

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Scaramucci, Anthony

SVP Chief Strategy Officer, Export-Import Bank

Date of Appointment: 06/19/2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Scaramucci, Anthony [electronically signed on 06/23/2017 by Scaramucci, Anthony in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Terry, Lisa, Certifying Official [electronically signed on 06/27/2017 by Terry, Lisa in Integrity.gov]

Other review conducted by

/s/ Terry, Lisa, Ethics Official [electronically signed on 06/27/2017 by Terry, Lisa in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/27/2017

Data Revised 06/26/2017

Data Revised 06/23/2017

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	SkyBridge Capital II, LLC	New York, New York	LLC	Managing Partner	3/2005	6/2017
2	Fox Business News	New York, New York	LLC	Contributor	9/2014	11/2016

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SkyBridge Capital II, LLC	N/A		Salary	\$5,058,333
2	SkyBridge Capital II, LLC	N/A	Over \$50,000,000	Allocated share of net income from business.	\$4,900,000
3	Fox Business News	N/A		Salary	\$88,461
4	Hastings Capital Group LLC	N/A	\$250,001 - \$500,000	Allocable share of income from broker-dealer affiliated with SkyBridge Capital.	\$200,000
5	SkyBridge Capital (Delaware GP)	N/A	\$1,000,001 - \$5,000,000	Interest Capital Gains Dividends	\$100,001 - \$1,000,000
6	SkyBridge Capital II, LLC 401(k) and Profit Sharing Plan	N/A			
6.1	Vanguard 500 Index Admiral	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
6.2	T. Rowe Price New America Growth	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
7	SkyBridge Capital II, LLC Profit Sharing Plan	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.1	SkyBridge Multi-Adviser Hedge Fund Portfolios LLC	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	SkyBridge Capital II, LLC 401(k) and Profit Sharing Plan	New York, New York	I will not participate in this defined contribution plan after my separation.	3/2005
2	SkyBridge Capital II, LLC Profit Sharing Plan	New York, New York	I will not participate in this defined contribution plan after my separation.	4/2010
3	SkyBridge Capital II, LLC	New York, New York	Filer owns 43.8025% of SkyBridge Capital II, LLC ("SkyBridge"). On 1/17/17, SkyBridge entered into a purchase and sale agreement for it to be sold to an unrelated third party. Upon closing in 3Q17, Filer will receive the agreed upon purchase price for his share of the sale proceeds.	1/2017
4	SkyBridge Capital II, LLC	New York, New York	Filer owns 78.8889% of Hastings Capital Group, LLC ("Hastings"). On 1/17/17, Hastings, in conjunction with SkyBridge Capital II, LLC, entered into a purchase and sale agreement for it to be sold to an unrelated third party. Upon closing in 3Q17, Filer will receive the agreed upon purchase price for his share of the sale proceeds.	1/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	SkyBridge Capital II, LLC	New York, New York	Managing partner
2	Fox Business News	New York, New York	Contributor to business news show

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SkyBridge Capital II, LLC (Asset management)	N/A		salary, bonus	\$256,250
2	SkyBridge Capital II, LLC 401(k) and Profit Sharing Plan	N/A			
2.1	JP Morgan SmartReirement Income R6	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank (Checking Account)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	SkyBridge Multi-Adviser Hedge Fund Portfolios LLC	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3	10 Willowdale Realty-Residential Real Property, Port Washington, NY	N/A	\$250,001 - \$500,000	Rent or Royalties Interest	\$15,001 - \$50,000
4	11 Orchard Street LLC-Residential Real Property, Port Washington, NY	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
5	15 Smull Place LLC-Residential Real Property, Port Washington, NY	N/A	\$250,001 - \$500,000	Rent or Royalties	\$50,001 - \$100,000
6	166 Shore Road Realty LLC-Commercial Real Property, Port Washington, NY	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
7	84 Shore Road Development Inc-Commercial Real Property, Port Washington, NY	N/A	\$250,001 - \$500,000	Rent or Royalties	\$50,001 - \$100,000
8	Academy Securities, Inc.-Private Placement	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Beach Haven Properties LLC-Residential Real Property, Port Washington, NY	N/A	\$250,001 - \$500,000	Rent or Royalties	\$50,001 - \$100,000
10	Eastside Partners I LP-Private Placement (Cash only)	No	\$1,001 - \$15,000	Capital Gains Interest	\$2,501 - \$5,000
11	Edward R Pressman Film Corp-Private Placement	N/A	\$50,001 - \$100,000		None (or less than \$201)
12	Genesis Pure Inc.-Private Placement	N/A	\$15,001 - \$50,000		None (or less than \$201)
13	125 West 44th Street Restaurant LLC-Restaurant in New York, NY	N/A	\$100,001 - \$250,000		None (or less than \$201)
14	JABB LLC	N/A	\$1,000,001 - \$5,000,000	Allocated share of income from indirect minority ownership interest in New York Mets baseball team.	\$53,000
15	Juice Dreams LLC-Private Placement	N/A	\$50,001 - \$100,000	Allocated share of net income	\$26,800
16	NSSI Life Settlement Services Inc.-Private Placement	N/A	\$50,001 - \$100,000		None (or less than \$201)
17	NYBX Inc.-Private Placement	N/A	\$100,001 - \$250,000		None (or less than \$201)
18	Pop Pops Place LLC-Residential Real Property, Port Washington, NY	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
19	Pop Pops Place LLC-Residential Real Property, Port Washington, NY	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
20	Genesis Today, Inc-Private Placement	N/A	\$15,001 - \$50,000		None (or less than \$201)
21	Sandboxx Family Communications Inc-Private Placement	N/A	\$15,001 - \$50,000		None (or less than \$201)
22	SHNY Restaurant Group LLC (New York, NY)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
23	The Restaurant Gang LLC (New York, NY)	N/A	\$1,001 - \$15,000		None (or less than \$201)	
24	Verinvest Corp-Private Placement	N/A	\$15,001 - \$50,000		None (or less than \$201)	
25	Beacon Trust Company-Private Placement	N/A	\$500,001 - \$1,000,000		None (or less than \$201)	
26	Petcare RX Inc.-Private Placement	N/A	\$250,001 - \$500,000		None (or less than \$201)	
27	Strat-O-Matic Media LLC-Private Placement	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$201 - \$1,000	
28	SkyBridge Dividend Value Fund (Mutual Fund)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500	
29	SkyBridge Multi-Adviser Hedge Fund Portfolios (Mutual Fund)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500	
30	U.S. bank (Checking Account)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)	
31	U.S. bank (Savings Account)	N/A	\$1,001 - \$15,000		None (or less than \$201)	
32	Scaramucci Family LP	See Endnote	No	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
33	AXA Equitable Life Insurance (Whole Life Policy)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
34	AXA Equitable Life Insurance (Incentive Life Policy)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000	
35	Mass Mutual Life Insurance (Whole Life Policy)	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000	
36	Guardian Life Insurance Co. (Whole Life Policy)	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000	
37	Northwestern Mutual Life Insurance (Whole Life Policy)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	
38	Northwestern Mutual Life Insurance (Whole Life Policy)	N/A	\$100,001 - \$250,000		\$2,501 - \$5,000	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39	U.S. bank (Investment Account; cash only)	N/A	\$50,001 - \$100,000		None (or less than \$201)
40	Blue Star Service Solutions Inc-Private Placement	N/A	\$15,001 - \$50,000		None (or less than \$201)
41	Crazy for the Boys Movie LLC-Private Placement	N/A	\$250,001 - \$500,000		None (or less than \$201)
42	Wheels Up LLC-Private Placement	N/A	\$100,001 - \$250,000		None (or less than \$201)
43	Residential Rental Real Property, Windham, NY	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
44	Residential Real Property, Windham, NY	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
45	Residential Real Property, Southampton, NY	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
46	Personal Loan to 11 Orchard Street LLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
47	Personal Loan to 15 Smull Place LLC	N/A	\$50,001 - \$100,000		None (or less than \$201)
48	Personal Loan to 125 W 44th Restaurant LLC	N/A	\$50,001 - \$100,000		None (or less than \$201)
49	Personal Loan to Big Words LLC	N/A	\$15,001 - \$50,000		None (or less than \$201)
50	Personal Loan to Personal, Inc.	N/A	\$15,001 - \$50,000		None (or less than \$201)
51	Personal Loan to Verinvest Corp.	N/A	\$15,001 - \$50,000		None (or less than \$201)
52	Seven Springs Partners LLC-Private Placement	N/A	\$100,001 - \$250,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Blossom Finance Ltd.	Personal Loan	\$1,000,001 - \$5,000,000	2013	8%	1 year, renewable
2	Mass Mutual Life Insurance	Personal Loan	\$100,001 - \$250,000	2013	6%	Annual
3	Guardian Life Insurance Company	Personal Loan	\$1,000,001 - \$5,000,000	2013	7.4%	Annual
4	Northwestern Mutual Life Insurance Company	Personal Loan	\$250,001 - \$500,000	2013	5.5%	Annual
5	Guarantor-15 Smull Place LLC, Port Washington, NY	Mortgage (investment/rental property)	\$250,001 - \$500,000	2004	4.69%	15 years
6	Guarantor-Beach Haven Properties, Port Washington, NY	Mortgage (investment/rental property)	\$500,001 - \$1,000,000	2009	6%	25 years
7	Guarantor-11 Orchard Street LLC, Port Washington, NY	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2016	2.87%	15 years
8	Guarantor-11 Orchard Street LLC, Port Washington, NY	Mortgage (investment/rental property)	\$500,001 - \$1,000,000	2005	4% (estimated)	15 years
9	Guarantor-10 Willowdale Relaty LLC, Port Washington, NY	Mortgage (investment/rental property)	\$250,001 - \$500,000	2014	4.95%	5 yrs
10	US Bank	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2016	2.875%	15 yrs
11	US Bank	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2014	3.625%	15 yrs.

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
12	US Bank	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2014	3.25%	15 yrs.

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
6.	32	Most recent monthly account statement is attached.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

**Anthony Scaramucci
Form 278 Supplement**

1. Scaramucci Family LP: listing of securities

Security Description	Symbol	Value	Income
ACTIVISION BLIZZARD INC	ATVI	\$1,001 - \$15,000	Less than \$200
ADOBE SYSTEMS INC	ADBE	\$1,001 - \$15,000	Less than \$200
ALPHABET INC CLASS C CAPITAL STOCK	GOOG	\$1,001 - \$15,000	Less than \$200
AMERICAN TOWER CORP	AMT	\$1,001 - \$15,000	Less than \$200
APPLE INC	AAPL	\$1,001 - \$15,000	Less than \$200
AUTODESK INC	ADSK	\$1,001 - \$15,000	Less than \$200
BERKSHIRE HATHAWAY INC DEL CL B NEW	BRKB	\$1,001 - \$15,000	Less than \$200
BLACKROCK INC CL A	BLK	\$1,001 - \$15,000	Less than \$200
CELGENE CORP	CELG	\$1,001 - \$15,000	Less than \$200
CHARTER COMMUNICATIONS INC NEW CL A	CHTR	\$1,001 - \$15,000	Less than \$200
CHENIERE ENERGY INC COM NEW	LNG	\$1,001 - \$15,000	Less than \$200
COMCAST CORP NEW CL A	CMCSA	\$1,001 - \$15,000	Less than \$200
COSTCO WHOLESALE CORP-NEW	COST	\$1,001 - \$15,000	Less than \$200
**DREYFUS GOVT CASH MGMT FUNDSADMIN SHS	DAGXX	\$15,001 - \$50,000	Less than \$200
EXPEDIA INC	EXPE	\$1,001 - \$15,000	Less than \$200
FACEBOOK INC CL A	FB	\$1,001 - \$15,000	Less than \$200
GENERAL DYNAMICS CORP	GD	\$1,001 - \$15,000	Less than \$200
GOLDMAN SACHS GROUP INC	GS	\$1,001 - \$15,000	Less than \$200
***IHS MARKIT LTD SHS	INFO	\$1,001 - \$15,000	Less than \$200
INTERCONTINENTALEXCHANGE GROUPINC	ICE	\$1,001 - \$15,000	Less than \$200
J P MORGAN CHASE & CO	JPM	\$1,001 - \$15,000	Less than \$200
JOHNSON & JOHNSON	JNJ	\$1,001 - \$15,000	Less than \$200
KANSAS CITY SOUTHERN NEW	KSU	\$1,001 - \$15,000	Less than \$200
MASTERCARD INC	MA	\$1,001 - \$15,000	Less than \$200
MERCK & CO INC NEW	MRK	\$1,001 - \$15,000	Less than \$200
ORACLE CORP	ORCL	\$1,001 - \$15,000	Less than \$200
PHILLIPS 66 COM	PSX	\$1,001 - \$15,000	Less than \$200
QIAGEN N.V.	QGEN	\$1,001 - \$15,000	Less than \$200
***ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS	RDSB	\$1,001 - \$15,000	\$201 - \$500
SERVICE CORP INTERNATIONAL	SCI	\$1,001 - \$15,000	Less than \$200
STARBUCKS CORP	SBUX	\$1,001 - \$15,000	Less than \$200
T MOBILE US INC	TMUS	\$1,001 - \$15,000	Less than \$200
UNITEDHEALTH GROUP INC	UNH	\$1,001 - \$15,000	Less than \$200
VERISK ANALYTICS INC CL A	VRSK	\$1,001 - \$15,000	Less than \$200

ZAYO GROUP HOLDINGS INC COM	ZAYO	\$1,001 - \$15,000	Less than \$200
ZOETIS INC CL A	ZTS	\$1,001 - \$15,000	Less than \$200

2. SkyBridge Capital Partners, LP:
 - a. Indirect underlying assets as of 12/31/16:
 - i. Equity investment - Turtle Bay Holdings, LLC, North Shore Oahu, HI
 - ii. Real estate investment – Kara Mount Arlington, Mount Arlington, NJ (active adult housing development project)
 - iii. Tax lien claim investments – portfolio of property tax lien claims on certain commercial and residential assets in Washington, DC.
 - iv. Property tax lien securitizations - Florida.
 - v. Portfolio of 2 skilled nursing facilities – LifeHouse Holdings, California.
 - vi. Retail center – Kaleidoscope, Mission Viejo, CA.
 - vii. Golf and tennis facility – Hampshire Country Club, Mamaroneck, NY.
 - viii. Multi-tenant industrial park – 1125 West Pinnacle Peak, Deer Valley, AZ.
 - ix. Real estate and real estate debt – Mixed-use, City Center CDD Bonds and Property, Polk County, FL.
 - x. Real estate and real estate debt – Residential for sale, Machias Portfolio, Northeast Harbor and Bar Harbor, ME.
 - xi. Real estate and real estate debt - Residential Land, Mediterranea CDD, Palm Beach, FL.
 - xii. Residential distressed debt and mortgages – CWALT, US.
 - xiii. Investment in real estate – Pacific Edge Hotel, Laguna Beach, CA.
 - xiv. Investment in real estate – residential for sale, residential development site, Hyderabad, India.
 - xv. Investment in real estate – preferred equity investment in residential condominium project, Hallera, Poland.
 - xvi. Investment in real estate – senior housing, assisted living facility, Ginter Hall Assisted Living, Richmond, VA.
 - xvii. Investment in real estate debt – unsecured loan, senior housing, Ginter Hall Assisted Living, Richmond, VA.
 - xviii. Equity investment – skilled nursing facilities, Wellington Healthcare Services, Alabama, Georgia, Louisiana and Tennessee.
 - xix. Mezzanine loan – Danfords Hotel and Marina, Port Jefferson, NY.
 - b. Because fund is in wind down, no sales made through banks.
3. SkyBridge Capital (Cayman), Ltd.
 - a. Indirect underlying assets as of 12/31/16:
 - i. Equity - Turtle Bay Holdings, LLC, North Shore Oahu, HI
 - ii. Real estate investment – Kara Mount Arlington, Mount Arlington, NJ (active adult housing development project)
 - iii. Tax lien claim investments – portfolio of property tax lien claims on certain commercial and residential assets in Washington, DC.
 - b. Because fund is in wind down, no sales made through banks.
4. Other Assets: additional explanations

- a. **Academy Securities, Inc.:** veteran and disabled veteran owned and operated investment bank with strength in public finance, fixed income and equity trading and underwriting. Filer will divest this investment.
- b. **Eastside Partners I, LP:** private placement investment fund that invested in private companies. As of June 15, 2015, all investment positions were sold. During the wind down phase, this fund's only asset is cash.
- c. **Juice Dreams LLC:** this entity holds an interest in Juice Press LLC, which is chain of 54 organic, cold-pressed juice, smoothies, salads, soups and vegan snack shops located on the East Coast.
- d. **NYBX Inc. (now known as Ledger Holdings Inc.):** an operating business that operates in the Security and Commodity Exchanges business / industry.
- e. **Beacon Trust Company:** a full service wealth management firm with approximately \$2.5 billion in assets under administration and decades of proven success, superior solutions and exceptional client service. Beacon Trust provides a comprehensive suite of financial services.
- f. **Seven Springs LLC:** an investment vehicle that is invested in a company that maintains and repairs niche lab equipment used in protein purification for R&D. There is no right to withdraw, but units can be transferred with the company's consent.