Annual Report 2017 | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (March 2014)

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

riiei S iliioi iliatioii
Reif, Timothy
Senior Counselor, Office of the U.S. Trade Representative
Report Year: 2017
Other Federal Government Positions Held During the Preceding 12 Months:
General Counsel, USTR (2/2009 - 1/2017)
Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.
/s/ Reif, Timothy [electronically signed on 06/05/2017 by Reif, Timothy in Integrity.gov] - Filer received a 30 day filing extension.
Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).  /s/ Kaye, Janice A, Certifying Official [electronically signed on 07/26/2017 by Kaye, Janice A in Integrity.gov]
757 Raye, Janice A, Certifying Official [electronically signed on 07720/2017 by Raye, Janice A in Integrity.gov]
Other review conducted by
U.S. Office of Government Ethics Certification

Data Revised 07/26/2017

Data Revised 06/15/2017

Data Revised 06/05/2017

# 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	ТО
1	Kids to Kids		Non-Profit	Secretary- Treasurer	/2000	Present
2	Columbia University	New York, New York	University/Colle ge	Visiting Lecturer	1/2013	Present

# 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Traditional IRA	No			
1.1	Lazard Ltd stock (LAZ)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$2,501 - \$5,000
1.2	Infinera Corp stock (INFN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3	NVIDIA Corp stock (NVDA)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
1.4	Performance Sports Group Ltd stock (PSG)	N/A	\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000
1.5	Splunk Inc stock (SPLK)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
1.6	Theravance Biopharma Inc stock (TBPH)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
1.7	ViaSat Inc stock (VSAT)	N/A	\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000
2	Visiting Lecturer, Columbia Law School	N/A	-	Salary	\$10,000

# 3. Filer's Employment Agreements and Arrangements

None

# 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

# 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Prudential Insurance, Inc Vice President for International Governmental Relations.	N/A		salary, bonus	
2	Stock Options, Prudential Insurance Inc.	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	Individual IRA	No			
3.1	Theravance Biopharma Inc stock (TBPH)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
3.2	Inphi Corp stock (IPHI)	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
3.3	Performance Sports Group Ltd stock (PSG)	No	\$100,001 - \$250,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Joint WROS	No	-		
1.1	AeroVironment Inc stock (AVAV)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
1.2	Analog Devices Inc stock (ADI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3	FLIR Systems Inc stock (FLIR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4	General Electric Co stock (GE)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
1.5	Infinera Corp stock (INFN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.6	Lazard Ltd stock (LAZ)	N/A	\$50,001 - \$100,000		
1.7	NVIDIA Corp stock (NVDA)	N/A	\$50,001 - \$100,000		\$15,001 - \$50,000
1.8	Orbital ATK Inc stock (OA)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
1.9	Pacira Pharmaceuticals Inc stock (PCRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.10	Performance Sports Group Ltd stock (PSG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.11	Splunk Inc stock (SPLK)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$5,001 - \$15,000
1.12	Theravance Biopharma Inc stock (TBPH)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$2,501 - \$5,000
2	US bank checking account	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Eaton Corp plc stock (ETN)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Novavax Inc stock (NVAX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	ViaSat Inc stock (VSAT)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000

# 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	AeroVironment Inc stock (AVAV)	Purchase	09/21/16	\$1,001 - \$15,000
2	AeroVironment Inc stock (AVAV)	Sale	11/15/16	\$1,001 - \$15,000
3	Eaton Corp plc stock (ETN)	Purchase	07/11/16	\$1,001 - \$15,000
4	Eaton Corp plc stock (ETN)	Sale	07/19/16	\$1,001 - \$15,000
5	General Electric Co stock (GE)	Sale	01/20/16	\$15,001 - \$50,000
6	General Electric Co stock (GE)	Purchase	04/19/16	\$15,001 - \$50,000
7	General Electric Co stock (GE)	Sale	06/27/16	\$15,001 - \$50,000
8	Infinera Corp stock (INFN)	Purchase	07/26/16	\$15,001 - \$50,000
9	Infinera Corp stock (INFN)	Sale	08/09/16	\$15,001 - \$50,000
10	Infinera Corp stock (INFN)	Purchase	08/04/16	\$1,001 - \$15,000
11	Infinera Corp stock (INFN)	Sale	08/09/16	\$1,001 - \$15,000
12	Infinera Corp stock (INFN)	Purchase	09/21/16	\$1,001 - \$15,000
13	Infinera Corp stock (INFN)	Sale	10/27/16	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT	
14	Infinera Corp stock (INFN)	Purchase	09/21/16	\$1,001 - \$15,000	
15	Infinera Corp stock (INFN)	Sale	10/27/16	\$1,001 - \$15,000	
16	Novavax Inc stock (NVAX)			\$15,001 - \$50,000	
17	Novavax Inc stock (NVAX)	Sale	06/27/16	\$15,001 - \$50,000	
18	Novavax Inc stock (NVAX)	Purchase	06/01/16	\$1,001 - \$15,000	
19	Novavax Inc stock (NVAX)	Purchase	06/01/16	\$1,001 - \$15,000	
20	Novavax Inc stock (NVAX)	Purchase	08/09/16	\$1,001 - \$15,000	
21	Novavax Inc stock (NVAX)	Sale	09/22/16	\$1,001 - \$15,000	
22	Novavax Inc stock (NVAX)	Purchase	09/16/16	16 \$1,001 - \$15,000	
23	Novavax Inc stock (NVAX)	Sale	09/22/16	\$1,001 - \$15,000	
24	Orbital ATK Inc stock (OA)	Purchase	03/11/16	\$1,001 - \$15,000	
25	Orbital ATK Inc stock (OA)	Sale	07/28/16	\$1,001 - \$15,000	
26	Pacira Pharmaceuticals Inc stock (PCRX)	Purchase	07/28/16	\$15,001 - \$50,000	
27	Pacira Pharmaceuticals Inc stock (PCRX)	Sale	09/21/16	\$1,001 - \$15,000	
28	Performance Sports Group Ltd stock (PSG)	Purchase	01/20/16	\$1,001 - \$15,000	
29	Performance Sports Group Ltd stock (PSG)	Purchase	03/11/16	\$1,001 - \$15,000	
30	Performance Sports Group Ltd stock (PSG)	Purchase	03/18/16	\$1,001 - \$15,000	
31	Performance Sports Group Ltd stock (PSG)	Sale	04/29/16	\$15,001 - \$50,000	
32	Splunk Inc stock (SPLK)	Purchase	03/11/16	\$1,001 - \$15,000	
33	Splunk Inc stock (SPLK)	Sale	06/10/16	\$1,001 - \$15,000	

#	DESCRIPTION	TYPE	DATE	AMOUNT
34	Theravance Biopharma Inc stock (TBPH)	Purchase	03/21/16	\$1,001 - \$15,000
35	Theravance Biopharma Inc stock (TBPH)	Sale	06/27/16	\$1,001 - \$15,000
36	Theravance Biopharma Inc stock (TBPH)	Purchase	03/20/16	\$1,001 - \$15,000
37	Theravance Biopharma Inc stock (TBPH)	Sale	Sale 06/27/16 \$15,001 - \$50,000	
38	ViaSat Inc stock (VSAT)	Sale	02/09/16	\$1,001 - \$15,000
39	ViaSat Inc stock (VSAT)	Sale	Sale 03/23/16 \$15,001 - \$50,000	
40	ViaSat Inc stock (VSAT)	Sale	03/23/16	\$1,001 - \$15,000
41	Lazard Ltd stock (LAZ)	Purchase	03/11/16	\$1,001 - \$15,000
42	Lazard Ltd stock (LAZ)	Purchase	03/20/16	\$15,001 - \$50,000
43	Lazard Ltd stock (LAZ)	Purchase	06/01/16	\$1,001 - \$15,000
44	Lazard Ltd stock (LAZ)	Purchase	06/30/16	\$1,001 - \$15,000
45	Lazard Ltd stock (LAZ)	Sale	07/26/16	\$15,001 - \$50,000
46	Lazard Ltd stock (LAZ)	Sale	09/01/16	\$15,001 - \$50,000
47	NVIDIA Corp stock (NVDA)	Sale	03/24/16	\$50,001 - \$100,000
48	Orbital ATK Inc stock (OA)	Sale	07/28/16	\$50,001 - \$100,000
49	NVIDIA Corp stock (NVDA)	Purchase	02/12/16	\$1,001 - \$15,000
50	NVIDIA Corp stock (NVDA)	Purchase	02/29/16	\$1,001 - \$15,000
51	Analog Devices Inc stock (ADI)	Purchase	09/21/16	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
52	Analog Devices Inc stock (ADI)	Purchase	11/15/16	\$1,001 - \$15,000
53	FLIR Systems Inc stock (FLIR)	Purchase	09/21/16	\$1,001 - \$15,000
54	FLIR Systems Inc stock (FLIR)	Purchase	11/15/16	\$1,001 - \$15,000
55	Pacira Pharmaceuticals Inc stock (PCRX)	Purchase	08/04/16	\$1,001 - \$15,000
56	Pacira Pharmaceuticals Inc stock (PCRX)	Purchase	08/09/16	\$1,001 - \$15,000
57	Pacira Pharmaceuticals Inc stock (PCRX)	Purchase	11/15/16	\$1,001 - \$15,000
58	Theravance Biopharma Inc stock (TBPH)	Purchase	12/08/16	\$15,001 - \$50,000
59	Novavax Inc stock (NVAX)	Purchase	02/12/16	\$1,001 - \$15,000
60	Novavax Inc stock (NVAX)	Sale	02/29/16	\$1,001 - \$15,000
61	Novavax Inc stock (NVAX)	Purchase	03/18/16	\$1,001 - \$15,000
62	Novavax Inc stock (NVAX)	Purchase	03/18/16	\$1,001 - \$15,000
63	Novavax Inc stock (NVAX)	Purchase	06/01/16	\$1,001 - \$15,000
64	Novavax Inc stock (NVAX)	Purchase	06/01/16	\$1,001 - \$15,000
65	Novavax Inc stock (NVAX)	Purchase	07/11/16	\$1,001 - \$15,000
66	Novavax Inc stock (NVAX)	Sale	07/28/16	\$15,001 - \$50,000
67	Novavax Inc stock (NVAX)	Purchase	09/16/16	\$1,001 - \$15,000

# 8. Liabilities

None

a	Gifts	and T	Fravol	Reim	hı	ircan	antc
<b>フ</b> .	GIILS	anu	Havei	Reiiii	IJυ	ихен	IEI II S

None

Endnotes

# Summary of Contents

#### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

#### 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

#### 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

#### 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

#### **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person. subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another: (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

#### **Public Burden Information**

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

Periodic Transaction Report (OGE Form 278-T)
Filer's Information
Reif, Timothy
Senior Advisor, Office of the U.S. Trade Representative
Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.  /s/ Reif, Timothy [electronically signed on 07/22/2017 by Reif, Timothy in Integrity.gov]
Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).  /s/ Kaye, Janice A, Certifying Official [electronically signed on 07/26/2017 by Kaye, Janice A in Integrity.gov]
Other review conducted by
U.S. Office of Government Ethics Certification
Data Revised 07/25/2017
Data Revised 07/24/2017

Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634

**Executive Branch Personnel** 

Public Financial Disclosure Report:

# Transactions

#	DESCRIPTION	ТҮРЕ	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT
1	Orbital ATK Inc stock (OA)	Sale	01/17/17	Yes	\$1,001 - \$15,000
2	Splunk Inc stock (SPLK)	Sale	01/17/17	Yes	\$1,001 - \$15,000
3	Pacira Pharmaceuticals Inc stock (PCRX)	Sale	02/10/17	Yes	\$1,001 - \$15,000
4	ViaSat Inc stock (VSAT)	Purchase	02/10/17	Yes	\$1,001 - \$15,000
5	AeroVironment Inc stock (AVAV)	Purchase	03/01/17	Yes	\$100,001 - \$250,000
6	Cavium Inc stock (CAVM)	Purchase	03/01/17	Yes	\$1,001 - \$15,000
7	General Electric Co stock (GE)	Purchase	03/01/17	Yes	\$1,001 - \$15,000
8	Pacira Pharmaceuticals Inc stock (PCRX)	Purchase	03/01/17	Yes	\$1,001 - \$15,000
9	AeroVironment Inc stock (AVAV)	Sale	03/08/17	Yes	\$1,001 - \$15,000
10	Orbotech Ltd stock (ORBK)	Purchase	04/24/17	Yes	\$1,001 - \$15,000
11	General Electric Co stock (GE)	Sale	04/24/17	Yes	\$1,001 - \$15,000
12	General Electric Co stock (GE)	Sale	04/24/17	Yes	\$1,001 - \$15,000
13	General Electric Co stock (GE)	Sale	04/24/17	Yes	\$1,001 - \$15,000
14	Inphi Corp stock (IPHI)	Purchase	04/24/17	Yes	\$1,001 - \$15,000
15	Inphi Corp stock (IPHI)	Purchase	04/24/17	Yes	\$1,001 - \$15,000
16	Pacira Pharmaceuticals Inc stock (PCRX)	Purchase	04/24/17	Yes	\$1,001 - \$15,000
17	ViaSat Inc stock (VSAT)	Purchase	04/24/17	Yes	\$1,001 - \$15,000
18	Analog Devices Inc stock (ADI)	Purchase	05/02/17	Yes	\$1,001 - \$15,000
19	Theravance Biopharma Inc stock (TBPH)	Sale	05/05/17	Yes	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT
20	Splunk Inc stock (SPLK)	Sale	05/05/17	Yes	\$1,001 - \$15,000
21	Orbotech Ltd stock (ORBK)	Sale	05/22/17	Yes	\$1,001 - \$15,000
22	Analog Devices Inc stock (ADI)	Purchase	06/02/17	Yes	\$1,001 - \$15,000
23	Splunk Inc stock (SPLK)	Sale	06/02/17	Yes	\$1,001 - \$15,000
24	Analog Devices Inc stock (ADI)	Sale	06/13/17	Yes	\$15,001 - \$50,000
25	Cavium Inc stock (CAVM)	Sale	06/13/17	Yes	\$1,001 - \$15,000
26	FLIR Systems inc stock (FLIR)	Sale	06/13/17	Yes	\$1,001 - \$15,000
27	Orbital ATK Inc stock (OA)	Sale	01/17/17	Yes	\$1,001 - \$15,000
28	Splunk Inc stock (SPLK)	Sale	01/17/17	Yes	\$1,001 - \$15,000
29	Pacira Pharmaceuticals Inc stock (PCRX)	Sale	02/10/17	Yes	\$1,001 - \$15,000
30	ViaSat Inc stock (VSAT)	Purchase	02/10/17	Yes	\$1,001 - \$15,000
31	AeroVironment Inc stock (AVAV)	Purchase	03/01/17	Yes	\$1,001 - \$15,000
32	Cavium Inc stock (CAVM)	Purchase	03/01/17	Yes	\$1,001 - \$15,000
33	General Electric Co stock (GE)	Purchase	03/01/17	Yes	\$1,001 - \$15,000
34	General Electric Co stock (GE)	Purchase	03/01/17	Yes	\$1,001 - \$15,000
35	AeroVironment Inc stock (AVAV)	Sale	03/08/17	Yes	\$1,001 - \$15,000
36	Orbotech Ltd stock (ORBK)	Purchase	04/24/17	Yes	\$1,001 - \$15,000
37	Analog Devices Inc stock (ADI)	Purchase	04/24/17	Yes	\$1,001 - \$15,000
38	General Electric Co stock (GE)	Sale	04/24/17	Yes	\$1,001 - \$15,000
39	Inphi Corp stock (IPHI)	Purchase	04/24/17	Yes	\$1,001 - \$15,000
40	Pacira Pharmaceuticals Inc stock (PCRX)	Purchase	04/24/17	Yes	\$1,001 - \$15,000

#	DESCRIPTION	ТҮРЕ	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT
41	ViaSat Inc stock (VSAT)	Purchase	04/24/17	Yes	\$1,001 - \$15,000
42	Inphi Corp stock (IPHI)	Sale	05/02/17	Yes	\$1,001 - \$15,000
43	Orbotech Ltd stock (ORBK)	Sale	05/22/17	Yes	\$1,001 - \$15,000
44	Analog Devices Inc stock (ADI)	Purchase	06/27/17	No	\$1,001 - \$15,000
45	Cavium Inc stock (CAVM)	Sale	06/27/17	No	\$1,001 - \$15,000

### **Endnotes**

# **Summary of Contents**

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

### **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an emp

Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Periodic Transaction Report (OGE Form 278-T)
Filer's Information
Reif, Timothy
Senior Advisor to the US Trade Representative, Office of the U.S. Trade Representative
Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.  /s/ Reif, Timothy [electronically signed on 12/07/2017 by Reif, Timothy in Integrity.gov]
Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).  /s/ Kaye, Janice A, Certifying Official [electronically signed on 12/07/2017 by Kaye, Janice A in Integrity.gov]
Other review conducted by
U.S. Office of Government Ethics Certification
Data Revised 12/07/2017

Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634

**Executive Branch Personnel** 

Public Financial Disclosure Report:

### **Transactions**

#	DESCRIPTION	ТҮРЕ	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT
1	ViaSat Inc stock (VSAT)	Sale	11/09/2017	No	\$1,001 - \$15,000
2	Sprouts Farmers Market Inc stock (SFM)	Purchase	11/09/2017	No	\$1,001 - \$15,000

### **Endnotes**

# **Summary of Contents**

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

### **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an

individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.