on FHA policies and procedures.
14	Accenture Consulting		Washington, District of Columbia	My work involved providing insight and advice on FHA policies and procedures.
15	Reverse Mortgage Funding LLC	See Endnote	Bloomfield, New Jersey	I help provide strategic guidance on the direction of the company. I also help ensure RMF has the necessary oversight particularly in the areas of Governance and Compensation as I sit on those two Board committees.

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The Chertoff Group (Homeland and Cyber Security Consulting)	N/A		salary, bonus	
2	The Chertoff Group (investment plans)	No			
2.1	Diamond Holdings LLC, (business services)	No			
2.1.1	Delta Risk LLC, (cyber security)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2	Vulcan Holdings LLC, (business services)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.2.1	Coal Fire Systems, Inc., (cyber security)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.3	SC SPV LLC, (banking and financial services)	No			
2.3.1	Silent Circle, (cyber security for banking and financial services)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Chertoff Group 401(K) Plan	Yes			
3.1	JPMorgan Smart Reirement 2030A	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.2	JPMorgan Smart Retirement 2040A	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	JP Morgan Intrepid Value A	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	Edward Jones IRA	No			
4.1	American Fundamental Investors CLF3	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.2	American International Growth and Income CLF3	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	Artisan International Value Fund Advisor Class	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.4	Bridge Builder Core Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	Bridge Builder Intl Equity	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.6	Bridge Builder Large Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.7	Bridge Builder SmallMid Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.8	Bridge BuilderSmallMid Value	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.9	Delaware Small Cap Value Fund CL 1	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.10	Delaware US Growth Fund CL 1	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.11	Dodge & Cox Stock Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.12	Hotchkis & Wiley Mid Cap Value Fund CL 1	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.13	Invesco Comstock Fund Class Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.14	JPMorgan US Government Money Market Fund Capital CL	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.15	MFS Value Fund CL 1	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.16	Oppenheimer International Grow Fund CL 1	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.17	PIMCO Funds High Yield Fund Institutional Class	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.18	PrimeCap Odyssey Stock Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.19	T Rowe Price Institutional Large Cap Growth Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.20	T Rowe Price International Discovery Fund CL 1	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.21	T Rowe Price Small Cap Value CL 1	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.22	Victory Munder Mid Cap Core Growth Fund CL Y	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Virginia 529 College Savings Plan	No			
1.1	Portfolio 2024	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	Virginia 529 College Savings Plan	No			
2.1	Portfolio 2030	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Single Family Rental Property, Austin TX	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
4	U.S. bank account #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	U.S. bank account #2 (cash)	N/A	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
6	U.S. bank account #3 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2015	3.875	30

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	3	Parent company of Reverse Mortgage Investment Trust.
1.	5	Position was unpaid.
1.	6	The Situs Group, Inc acquired The Collingwood Group in May 2017. The Collingwood Group name remains with the addition "A Situs Company."
2.	1	All Board compensation from Radian is paid directly to me but is a pass-thru to my employer The Collingwood Group.
2.	2	The Collingwood Group was acquired by The Situs Group, Inc in May 2017. The Collingwood Group name will remain with the addition of "A Situs Company."
2.	6	All Board compensation from Reverse Mortgage/RMIT Funding is paid directly to me but is a pass-thru to my employer The Collingwood Group.
2.	7	The income derived was a one-time payment upon sale of the company.
4.	15	Some compensation was received when it was called Reverse Mortgage Investment Trust.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
