

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Glick, Richard A

Commissioner, Federal Energy Regulatory Commission

Other Federal Government Positions Held During the Preceding 12 Months:

General Counsel, Senate Energy and Natural Resources Committee (2/2016 - Present)

Names of Congressional Committees Considering Nomination:

- **Committee on Energy and Natural Resources**
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Glick, Richard A [electronically signed on 06/04/2017 by Glick, Richard A in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Allen, Kathryn B, Certifying Official [electronically signed on 08/07/2017 by Allen, Kathryn B in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 08/10/2017 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Iberdrola USA	See Endnote	Washington, District of Columbia	Corporation	Vice President, Government Affairs	5/2012	2/2016
2	American Wind Energy Association		Washington, District of Columbia	Non-Profit	Board of Directors	1/2012	2/2016

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Iberdrola USA	N/A		Salary/Bonus	\$209,328
2	IRA #1	No			
2.1	- iShares Core MSCI Emerging (IEMG)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.2	- iShares iBoxx \$ High Yield Corporate Bd (HYG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.3	- Deutsche X-Trackers MSCI (DBEF)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
2.4	- iShares Core MSCI EAFE ETF (IEFA)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2.5	- Wisdomtree Trust JAPN Hedge EQ (DXJ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.6	- Vanguard Short Term BND (BSV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.7	- iShares Russell 1000 Growth Index (IWF)	Yes	\$50,001 - \$100,000		\$15,001 - \$50,000
2.8	- iShares Russell 1000 Value Index (IWD)	Yes	\$50,001 - \$100,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.9	- iShares Russell Midcap Growth Index (IWP)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.10	- iShares Russell Midcap Value Index (IWS)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
2.11	- iShares Russell 2000 Growth Index (IWO)	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
2.12	- iShares Russell 2000 Value Index (IWN)	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
2.13	- Vanguard Total Bond Market (BND)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.14	- iShares Barclays TIPS Bond (TIP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.15	iShares MSCI Japan Index (EWJ) (SOLD)	Yes	None (or less than \$1,001)		\$201 - \$1,000
3	IRA #2	No			
3.1	- Diamond Hill Long-Short I (DHLSX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.2	- Eaton Vance Income Fund of Boston I (EIBIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.3	- iShares Barclays TIPS Bond (TIP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	Cambiar INTL Equity INV (CAMIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.5	- Harding Loevner INTL EQTY (HLMIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.6	- AQR MNGD Futures STRAT I (AQMIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.7	- Blackstone ALT MULT-STRAT INST (BXMIX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
3.8	- iShares Russell 1000 Growth Index (IWF)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
3.9	- iShares Russell 1000 Value Index (IWD)	Yes	\$100,001 - \$250,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.10	- Hartford Midcap I (HFMIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.11	- iShares Russell 2000 Value Index (IWN)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.12	- Metropolitan West TOT RET BD I (MWTIX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
3.13	- LAZARD DEVELOPING MKTS EQ (LDMIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	CBS SPORTS FANTASY FOOTBALL	N/A		GAMBLING WINNINGS.	\$1,100
5	YAHOO FANTASY SPORTS	N/A		GAMBLING WINNINGS.	\$300
6	Iberdrola USA - Severance	N/A		Severance	\$115,897

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Iberdrola USA	Washington, District of Columbia	Ran Washington, D.C. government affairs office for an energy holding company with subsidiaries involved in renewable electricity generation, electricity and natural gas retail distribution, and natural gas storage.

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TRADITIONAL IRA	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1	- CAUSEWAY EMERGING MKTS INST (CEMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	- CENTER COAST MLP FOCUS I (CCCNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	- DEUTSCHE X-TRACKERS MSCI EAF (DBEF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	- EDGEWOOD GROWTH INSTL (EGFIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	- GOLDMAN SACHS MULTI MGR A (GSMMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.6	- HARDING LOEVNER INTL EQTY I (HLMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	- ISHARES RUSSELL 1000 GRW ETF (IWF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	- ISHARES RUSSELL 1000 VALUE ETF (IWD)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.9	- ISHARES RUSSELL MIDCAP G ETF (IWP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.10	- ISHARES RUSSELL MIDCAP V ETF (IWS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.11	- JOHN HANCOCK SEAPORT I (JSFDX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.12	- MATTHEWS ASIAN JAPAN INV (MJFOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.13	- TOUCHSTONE SMALL CAP GROW (MXAIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.14	- AQR MNGD FUTURES STRAT I (AQMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.15	- CAMBRIA INTL EQUITY INV (CAMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.16	CONSULTING GROUP LG CAP EQ INVEST (TLVUX) (SOLD)	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Roth IRA	N/A			None (or less than \$201)
2.1	- ISHARES RUSSELL 1000 GRW ETF (IWF)	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
2.2	- ISHARES RUSSELL 1000 VALUE ETF (IWD)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
2.3	- ISHARES RUSSELL 2000 GRWTH E (IWO)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.4	- ISHARES RUSSELL 2000 VALUE ETF (IWN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	- ISHARES RUSSELL MIDCAP G ETF (IWP)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.6	- ISHARES RUSSELL MIDCAP V ETF (IWS)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.7	- ISHARES TIPS BOND ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.8	- VANGUARD SHORT TERM BND (BSV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	- VANGUARD TOTAL BOND MARKET (BND)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.10	- WISDOMTREE TRUST JAPN HEDG (DXJ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.11	- DEUTSCHE X-TRACKERS MSCI EAF (DBEF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.12	- iShares Core MSCI EAFE ETF (IEFA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.13	- ISHARES CORE MSCI EMERGING (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.14	- ISHARESBOXX \$ H/Y COR BD ETF (HYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	BlackRock Inflation Protected Bond Instl (BPRIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	CAMBIAR INTL EQUITY INV (CAMIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	CAUSEWAY INTL EQUITY INV (CEMIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	DELAWARE VALUE INSTL (DDVIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5	EV INCOME FUND OF BOSTON I (EBIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6	EDGEWOOD GROWTH INSTL (EGFIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	HARDING LOEVNER INTL EQTY INST (HLMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8	Hartford Midcap I (HFMIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9	LAZARD INTL STRAT EQ PTF INST (LISIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
10	LYRICAL US VALE EQUITY INSTL (LYRIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11	MATTHEWS ASIAN JAPAN INV (MJFOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	NEUBERGER INTRINSIC VALUE INST (NNILX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	PIMCO Short-Term P (PTSPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	RIVERPARK/WEDGEWOOD INST (RWGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
15	TOUCHSTONE SMAL CAP GROWTH Y (MXAIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16	Wells Fargo Advantage Spec Md Cp Val I (WFMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	Wells Fargo Advantage S/T Muni Bd Inst (WSBIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
18	Western Asset Intermediate-Term Muni I (SBTYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
19	Applied Materials, Inc. - Common Stock (AMAT) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
20	Merck & Co Inc (MRK) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
21	ALLSTATE CORPORATION (ALL) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
22	American Intl Group Inc (AIG) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
23	Archer-Daniels-Midland Co (ADM) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
24	Baidu, Inc. (BIDU) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
25	BANCO MACRO (BMA) (SOLD)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
26	Cisco Systems Inc (CSCO) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
27	CLICKS GROUP INC. (CLCGY) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
28	CVS Caremark Corp. (CVS) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
29	Entergy Corp (ETR) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
30	General Electric Co (GE) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
31	General Dynamics (GD) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
32	Goldman Sachs Group Inc (GS) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33	Hartford Finl Services Group (HIG) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
34	Intel Corp (INTC) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
35	JP Morgan Chase & Co (JPM) (SOLD)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
36	Microsoft Corp (MSFT) (SOLD)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
37	NetEase, Inc. (NTES) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
38	Nucor Corp (NUE) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
39	PT TELEKOMINIKASI INDONESIA (TLK) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
40	Public Service Enterprise Grp (PEG) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
41	SBERBANK OF RUSSIA (SBRCY) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
42	St Jude Medical Inc (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
43	TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LIMITED (TSM) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
44	Unitedhealth Group Inc (UNH) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
45	VF Corp (VFC) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
46	VANGUARD REIT ETF (VNQ) (SOLD)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
47	VIRTUS FOREIGN OPPORTUNITIES (JVIAX) (SOLD)	Yes	None (or less than \$1,001)		\$201 - \$1,000
48	Whirlpool Corp (WHR) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
49	American Electric Power (AEP) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
50	BRITISH AMERICAN TOBACCO (BTI) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
51	Capital One Financial (COF) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
52	Chevron Corp (CVX) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
53	Danaher Corp (DHR) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
54	Johnson & Johnson (JNJ) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
55	Kimberly-Clark (KMB) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
56	NESTLE SA SPON ADR (NSRGY) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
57	NIDEC CORP. (NJDCY) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
58	SAP SE (SAP) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
59	State Street Corp (STT) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
60	WPP plc (WPP) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
61	VANGUARD FTSE DEVELOPED MKTS ETF (VEA) (SOLD)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
62	VANGUARD FTSE EMERGING MARKET (VWO) (SOLD)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
63	iShares S&P National AMT-Free Muni Bd (MUB) (SOLD)	Yes	None (or less than \$1,001)		\$201 - \$1,000
64	iShares Barclays TIPS Bond (TIP) (SOLD)	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
65	DEUTSCHE X-TRACKERS MSCI EAF (DBEF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
66	iShares Russell 1000 Growth Index (IWF)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
67	iShares Russell 1000 Value Index (IWD)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
68	iShares Russell 2000 Value Index (IWN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
69	iShares Russell Midcap Growth Index (IWP)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
70	iShares Russell Midcap Value Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
71	iShares Barclays TIPS Bond (TIP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
72	CAMBIAR INTL EQUITY INV (CAMIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
73	CAUSEWAY EMERGING MKTS INST (CEMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
74	EV INCOME FUND OF BOSTON I (EIBIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
75	EDGEWOOD GROWTH INSTL (EGFIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
76	HARDING LOEVNER INTL EQTY INST (HLMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
77	JP MORGAN VALUE ADVANTAGE I (JVASX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
78	MATTHEWS ASIAN JAPAN INV (MJFOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
79	NEUBERGER INTRINSIC VALUE INST (NINLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
80	PIMCO Short-Term P (PTSPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
81	TOUCHSTONE SMALL CAP GROWTH Y (MXAIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
82	Wells Fargo Advantage S/T Muni Bd Inst (WSBIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
83	WESTERN AST INT TERM MUNI I (SBTYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
84	AMAZON INC. (AMZN) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
85	BRISTOL-MYERS SQUIBB (BMY) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
86	Monster Beverage Corp (MNST) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
87	Nvidia Corp (NVDA) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
88	OAKMARK I (OAKMX) (SOLD)	Yes	None (or less than \$1,001)		\$201 - \$1,000
89	Adobe Systems Inc (ADBE) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
90	Alexion Pharmaceuticals Inc (ALXN) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
91	Google Inc. - Class A Common Stock (GOOGL) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
92	AMERICAN TOWER (AMT) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
93	Apple Inc. (AAPL) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
94	Biogen Idec Inc (BIIB) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
95	BioMarin Pharmaceutical Inc. (BMRN) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
96	Boeing Co (BA) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
97	Bristol-Myers Squibb (BMY) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
98	Celgene Corp (CELG) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
99	Costco Wholesale Corp (COST) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
100	Facebook, Inc. - Class A Common Stock (FB) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
101	FLEETCOR TECHNOLOGIES (FLT) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
102	Illumina, Inc. - Common Stock (ILMN) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
103	Marriott Intl A (MAR) (STOCK)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
104	Mastercard Inc A (MA) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
105	NetFlix Inc (NFLX) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
106	NIKE Inc B (NKE) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
107	NOVO NORISK A/S (NVO) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
108	O'Reilly Automotive (ORLY) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
109	Priceline.com Inc (PCLN) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
110	Red Hat Inc (RHT) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
111	Salesforce.com (CRM) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
112	Splunk Inc. - Common Stock (SPLK) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
113	Starbucks Corp (SBUX) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
114	Tesla Motors, Inc. - Common Stock (TSLA) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
115	TJX Cos Inc (TJX) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
116	UNDER ARMOUR (UA) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
117	Visa Inc (V) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
118	Walt Disney Co (DIS) (SOLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
119	WORKDAY, INC. (WDAY) (SOLD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
120	VANGUARD FTSE DEVELOPED MARKETS (VWO) (SOLD)	Yes	None (or less than \$1,001)		\$201 - \$1,000
121	VANGUARD FTSE EMERGING MARKETS (VEA) (SOLD)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
122	WISDOMTREE TRUST JAPAN HEDGED EQUITY FUND (DXJ)	Yes	None (or less than \$1,001)		\$201 - \$1,000
123	STANTON TEX INDPT SCH DIST BONDS	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
124	POWHATAN CNTY VA ECONOMIC DEVELOP AUTH BOND	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
125	HUNTSVILLE AL GENL OBLIG BOND	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
126	PENNSYLVANIA ST GENL OBLIG BOND	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
127	KING CNTY WAS GENL OBLIG BOND	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
128	UNIVERSITY ILL UNIV REVS BOND	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
129	HAWAII ST GENL OBLIG BOND	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
130	NEW YORK CITY TRANS FIN AUTH BOND	N/A	\$1,001 - \$15,000		None (or less than \$201)
131	MILWAUKEE WIS SEW REV BOND	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
132	CITRUS CNTY FLA WTR & WASTEWTR BOND	N/A	\$1,001 - \$15,000		None (or less than \$201)
133	CLARK CNTY NEV BOND	N/A	\$1,001 - \$15,000		None (or less than \$201)
134	NEVADA ST HWY IMPT BOND	N/A	\$1,001 - \$15,000		None (or less than \$201)
135	BULLOCH CO S/D BOND (REDEEMED)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
136	CINCINNATI OH VAR PURP GENL OBLIG IMPT BOND (SOLD)	N/A	None (or less than \$1,001)	Capital Gains Interest	\$201 - \$1,000
137	FLORIDA STATE TPK BOND (SOLD)	N/A	None (or less than \$1,001)	Capital Gains Interest	\$201 - \$1,000
138	SKYBRIDGE MUL-AD SER G ADV	Yes	\$50,001 - \$100,000		None (or less than \$201)
139	Consulting Group Emerging Mkts Equity (TEMUX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
140	Consulting Group International Eq Invst (TIEUX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
141	Consulting Group Large Cap Growth (TLGUX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
142	Consulting Group Small Cap Growth (TSGUX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
143	529 COLLEGE SAVINGS PLAN	No			
143.1	- American Funds Bond Fund of Amer 529A (CFAAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
143.2	- American Funds Growth Fund of Amer 529A (CGFAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
143.3	- American Funds Invmt Co of America 529A (CICAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
143.4	- American Funds New Perspective 529A (CNPAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
143.5	- American Funds SMALLCAP World 529A (CSPAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
144	U.S. Checking Account (CASH)	N/A	\$15,001 - \$50,000		None (or less than \$201)
145	U.S. CREDIT UNION ACCOUNT (CASH)	N/A	\$100,001 - \$250,000	Interest Capital Gains	None (or less than \$201)
146	USAA LIFE INSURANCE (UNIVERSAL LIFE)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	1	Iberdrola USA became Avangrid, Inc. in February 2015.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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