

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Mahoney, Curtis Joseph**

Deputy US Trade Representative (Investment, Services, Labor, Environment, Africa, China and the Western Hemisphere), Office of the U.S. Trade Representative

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Names of Congressional Committees Considering Nomination:

- **Committee on Finance**
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

*/s/ Mahoney, Curtis Joseph [electronically signed on 05/24/2017 by Mahoney, Curtis Joseph in Integrity.gov]*

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

*/s/ Kaye, Janice A, Certifying Official [electronically signed on 07/31/2017 by Kaye, Janice A in Integrity.gov]*

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Other review conducted by

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U.S. Office of Government Ethics Certification

*/s/ Apol, David, Certifying Official [electronically signed on 08/02/2017 by Apol, David in Integrity.gov]*

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Williams & Connolly LLP	Washington, District of Columbia	Law Firm	partner	11/2008	Present
2	Yale Law School	New Haven, Connecticut	University/College	visiting lecturer	1/2015	Present
3	Yale Law School Fund Board	New Haven, Connecticut	University/College	board member	4/2017	Present
4	International Institute for Conflict Prevention & Resolution Inc (CPR)	New York, New York	Non-Profit	Young Attorneys in International Dispute Resolution (Y-ADR) program, steering committee member	1/2016	Present
5	PRM 2016 Irrevocable Trust	New York, New York	Trust	trustee	1/2016	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Williams & Connolly LLP (law firm)	N/A		partnership share	\$833,238
2	Williams & Connolly LLP (law firm) anticipated partnership share	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3	Williams & Connolly LLP (law firm) defined benefit plan (I will receive approximately \$1260/month starting in the year after I turn 65) (value not readily ascertainable)	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Williams & Connolly LLP (law firm) capital account	N/A	\$50,001 - \$100,000		None (or less than \$201)
5	Williams & Connolly LLP (law firm) defined contribution plan	No			
5.1	Wellington Trust Target 2040 Portfolio	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
6	McKinsey & Company defined contribution plan	No			
6.1	Aon Special Situations Portfolio (underlying assets are not ascertainable)	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Williams & Connolly LLP	Washington, District of Columbia	partnership share: In accordance with the Partnership Agreement, I will receive a pro rata share of distributable net earnings as a partner calculated as of the day I withdraw from the firm. The firm will make the final payment by the 60th day following my withdrawal from the partnership.	1/2015
2	Williams & Connolly LLP	Washington, District of Columbia	capital account: In accordance with the Partnership Agreement, I will receive the balance in my capital account in a lump sum no later than 60 days after the date I withdraw from the partnership.	1/2015
3	Williams & Connolly LLP	Washington, District of Columbia	defined benefit plan: I will either continue to participate in this defined benefit plan or take a lump sum distribution. In either case, Williams & Connolly will make no contributions to the plan after my separation from the firm.	1/2015
4	McKinsey & Company	New York, New York	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	8/2000

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
5	Williams & Connolly LLP	Washington, District of Columbia	defined contribution plan: I will continue to participate in this defined contribution plan. Williams & Connolly will make no contributions to the plan after my separation from the firm.	1/2015

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Williams & Connolly LLP (law firm)	Washington, District of Columbia	Partner
2	21st Century Fox	New York, New York	legal services
3	AES Corporation	Arlington, Virginia	legal services
4	Airlines for America	Washington, District of Columbia	legal services
5	Bank of America	Charlotte, North Carolina	legal services
6	Carlyle Group, T.C.	Washington, District of Columbia	legal services
7	Contourglobal	New York, New York	legal services
8	Coventry Group LLC	Fort Washington, Pennsylvania	legal services
9	Kevin B. Cox	Arlington, Tennessee	legal services
10	Danaher Corporation	Washington, District of Columbia	legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
11	FBR & Co.	Arlington, Virginia	legal services
12	Fjordtech Industries, Inc.	Saguenay Québec, Outside U.S.	legal services
13	Genentech, Inc.	South San Francisco, California	legal services
14	Hunton & Williams	Richmond, Virginia	legal services
15	Intel Corporation	Santa Clara, California	legal services
16	Kaplan Higher Education	Chicago, Illinois	legal services
17	KPMG LLP	Washington DC and New York NY	legal services
18	Linnetsong	London England, Outside U.S.	legal services
19	Jesse C. Litvak	Boca Raton, Florida	legal services
20	Merck & Co.	Kenilworth, New Jersey	legal services
21	Morzan Empreendimentos e Participaes Ltda	Sao Paulo Brazil, Outside U.S.	legal services
22	Islamic Republic of Pakistan	Islamabad Pakistan, Outside U.S.	legal services (not related to trade issues)
23	News Corporation	New York, New York	legal services
24	Prime Institutional Group LLP	Dallas, Texas	legal services
25	Rogers Group	Nashville, Tennessee	legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
26	Lily Safra	Geneva Switzerland, Outside U.S.	legal services
27	Samsung Electronics America, Inc.	Washington, District of Columbia	legal services
28	Gonzalo Snchez de Lozada	Chevy Chase, Maryland	legal services
29	School Choice Foundation	New York, New York	legal services
30	US Chamber of Commerce	Washington, District of Columbia	legal services
31	Willis Towers Watson PLC	London England, Outside U.S.	legal services
32	technology company	See Endnote	Legal services in connection with an ongoing, non-public Department of Justice investigation. Disclosure of the identity of the client could prejudice the client and the client has not consented to disclosure.
33	U.S. public official	See Endnote	Legal services in connection with a non-public Department of Justice investigation. Disclosure of the identity of the client could prejudice the client and the client has not consented to disclosure.
34	U.S. private equity executive	See Endnote	Legal services in connection with confidential arbitration proceedings. Disclosure of the identity of the client could prejudice the client and the client has not consented to disclosure.
35	Brazilian businessman	See Endnote	Legal services in connection with confidential arbitration proceedings. Disclosure of the identity of the client could prejudice the client and the client has not consented to disclosure.
36	unnamed Roman Catholic Diocese	See Endnote	Legal services regarding issues of religious liberty and alleged clerical abuse of minors. Disclosure of the identity of the client could prejudice the client and the client has not consented to disclosure.
37	Archdiocese of Washington	Washington, District of Columbia	legal services

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Potomac School McLean VA	N/A		salary as a teacher	
2	Potomac School retirement account	No			
2.1	TIAA Traditional Annuity	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.2	CREF Stock Account Class R2	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.3	CREF Growth Account Class R2	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.4	CREF Global Equities Account Class R2	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.5	TIAA Real Estate Account	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Hopkins retirement account	No			
3.1	CREF Inflation Linked-Bond Account Class R2	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	TIAA Traditional Annuity	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3	CREF Stock Account Class R2	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	TIAA Real Estate Account	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Westridge School retirement account				
4.1	TIAA Traditional Annuity	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	DC College Savings Plans (529 accounts)	No			
1.1	DC College Savings 2031 Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.2	DC College Savings 2028 Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	Vanguard 500 Index Fund (VFIAX)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
3	Vanguard Intermediate Term Tax Exempt Fund (VWIUX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4	Vanguard 500 Index Fund (VFIAX)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
5	Vanguard Extended Market Index Fund (VEXAX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
6	IBM stock (IBM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7	AQR Multi-Strategy Alternative Fund (ASAIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8	First Eagle Global Fund (SGIIX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
9	Gateway Fund (GTEYX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
10	Nuveen Limited Term Mutual Bond Fund (FLTRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11	Vanguard Prime Money Market Fund (VMMXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
12	Vanguard Total International Stock Index Fund (VTIAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13	Cohen & Steers Preferred Securities and Income Fund (CPXIX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
14	US bank cash account	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000



## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2015	3.625	30 year fixed
2	Wells Fargo	Exercised Line of Credit	\$100,001 - \$250,000	2016	3.5%	Variable
3	ACS	Student Loan	\$50,001 - \$100,000	2000	3.75	30 year
4	American Express	Credit Card	\$15,001 - \$50,000	2017	16%	revolving
5	Chase Visa Card	Credit Card	\$10,001 - \$15,000	2017	14.99	revolving

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

PART	#	ENDNOTE
4.	32	Disclosure is prohibited by Rule 1.6 of the D.C. Rules of Professional Conduct.
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4.	34	Disclosure is prohibited by Rule 1.6 of the D.C. Rules of Professional Conduct.
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# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

## **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

## **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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October 30, 2017

Janice Kaye  
Designated Agency Ethics Official  
Office of the United States Trade Representative  
600 17<sup>th</sup> Street NW  
Washington DC 20508

Dear Ms. Kaye:

The purpose of this letter is to amend Part 6 of my public financial disclosure report, which I signed on May 24, 2017. I inadvertently omitted an investment in Dandel Enterprises, Inc., a privately owned software start-up company. The amendment is below:

**Part 6**

<b>Description</b>	<b>EIF</b>	<b>Value</b>	<b>Income Type</b>	<b>Income Amount</b>
Dandel Enterprises, Inc. (software company)	N/A	\$1,001 - \$15,000		None (or less than \$201)

I understand that the Office of Government Ethics will release this letter as an attachment to my financial disclosure report.

Sincerely,



C.J. Mahoney