

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Pilkerton, Christopher
General Counsel, U.S. Small Business Administration
Date of Appointment: 06/12/2017

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.
/s/ Christopher Pilkerton [electronically signed by Christopher Pilkerton on 2017-08-07 17:58:23 in FDonline]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below)
/s/ Marilyn Barnes, Super Administrator [electronically signed by Marilyn Barnes on 2017-08-10 18:49:25 in FDonline]

Other review conducted by:

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	ORG TYPE	POSITION	FROM	TO
1	JP Morgan Chase	Washington, DC, US	corporation	VP/Compliance Director	12/16/2013	06/09/2017
2	Family Trust 1	Potomac, MD, US	trust	Trustee	03/01/2005	present
3	Family Trust 2	Boston, MA, US	trust	Trustee	12/01/2006	present
4	Gifts and Entertainment Approval Resource	New York, NY, US	limited liability company	Member	06/14/2012	09/07/2016
5	The Context Center	Wilmington, DE, US	limited liability corporation	Member	08/24/2012	present

2. Filer's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(Stock) JPMC JP Morgan Chase (restricted stock unit)	N/A	None (or less than \$1,001)	None	None (or less than \$201)
2	(Pension) JP Morgan Chase (cash balance pension plan) JP Morgan Chase	N/A	\$1,001 - \$15,000	None	None (or less than \$201)
3	JP Morgan Chase (401k) JP Morgan Chase	No			
3.1	(Other) JP Morgan Chase Target Date 2035 Fund	No	\$50,001 - \$100,000	None	None (or less than \$201)
4	(Self EI) JP Morgan Chase Salary Washington, DC, DC, US	N/A		Salary	\$456,133.34

3. Filer's Employment Agreements and Arrangements

EMPLOYER OR OTHER PARTY TO AGREEMENT #/ARRANGEMENT	CITY, STATE	STATUS AND TERMS	DATE
1 JP Morgan Chase	New York, NY, US	Pension payment	09 /2017
2 JP Morgan Chase	New York, NY, US	401K- still participating in plan, but neither Pilkerton nor employee are making contributions on Pilkerton's behalf.	06 /2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE	DESCRIPTION
1	JP Morgan Chase; Washington, DC, US	Salary

5. Spouse's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(S) (Stock) KKD Krisy Kreame Doughnuts	N/A	None (or less than \$1,001)		\$5,001 - \$15,000
2	(S) (Spouse EI) Chef Amy B LLC (salary) Washington, DC, DC, US	N/A			
3	(S) (Spouse EI) Terroir LLC (salary) Washington, DC, US	N/A			

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(S) (Stock) XOM Exxon Mobile Corporation	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2	(Cash Deposit/Savings) Bank 1 Washington, DC, US	N/A	\$100,001 - \$250,000		None (or less than \$201)
3	(S) (Cash Deposit/Savings) Bank 2 Washington, DC, DC, US	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	(Partnership) Family LLC Real Estate	N/A	\$50,001 - \$100,000		None (or less than \$201)
5	(S) (Mutual Fund) MSEQX Morgan Stanley Growth Fund	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
6	(WLI) Northwestern Mutual (whole)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	(Other) Morgan Stanley Brokerage Account- Cash	No	\$1,001 - \$15,000		None (or less than \$201)
8	(IRA) JP Morgan Chase	N/A			
8.1	(Cash)	No	\$15,001 - \$50,000		None (or less than \$201)

9	(Trust) Family Trust 1	N/A		
9.1	(Other) Transamerica Insurance Policy (whole life)	No	\$250,001 - \$500,000	None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Reimbursements

(N/A) - Not required for this type of report

Reviewer Comments and Submission History for Christopher Pilkerton

08/07/2017 17:58:23

Filing submitted

07/12/2017 17:10:14

Filing submitted