OGE Form 278e (March 2014)
U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001)

C.S. CHICC OF GOVERNMENT Eunes, J.C.	r.K. part 2034   Form Approved: UMB No. (32
Report Type:	New Entrant
Year (Annual Report only):	
Date of Appointment/Termination:	1/22/2017



# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information								
Last Name	First Name	MI	Position		Agency			
Flynn	Michael		National Security Advisor		White House			
Other Federal Government Positions Held	During the Preceding 1	2 Months:						
None		2 Williams,						
Name of Congressional Committee Consid	lering Nomination (Non	ninees only):						
Filer's Certification - I certify that the state	ments I have made in th	nis report are true, co	omplete and correct to the best of my know	ledge:				
Signature:	0 /	, , ,	Date:	reage.				
Mi Ray Ti	-) Cym		3 AUGUST	2017	7			
Agency Ethics Official's Opinion - On the	basis of information co	ntained in this reno	rt. I conclude that the filer is in compliance	with applicable	e laws and regulations			
(subject to any comments below)			19, 1 constant that the first is in compliance	with applicabl	c laws and regulations			
Signature:			Date:					
Other Review Conducted By:								
Signature:			Date:					
U.S. Office of Government Ethics Certifica	ution (if required):							
Signature:	mon (n required).		Date:					
Signaturo.			Date.					
Comments of Reviewing Officials:	Comments of Reviewing Officials:							
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### <u>Instructions for Part 1</u>

		ude account numbers	s, street addresses, or family	member names. See instructions for required		
	er's Name				Page Number	0
	nn, Michael	·1 TI · 1 C/ /	<u> </u>			2
Pa	rt 1: Filer's Positions Held Out	_			r	1
#	Organization Name	City/State	Organization Type	Position Held	From	To
1.	Drone Aviation Holding Corp.	Jacksonville, Florida	Corporation	Board Member	4/2016	12/2016
2.	GreenZone Systems Inc.	Arlington, Virginia	Corporation	Board Member	11/2014	12/2016
3.	HALO Privacy LLC	Seattle, Washington	Corporation	Board Member	10/2015	12/2016
4.	Palo Alto Networks Inc.	Santa Clara, California	Corporation	Advisory Council Member	9/2014	12/2016
5.	Patriot Capital LLC	Orlando, Florida	Corporation	Advisory Board Member	8/2015	12/2016
6.	X-Co Dynamics Inc. / ACU Strategic Partners	Washington, D.C.	Corporation	Advisor	4/2015 (approximate)	6/2016 (approximate)
7.	Quaestor Federal Consulting LLC	Springfield, Virginia	Corporation	Advisor	10/2015	9/2016
8.	Flynn Intel Group Inc. (suspended activites in November 2016)	Alexandria, Virginia	Corporation	Chairman	6/2015	Present
9.	Flynn Intel Group LLC (suspended activites in November 2016)	Alexandria, Virginia	Corporation	Member	10/2014	Present
10.	Adobe Systems Inc.	Palo Alto, California	Corporation	Advisory Board Member/Consultant	2/2015	12/2016
11.	OSY Technologies SARL	Luxembourg	Corporation	Advisory Board Member	5/2016	1/2017
12.	Novetta, Inc.	McLean, Virginia	Corporation	Advisor	12/2014	12/2016
13.	Babel Street, Inc.	Reston, Virginia	Corporation	Advisor	3/2015	12/2016
14.	NJK Holding Corporation	Minneapolis, Minnesota	Corporation	Advisor	11/2014	12/2016
15.	Pechter Polls of Princeton	Princeton, New Jersey	Corporation	Advisor	7/2016	12/2016
16.	Ulysses Group, LLC	Charleston, SC	Corporation	Advisor	2/2016	12/2016
17.	OCA Ventures, LLC	Chicago, Illinois	Corporation	Advisor	7/2015	12/2016
18.	SCL Group, Inc.	Alexandria, Virginia	Corporation	Advisor	11/2016	12/2016
19.	Francisco Partners	San Francisco, California	Corporation	Advisor	5/2015	12/2016
20.	ACT for America	Washington, D.C.	Corporation	Advisor	7/2016 (approximate)	11/2016

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Pa	rt 1: Filer's Positions Held (	<b>Dutside United States</b>	Government			
#	Organization Name	City/State	Organization Type	Position Held	From	To
1.	Long Walk Enterprise LLC	Fayetteville, North Carolina	Corporation	Advisor	6/2016	6/2016
2.	Shield Capital Partners LLC	Naples, Florida	Corporation	Advisor	9/2015	11/2016 (approximate)
3.	Thin Air	Palo Alto, California	Corporation	Advisor	12/2015	12/2016 (approximate)
4.	Digital Global Systems	Beltsville, Maryland	Corporation	Advisor	10/2016 (approximate)	1/2017
5	Trump for America Inc.	Beverly, Massachusetts	Corporation	Advisor for Presidential Transition	11/2016	1/2017
6.	IronBridge Group Inc.	Fairfax, VA	Corporation	Advisor	6/2016 (approximate)	12/2016
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aı	t 2: Filer's Employment Assets & Income and R	etiren	nent Accounts			•
	Description	EIF	Value	Income Type	Income Amount	
	Drone Aviation Holding Corp.	N/A		Director Fees		\$24,000
	Drone Aviation Holding Corp., stock	N/A	\$50,001 - \$100,000		None (or less than \$201)	
	GreenZone Systems Inc., stock options (value not readily ascertainable) 125,000 vested shares.	N/A	None (or less than \$1,001)		None (or less than \$201)	
	HALO Privacy LLC, stock options (value not readily ascertainable), 50,000 total shares.	N/A	None (or less than \$1,001)		None (or less than \$201)	
	HALO Privacy LLC (Privacy / Security), stock (value not readily ascertainable)	N/A	None (or less than \$1,001)		None (or less than \$201)	
	Palo Alto Networks Inc.	N/A		Consulting Fees		\$40,280
	Flynn Intel Group LLC (Consulting)	N/A		Salary/Bonus		\$827,055
	Quaestor Federal Consulting LLC (Federal services contractor)	N/A		Salary		\$90,000
	Adobe Systems Inc.	N/A		Consulting fees		\$125,250
).	OSY Technologies SARL	N/A		Consulting Fees		\$40,280
	Flynn Intel Group Inc.	N/A		Business Income	\$50,001 - \$100,000	
2.	Flynn Intel 401(k) - MTF <sup>1</sup>	N	\$50,001 - \$100,000			
	Flynn Intel Defined Benefit Plan <sup>2</sup>	N	\$250,001 - \$500,000			
	IRA - MTF <sup>3</sup>	N	\$15,001 - \$50,000			
-	2016 S Corp/K-1 - MTF	N/A		Capital Gains	\$50,001 - \$100,000	
	Counterterrorism Education Learning Lab (through Leading Authorities LLC), 2/17/2016	N/A		Speaker Fees		\$20,000
	Lincoln Chamber of Commerce (through Leading Authorities LLC), 2/24/2016	N/A		Speaker Fees		\$15,000
	National Center for Policy Analysis (through Leading Authorities LLC), 3/31/2016	N/A		Speaker Fees		\$10,000
	Professional Services Council (through Leading Authorities LLC), 4/18/2016	N/A		Speaker Fees		\$9,800
	CoBank, 10/25/2016	N/A		Speaker Fees		\$15,000

Filer's Name										
Fly	nn, Michael					5				
Pa	Part 2: Filer's Employment Assets & Income and Retirement Accounts									
#	Description	EIF	Value	Income Type	Income Amount					
1.	David Horowitz Freedom Center (through Premiere Speakers Bureau), 11/11/2016	N/A		Speaker Fees		\$15,000				
2.	Randall-Reilly Publishing Company, LLC (through Leading Authorities LLC), 11/15/2016	N/A		Speaker Fees		\$22,500				
3.	Museum Armor, 11/16/2016	N/A		Speaker Fees		\$10,000				
4.	Youth of Americas Foundation, 11/12/2016	N/A		Speaker Fees		\$20,000				
5.	Fa Park Family Foundation Inc., 10/2016	N/A		Speaker Fees		\$10,000				
6.	BlackDuck Software Inc., 10/2016	N/A		Speaker Fees		\$14,454.20				
7.	Halifax Investment Management Inc., 4/27/2016	N/A		Speaker Fees		\$10,000				
8.	Long Walk Enterprise LLC	N/A		Consulting		\$1,000				
9.	ACT for America, 7/2016	N/A		Speaker Fees		\$3,336.20				
10.	Council for National Policy , 7/2016	N/A		Speaker Fees		\$740.32				
11.	HBO Honorarium, 8/2016	N/A		Honorarium		\$649.22				
12.	Novetta, Inc.	N/A		Consulting		\$65,000				
13.	Babel Street, Inc.	N/A		Consulting		\$4,167				
14.	NJK Holding Corporation	N/A		Consulting		\$140,253				
15.	Francisco Partners	N/A		Consulting		\$59,246				
16.	Pechter Polls of Princeton	N/A		Consulting		\$15,000				
17.	OCA Ventures	N/A		Consulting		\$15,000				
18.	Ulysses Group, LLC	N/A		Consulting		\$13,000				
19.	Writers' Representatives, LLC	N/A		Book, Field of Fight		\$21,398.25				
20.	MacMillan Publishing Company	N/A		Book, Field of Fight		\$10,845.70				
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File	Filer's Name										
Fly	Flynn, Michael										
Pa	Part 2: Filer's Employment Assets & Income and Retirement Accounts										
#		EIF	Value	Income Type	Income Amount						
1.	Levyx Inc., stock	N/A	\$1,001 - \$15,000		\$5,001 - \$15,000						
2.	Babel Street, Inc., stock options (value not readily ascertainable) 30,625 vested shares.	N/A	None (or less than \$1,001)		None (or less than \$201)						
3.	SAIFE, stock options (value not readily ascertainable) 37,500 vested shares.	N/A	None (or less than \$1,001)		None (or less than \$201)						
4.	Blue Chip Systems stock options (value not readily ascertainable) 65,625 vested shares.	N/A	None (or less than \$1,001)		None (or less than \$201)						
5.	ROTH-MTF <sup>4</sup>	N/A	\$15,001 - \$50,000								
6.	Trump for America Inc.	N/A		Advisor for Presidential Transition		\$28,013.80					
7.	Patriot Defense Group LLC/Patriot Capital LLC	N/A		Consulting		\$4,000					

## <u>Instructions for Part 3</u>

		Do not include acco	ount numbers, street addresses, or family member names. See instruction		
Fil	ler's Name			Page Number	
Fly	ynn, Michael				7
Pa	art 3: Filer's Employm	nent Agreements	and Arrangements		
#	Employer or Party	City/State	Status and Terms		Date
1.	Flynn Intel Group LLC <sup>1</sup>		Continuing 401(k) as reported in Part 2		1/2015
2.	Flynn Intel Group LLC <sup>2</sup>	Alexandria, Virginia	Continuing Defined Benefit Plan as reported in Part 2		1/2015
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	er's Name			Page Number
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Pa	rt 4: Filer's Sources of Compens	sation Exceeding	g \$5,000 in a Year	
#	Source Name	City/State	Brief Description of Duties	
1.	Drone Aviation Holding Corp.	Jacksonville, Florida	Board Member	
2.	Palo Alto Networks Inc.	Santa Clara, California	Advisory Council Member	
3.	Flynn Intel Group LLC	Alexandria, Virginia	Member	
4.	Quaestor Federal Consulting LLC	Springfield, Virginia	Employee and consultant	
5.	Adobe Systems Inc.	Palo Alto, California	Advisory Board Member, speaking engagement	
6.	OSY Technologies SARL	Luxembourg	Advisory Board Member	
7.	Flynn Intel Group Inc.	Alexandria, Virginia	Chairman	
8.	Babel Street Incorporated	Reston, Virginia	Advisor	
9.	Brainwave Sciences LLC	Southborough, Massachusetts	Advisor	
10.	Francisco Partners Management LP	San Francisco, California	Consultant	
11.	McChrystal Group LLC	Alexandria, Virginia	Consultant	
12.	NJK Holding Corporation	Minneapolis, Minnesota	Consultant	
13.	Novetta, Inc.	McLean, Virginia	Consultant	
14.	Pechter Polls LLC	Princeton, New Jersey	Consultant	
15.	The Ulysses Group LLC	Charleston, South Carolina	Advisor	
16.	I3 Integrative Creative Solutions, LLC	Springfield, Virginia	Consultant	
17	Anadarko Petroleum Co.	Woodlands, Texas	Advisor	
18.	BlackDuck Software Inc.	Burlingame, Massachusetts	Speaking engagement	
19.	CV Properties	Boston, Massachusetts	Consultant	
20.	Halifax Investment Management Inc.	Washington, D.C.	Speaking engagement	

	r's Name		rs, street addresses, or family member names. See instructions for requ	Page Number						
Fly	nn, Michael			9						
Pa	Part 4: Filer's Sources of Compensation Exceeding \$5,000 in a Year									
#	Source Name	City/State	Brief Description of Duties							
1.	Norton Rose Fulbright LLC	Houston, Texas	Consultant							
2.	Museum Armor	Old Bethpage, New York	Speaking engagement							
3.	Youth of Americas Foundation	Reston, Virginia	Speaking engagement							
4.	Fa Park Family Foundation Inc.	New York, New York	Speaking engagement							
5.	Creative Artists Agency	Los Angeles, California	Speaking engagement							
6.	Writer's Representatives	New York, New York	Writer's fees							
7.	MacMillan Publishing Company	New York, New York	Royalties							
8.	Leading Authorities LLC	Washington, D.C.	Speaking fees							
9.	INOVO BV	Utrecht, Netherlands	Consultant							
10.	Commercial Club of Chicago	Chicago, Illinois	Speaking engagement							
11.	Barron's (through Leading Authorities LLC ("LAI"))	New York, New York	Speaking engagement							
12.	National Association of Insurance Commissioners (through LAI)	Kansas City, Missouri	Speaking engagement							
13.	Volga-Dnepr Airlines (through LAI)	Ulyanovsk, Russia	Speaking engagement, event took place in Washington, D.C.							
	Wells Fargo (through LAI)	San Francisco, California	Speaking engagement							
	Wells Fargo Bank, N.A - SFO (through LAI)	San Francisco, California	Speaking engagement							
	Morgan Stanley (through Washington Speakers Bureau)	New York, New York	Speaking engagement	·						
	Kaspersky Government Security Solutions, Inc. (through LAI)	Arlington, Virginia	Speaking engagement, event took place in Washington, D.C.							
	International Stability Operations Association (through LAI)	Washington, D.C.	Speaking engagement							
	Adobe Inc. (through LAI)	San Jose, California	Speaking engagement							
20.	RT TV (through LAI)	Moscow, Russia	Speaking engagement							

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	er's Name			Page Number						
_	nn, Michael			10						
Pa	art 4: Filer's Sources of Compensation Exceeding \$5,000 in a Year									
#	Source Name	City/State	Brief Description of Duties							
1.	Washington Speakers Bureau	Washington, D.C.	Speaking Fees							
2.	Premiere Speakers Bureau	Nashville, Tennessee	Speaking Fees							
3.	Counterterrorism Education Learning Lab (trough LAI)	Denver, Colorado	Speaking engagement							
4.	Lincoln Chamber of Commerce (through LAI)	Lincoln, Nebraska	Speaking engagement							
5.	National Center for Policy Analysis (through LAI)	Dallas, Texas	Speaking engagement							
6.	Professional Services Council (through LAI)	Arlington, Virginia	Speaking engagement							
7.	CoBank	Denver, Colorado	Speaking engagement							
8.	David Horowitz Freedom Center (through Premiere Speakers)	Sherman Oaks, California	Speaking engagement							
9.	Randall-Reilly Publishing Company, LLC (through LAI)	Tuscaloosa, Alabama	Speaking engagement							
10.	Levyx, Inc.	Irvine, California	Consultant							
11.	ACU Strategic Partners	Washington, D.C.	Consultant							
12.	OCA Ventures LLC	Chicago, Illinois	Consultant							
13.	CACI Inc.(through LAI)	Arlington, Virginia	Speaking Engagement							
14.	Patriot Defense Group LLC/Patriot Capital LLC	Orlando, FL	Consultant							
15.	Trump for America Inc.	Beverly, Massachusetts	Advisor for Presidential Transtion							
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	Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.										
	er's Name					Page Number					
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Pa	Part 5: Spouse's Employment Assets & Income and Retirement Accounts										
#		EIF	Value	Income Type	Income Amount						
1.	Flynn Intel Group LLC (Consulting)	N/A		Salary							
2.	Flynn Intel Group LLC (Consulting)	N/A		Partnership share							
3.	ROTH-LF <sup>5</sup>	N	\$15,001 - \$50,000								
4.	401(k) <sup>6</sup>	N	\$50,001 - \$100,000								
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	te: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.						
	er's Name					Page Number	
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	ert 6: Other Assets and Income						
#	Description	EIF	Value	Income Type	Income Amount		
1.	Flynn Intel Group LLC Business Checking Account	N	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500		
2.	Personal Checking Account	N	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500		
3.	Savings and Investment Account <sup>7</sup>	N	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000		
4.	Savings Account 1	N	None (or less than \$1,001)	Interest	None (or less than \$201)		
5.	Savings Account 2	N	\$15,001 - \$50,000	Interest	None (or less than \$201)		
6.	Savings Account 3	N	None (or less than \$1,001)	Interest	None (or less than \$201)		
7.	Auxilio Inc., stock	N	\$1,001 - \$15,000	Interest	None (or less than \$201)		
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Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.							
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	rt 7: Transactions						
	Description	Type	Date	Amount			
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## <u>Instructions for Part 8</u>

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Pa	rt 8: Liabilities					
‡	Creditor Name	Туре	Amount	Year Incurred	Rate	Term
١.	Bank of America	Mortgage	\$250,001 - \$500,000	2014	3.88 fixed	15 year
2.	Amerihome (formerly Equity Loans)	Mortgage	\$500,001 - \$1,000,000	2016	3.25 fixed	30 year
3.	Merrill Lynch	Exercised Line of Credit	\$15,001 - \$50,000	2016	4.64 variable	N/A
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## <u>Instructions for Part 9</u>

	Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.				
	s Name		Page Num		
	n, Michael			14	
	t 9: Gifts and Travel Reimbu	rsements			
	Source Name	City/State	Brief Description	Value	
1.	N/A				
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#### **Summary of Contents**

#### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation. This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

### 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period. The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer did not provide the services for which the client is paying.

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#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children. This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period. This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

#### 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period. This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

#### 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

OGE Form 278e (March 2014)

#### **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

#### **Public Burden Information**

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

## OGE Form 278e Attachment, Lt. General (Ret.) Michael T. Flynn\*

 $^{\rm 1}$  Below are the underlying EIF assets to the 401K listed in parts 2 and 3 that are worth more than \$1,000 or produced more than \$200 in income.

Description	EIF	Value	Income Type	Income Amount
Alger spectra fund	Y	\$1,001-\$15,000		None (or less than \$201)
American new world fund	Y	\$1,001-\$15,000		None (or less than \$201)
American beacon london co income fd	Y	\$1,001-\$15,000		\$201-\$1,000
Columbia contrarian core fund	Y	\$1,001-\$15,000		\$201-\$1,000
Federated international leaders fd	Y	\$1,001-\$15,000		None (or less than \$201)
Federated kaufmann large cap fund	Y	\$1,001-\$15,000		None (or less than \$201)
Henderson international opportunities fund	Y	\$1,001-\$15,000		None (or less than \$201)
Highland long/short equity fund	Y	\$1,001-\$15,000		None (or less than \$201)
Iq hedge multi- strat	Y	\$1,001-\$15,000		None (or less than \$201)
John hancock disciplined rtrn strgys fund	Y	\$1,001-\$15,000		None (or less than \$201)
John hancock global abs rtrn strgys fund	Y	\$1,001-\$15,000		None (or less than \$201)
Mfs value fd cl i	Y	\$1,001-\$15,000		\$201-\$1,000
Neuberger berman long short fund	Y	\$1,001-\$15,000		None (or less than \$201)

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 $<sup>^{\</sup>ast}$  This information was compiled using 2016 year-end financial statements.

Oppenheimer internatl growth fd	Y	\$1,001-\$15,000	None (or less than \$201)
Principal midcap fund	Y	\$1,001-\$15,000	None (or less than \$201)
Sunamerica focused dividend strategy fund	Y	\$1,001-\$15,000	\$201-\$1,000
T rowe price blue chip growth fund	Y	\$1,001-\$15,000	None (or less than \$201)
Transamerica intl equity fund	Y	\$1,001-\$15,000	None (or less than \$201)

 $<sup>^2</sup>$  Below are the underlying EIF assets for the defined benefit plan listed in parts 2 and 3 that are worth more than \$1,000 or produced more than \$200 in income.

Description	EIF	Value	Income Type	Income
				Amount
Alger spectra fund	Y	\$15,001-		None (or less
		\$50,000		than \$201)
American new	Y	\$1,001-\$15,000		
world fund				None (or less
				than \$201)
American beacon	Y	\$15,001-		
london co income		\$50,000		\$1,001-\$2,500
fd				
Blackrock us	Y	\$1,001-\$15,000		
mortgage fund				None (or less
				than \$201)
Blackrock total	Y	\$1,001-\$15,000		
return fund				None (or less
				than \$201)
Columbia	Y	\$1,001-\$15,000		
strategic income				None (or less
fund				than \$201)
Columbia	Y	\$1,001-\$15,000		
contrarian core				\$201-\$1,000
fund				
Doubleline total	Y	\$1,001-\$15,000		None (or less
return fund				than \$201)
Eaton vance short	Y	\$1,001-\$15,000		None (or less
duration fund		. , , , , , , , , , , , , , , , , , , ,		than \$201)
Federated	Y	\$1,001-\$15,000		/
international		. ,		
leaders fd				\$201-\$1,000

Federated	Y	\$15,001-	
kaufmann large		\$50,000	\$201-\$1,000
cap fund			
Goldman sachs	Y	\$1,001-\$15,000	
strategic income			
fund			None (or less
			than \$201)
Hartford world	Y		None (or less
bond fund			than \$201)
Henderson	Y	\$1,001-\$15,000	\$201-\$1,000
international			
opportunities			
fund	Y	01 001 017 000	NI ( l
Highland	Y	\$1,001-\$15,000	None (or less
long/short equity fund			than \$201)
Iq hedge multi-	Y	\$1,001-\$15,000	None (or less
strat	1	\$1,001-\$13,000	than \$201)
Janus flexible	Y	\$1,001-\$15,000	None (or less
bond fund	1	\$1,001-\$13,000	than \$201)
Janus short term	Y	\$1,001-\$15,000	None (or less
bond fund	1	\$1,001 \$10,000	than \$201)
John hancock	Y	\$1,001-\$15,000	None (or less
strategic income		, , , , , , , , , , , , , , , , , , , ,	than \$201)
opp fund			
John hancock	Y	\$1,001-\$15,000	\$201-\$1,000
disciplined rtrn			
strgys fund			
John hancock	Y	\$1,001-\$15,000	\$201-\$1,000
global abs rtrn			
strgys fund			
Jp morgan u.s.	Y	\$1,001-\$15,000	\$201-\$1,000
small company			
fund cl selct	*7	01.001.017.000	N ( )
Lord abbett short	Y	\$1,001-\$15,000	None (or less
duration income fd			than \$201)
Lord abbett	Y	\$1,001-\$15,000	\$201.51.000
floating rate fund	I	\$1,001-\$15,000	\$201-\$1,000
cl			
Mfs value fd cl i	Y	\$15,001-	
Wils value lu ci i	1	\$50,000	\$1,001 - \$2,500
Nationwide	Y	\$1,001-\$15,000	ψ1,001 ψ2,000
highmark small	1	\$1,001 \$10,000	\$201-\$1,000
cap core fd			7237 72,000
Neuberger	Y	\$1,001-\$15,000	None (or less
berman long		. ,	than \$201)
short fund			
Oppenheimer	Y	\$1,001-\$15,000	None (or less
internatl growth			than \$201)
fd			

Pimco income fund cl p	Y	\$1,001-\$15,000	
_			\$201-\$1,000
Principal midcap fund	Y	\$1,001-\$15,000	
			None (or less
			than \$201)
Sunamerica	Y	\$15,001-	
focused dividend		\$50,000	\$1,001 - \$2,500
strategy fund			
T rowe price blue	Y	\$15,001-	
chip growth fund		\$50,000	\$201-\$1,000
Transamerica intl	Y	\$1,001-\$15,000	
equity fund			None (or less than \$201)

 $<sup>^3</sup>$  Below are the underlying EIF assets for the IRA listed in part 2 that are worth more than \$1,000 or produced more than \$200 in income.

Description	EIF	Value	Income Type	Income Amount
Alger spectra fund	Y	\$1,001-\$15,000		None (or less than \$201)
American new world fund	Y	\$1,001-\$15,000		None (or less than \$201)
American beacon london co income fd	Y	\$1,001-\$15,000		\$201-\$1,000
Columbia contrarian core fund	Y	\$1,001-\$15,000		\$201-\$1,000
Federated international leaders fd	Y	\$1,001-\$15,000		None (or less than \$201)
Federated kaufmann large cap fund	Y	\$1,001-\$15,000		\$201-\$1,000
Henderson international opportunities fund	Y	\$1,001-\$15,000		None (or less than \$201)
Highland long/short equity fund	Y	\$1,001-\$15,000		None (or less than \$201)
Mfs value fd cl i	Y	\$1,001-\$15,000		\$201-\$1,000
Neuberger berman long short fund	Y	\$1,001-\$15,000		None (or less than \$201)

Oppenheimer	Y	\$1,001-\$15,000	None (or less
internatl growth			than \$201)
fd			
Sunamerica	Y	\$1,001-\$15,000	 None (or less
focused dividend			than \$201)
strategy fund			
T rowe price blue	Y	\$1,001-\$15,000	None (or less
chip growth fund			than \$201)
Transamerica intl	Y	\$1,001-\$15,000	None (or less
equity fund			than \$201)

 $<sup>^4</sup>$  Below are the underlying assets for the ROTH listed in part 2 that are worth more than \$1,000 or produced more than \$200 in income.

Description	EIF	Value	Income Type	Income Amount
Alger spectra fund	Y	\$1,001-\$15,000		None (or less than \$201)
American new world fund	Y	\$1,001-\$15,000		None (or less than \$201)
American beacon london co income fd	Y	\$1,001-\$15,000		\$201-\$1,000
Columbia contrarian core fund	Y	\$1,001-\$15,000		\$201-\$1,000
Federated international leaders fd	Y	\$1,001-\$15,000		None (or less than \$201)
Federated kaufmann large cap fund	Y	\$1,001-\$15,000		\$201-\$1,000
Henderson international opportunities fund	Y	\$1,001-\$15,000		None (or less than \$201)
Highland long/short equity fund	Y	\$1,001-\$15,000		None (or less than \$201)
Mfs value fd cl i	Y	\$1,001-\$15,000		\$201-\$1,000
Neuberger berman long short fund	Y	\$1,001-\$15,000		None (or less than \$201)
Oppenheimer internatl growth fd	Y	\$1,001-\$15,000		None (or less than \$201)

Sunamerica	Y	\$1,001-\$15,000	None (or less
focused dividend			than \$201)
strategy fund			
T rowe price blue	Y	\$1,001-\$15,000	\$201-\$1,000
chip growth fund			
Transamerica intl	Y	\$1,001-\$15,000	None (or less
equity fund			than \$201)

 $<sup>^5</sup>$  Below are the underlying EIF assets of the ROTH listed in part 5 that are worth more than \$1,000 or produced more than \$200 in income.

Description	EIF	Value	Income Type	Income
				Amount
Alger spectra fund	Y	\$1,001-\$15,000		None (or less
				than \$201)
American new	Y	\$1,001-\$15,000		None (or less
world fund				than \$201)
American beacon	Y	\$1,001-\$15,000		\$201-\$1,000
london co income				
fd				
Columbia	Y	\$1,001-\$15,000		None (or less
contrarian core				than \$201)
fund				
Federated	Y	\$1,001-\$15,000		None (or less
international				than \$201)
leaders fd				
Federated	Y	\$1,001-\$15,000		\$201-\$1,000
kaufmann large				
cap fund				
Henderson	Y	\$1,001-\$15,000		None (or less
international				than \$201)
opportunities				
fund				
Mfs value fd cl i	Y	\$1,001-\$15,000		\$201-\$1,000
Oppenheimer	Y	\$1,001-\$15,000		None (or less
internatl growth				than \$201)
fd				
Sunamerica	Y	\$1,001-\$15,000		None (or less
focused dividend				than \$201)
strategy fund				
T rowe price blue	Y	\$1,001-\$15,000		\$201-\$1,000
chip growth fund				
Transamerica intl	Y	\$1,001-\$15,000		None (or less
equity fund				than \$201)

 $^6$  Below are the underlying EIF assets to the 401K listed in part 5 that are worth more than \$1,000 or produced more than \$200 in income.

Description	EIF	Value	Income Type	Income
A1	37	01 001 017 000		Amount
Alger spectra fund	Y	\$1,001-\$15,000		None (or less than \$201)
American new world fund	Y	\$1,001-\$15,000		None (or less than \$201)
American beacon london co income fd	Y	\$1,001-\$15,000		\$201-\$1,000
Columbia contrarian core fund	Y	\$1,001-\$15,000		\$201-\$1,000
Federated international leaders fd	Y	\$1,001-\$15,000		None (or less than \$201)
Federated kaufmann large cap fund	Y	\$1,001-\$15,000		None (or less than \$201)
Henderson international opportunities fund	Y	\$1,001-\$15,000		None (or less than \$201)
Highland long/short equity fund	Y	\$1,001-\$15,000		None (or less than \$201)
Iq hedge multi- strat	Y	\$1,001-\$15,000		None (or less than \$201)
John hancock disciplined rtrn strgys fund	Y	\$1,001-\$15,000		None (or less than \$201)
John hancock global abs rtrn strgys fund	Y	\$1,001-\$15,000		None (or less than \$201)
Mfs value fd cl i	Y	\$1,001-\$15,000		\$201-\$1,000
Neuberger berman long short fund	Y	\$1,001-\$15,000		None (or less than \$201)
Oppenheimer internatl growth fd	Y	\$1,001-\$15,000		None (or less than \$201)
Principal midcap fund	Y	\$1,001-\$15,000		None (or less than \$201)
Sunamerica focused dividend	Y	\$1,001-\$15,000		\$201-\$1,000

strategy fund			
T rowe price blue	Y	\$1,001-\$15,000	None (or less
chip growth fund			than \$201)
Transamerica intl	Y	\$1,001-\$15,000	None (or less
equity fund			than \$201)

 $<sup>^{7}</sup>$  Below are the underlying EIF assets for the investment account listed in part 6 that are worth more than \$1,000 or produced more than \$200 in income.

Description	EIF	Value	Income Type	Income Amount
Alger spectra fund	Y	\$1,001-\$15,000		None (or less than \$201)
American new world fund	Y	\$1,001-\$15,000		\$201-\$1,000
American beacon london co income fd	Y	\$1,001-\$15,000		\$201-\$1,000
Blackrock us mortgage fund	Y	\$1,001-\$15,000		\$201-\$1,000
Blackrock strategic fund	Y	\$1,001-\$15,000		None (or less than \$201)
Blackrock total return fund	Y	\$1,001-\$15,000		\$201-\$1,000
Columbia strategic income fund	Y	\$1,001-\$15,000		\$201-\$1,000
Columbia contrarian core fund	Y	\$1,001-\$15,000		\$201-\$1,000
Doubleline total return fund	Y	\$1,001-\$15,000		\$201-\$1,000
Eaton vance short duration fund	Y	\$1,001-\$15,000		\$201-\$1,000
Federated international leaders fd	Y	\$1,001-\$15,000		None (or less than \$201)
Federated kaufmann large cap fund	Y	\$1,001-\$15,000		None (or less than \$201)
goldman sachs strategic income fund	Y	\$1,001-\$15,000		\$201-\$1,000
Hartford world bond fund	Y	\$1,001-\$15,000		None (or less than \$201)

Henderson	Y	\$1,001-\$15,000	\$201-\$1,000
international		, , , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , , ,
opportunities			
fund			
Highland	Y	\$1,001-\$15,000	None (or less
long/short equity			than \$201)
fund			
Iq hedge multi-	Y	\$1,001-\$15,000	None (or less
strat	1		than \$201)
Janus flexible	Y	\$1,001-\$15,000	0001 01 000
bond fund	37	01.001.017.000	\$201-\$1,000
Janus short term bond fund	Y	\$1,001-\$15,000	None (or less
John hancock	Y	\$1,001-\$15,000	than \$201) \$201-\$1,000
strategic income	1	\$1,001-\$13,000	\$201-\$1,000
opp fund			
John hancock	Y	\$1,001-\$15,000	None (or less
disciplined rtrn	*	\$1,001 \$10,000	than \$201)
strgys fund			(11411 \$201)
John hancock	Y	\$1,001-\$15,000	
global abs rtrn			\$201-\$1,000
strgys fund			
Jp morgan u.s.	Y	\$1,001-\$15,000	\$201-\$1,000
small company			
fund cl selct			
Lord abbett short	Y	\$1,001-\$15,000	\$201-\$1,000
duration income			
fd Lord abbett	Y	01 001 017 000	0001 01 000
	Y	\$1,001-\$15,000	\$201-\$1,000
floating rate fund			
Mfs value fd cl i	Y	\$1,001-\$15,000	
iviis value lu ci i	1	\$1,001-\$13,000	
			\$1,000-\$2,500
			, , , , , , , , , , , , , , , , , , , ,
Nationwide	Y	\$1,001-\$15,000	\$201-\$1,000
highmark small			
cap core fd			
Neuberger	Y	\$1,001-\$15,000	None (or less
berman long			than \$201)
short fund	<del> </del>	44 004 447 000	
Oppenheimer	Y	\$1,001-\$15,000	None (or less
internatl growth			than \$201)
fd Dimag income	Y	\$1,001,\$15,000	\$201.51.000
Pimco income fund cl p	l I	\$1,001-\$15,000	\$201-\$1,000
Principal midcap	Y	\$1,001-\$15,000	None (or less
fund	1	\$1,001-\$13,000	than \$201)
Sunamerica	Y	\$1,001-\$15,000	\$201-\$1,000
focused dividend		Q1,001 Q10,000	φ201 ψ1,000
strategy fund			
	_ !	<u> </u>	

T rowe price blue	Y	\$1,001-\$15,000	None (or less
chip growth fund			than \$201)
Transamerica intl	Y	\$1,001-\$15,000	\$201-\$1,000
equity fund			