Nominee Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (March 2014)

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Tarbert, Heath Price

Assistant Secretary for International Markets & Development, Department of the Treasury

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

Committee on Banking, Housing, and Urban Affairs

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Tarbert, Heath Price [electronically signed on 02/16/2017 by Tarbert, Heath Price in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Horton, Elizabeth A, Certifying Official [electronically signed on 04/05/2017 by Horton, Elizabeth A in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 04/07/2017 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	то
1	Allen & Overy LLP	Washington, District of Columbia	Law Firm	Partner	1/2014	Present
2	Harvard Law School	Cambridge, Massachusetts	University/Colle ge	Senior Fellow	2/2014	Present
3	Review of Banking & Financial Services	New York, New York	Journal	Board of Advisors	1/2015	Present
4	Review of Securities & Commodities Regulation	New York, New York	Journal	Board of Advisors	1/2011	Present
5	Bloomberg BNA Banking Report	Arlington, Virginia	Journal	Board of Advisors	1/2015	Present
6	The Banking Law Journal	Miller Place, New York	Journal	Board of Editors	1/2011	Present

2. Filer's Employment Assets & Income and Retirement Accounts

DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
Allen & Overy LLP (law firm)	See Endnote	N/A		partnership share	\$1,060,000
Allen & Overy LLP (law firm) capital account		N/A	\$250,001 - \$500,000		None (or less than \$201)
Allen & Overy LLP (law firm) anticipated partnership share	See Endnote	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
Allen & Overy 401(k) Plan					
Fidelity 500 Index Pr (FUSVX)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
MFS Intl Value R3 (MINGX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
	Allen & Overy LLP (law firm) Allen & Overy LLP (law firm) capital account Allen & Overy LLP (law firm) anticipated partnership share Allen & Overy 401(k) Plan Fidelity 500 Index Pr (FUSVX)	Allen & Overy LLP (law firm) Allen & Overy LLP (law firm) capital account Allen & Overy LLP (law firm) anticipated partnership share Allen & Overy 401(k) Plan Fidelity 500 Index Pr (FUSVX)	Allen & Overy LLP (law firm) Allen & Overy LLP (law firm) capital account N/A Allen & Overy LLP (law firm) anticipated partnership share Allen & Overy 401(k) Plan Fidelity 500 Index Pr (FUSVX) See Endnote N/A Yes	Allen & Overy LLP (law firm) See Endnote N/A Allen & Overy LLP (law firm) capital account N/A \$250,001 - \$500,000 Allen & Overy LLP (law firm) anticipated partnership share Allen & Overy 401(k) Plan Fidelity 500 Index Pr (FUSVX) Yes \$50,001 - \$100,000 MFS Intl Value R3 (MINGX) Yes \$15,001 -	Allen & Overy LLP (law firm) See Endnote N/A \$250,001 - \$500,000 Allen & Overy LLP (law firm) anticipated partnership share N/A \$500,000 Allen & Overy LLP (law firm) anticipated See Endnote N/A \$500,001 - \$1,000,000 Allen & Overy 401(k) Plan Fidelity 500 Index Pr (FUSVX) Yes \$50,001 - \$100,000 MFS Intl Value R3 (MINGX) Yes \$15,001 -

#	DESCRIPTION	EIF	VALUE INCOME TYP	E INCOME AMOUNT
4.3	Fidelity Advisor FA Freedom 2040A (FAFFX)	Yes	\$15,001 - \$50,000	\$201 - \$1,000
4.4	Fidelity US Bond Index Pr (FSITX)	Yes	\$15,001 - \$50,000	\$201 - \$1,000
4.5	Franklin Small Cap Growth A (FSGRX)	Yes	\$1,001 - \$15,000	None (or less than \$201)
5	Federalist Society (Law & Innovation Project) - 10/11/2016	N/A	honorarium	\$1,000
6	Weil, Gotshal & Manges, LLP Partners' Target Pension Plan (underlying assets not ascertainable)	No	\$50,001 - \$100,000	None (or less than \$201)
7	Weil, Gotshal & Manges LLP, capital account receivable	N/A	\$1,001 - \$15,000 Interest	\$201 - \$1,000
8	New York Life Insurance Company, variable life, underlying assets below reporting threshold	No	\$1,001 - \$15,000	None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Allen & Overy LLP	Washington, District of Columbia	Pursuant to the partnership agreement, following my withdrawal from the firm, I will receive any remaining partnership share distribution for fiscal year 2016 (May 1, 2015-April 30, 2016) in the month following my withdrawal. I will receive the final payment for the fiscal year 2017 (May 1, 2016-April 30, 2017) in the month following my withdrawal along with a one-off, reconciliation payment once the accounts for the year have been finalized, expected to occur in August 2017. My partnership share for fiscal year 2017 will include only the time I served at the firm. Payments made to me will be calculated up to the date of my withdrawal. For any service I provide the firm after April 30, 2017, I will be paid a fixed amount, which will be calculated using the firm's forecasted profits per point for fiscal year 2018 minus 6%, and paid to me based upon the number of days worked (or days otherwise subject to paid time off) per month.	1/2014
2	Allen & Overy LLP 401(k) plan	Washington, District of Columbia	I will continue to participate in this defined contribution plan until 120 days after my withdrawal from the firm, when I will roll my assets into another retirement account. The plan sponsor will not make further unreimbursed contributions after my separation.	1/2014
3	Weil, Gotshal & Manges, LLP	Washington, District of Columbia	l will continue to participate in the Weil, Gotshal & Manges, LLP Partners' Target Pension Plan.	1/2012
4	Weil, Gotshal & Manges, LLP	Washington, District of Columbia	In approximately January or February 2018, the firm will return the remaining balance of my partner capital account totaling \$5,849.99.	1/2012
5	Allen & Overy LLP	Washington, District of Columbia	Pursuant to the partnership agreement, following my withdrawal from the firm, the firm will notify Citibank Europe plc, UK Branch, of my withdrawal and arrange for the payment/discharge of my outstanding loan entered into to finance my capital account. If applicable, the firm will then pay me any outstanding balance of the capital account. Any balance of my capital account due to me will be calculated as of the date of my withdrawal.	1/2014

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
6	Weil, Gotshal & Manges, LLP	Washington, District of Columbia	Pursuant to an agreement with my former employer, Weil, Gotshal & Manges, LLP, I will continue to participate in an insurance policy offered to Weil employees. I have agreed not to access the cash value of the policy until I am 65. Weil controls the choice of the underlying investments in this insurance policy, but makes no contributions to the premium payments for the policy.	1/2012

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Skandinaviska Enskilda Banken AB	Stockholm, Outside U.S.	providing legal services
2	Danske Bank A/S	Copenhagen, Outside U.S.	providing legal services
3	CLSA Capital Partners Ltd.	Hong Kong, Outside U.S.	providing legal services
4	CFA Institute	Charlottesville, Virginia	providing legal services
5	J.P. Morgan Chase & Co.	New York, New York	providing legal services
6	The Bank of New York Mellon Corp.	New York, New York	providing legal services
7	The Bank of Tokyo-Mitsubishi UFJ Ltd.	Tokyo, Outside U.S.	providing legal services
8	The Goldman Sachs Group Inc.	New York, New York	providing legal services
9	Bank of America Corporation	Charlotte, North Carolina	providing legal services
10	Citigroup Inc.	New York, New York	providing legal services
11	Nomura Holdings Inc.	Toyko, Outside U.S.	providing legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
12	Credit Suisse Group AG	Zürich, Outside U.S.	providing legal services
13	HSBC Holdings plc	London, Outside U.S.	providing legal services
14	Morgan Stanley & Co.	New York, New York	providing legal services
15	ABN Amro Holding N.V.	Amsterdam, Outside U.S.	providing legal services
16	Bank of China Co., Ltd.	Beijing, Outside U.S.	providing legal services
17	The Royal Bank of Scotland Group plc	Edinburgh, Outside U.S.	providing legal services
18	Tullett Prebon Group Holdings plc	London, Outside U.S.	providing legal services
19	Ministry of Finance, The Netherlands	Amsterdam, Outside U.S.	providing legal services
20	NetOTC Holdings S.a.r.l.	Luxembourg, Outside U.S.	providing legal services
21	Silicon Valley Bank	Santa Clara, California	providing legal services
22	Mubadala Infrastructure Partners Ltd.	Abu Dhabi, Outside U.S.	providing legal services
23	OneWest Bank FSB	Pasadena, California	providing legal services
24	Central Bank of Jordan	Amman, Outside U.S.	providing legal services
25	Senator Investment Group LP	New York, New York	providing legal services
26	J. Christopher Giancarlo	Washington, District of Columbia	providing legal services
27	ExxonMobil Iraq Services Ltd.	Baghdad, Outside U.S.	providing legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
28	Novo Banco S.A.	Lisbon, Outside U.S.	providing legal services
29	Federal National Mortgage Association (Fannie Mae)	Washington, District of Columbia	providing legal services
30	First Republic Bank	San Francisco, California	providing legal services
31	Bureau of National Affairs, Inc. (Bloomberg BNA)	Arlington, Virginia	authoring legal treatises/commentaries
32	Annaly Capital Management, Inc.	New York, New York	providing legal services
33	Worldpay Group plc	London, Outside U.S.	providing legal services
34	Bilateral Risk Management Services LLC	New York, New York	providing legal services
35	General Motors Company	Detroit, Michigan	providing legal services
36	Hua Nan Commercial Bank, Ltd.	Taipei, Outside U.S.	providing legal services
37	Allen & Overy LLP	Washington, District of Columbia	providing legal services
38	Bank Handlowy w Warszawie S.A.	Warsaw, Outside U.S.	providing legal services

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	O'Melveny & Myers 401(k) Roth (no current holdings)				
1.1	Fidelity Freedom 2050 Fund (FFFHX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500

6. Other Assets and Income

\$100,001 - None (or les
\$250,000 than \$201)
\$50,001 - Interest \$201 - \$1,00 \$100,000
\$1,001 - \$15,000 None (or les than \$201)
\$15,001 - None (or les \$50,000 than \$201)
\$15,001 - None (or les \$50,000 than \$201)
\$15,001 - None (or les \$50,000 than \$201)
\$15,001 - None (or les \$50,000 than \$201)
\$15,001 - None (or les \$50,000 than \$201)
\$100,001 - \$5,001 - \$15 \$250,000
\$15,001 - \$2,501 - \$5,0 \$50,000
\$100,001 - \$1,001 - \$2,5 \$250,000
\$1,001 - \$15,000 None (or les than \$201)
\$15,001 - None (or les \$50,000 than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.7	Columbia Dividend Income CL Z (GSFTX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
8.8	iShares Core High Dividend ETF (HDV)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
8.9	WisdomTree Trust Europe Hedged Equity ETF (HEDJ)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
8.10	Oakmark Intl Investor Cl (OAKIX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
8.11	Templeton Global Bond Advisor Cl (TGBAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.12	TCW Total Return Cl I (TGLMX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
8.13	Vanguard Dividend Appreciation ETF (VIG)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
8.14	Vanguard Growth ETF (VUG)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
8.15	Vanguard FTSE Emerging Markets ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
8.16	iShares Currency Hedged MSCI Eurozone ETF (HEZU)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
8.17	DoubleLine Total Return Bond Cl I (DBLTX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
9	Roth IRA #1	·			
9.1	U.S. bank account #5	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2	Aberdeen Asia Pacific Income Fund(FAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.3	Columbia Dividend Income CL Z (GSFTX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.4	iShares Gold Trust (IAU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.5	iShares MSCI Emerging Markets ETF (EEM)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.6	iShares S&P 100 ETF (OEF)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.7	Nationwide Highmark Short Term Bond Instl Service Cl (NWJVX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.8	Oakmark Intl Investor Cl (OAKIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.9	Oakmark Investor Cl (OAKMX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.10	TCW Total Return Cl I (TGLMX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.11	Templeton Global Bond Advisor Cl (TGBAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.12	Vanguard Dividend Appreciation ETF (VIG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.13	Vanguard Growth ETF (VUG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.14	WisdomTree Trust Europe Hedged Equity ETF (HEDJ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	Roth IRA #2				
10.1	U.S. bank account #5	N/A	\$50,001 - \$100,000		None (or less than \$201)
10.2	iShares MSCI Emerging Markets ETF (EEM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.3	iShares S&P 100 ETF (OEF)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.4	iShares Currency Hedged MSCI Eurozone ETF (HEZU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.5	Loomis Sayles Bond Instl Cl (LSBDX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.6	Oakmark Intl Investor Cl (OAKIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.7	Oakmark Investor Cl (OAKMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.8	TCW Total Return Cl I (TGLMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Citibank Europe plc, UK Branch	Loan to finance Allen & Overy partnerhsip interest	\$250,001 - \$500,000	2014	1.75	5 years
2	SunTrust Mortgage, Inc	Mortgage on Personal Residence	\$250,001 - \$500,000	2011	3.99	30 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	1	Gross remuneration received during reporting period totaled £860,000 (converted to U.S. currency using average exchange rates on or around March 8, 2017).

PART	#	ENDNOTE
2.	3	Amount of receivable due is $\pm 530,000$ (converted to U.S. currency using average exchange rates on or around March 6, 2017).

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person. subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another: (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).