

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Kohorst, William Robert

Ambassador to the Republic of Croatia, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- **Committee on Foreign Relations**
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kohorst, William Robert [electronically signed on 04/19/2017 by Kohorst, William Robert in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 09/07/2017 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 09/12/2017 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Everest Properties II, LLC	Pasadena, California	Limited Liability Company	President & CEO	10/1996	Present
2	Everest Properties, LLC	Pasadena, California	Limited Liability Company	President & CEO	4/1996	Present
3	Everest Properties, Inc.	Pasadena, California	Corporation	Director, President & CEO	9/1994	Present
4	Everest Financial, Inc.	Pasadena, California	Corporation	Director, President & CEO	1/1997	3/2017
5	EPI Sub, Inc.	Pasadena, California	Corporation	Director, President & CEO	6/2005	Present
6	Everest Canadian Properties Company 2, ULC	Pasadena, California	Canadian Unlimited Liability Company	Director	9/2003	Present
7	Everest Canadian Properties Company, ULC	Pasadena, California	Canadian Unlimited Liability Company	Director & Chairman	1/2001	Present
8	Everest Canadian Storage Company, ULC	Pasadena, California	Canadian Unlimited Liability Company	Director & Chairman	5/2002	Present
9	Everest Canadian Holdings USA, Inc.	Pasadena, California	Corporation	Chairman & CEO	5/2001	Present
10	Everest USB Canadian Storage, Inc.	Pasadena, California	Corporation	Chairman & CEO	4/2002	Present
11	Everest Storage, LLC	Pasadena, California	Limited Liability Company	Chairman & CEO	9/1996	Present
12	Everest Storage 2, LLC	Pasadena, California	Limited Liability Company	Chairman & CEO	4/1999	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
13	Millenium Management, LLC	Pasadena, California	Limited Liability Company	President and CEO	10/1998	11/2016
14	Everest Storage Holdings, LLC	Pasadena, California	Limited Liability Company	Chairman & CEO	1/1999	Present
15	Everest Storage Manager II, LLC	Pasadena, California	Limited Liability Company	Chairman & CEO	9/1999	Present
16	Everest Realty Managment, LLC	Pasadena, California	Limited Liability Company	Chairman & CEO	6/2010	Present
17	Everest Residential Holdings, LLC	Pasadena, California	Limited Liability Company	Chairman & CEO	7/2002	Present
18	Everest 1559 Norway, LLC	Pasadena, California	Limited Liability Company	Chairman & CEO	7/2016	Present
19	Everest EBS Storage, LLC	Pasadena, California	Limited Liability Company	Chairman & CEO	9/2012	Present
20	Everest Kensington Holdings, LLC	Pasadena, California	Limited Liability Company	Chairman & CEO	12/2015	Present
21	Everest Kensington MT, LLC	Pasadena, California	Limited Liability Company	Chairman & CEO	4/2016	Present
22	Everest Pinnacle Woods, LLC	Pasadena, California	Limited Liability Company	Chairman & CEO	10/2011	Present
23	Everest REIT Properties, LLC	Pasadena, California	Limited Liability Company	Chairman & CEO	11/2015	Present
24	Everest REIT Investors I, LLC	Pasadena, California	Limited Liability Company	Chairman & CEO	11/2015	Present
25	Everest REIT Investors II, LLC	Pasadena, California	Limited Liability Company	Chairman & CEO	11/2016	Present
26	Everest Management, LLC	Pasadena, California	Limited Liability Company	President & CEO	4/1996	Present
27	Everest Management 3, LLC	Pasadena, California	Limited Liability Company	President & CEO	11/2011	Present
28	Everest MKVF Investors, LLC	Pasadena, California	Limited Liability Company	President & CEO	1/2017	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
29	Millenium Oak Terrace, LLC	Pasadena, California	Limited Liability Company	President & CEO	1/2006	Present
30	Everest Appian Way, LLC	Pasadena, California	Limited Liability Company	President & CEO	4/2016	Present
31	Everest Bicycle Club, LLC	Pasadena, California	Limited Liability Company	President & CEO	5/2016	Present
32	Everest Deerwood, LLC	Pasadena, California	Limited Liability Company	President & CEO	11/2013	Present
33	Everest Residential Properties 2, LLC	Pasadena, California	Limited Liability Company	President & CEO	1/2001	Present
34	Everest Residential Properties 3, LLC	Pasadena, California	Limited Liability Company	President & CEO	3/2001	Present
35	Everest Stone Ridge, LLC	Pasadena, California	Limited Liability Company	President & CEO	1/2004	Present
36	Everest Yucaipa, LLC	Pasadena, California	Limited Liability Company	President & CEO	3/2010	Present
37	KM Investments, LLC	Pasadena, California	Limited Liability Company	President & CEO	9/1996	Present
38	Kohorst Allen Partners, LLC	Pasadena, California	Limited Liability Company	Vice President	6/2011	Present
39	KAP Clubhouse, LLC	Pasadena, California	Limited Liability Company	Vice President	9/2012	Present
40	Kohorst Allen Family Foundation	Fairfield, Connecticut	Non-Profit	President & Director	1/2008	Present
41	LaSalle High School	Pasadena, California	High School	Trustee	9/2009	Present
42	San Gabriel Valley 49'ers, Inc.	Pasadena, California	Non-Profit	Director	7/2003	Present
43	Posey Company	Arcadia, California	Corporation	Director	5/2015	3/2017
44	Maxus Realty, Inc.	Kansas City, Kansas	Corporation	Director	5/2002	4/2015

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
45	Kohorst Family Trust	Pasadena, California	Trust	Trustee for inter vivos family trust	10/2006	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Everest Properties, Inc. (Acts as general partner in affiliated limited partnerships which own U.S. real estate) See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
2	Everest Canadian Holdings USA, Inc. (Owns an 85% interest in Everest Canadian Properties, ULC, which has a contractual right to a potential profit participation from Everest Canadian Properties Company 2, ULC, which owns a minority interest in a partnership, which owns 2 apartment projects in Winnipeg, Manitoba, Canada.)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	Posey Company (Medical products)	N/A		Director Fees	\$13,250
4	Everest Storage Holdings, LLC (Investments in entities which own real estate located in U.S.)	No	\$5,000,001 - \$25,000,000	Income from LLC	\$197,186
4.1	U.S. bank account #1 (cash)	N/A			
4.2	Everest Storage, LLC (Owns 3 self storage properties in California)	N/A			
4.3	Everest Storage 2, LLC (Owns self storage properties in Arizona, New Mexico and Florida)	N/A			
4.4	Everest Storage Manager, LLC (Manager for Everest Storage 2, LLC)	N/A			
5	Millenium Management, LLC (Acted as manager of LLC; no remaining assets)	N/A	None (or less than \$1,001)	Income from LLC	\$6,607

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Everest Properties, LLC (Investments in entities which own real estate throughout U.S.)	No	Over \$50,000,000	Income from LLC	\$1,918,594
6.1	Everest Properties II, LLC (Owns interests in entities which own U.S. real estate assets)	No	\$1,000,001 - \$5,000,000	Salary	\$281,482
6.1.1	U.S. bank account #2 (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000
6.1.2	Everest Shaker Square, LP (Owns apartment project in Columbus, OH)	N/A	\$500,001 - \$1,000,000	Income from LP	\$46,243
6.1.3	Security Deposit with Landlord, 199 Pasadena Partners, LLC, whose controlling member is the Laurus Corporation.	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.2	U.S. bank accounts #3 (cash)	N/A	\$5,000,001 - \$25,000,000	Interest	\$100,001 - \$1,000,000
6.3	CMP Town & Country, LP (Owns 78,000 square foot shopping center in Searcy, Arkansas)	N/A	\$1,000,001 - \$5,000,000	Income from LP	\$79,197
6.4	Everest 1559 Norway, LLC (Owns apartment project in Chicago, Illinois)	N/A	\$500,001 - \$1,000,000	Income from LLC	\$6,612
6.5	Everest Deerwood, LLC (Owns apartment project in Jacksonville, Florida)	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
6.6	Everest Pinnacle Woods, LLC (Owns apartment project in Lawrence, Kansas)	N/A	\$1,000,001 - \$5,000,000	Income from LLC	\$15,237
6.7	808 Investments, LP (Owns apartment projects in California and Texas)	No	\$15,001 - \$50,000	Income from LP	\$2,986
6.7.1	Everest Windsor, LP (Owns apartment project in Dallas, Texas)	N/A	\$5,000,001 - \$25,000,000	Income from LP	\$582,068
6.7.2	Everest Summit, LP (Owns apartment project in Escondido, California)	N/A	\$5,000,001 - \$25,000,000	Income from LP	\$533,888
6.8	Buccaneer Trace, LP (Owns apartment project in Savannah, Georgia)	N/A	\$1,000,001 - \$5,000,000	Income from LP	\$90,427
6.9	Everest Brampton, LP (Owns apartment project in Raleigh, North Carolina)	N/A	\$500,001 - \$1,000,000	Income from LP	\$25,312

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.10	Everest Crosscreek, LP (Owns apartment project in Knoxville, Tennessee)	N/A	\$1,000,001 - \$5,000,000	Income from LP	\$53,421
6.11	Everest Realty Management, LLC (Owns interests in entities which own U.S. real estate assets)	No	\$250,001 - \$500,000		None (or less than \$201)
6.11.1	U.S. bank accounts #4 (Cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
6.11.2	Accounts Receivable - Kensington Park DST (which owns apartment project in Cincinnati, Ohio)	N/A	\$100,001 - \$250,000		None (or less than \$201)
6.12	Everest Ridgewood, LP (Owns apartment project in St. Peters, Missouri)	N/A	\$500,001 - \$1,000,000	Income from LP	\$46,755
6.13	Everest SIR 2, LP (Owns apartment project in Illinois and retail project in Missouri)	No	\$5,000,001 - \$25,000,000	Income from LP	\$478,405
6.13.1	Everest Hickory Glen, LP (Owns apartment project in Springfield, Illinois)	N/A	\$5,000,001 - \$25,000,000	Income from LP	\$469,373
6.13.2	Everest Bayberry, LP (Owns retail property in Lee Summit, Missouri)	N/A	\$1,000,001 - \$5,000,000	Income from LP	\$14,429
6.14	Bakerfield Lodging, LP (Owns hotel in Bakersfield, California)	N/A	\$1,001 - \$15,000	Income from LP	\$278
6.15	Everest Stone Ridge, LLC (Owns apartment project in Overland Park, Kansas)	N/A	\$500,001 - \$1,000,000	Income from LP	\$29,495
6.16	Everest Storage, LLC (Owns 3 self storage projects in California)	N/A	\$1,000,001 - \$5,000,000	Income from LLC	\$142,670
6.17	Uniprop Mfgd Housing 2, LP (Owns mobile home projects in U.S.)	N/A	\$500,001 - \$1,000,000	Income from LP	\$113,286
6.18	Everest Storage 2, LLC (Owns 3 self storage projects in Arizona, New Mexico and Florida)	N/A	\$5,000,001 - \$25,000,000	Income from LLC	\$756,444
6.19	Everest Sunnybrook, LP (Owns apartment project in Knoxville, Tennessee)	N/A	\$1,000,001 - \$5,000,000	Income from LP	\$101,555
6.20	Everest Tillery, LP (Owns apartment project in Knoxville, Tennessee)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.21	Everest Yucipa, LLC (Owns land in California)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
6.22	KM Investments, LLC (Owns interests in entities which own U.S. real estate assets)	No	\$5,000,001 - \$25,000,000	Income from LLC	\$396,000
6.22.1	U.S. bank account #5 (cash)	N/A			
6.22.2	Everest Appian Way, LLC (Owns apartment project in Columbus, Ohio)	N/A			
6.22.3	Everest Bicycle Club, LLC (Owns apartment project in Kansas City, Missouri)	N/A			
6.23	Employee Loans	N/A			
6.23.1	Loan to Mr. Baker	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
6.23.2	Loan to Mr. Franklin	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.23.3	Loan to Mr. Valderhaug	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
6.23.4	Loan to Mr. Hanel	N/A	\$50,001 - \$100,000	Interest	\$15,001 - \$50,000
6.23.5	Loan to Ms. Terry	N/A	\$50,001 - \$100,000		None (or less than \$201)
7	Everest Residential Properties 2, LLC (Investments in entities which own real estate located in U.S.)	No	\$25,000,001 - \$50,000,000	Income from LLC	\$1,054,549
7.1	Everest Kensington, DST (Owns apartment project in Cincinnati, Ohio)	N/A			
7.2	Everest Deerwood, LLC (Owns apartment project in Jacksonville, Florida)	N/A			
7.3	Everest Residential Prop 3, LLC (Investments in entities which own real estate located in U.S.)	No			
7.3.1	U.S. bank account #6 (cash)	N/A			
7.3.2	Accounts Receivable - affiliates	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.3.2.1	Accounts receivable from Everest Shaker Square, LP	N/A			
7.3.2.2	Accounts receivable from Everest Residential Holdings, LLC	N/A			
7.3.3	Everest Stone Ridge, LLC (Owns apartment project in Overland Park, Kansas)	N/A			
7.3.4	Everest Sunnybrook, LP (Owns apartment project in Knoxville, Tennessee)	N/A			
7.3.5	Everest Tillery, LP (Owns apartment project in Knoxville, Tennessee)	N/A			
7.3.6	Everest Thurber, LP (Owns apartment project in Columbus, Ohio)	N/A			
7.3.7	Everest Walker Springs, LP (Owns apartment project in Knoxville, Tennessee)	N/A			
7.3.8	Everest Wingfield, LP (Owns apartment project in Olathe, Kansas)	N/A			
7.4	Buccaneer Trace, LP (Owns apartment project in Savannah, Georgia)	N/A			
7.5	Everest Pinebrook, LP (Owns apartment project in Ridgeland, Mississippi)	N/A			
7.6	Everest Evergreen, LP (Owns apartment project in Jacksonville, Florida)	N/A			
7.7	Everest Georgetown, LP (Owns apartment project in Columbus, Ohio)	N/A			
7.8	Everest Brampton, LP (Owns apartment project in Raleigh, North Carolina)	N/A			
7.9	Everest Crosscreek, LP (Owns apartment project in Knoxville, Tennessee)	N/A			
7.10	Everest Ridgewood, LP (Owns apartment project in St. Peters, Missouri)	N/A			
7.11	U.S. bank account #7 (cash)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	Kohorst Allen Partners, LLC, Owns interest directly and through entities which own real estate in U.S.	No	\$1,000,001 - \$5,000,000	Income from LLC	\$35,198
8.1	U.S. bank account #8 (cash)	N/A			
8.2	808 Investments, LP (Owns apartment projects in Texas and California)	No			
8.2.1	Everest Windsor, LP (Owns apartment project in Dallas, Texas)	N/A			
8.2.2	Everest Summit, LP (Owns apartment project in Escondido, California)	N/A			
8.3	CMP Town & Country, LP (Owns 78,000 square foot shopping center in Searcy, Arkansas)	N/A			
8.4	Everest BF Residential Prop, LP (Investment in entities which own real estate assets in U.S.)	No			
8.4.1	U.S. bank accounts # 9 (cash)	N/A			
8.4.2	Susquehanna View, LP (Owns apartment project in Camp Hill, Pennsylvania)	N/A			
8.4.3	Sencit Towne House, LP (Owns apartment project in Shillington, Pennsylvania)	N/A			
8.4.4	Allentowne Townhouse, LP (Owns apartment project in Allentown, Pennsylvania)	N/A			
8.4.5	River Front Apartments, LP (Owns apartment project in Sunbury, Pennsylvania)	N/A			
8.4.6	Prince Street Towers, LP (Owns apartment project in Lancaster, Pennsylvania)	N/A			
8.5	Everest Bond Investors 6, LP (Buys and sells investment grade corporate bonds issued in US)	No			
8.5.1	IBM, Corp., bonds	N/A			
8.5.2	Goldman Sachs Group, Inc., bonds	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.5.3	General Electric Capital Corporation, bonds	N/A			
8.5.4	Time Warner Cable, Inc., bonds	N/A			
8.5.5	Bank of America Corporation, bonds	N/A			
8.5.6	Costco Wholesale Corporation, bonds	N/A			
8.5.7	PepsiCo, Inc., bonds	N/A			
8.5.8	Morgan Stanley, bonds	N/A			
8.5.9	AT&T, Inc., bonds	N/A			
8.5.10	Pfizer, Inc, bonds	N/A			
8.5.11	Ford Motor Credit Company, LLC, bonds	N/A			
8.5.12	Philip Morris International Inc., bonds	N/A			
8.5.13	Dow Chemical Company, bonds	N/A			
8.5.14	J.P. Morgan Chase & Co., bonds	N/A			
8.5.15	The Bear Stearns Companies, Inc., bonds	N/A			
8.5.16	Merrill Lynch & Co., Inc., bonds	N/A			
8.5.17	Bank of America Corporation, bonds	N/A			
8.5.18	U.S. bank account # 10 (cash)				
8.6	Everest Bond Investors 7, LP (Buys and sells investment grade corporate bonds issued in US)	No			
8.6.1	Bear Stearns Companies, Inc., bonds	N/A			
8.6.2	U.S. bank account #11 (cash)				
8.7	Everest Brampton, LP (Owns apartment project in Raleigh, North Carolina)	N/A			
8.8	Everest Deerwood, LLC (Owns apartment project in Jacksonville, Florida)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.9	Everest Housing Investors 2, LP (Owns interests in entities which own U.S. real estate assets)	No			
8.9.1	American Tax Credit Trust	N/A			
8.9.2	Boston Capital Tax Credit 4, Series 27, LP	N/A			
8.9.3	Boston Capital Tax Credit 4, Series 22, LP	N/A			
8.9.4	Boston Capital Tax Credit 3, Series 16, LP	N/A			
8.9.5	Boston Capital Tax Credit 2, Series 14, LP	N/A			
8.9.6	Boston Capital Tax Credit 2, Series 12, LP	N/A			
8.10	Everest Housing Investors 3, LP(Owns interests in entities which own U.S. real estate assets)	No			
8.10.1	Everest Tax Credit Investors 5, LP (Owns interests in low income housing tax credit partnerships throughout U.S.)	Yes			
8.10.2	Everest Tax Credit Investors 4, LP (Owns interests in low income housing tax credit partnerships throughout U.S.)	Yes			
8.11	Everest Management 2, LP(Owns interests in entities which own U.S. real estate assets)	No			
8.11.1	808 Investments, LP (Owns apartment projects in California and Texas)	No			
8.11.1.1	Everest Windsor, LP (Owns apartment project in Dallas, Texas)	N/A			
8.11.1.2	Everest Summit, LP (Owns apartment project in Escondido, California)	N/A			
8.11.2	Everest Bond 6, LP (Buys and sells investment grade corporate bonds issued in US)	N/A			
8.11.2.1	IBM Corp., Inc., bonds	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.11.2. 2	Goldman Sachs Group, Inc., bonds	N/A			
8.11.2. 3	General Electric Capital Corporation, bonds	N/A			
8.11.2. 4	Time Warner Cable, Inc., bonds	N/A			
8.11.2. 5	Costco Wholesale Corporation, bonds	N/A			
8.11.2. 6	PepsiCo, Inc., bonds	N/A			
8.11.2. 7	Morgan Stanley, bonds	N/A			
8.11.2. 8	AT&T, Inc., bonds	N/A			
8.11.2. 9	Pfizer, Inc, bonds	N/A			
8.11.2. 10	Ford Motor Credit Company, LLC, bonds	N/A			
8.11.2. 11	Philip Morris International Inc., bonds	N/A			
8.11.2. 12	Dow Chemical Company, bonds	N/A			
8.11.2. 13	J.P. Morgan Chase & Co., bonds	N/A			
8.11.2. 14	The Bear Stearns Companies, Inc., bonds	N/A			
8.11.2. 15	Merrill Lynch & Co., Inc., bonds	N/A			
8.11.2. 16	U.S. bank account #10 (cash)	N/A			
8.11.3	Everest Bond Investors 7, LP (Buys and sells investment grade corporate bonds issued in US)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.11.3. 1	Bear Stearns Companies, Inc., bonds	N/A			
8.11.3. 2	U.S. bank account #11 (cash)	N/A			
8.11.4	Everest BF Residential Properties, LP	No			
8.11.4. 1	U.S. bank accounts #12 (cash)	N/A			
8.11.4. 2	Susquehanna View, LP (Owns apartment project in Camp Hill, Pennsylvania)	N/A			
8.11.4. 3	Sencit Towne House, LP (Owns apartment project in Shillington, Pennsylvania)	N/A			
8.11.4. 4	Allentowne Townhouse, LP (Owns apartment project in Allentown, Pennsylvania)	N/A			
8.11.4. 5	River Front Apartments, LP (Owns apartment project in Sunbury, Pennsylvania)	N/A			
8.11.4. 6	Prince Street Towers, LP (Owns apartment project in Lancaster, Pennsylvania)	N/A			
8.11.5	Everest Crosscreek, LP (Owns apartment project in Knoxville, Tennessee)	N/A			
8.12	Everest Pinnacle Woods, LP (Owns apartment project in Lawrence, Kansas)	N/A			
8.13	Everest Properties, LLC (Owns interest in entities which own U.S. real estate)	No			
8.13.1	Everest Properties II, LLC (Owns interests in entities which own U.S. real estate assets)	No			
8.13.1. 1	U.S. bank account #2 (cash)	N/A			
8.13.1. 2	Everest Shaker Square, LP (Owns apartment project in Columbus, OH)	N/A			
8.13.1. 3	Security Deposit with Landlord, 199 Pasadena Partners, LLC, whose controlling member is the Laurus Corporation.	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.13.2	U.S. bank accounts #3 (cash)	N/A			
8.13.3	CMP Town & Country, LP (Owns 78,000 square foot shopping center in Searcy, Arkansas)	N/A			
8.13.4	Everest 1559 Norway, LLC (Owns apartment project in Chicago, Illinois)	N/A			
8.13.5	Everest Deerwood, LLC (Owns apartment project in Jacksonville, Florida)	N/A			
8.13.6	Everest Pinnacle Woods, LP (Owns apartment project in Lawrence, Kansas)	N/A			
8.13.7	808 Investments, LP (Owns apartment projects in Texas and California)	No			
8.13.7.1	Everest Windsor, LP (Owns apartment project in Dallas, Texas)	N/A			
8.13.7.2	Everest Summit, LP (Owns apartment project in Escondido, California)	N/A			
8.13.8	Buccaneer Trace, LP (Owns apartment project in Savannah, Georgia)	N/A			
8.13.9	Everest Brampton, LP (Owns apartment project in Raleigh, North Carolina)	N/A			
8.13.10	Everest Crosscreek, LP (Owns apartment project in Knoxville, Tennessee)	N/A			
8.13.11	Everest Realty Management, LLC (Owns interests in entities which own U.S. real estate assets)	No			
8.13.11.1	U.S. bank account #4 (cash)	N/A			
8.13.11.2	Accounts Receivable - Kensington Park DST (which owns apartment project in Cincinnati, Ohio)	N/A			
8.13.12	Everest Ridgewood, LP (Owns apartment project in St. Peters, Missouri)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.13.1 3	Everest SIR 2, LP (Owns apartment project in Illinois and retail property in Missouri)	No			
8.13.1 3.1	Everest Hickory Glen, LP (Owns apartment project in Springfield, Illinois)	N/A			
8.13.1 3.2	Everest Bayberry, LP (Owns retail property in Lee Summit, Missouri)	N/A			
8.13.1 4	Bakerfield Lodging, LP (Owns hotel in Bakersfield, California)	N/A			
8.13.1 5	Everest Stone Ridge, LLC (Owns apartment project in Overland Park, Kansas)	N/A			
8.13.1 6	Everest Storage, LLC (Owns 3 self-storage projects in California)	N/A			
8.13.1 7	Uniprop Mfgd Housing 2, LP (Owns mobile home projects in U.S.)	N/A			
8.13.1 8	Everest Storage 2, LLC (Owns 3 self-storage projects in Arizona, New Mexico and Florida)	N/A			
8.13.1 9	Everest Sunnybrook, LP (Owns apartment project in Knoxville, Tennessee)	N/A			
8.13.2 0	Everest Tillery, LP (Owns apartment project in Knoxville, Tennessee)	N/A			
8.13.2 1	Everest Yucipa, LLC (Owns land in California)	N/A			
8.13.2 2	KM Investments, LLC (Owns interests in entities which own U.S. real estate assets)	No			
8.13.2 2.1	U.S.bank account #5 (cash)	N/A			
8.13.2 2.2	Everest Appian Way, LLC (Owns apartment project in Columbus, Ohio)	N/A			
8.13.2 2.3	Everest Bicycle Club, LLC (Owns apartment project in Kansas City, Missouri)	N/A			
8.13.2 3	Employee Loans	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.13.2 3.1	Loan to Mr. Baker	N/A			
8.13.2 3.2	Loan to Mr. Franklin	N/A			
8.13.2 3.3	Loan to Mr. Valderhaug	N/A			
8.13.2 3.4	Loan to Mr. Hanel	N/A			
8.13.2 3.5	Loan to Ms. Terry	N/A			
8.14	Everest Realty Management, LLC (Owns interest in entities which own U.S. real estate)	No			
8.14.1	U.S. bank account #4 (cash)	N/A			
8.14.2	Accounts Receivable - Kensington Park DST (which owns apartment project in Cincinnati, Ohio)	N/A			
8.15	Everest Residential Properties 2, LLC (Investments in entities which own real estate located in U.S.)	No			
8.15.1	Everest Kensington, DST (Owns apartment project in Cincinnati, Ohio)	N/A			
8.15.2	Everest Deerwood, LLC (Owns apartment project in Jacksonville, Florida)	N/A			
8.15.3	Everest Residential Prop 3, LLC (Investments in entities which own real estate located in U.S.)	No			
8.15.3. 1	U.S. bank account #6 (cash)	N/A			
8.15.3. 2	Accounts Receivable - affiliates	N/A			
8.15.3. 2.1	Accounts receivable from Everest Shaker Square, LP	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.15.3.2.2	Accounts receivable from Everest Residential Holdings, LLC	N/A			
8.15.3.3	Everest Stone Ridge, LLC (Owns apartment project in Overland Park, Kansas)	N/A			
8.15.3.4	Everest Sunnybrook, LP (Owns apartment project in Knoxville, Tennessee)	N/A			
8.15.3.5	Everest Tillery, LP (Owns apartment project in Knoxville, Tennessee)	N/A			
8.15.3.6	Everest Thurber, LP (Owns apartment project in Columbus, Ohio)	N/A			
8.15.3.7	Everest Walker Springs, LP (Owns apartment project in Knoxville, Tennessee)	N/A			
8.15.3.8	Everest Wingfield, LP (Owns apartment project in Olathe, Kansas)	N/A			
8.15.4	Buccaneer Trace, LP (Owns apartment project in Savannah, Georgia)	N/A			
8.15.5	Everest Pinebrook, LP (Owns apartment project in Ridgeland, Mississippi)	N/A			
8.15.6	Everest Evergreen, LP (Owns apartment project in Jacksonville, Florida)	N/A			
8.15.7	Everest Georgetown, LP (Owns apartment project in Columbus, Ohio)	N/A			
8.15.8	Everest Brampton, LP (Owns apartment project in Raleigh, North Carolina)	N/A			
8.15.9	Everest Crosscreek, LP (Owns apartment project in Knoxville, Tennessee)	N/A			
8.15.10	Everest Ridgewood, LP (Owns apartment project in St. Peters, Missouri)	N/A			
8.15.11	U.S. bank account #7 (cash)	N/A			
8.16	Everest Stone Ridge, LLC (Owns apartment project in Overland Park, Kansas)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.17	Everest Wingfield, LP (Owns apartment project in Olathe, Kansas)	N/A			
8.18	Glazer Enhanced Fund	Yes			
8.19	KAP Clubhouse, LLC (Owns industrial property in California)	N/A			
8.20	Legion Partners LP II	See Endnote			
8.20.1	Banc of California (BANC)	N/A			
8.20.2	Boingo Wireless, Inc. (WIFI)	N/A			
8.20.3	The Chefs' Warehouse (CHEF)	N/A			
8.20.4	Ciber, Inc. (CBRIQ)	N/A			
8.20.5	L.B. Foster Company (FSTR)	N/A			
8.20.6	USA Technologies, Inc. Preferred (USATP)	N/A			
9	Everest Profit Sharing Plan, Everest Properties II, LLC; self-directed profit sharing plan	No			
9.1	Merrill Lynch Brokerage Acct	No	\$5,000,001 - \$25,000,000		
9.1.1	U.S. bank account #13 (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$1,001 - \$2,500
9.1.2	Ameren Corp (AEE)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
9.1.3	Apollo Global Mgt (APO)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
9.1.4	Blackstone Group (BX)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
9.1.5	American Tower (AMT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.1.6	Buckeye Partners (BPL)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.1.7	Chevron (CVX)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
9.1.8	CME Group (CME)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.1.9	ConocoPhillips (COP)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
9.1.10	CoreCivic (CXW)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
9.1.11	CVS Health (CVS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.1.12	Emerge Energy (EMES)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.13	Diamond Offshore (DO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.1.14	Energy Transfer Partners (ETP)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
9.1.15	Enterprise Products (EPD)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
9.1.16	Gastar Exploration (GST)	N/A	\$100,001 - \$250,000		None (or less than \$201)
9.1.17	JP Morgan (JPM)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
9.1.18	Kinder Morgan (KMI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.1.19	KKR (KKR)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.1.20	Lockheed Martin (LMT)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
9.1.21	Magellan Midstream (MMP)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
9.1.22	Merck (MRK)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.1.23	Occidental (OXY)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
9.1.24	Pepsico (PEP)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
9.1.25	Pfizer (PFE)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
9.1.26	Philip Morris (PM)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.1.27	Phillips 66 (PSX)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
9.1.28	Plains All American (PAA)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.1.29	Procter & Gamble (PG)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
9.1.30	Reynolds American (RAI)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
9.1.31	Targa Resources (TRGP)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
9.1.32	Verizon (VZ)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
9.1.33	Williams Partners (WPZ)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
9.1.34	First Eagle (SGIIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.1.35	JP MOrgan Mid Cap (JMVSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.1.36	ProShares Ultrashort (TBT)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
9.1.37	ProShares TR II (UCO)	Yes	\$250,001 - \$500,000		None (or less than \$201)
9.2	Morgan Stanley Brokerage Acct	No	\$1,000,001 - \$5,000,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2.1	U.S. bank account #14 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2.2	Chevron (CVX)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
9.2.3	Nordic American Tanker (NAT)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
9.2.4	RBC Trigger Jump on the Euro Stoxx 50 Index (SPQIR)	Yes	\$250,001 - \$500,000		None (or less than \$201)
9.2.5	Proshares Tr II (UCO)	Yes	\$250,001 - \$500,000		None (or less than \$201)
9.2.6	Proshares Ultrashort (TBT)	Yes	\$100,001 - \$250,000		None (or less than \$201)
9.3	Everest Management, LLC (Owns interests in entities which own U.S. real estate assets)	No	\$1,000,001 - \$5,000,000	Income from LLC	\$656,376
9.3.1	American Tax Credit, LP	N/A			
9.3.2	CMP Town & Country, LP (Owns 78,000 square foot shopping center in Searcy, Arkansas)	N/A			
9.3.3	808 Investments, LP	No			
9.3.3.1	Everest Windsor, LP (Owns apartment project in Dallas, Texas)	N/A			
9.3.3.2	Everest Summit, LP (Owns apartment project in Escondido, California)	N/A			
9.3.4	Everest Sunnybrook, LP (Owns apartment project in Knoxville, Tennessee)	N/A			
9.3.5	Everest Tillery, LP (Owns apartment project in Knoxville, Tennessee)	N/A			
9.3.6	Heartland Manatee Riverfront, LP (Owns undeveloped land in Florida)	N/A			
9.3.7	Lakeside Towers, LP (Owns apartment project in Illinois)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3.8	Secured Income Fund, LP (Owns apartment project in Georgia)	N/A			
9.3.9	U.S. bank account #15 (cash)	N/A			
9.4	Missouri Bancshares	N/A	\$250,001 - \$500,000	Income from Sub-S	\$58,397
9.5	Everest Managment 3, LLC (Owns interests in entities which own U.S. real estate assets)	No	\$5,000,001 - \$25,000,000	Income from LLC	\$84,158
9.5.1	U.S. bank accounts #16 (cash)	N/A			
9.5.2	Loans Receivables	N/A			
9.5.2.1	Loan to Mr. Darnell	N/A			
9.5.2.2	Loan to IBG Holdings, Inc.	N/A			
9.5.2.3	Loan to Mr. and Mrs. Chadwick	N/A			
9.5.3	Everest MKVF Investors, LLC (Newly formed entity which has not made any investments; only holds small amount of cash)	N/A			
9.5.4	Benefit Funding Group, LLC (Consulting business selling insurance and employee benefits to US companies)	N/A			
9.5.5	Simcoe Partners, LP	Yes			
9.5.6	Legion Partners Special Opportunities, LP II	No			
9.5.6.1	LB Foster Company (FSTR)	N/A			
9.5.7	First American Trust brokerage account	No			
9.5.7.1	U.S. bank account #17 (cash)	N/A			
9.5.7.2	IShares DJ Select Dividend	Yes			
9.5.7.3	Ishares MSRT Mid Value	Yes			
9.5.7.4	SPDR S&P 500 Small Cap	Yes			
9.5.7.5	Vanguard Value	Yes			
9.5.7.6	IShares MSCI EAFE Value	Yes			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.5.7.7	Powershares Inter DVD Achiev	Yes			
9.5.7.8	IShares Core Total US Bond	Yes			
9.5.7.9	IShares IBOXX Invt Grade Bond	Yes			
9.5.7.1 0	IShares 7-10 Year Treasury	Yes			
9.5.7.1 1	IShares IBOXX USD High Grade	Yes			
9.5.8	LPL Financial brokerage account	No			
9.5.8.1	U.S. bank account #18 (cash)	N/A			
9.5.8.2	Clearbridge American Energy (CBA)	N/A			
9.5.8.3	Goldman Sachs MLP (GLPIX)	Yes			
9.6	Grandpoint Bank stock	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
10	IRA - Filer	No	\$50,001 - \$100,000		
10.1	U.S. bank account #19 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.2	Maxus Realty Trust (MRTI)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
10.3	Nordic Tanker (NAT)	N/A	None (or less than \$1,001)		None (or less than \$201)
10.4	ProShares Ultrashort (TBT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	Everest Storage, LLC (Owns 3 self storage properties in California)	N/A		Guarantee fees	\$2,464

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Everest Profit Sharing Plan	Pasadena, California	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	12/1996
2	Everest Storage	Pasadena, California	Filer provided a guarantee of a loan from Plaza Bank to Everest Storage, LLC which was secured by 3 self storage properties. That loan was repaid on or about May 1, 2017 and the guarantee has been terminated.	9/1996

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Everest Properties II, LC	Pasadena, California	President & CEO
2	Everest Storage Holdings, LLC	Pasadena, California	Chairman and CEO
3	Posey Company	Arcadia, California	Director - resigned March, 2017
4	Millenium Management, LLC	Pasadena, California	President & CEO

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA - Spouse	No			
1.1	U.S. bank account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	Maxus Realty (MRTI)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.3	Nordic American (NAT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.4	Proshares Ultrashort (TBT)	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Merrill Lynch Brokerage	No			
1.1	America First MultiFamily (ATAX)	N/A	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000
1.2	U.S. bank account #18 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3	AB Muni Income (ACACX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.4	Allianzgi NFJ (PNECX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	Calamos Growth (CVGCX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.6	Davis NY Venture (NYVCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.7	First Eagle (FESGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.8	Hotchkis and Wiley (HWLAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.9	JP Morgan Mid Cap (JCMVX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.10	Proshares Ultrashort (TBT)	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.11	Proshares TR II (UCO)	Yes	\$250,001 - \$500,000		None (or less than \$201)
2	LPL Financial brokerage account	No			
2.1	FS Global Cr Opportunity Fund D	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
3	First American Trust brokerage account	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	Blackrock Liquid Account (cash)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
3.2	IShares S&P 500	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.3	Powershares S&P 500 Qual Port	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.4	Powershares S&P 500 low Vol	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.5	Ishares MSCI EAFE	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.6	Ishares MSCI EAFE Sml Cap	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.7	Wisdomtree Europe Hedged Equity	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.8	Powerswhares DB US Dollar Bull	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.9	Ishares Core Total US Bond	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.10	Ishares Iboxx dlntv Grade Bond	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.11	Ishares 20+ Year Treasury	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.12	Ishares MBS	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.13	Vanguard Int Term Bond	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4	Everest Bond Investors 6, LP (Buys and sells investment grade corporate bonds issued in US)	N/A	\$250,001 - \$500,000	Income from LP	\$35,993
4.1	IBM, Corp., bonds	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
4.2	Goldman Sachs Group, Inc., bonds	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.3	General Electric Capital Corporation, bonds	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
4.4	Time Warner Cable, Inc., bonds	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.5	Bank of America Corporation, bonds	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
4.6	Costco Wholesale Corporation, bonds	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.7	PepsiCo, Inc., bonds	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.8	Morgan Stanley, bonds	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
4.9	AT&T, Inc., bonds	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.10	Pfizer, Inc, bonds	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.11	Ford Motor Credit Company, LLC, bonds	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
4.12	Philip Morris International Inc., bonds	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.13	Dow Chemical Company, bonds	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
4.14	J.P. Morgan Chase & Co., bonds	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.15	The Bear Stearns Companies, Inc., bonds	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.16	Merrill Lynch & Co., Inc., bonds	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
4.17	U.S. bank account #10	N/A	\$250,001 - \$500,000		None (or less than \$201)
5	Everest Bond Investors 7, LP (Buys and sells investment grade corporate bonds issued in US)	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	Bear Stearns Companies, Inc., bonds	N/A	None (or less than \$1,001)		None (or less than \$201)
5.2	U.S. bank account # 11 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
6	Everest Housing Investors 2, LP (Owns interests in entities which own U.S. apartments)	No	\$100,001 - \$250,000		None (or less than \$201)
6.1	American Tax Credit Trust	N/A			
6.2	Boston Capital Tax Credit 4, Series 27, LP	N/A			
6.3	Boston Capital Tax Credit 4, Series 22, LP	N/A			
6.4	Boston Capital Tax Credit 3, Series 16, LP	N/A			
6.5	Boston Capital Tax Credit 2, Series 14, LP	N/A			
6.6	Boston Capital Tax Credit 2, Series 12, LP	N/A			
7	Everest Housing Investors 3, LP (Owns interest in entities which own US apartments)	No	\$1,001 - \$15,000		None (or less than \$201)
7.1	Everest Tax Credit Investors 5, LP (Owns interests in low income housing tax credit partnerships throughout US)	Yes			
7.2	Everest Tax Credit Investors 4, LP (Owns interests in low income housing tax credit partnerships throughout US)	Yes			
8	ThermoGear, Inc. (Own interest in company that sell portable electric blankets)	N/A	\$50,001 - \$100,000		None (or less than \$201)
9	Personal loan to family member	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
10	808 Investments, LP (Owns apartment projects in Texas and California)	No	\$1,000,001 - \$5,000,000	Income from LP	\$109,706
10.1	Everest Windsor, LP (Owns apartment project in Dallas, Texas)	N/A	\$5,000,001 - \$25,000,000	Income from LP	\$582,068
10.2	Everest Summit, LP (Owns apartment project in Escondido, California)	N/A	\$5,000,001 - \$25,000,000	Income from LP	\$533,888

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	Everest Brampton, LP (Owns apartment project in Raleigh, North Carolina)	N/A	\$1,000,001 - \$5,000,000	Income from LP	\$91,935
12	ME College Savings Plan #1	No			
12.1	FrankTem Age 0-8 Years A	Yes	\$50,001 - \$100,000		None (or less than \$201)
12.2	IShares 0-7 Years A	Yes	\$50,001 - \$100,000		None (or less than \$201)
13	ME College Savings Plan #2	No			
13.1	FrankTem Age 0-8 Years A	Yes	\$50,001 - \$100,000		None (or less than \$201)
13.2	IShares 0-7 Years A	Yes	\$50,001 - \$100,000		None (or less than \$201)
14	U.S. bank account #19 (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
15	U.S. bank account #20 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
16	U.S. bank account #21 (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
17	U.S. bank account #21 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
18	Peer Street Funding, LLC	See Endnote	\$1,001 - \$15,000	Interest	\$201 - \$1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	1	Affiliated LPs are: Allentown Towne House, LP; Buccaneer Trace, LP; CMP Town & Country, LP; Everest BF Residential Properties, LP; Everest Brampton, LP; Everest Cross Creek, LP; Everest Evergreen, LP; Everest Georgetown, LP; Everest Housing Investors 2, LP; Everest Housing Investors 3, LP; Everest Management 2, LP; Everest Prinebrook, LP; Everest Ridgewood, LP; Everest SIR 2 Properties, LP; Everest Sunnybrook, LP; Everest Thurber Manor, LP; Everest Tillery Ridge, LP; Everest Walker Springs, LP; Everest Wingfield, LP; Prince Street Towers Limited Partnership; River Front Apartments Limited Partnership; Sencit Towne House Limited Partnership; and Susquehanna View Limited Partnership
2.	8.20	LP has no control over fund investments. The fund is invested in 8 companies: Banc of California (BANC), Boingo Wireless (WIFI), Chefs Warehouse (CHEF), Ciber, Inc., LB Foster (FSTR), USA Technologies Preferred (USATP), a Hawaiian bank and a technology company in the unified communications space.
6.	18	I am a lender with a fractional interest in a real estate secured loan made by Peer Street Funding, LLC (which holds title to the loan). Peer Street Funding, LLC is a peer-to-peer Internet-based lending platform whose principal office is in El Segundo, California. In accordance with Peer Street Funding's Privacy Policy, the investors (who serve as lenders) and borrowers do not know each other's identities. Borrowers and investors remain anonymous to each other.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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