

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Grenell, Richard A.**

Ambassador to the Federal Republic of Germany, Department of State

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Names of Congressional Committees Considering Nomination:

- **Committee on Foreign Relations**
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Grenell, Richard A. [electronically signed on 07/20/2017 by Grenell, Richard A. in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Huitema, David, Certifying Official [electronically signed on 09/06/2017 by Huitema, David in Integrity.gov]**

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Other review conducted by

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U.S. Office of Government Ethics Certification

**/s/ Apol, David, Certifying Official [electronically signed on 09/08/2017 by Apol, David in Integrity.gov]**

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Capitol Media Partners	Los Angeles, California	Limited liability Corporation	Managing Member	1/2010	Present
2	Treatment Technologies and Insights, LLC	Los Angeles, California	Limited Liability Corporation	Managing Member	10/2016	Present
3	Fox News	Los Angeles, California	Media Company	Contributor	6/2013	12/2016
4	Newsmax	Boca Raton, Florida	Media Company	Advisory Board	1/2013	12/2016

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Capitol Media Partners (public affairs consulting)	No		LLC Distributions/bonus	\$668,362
1.1	Cash account in a U.S. bank	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	Fox News	N/A		Salary	\$80,000
3	Newsmax	N/A		Board Fees	\$13,000
4	DU PONT E I DE NEMOURS	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	GENERAL ELECTRIC CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	BED BATH & BEYOND INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	GENERAL MOTORS CORP (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	TARGET CORP	N/A	\$1,001 - \$15,000		\$201 - \$1,000
9	PHILIP MORRIS INTERNATIONAL	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	PROCTER & GAMBLE CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	WAL-MART STORES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	JOHNSON & JOHNSON	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	CONOCOPHILLIPS	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	EXXON MOBIL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
15	ALLSTATE	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
16	FIFTH THIRD	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	JPMORGAN CHASE & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
18	TRAVELERS COMPANIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	WASHINGTON REAL ESTATE INVESTMENT TRUST	Yes	\$1,001 - \$15,000		None (or less than \$201)
20	WELLS FARGO & CO NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
21	WELLTOWER INC (REIT)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
22	ALPHABET INC CL C	N/A	\$1,001 - \$15,000		None (or less than \$201)
23	APPLE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	CISCO SYS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25	CORNING INC	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
26	INTEL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
27	QUALCOMM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
28	AMERICAN ELEC PWR	N/A	\$1,001 - \$15,000		None (or less than \$201)
29	SOUTHERN CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
30	AT&T INC (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
31	BHP BILLITON LTD SPONS	N/A	\$1,001 - \$15,000		None (or less than \$201)
32	VODAFONE GROUP PLC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
33	ISHARES S&P 100 INDEX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
34	US BANCORP DEL NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
35	ISHARES CNX INDIA 50 INDEX	Yes	\$1,001 - \$15,000		None (or less than \$201)
36	ISHARES MSCI EMERGING MARKETS INDEX	Yes	\$1,001 - \$15,000		\$201 - \$1,000
37	GLOBAL X MLP	Yes	\$1,001 - \$15,000		None (or less than \$201)
38	ISHARES US OIL & GAS EXPLO & PROD	Yes	\$1,001 - \$15,000		None (or less than \$201)
39	ISHARES DJ US FINANCIAL SECTOR INDEX	Yes	\$15,001 - \$50,000		None (or less than \$201)
40	ISHARES DJ US TECHNOLOGY SECTOR INDEX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
41	ISHARES INVESTMENT GRADE CORP BOND	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
42	SPDR Series Trust - SPDR Bloomberg Barclays Short Term High Yield Bond ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
43	HARTFORD FI FXD/FLT DEBENT 7.875% 4/15/42	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
44	VORNADO REALTY (Preferred stock) 5.70%	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
45	FPL GROUP CAP TR I 5.875% 3/15/2044	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
46	GENERAL MOTORS CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
47	EQUINIX INC (bonds)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
48	CR BARD INC (bonds)	N/A	\$1,001 - \$15,000		None (or less than \$201)
49	BP CAPITAL MARKETS PLC (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
50	LEUCADIA NATL CORP (bond)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
51	JANUS CAPITAL GROUP INC (bond)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
52	AT & T INC (bond)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
53	CCO HOLDINGS LLC (bonds)	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
54	FANNIE MAE (bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
55	US TREASURY (bond)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
56	David Horowitz Freedom Center 4/8/16	N/A		Honorarium	\$2,500
57	AT&T	N/A	\$1,001 - \$15,000		None (or less than \$201)
58	Phillips 66 (Bond)	N/A	\$1,001 - \$15,000		None (or less than \$201)
59	SYNCHRONY FINANCIAL 3.000% Due 8015019	N/A	\$1,001 - \$15,000		None (or less than \$201)
60	Cash account with a U.S. brokerage firm	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
61	US Treasury Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
62	Hanes Brands Inc. (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
63	Morgan Stanley Capital Trust III (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
64	NASDAQ OMX Group (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
65	Pfizer (bond)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
66	Treatment Technologies and Insights LLC (Medical application -Chemowave)	N/A	None (or less than \$1,001)		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Capitol Media Partners	Los Angeles, California	Upon confirmation, I will resign from my position with Capitol Media Partners and cease performing any activities material to the production of income. I will dissolve the entity. I will receive no bonus after my resignation and will receive no severance payments. Any outstanding client fees at that time will be fixed.	1/2010
2	Treatment Technologies and Insights, LLC	Los Angeles, California	Upon confirmation, I will resign from my position with Treatment Technologies and Insights, LLC. I will receive no severance payment or bonus. I will retain a passive investment in the entity, but will perform no duties material to the production of income.	10/2016

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Capitol Media Partners	See Endnote Los Angeles, California	Public affairs and communications consulting

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
2	Fox News	Los Angeles, California	Contributor
3	Newsmax	Boca Raton, Florida	Advisory board services
4	InterTrend Communications	Long Beach, California	Public affairs and communications consulting
5	Integrated Environmental Technologies Ltd	Little River, South Carolina	Public affairs and communications consulting
6	Arent Fox LLP	Los Angeles, California	Public affairs and communications consulting
7	Take Back our Republic	Auburn, Alabama	Public affairs and communications consulting
8	Hydrantid	Salt Lake City, Utah	Public affairs and communications consulting
9	Kitson	Los Angeles, California	Public affairs and communications consulting
10	Republican Party of Cuyahoga County	Cleveland, Ohio	Public affairs and communications consulting
11	Prague Freedom Foundation	Washington, District of Columbia	Public affairs and communications consulting
12	Green Dragon Gas	London, Outside U.S.	Public affairs and communications consulting
13	MLA Sports LLC	Los Angeles, California	Public affairs and communications consulting
14	Arthur J. Finkelstein & Associations	Irvington, New York	Public affairs and communications consulting
15	Cato Institute	Washington, District of Columbia	Public affairs and communications consulting
16	Flotek Industries Inc.	Houston, Texas	Public affairs and communications consulting
17	Solarmax Technology Inc.	Riverside, California	Public affairs and communications consulting

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
18	Showcall Inc.	Germantown, Maryland	Public affairs and communications consulting
19	Restoration PAC	Oak Brook, Illinois	Public affairs and communications consulting
20	Skadden, Arps, Slate Meagher & Florn LLP	New York, New York	Public affairs and communications consulting
21	California Rifle & Pistol Association	Fullerton, California	Public affairs and communications consulting
22	Kate del Castillo	Los Angeles, California	Public affairs and communications consulting
23	Manolatos Nelson Murphy Advertising & Public Relations	San Diego, California	Public affairs and communications consulting
24	Coalition for Civil Liberties	Fullerton, California	Public affairs and communications consulting
25	PuppySpot	Culver, California	Public affairs and communications consulting
26	Duane and Kelly Roberts	Riverside, California	Public affairs and communications consulting
27	Medolac	Lake Oswego, Oregon	Public affairs and communications consulting
28	Ca' Del Grevino Estate & Vineyard	Santa Maria, California	Public affairs and communications consulting
29	Mark Scarsi	Los Angeles, California	Public affairs and communications consulting
30	Policy & Taxation Group	Washington, District of Columbia	Public affairs and communications consulting.
31	No Downtown Stadium #NOonC Coalition	San Diego, California	Public affairs and communications consulting

## 5. Spouse's Employment Assets & Income and Retirement Accounts



None

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## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Cash account in a bank in the U.S.	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)

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## 7. Transactions

(N/A) - Not required for this type of report

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## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	U.S. Bank	Mortgage on Personal Residence	\$250,001 - \$500,000	2015	4.125	30 year
2	Fifth Third	Mortgage on Personal Residence	\$15,001 - \$50,000	1999	6.25	30 years

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## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

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## Endnotes

PART	#	ENDNOTE
4.	1	Sources listed 4 through 31 were clients through Capitol Media Partners

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

## **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

## **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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