

UNIVERSITY OF LOUISVILLE

DEPARTMENT OF PURCHASING

Louisville, KY 40292 ♦ (502) 852-6247

CONTRACT TRANSMITTAL SHEET

TRANSMITTAL DATE: 6/21/17

PSC NO: 17-066 Amendment & Extension

AGENCY NAME: University of Louisville

VENDOR NAME: Alvarez & Marsal Disputes and Investigations, LLC

TOTAL NOT TO EXCEED: Increase contract by \$400,000.00; making new contract total of \$2,160,000.00

5/18/16

APPROVED FOR
JUL 11 2017
LRC GOVERNMENT CONTRACT
REVIEW COMMITTEE

RECEIVED BY
LRC
JUN 22 2017
GOVERNMENT CONTRACT
REVIEW COMMITTEE

**UNIVERSITY OF LOUISVILLE STATE
NOTICE OF AMENDMENT/EXTENSION TO PERSONAL SERVICES CONTRACT**

Name and Address of Individual or Firm:

⁽²⁾ Date: 6/21/17

⁽¹⁾ Alvarez & Marsal Disputes and Investigations, LLC

540 West Madison

Chicago, IL 60661

Personal Services Contract No. ⁽³⁾ 17-068 dated ⁽⁴⁾ November 29, 2016 between you and

⁽⁵⁾ University of Louisville is being amended/extended as follows:
(Agency)

AMENDMENT: Increase contract by \$400,000 for a new contract total of \$2,180,000. Consists of \$375,000 for additional fees and \$25,000 for associated expenses.

See Exhibit B (attached) for scope of work and hourly rates that will be charged for these services.

EXTENSION: If contract is to be extended beyond the original expiration date, please indicate new time period below. Note: Contract cannot be extended beyond the current biennium.

FROM: July 1, 2017 TO: October 1, 2017

⁽⁶⁾

Dept. Name <u>Finance & Administration</u>
Dept. Contact <u>Demetrius Carmichael</u>
Dept. Phone <u>852-852-8703</u>

All other terms and conditions of this contract except as modified above remain the same. Please signify your acceptance of the above amendment/extension to the contract by affixing your signature in the space provided below.

⁽⁷⁾ **RECOMMENDED BY:**

[Signature]
Chain/Department Head
Signature confirms that funds are available to cover the cost of these services
Demetrius Carmichael 6-21-17
Printed Name Date

FIRST PARTY (University of Louisville):

[Signature]
Associate VP for Business Services
Mark Watkins 6-21-2017
Printed Name Date

REVIEWED AS TO FORM & LEGALITY:

[Signature]
Attorney, University of Louisville
Patrick Stewart 6/21/17
Printed Name Date

⁽⁸⁾ **SECOND PARTY:**

[Signature] 6-21-2017
Signature Date
Jenathan Vanderveen
Printed Name Email Address

juanderveen@alvarezandmarsal.com

RECOMMENDED BY:

[Signature]
Purchasing Officer or Authorized Representative
Sally Jensen Madsberg 6-21-17
Printed Name Date

PSC 17-066

Exhibit B

Services:

As part of Phase III of the contract Alvarez & Marsal Disputes and Investigations, LLC (A&M) provided a report detailing the procedures performed during the forensic investigation and their findings. The additional work consists of providing support services to counsel for the University of Louisville in matters related to the University of Louisville Foundation and its subsidiaries and affiliates.

Rate Schedule:

	Standard	25% Discount	Discounted UofL Rates
MD	\$ 700	\$ 525	\$ 525
SD	\$ 600	\$ 450	\$ 450
D	\$ 525	\$ 394	\$ 375
M	\$ 450	\$ 338	\$ 300
SA	\$ 375	\$ 281	\$ 250
A	\$ 300	\$ 225	\$ 200

Invoices will include the hours worked and classification of service provider listed below:

Managing Director (MD)

Senior Director (SD)

Director (D)

Manager (M)

Senior Associate (SA)

Associate (A)