

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Leggitt, Lance

Chief of Staff, Department of Health & Human Services

Date of Appointment: 03/05/2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Leggitt, Lance [electronically signed on 01/18/2017 by Leggitt, Lance in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Olesh, Stanley, Certifying Official [electronically signed on 05/31/2017 by Olesh, Stanley in Integrity.gov]

Other review conducted by

/s/ Olesh, Stanley, Ethics Official [electronically signed on 05/31/2017 by Olesh, Stanley in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 05/31/2017

Data Revised 05/30/2017

Data Revised 05/24/2017

Data Revised 05/23/2017

Data Revised 03/20/2017

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(05/23/2017, Olesh, Stanley): 2/2/17 -- all health, energy and IT SOLD -- Biglari Holdings (restaurants), Cheniere Energy, Rite Aid, IBM, Phillips 66, AIG, SPDR Health Care Fund.
N/A	N/A	General	(05/31/2017, Olesh, Stanley): 5/31 -- add'l info rec'd -- SO

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Baker, Donelson, Bearman, Caldwell & Berkowitz, PC	Washington, District of Columbia	Law Firm	Partner and Chair of Federal Health Policy	6/2006	1/2017
2	Belle Terra Homeowners Association	Ashburn, Virginia	Homewoners Association	Board Member	6/2016	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Baker, Donelson, Bearman, Caldwell & Berkowitz, PC (law firm)	N/A		Salary/Bonus	\$801,008
2	Baker, Donelson, Bearman, Caldwell & Berkowitz, PC, law firm stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Baker Donelson 401k				
3.1	MFS Value R4	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
3.2	Vanguard Small Cap	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
3.3	Mass Investors Trust R4	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
3.4	Vanguard Mid Cap Index Admiral	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
3.5	American Funds EuroPacific R4	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
3.6	Oppenheimer Developing Markets	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4	Mid Atlantic IRA/ Bruin Fund				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	Biglari Holdings INC Class A Shares - Resturant holding	No	\$50,001 - \$100,000		\$5,001 - \$15,000
4.2	Cheniere Energy Inc. Common Shares - Energy Processing	No	\$1,001 - \$15,000		\$1,001 - \$2,500
4.3	Insignia Systems Inc. Common Shares - Marketing Services	No	\$1,001 - \$15,000		\$201 - \$1,000
4.4	Signature Bank NY Commom Shares	No	\$1,001 - \$15,000		\$201 - \$1,000
4.5	Rite Aid Corp Commom Shares	No	\$1,001 - \$15,000		\$201 - \$1,000
4.6	Ottawa Bancorp Inc Common Shares	No	\$1,001 - \$15,000		\$201 - \$1,000
4.7	IBM Common Shares	No	\$1,001 - \$15,000		\$201 - \$1,000
4.8	Phillips 66 Corp Common Shares	No	\$1,001 - \$15,000		\$201 - \$1,000
4.9	American International Group Inc Warrants expiring Jan 2021	No	\$1,001 - \$15,000		\$201 - \$1,000
5	Wells Fargo Advisors IRA				
5.1	SPDR S&P 500 Trust SPY	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	Vanguard Developed Markets VEA	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	Vanguard Information Tech VGT	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	Vanguard REIT VNQ	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	SPDR Dow Jones ETF RWO	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.6	Ishares Core S&P MidCap ETF IJH	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.7	IShares Core S&P Small Cap IJR	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.8	IShares Core US Bond AGG	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.9	iShares iBoxx \$ High Yield Corporate Bd HYG	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.10	Powershares Commodity Index tracking DBC	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.11	Powershares Em Mar PCY	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.12	Select Sector SPDR TR Financial XLF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.13	Select Sector SPDR Health Care XLV	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.14	Select Sector SPDR Consumer Discretionary XLY	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.15	Vangurad International Equity VWO	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.16	Industrial Select Sector SPDR XLI	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Baker, Donelson, Bearman, Caldwell & Berkowitz, PC	Washington, District of Columbia	Will receive in October of 2017 , Firm 401k matching and safe harbor contributions earned during the 2016 calendar year. Beyond this my former employer will no longer make contributions on my behalf	10/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Baker, Donelson, Bearman, Caldwell & Berkowitz, PC	Washington, District of Columbia	Law Firm Partner and Chair of Federal Health Policy Group

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
2	Acelity LP Inc	San Antonio, Texas	client of BDBC&B
3	Advanced Infusion Solutions/Bond Pharmacy Inc.	Ridgeland, Mississippi	client of BDBC&B
4	Agenda Global Inc.	Albuquerque, New Mexico	client of BDBC&B
5	AHS/Florida Hospital Orlando	Orlando, Florida	client of BDBC&B
6	Alana Healthcare	Nashville, Tennessee	client of BDBC&B
7	All American Medical Supplies	Massapequa, New York	client of BDBC&B
8	Althea Group	New York, New York	client of BDBC&B
9	Arriva Medical	Coral Springs, Florida	client of BDBC&B
10	Arthroscopy Association of North America	Rosemont, Illinois	client of BDBC&B
11	CCS Medical, Inc	Farmers Branch, Texas	client of BDBC&B
12	Compass Laboratory Services LLC	Memphis, Tennessee	client of BDBC&B
13	The Compliance Team Inc	Spring House, Pennsylvania	client of BDBC&B
14	Diabetic/Diabetes Management & Supplies	New Orleans, Louisiana	client of BDBC&B
15	Direct Pharmacy Source Inc	Sunrise, Florida	client of BDBC&B
16	EMSI	Irving, Texas	client of BDBC&B
17	E02 Concepts	San Antonio, Texas	client of BDBC&B
18	eviCore Healthcare	Bluffton, South Carolina	client of BDBC&B

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
19	Forrest General Hospital	Hattiesburg, Mississippi	client of BDBC&B
20	Great Lakes Medical LLC	Warren, Michigan	client of BDBC&B
21	Kinetic Concepts	San Antonio, Texas	client of BDBC&B
22	Med-Care Diabetic and Medical Supplies	Boca Raton, Florida	client of BDBC&B
23	MedEnvios Healthcare	Miami, Florida	client of BDBC&B
24	MedSolutions Inc	Dallas, Texas	client of BDBC&B
25	Molecular Testing Labs	Vancouver, Washington	client of BDBC&B
26	Novant Health	Winston-Salem, North Carolina	client of BDBC&B
27	Ochsner Health System	Jefferson Parish, Louisiana	client of BDBC&B
28	Page Memorial Hospital	Luray, Virginia	client of BDBC&B
29	PathGroup Inc	Brentwood, Tennessee	client of BDBC&B
30	Peoples Health Network	Metairie, Louisiana	client of BDBC&B
31	Renaissance RX	New Orleans, Louisiana	client of BDBC&B
32	RS Medical Inc	Columbia, Maryland	client of BDBC&B
33	Sanderling Renal Services, LLC	Nashville, Tennessee	client of BDBC&B
34	Summit Health & Rehab Services Inc	Summit, Mississippi	client of BDBC&B
35	United States Medical Supply	Doral, Florida	client of BDBC&B
36	University of New Mexico Medical Group	Albuquerque, New Mexico	client of BDBC&B

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
37	Valley Health System	Winchester, Virginia	client of BDBC&B
38	Village Practice Management Co LLC	Houston, Texas	client of BDBC&B
39	VerifyMe	Downingtown, Pennsylvania	client of BDBC&B
40	Wellmont Health System	Kingsport, Tennessee	client of BDBC&B
41	Woods Pharmacy	Old Bridge, New Jersey	client of BDBC&B

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Bloo Dental (Dental Office)	N/A		Independent Contractor for Dental Hygiene Services	
2	Vienna Cosmetic and Family Dentistry (Dental Office)	N/A		Independent Contractor for Dental Hygiene Services	
3	Dr. Ann Hebda Complete Health Dental (Dental Office)	N/A		Independent Contractor for Dental Hygiene Services	
4	Atiyeh Emam DDS Cosmetic and Family Dentistry (Dental Office)	N/A		Independent Contractor for Dental Hygiene Services	
5	Wells Fargo Advisors IRA				
5.1	SPDR S&P 500 Trust SPY	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.2	Vanguard Developed Markets VEA	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	Vanguard Information Tech VGT	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	Vanguard REIT VNQ	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	SPDR Dow Jones ETF RWO	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.6	ishares Core S&P MidCap ETF IJH	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.7	iShares Core S&P Small Cap IJR	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.8	iShares Core US Bond AGG	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	iShares iBoxx \$ High Yield Corporate Bd HYG	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.10	Powershares Commodity Index Tracking DBC	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.11	Powershares EM MAR PCY	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.12	Select Sector SPDR TR Financial XLF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.13	Select Sector SPDR Health Care XLV	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.14	Select Sector SPDR Consumer Discretionary VWO	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.15	Vanguard International Equity VWO	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.16	Industrial Select Sector SPDR XLI	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Rental Property Olthe, KS	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
2	Rental Property Olthe, KS	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
3	DC1 529 American Funds	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.1	The Growth Fund of America	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.2	Washington Mutual Investors Fund 529F	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.3	College 2027 Fund 529A	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	Euro Pacific Growth Fund 529F1	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	Intermediate Bond Fund of America 529F1	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	DC2 529 American Funds	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.1	American Funds AMCAP 529F1	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.2	The Bond Fund of America 529F1	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	Euro Pacific Growth Fund 529F1	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.4	The Growth Fund of America 529F1	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.5	Intermediate Bond Fund of America 529F1	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.6	Investment Company of America 529F1	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.7	Washington Mutual Investors Fund 529F1	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.8	College 2027 Fund 529A	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Capital One Bank Accounts	Yes	\$100,001 - \$250,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Quicken Loans	Mortgage (investment/rental property)	\$50,001 - \$100,000	2015	3.875	15 year
2	Quicken Loans	Mortgage (investment/rental property)	\$50,001 - \$100,000	2015	3.625	15 year fixed

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
