

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Mnuchin, Steven T

Secretary, Department of the Treasury

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- **Committee on Finance**
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Mnuchin, Steven T [electronically signed on 12/16/2016 by Mnuchin, Steven T in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Granat, Rochelle F, Certifying Official [electronically signed on 01/10/2017 by Granat, Rochelle F in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Shaub, Walter M, Certifying Official [electronically signed on 01/10/2017 by Shaub, Walter M in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Dune Capital Management LP	New York, New York	Investment Fund Manager	Chairman and CEO	9/2004	Present
2	OneWest Bank Group LLC	Pasadena, California	Bank Holding company	Chairman and CEO	3/2009	8/2015
3	CIT Group Inc.	New York, New York	Corporation	Vice Chairman	8/2015	3/2016
4	Dune Capital Management GP LLC	New York, New York	General Partner	Sole Owner	12/2004	Present
5	OneWest Bank NA	Pasadena, California	Corporation	Chairman and Director	3/2009	8/2015
6	CIT Bank NA	Pasadena, California	Corporation	Chairman and Director	8/2015	3/2016
7	CIT Group Inc	New York, New York	Corporation	Director	8/2015	12/2016
8	Sears Holdings Inc.	Hoffman Estates, Illinois	Corporation	Director	11/2004	12/2016
9	Steven T. Mnuchin Inc.	New York, New York	Corporation	President and Sole Owner	5/1999	Present
10	STM Partners LLC	New York, New York	Investment Partnership	Managing Member	8/2003	Present
11	SHM Investments LLC	New York, New York	Investment Manager	Managing Member	1/2003	Present
12	STM Revocable Trust	Los Angeles, California	Trust	Trustee	7/2012	Present
13	Steven and Heather Mnuchin Foundation	Los Angeles, California	Private Foundation	Trustee	1/1994	Present
14	STM GST Trust	Los Angeles, California	Trust	Trustee	5/2005	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
15	AGM GST Trust	New York, New York	Trust	Trustee	5/2005	Present
16	ETC Estate	New York, New York	Estate	Co-Executor	5/2005	Present
17	Elaine T. Cooper Foundation	New York, New York	Private Foundation	Trustee	5/2005	Present
18	The GMG 2002 Trust	Washington, District of Columbia	Trust	Trust Protector	1/2010	Present
19	The SMG 2002 Trust	Washington, District of Columbia	Trust	Trust Protector	1/2010	Present
20	The LFG 2002 Trust	Washington, District of Columbia	Trust	Trust Protector	1/2010	Present
21	GMG 1999 Trust	Washington, District of Columbia	Trust	Trustee	1/2010	Present
22	LFG 1999 Trust	Washington, District of Columbia	Trust	Trustee	1/2010	Present
23	SMG 1999 Trust	Washington, District of Columbia	Trust	Trustee	1/2010	Present
24	The NFL 2015 Trust	Miami, Florida	Trust	Trustee	3/2015	Present
25	The NRL 2015 Trust	Miami, Florida	Trust	Trustee	3/2015	Present
26	Trust U Art 6th (b) MM	Washington, Connecticut	Trust	Trustee	11/1995	Present
27	VM 2007 Family Trust	Washington, Connecticut	Trust	Trustee	2/2007	Present
28	The MPM 2006 Trust	Washington, Connecticut	Trust	Trustee	10/2006	Present
29	V and MM Foundation	Washington, Connecticut	Private Foundation	Trustee	12/1987	Present

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
30	MM Insurance Trust		Washington, Connecticut	Trust	Trustee	12/1992	Present
31	M Family Trust		Washington, Connecticut	Trust	Trustee	12/2005	Present
32	LeFrak Trust Company		Wilmington, Delaware	Corporation	Director	1/2009	Present
33	Museum of Contemporary Art		Los Angeles, California	Museum	Trustee	12/2009	12/2016
34	LAPD Foundation		Los Angeles, California	Non-Profit	Trustee	1/2012	Present
35	UCLA Hospital		Los Angeles, California	Hospital	Member, Board	1/2010	Present
36	Cedar Sinai Hospital		Los Angeles, California	Hospital	Member, Board of Governors	1/2010	Present
37	Relativity Media		Los Angeles, California	Corporation	Non-Executive Co-Chairman and Board Member	10/2014	6/2015
38	The MR and JMB 2003 Life Insurance Trust		New York, New York	Trust	Trustee	3/2003	Present
39	The MPM 2006 Trust		Washington, Connecticut	Trust	Trustee	10/2006	Present
40	Crummey Trust fbo MPM		Washington, Connecticut	Trust	Trustee	12/1995	Present
41	2503(c) Minority Trust fbo MPM		Washington, Connecticut	Trust	Trustee	12/1992	Present
42	Dune Entertainment Partners LLC		New York, New York	Partnership	Chairman	1/2013	Present
43	StormChaser Partners LLC		Los Angeles, California	Limited Liability Company	Chairman	12/2015	Present
44	New York Presbyterian Hospital	See Endnote	New York, New York	Hospital	Life Trustee	1/2004	Present

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
45	Dune Capital Partners LLC	See Endnote	New York, New York	Limited Liability Company	Manager	1/2004	Present
46	Dune Capital Partners II LP		New York, New York	Limited Liability Partnership	Manager	1/2004	Present
47	Dune Capital Partners III LLC		Las Vegas, Nevada	Limited Liability Company	Managing Member	10/2013	Present
48	Dune Capital Manager LLC		New York, New York	Limited Liability Company	Managing Member	1/2004	Present
49	IMB Management Holdings GP LLC		Pasadena, California	Limited Liability Company	Managing Member	6/2008	12/2015
50	SHM Partners II, LLC		New York, New York	Limited Liability Company	Managing Member	1/2004	Present
51	STM Capital LLC		Los Angeles, California	Limited Liability Company	Managing Member	9/2014	4/2016
52	IMB HoldCo LLC		New York, New York	Corporation	Chairman	1/2009	8/2015
53	OneWest Foundation		New York, New York	Non-Profit	Director, Chairman/CEO	12/2009	8/2015
54	Dune Capital International Ltd		Cayman Islands, Outside U.S.	Corporation	Director	12/2004	Present
55	ESL 2012 Family Trust		Miami, Florida	Trust	Trustee	12/2012	Present
56	AGM 2016 Insurance Trust		New York, New York	Trust	Trustee	6/2016	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Dune Capital Management GP LLC	No			
1.1	Dune Capital Management LP	N/A		Guaranteed Payments	\$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	SHM Investments LLC	No			
2.1	Dune Capital Management LP	N/A		Guaranteed Payments	\$795,000
3	STM Partners LLC	N/A		Guaranteed Payments	\$71,994
4	Sears Roebuck and Company	N/A		Director Fees	\$60,000
5	The Lefrak Trust Company	N/A		Trustee Fees	\$10,000
6	Goldman Sachs 401(k)	No			
6.1	GS Financial Square Treasury Obligations Fund	Yes	\$500,001 - \$1,000,000		\$201 - \$1,000
6.2	U.S. brokerage bank deposit account (institution #2) (cash)	N/A	\$50,001 - \$100,000		\$201 - \$1,000
6.3	Large Cap Value Equity Index Fund - Blackrock	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
6.4	Large Cap Value Equity Fund - GSAM	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
6.5	S&P 500 Index Fund - SSgA	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
6.6	Concentrated Growth Equity Fund - Jackson Square Partners	No	\$50,001 - \$100,000		None (or less than \$201)
6.6.1	Allergan PLC Common Shares	N/A			
6.6.2	Alphabet Inc. Class A Common Shares	N/A			
6.6.3	Alphabet Inc. Class C Common Shares	N/A			
6.6.4	Biogen Inc. Common Shares	N/A			
6.6.5	Celegene Corp. Common Shares	N/A			
6.6.6	Crown Castle International Corp. Common Shares	N/A			
6.6.7	DENTSPLY SIRONA Inc. Common Shares	N/A			
6.6.8	Dollar General Corp. Common Shares	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.6.9	Ebay Inc. Common Shares	N/A			
6.6.10	Electric Arts Common Shares	N/A			
6.6.11	Equinix Inc. Common Shares	N/A			
6.6.12	Facebook Inc. Class A Common Shares	N/A			
6.6.13	Intercontinental Exchange Inc. Common Shares	N/A			
6.6.14	Intuit Inc. Common Shares	N/A			
6.6.15	L. Brands Inc. Common Shares	N/A			
6.6.16	Liberty Global PLC Class C Common Shares	N/A			
6.6.17	Liberty Global PLC Class A Common Shares	N/A			
6.6.18	Liberty Interactive Corp. Class A Common Shares	N/A			
6.6.19	Mastercard Inc. Class A Common Shares	N/A			
6.6.20	Microsoft Corp. Common Shares	N/A			
6.6.21	Nielsen Holdings PLC Common Shares	N/A			
6.6.22	Paypal Holdings Inc. Common Shares	N/A			
6.6.23	Qualcomm Inc. Common Shares	N/A			
6.6.24	Quintiles IMS Holdings Inc. Common Shares	N/A			
6.6.25	Charles Schwab Corp. Common Shares	N/A			
6.6.26	Symantec Corp. Common Shares	N/A			
6.6.27	TripAdvisor Inc. Common Shares	N/A			
6.6.28	Visa Inc. Class A Common Shares	N/A			
6.6.29	Walgreens Boots Alliance Inc. Common Shares	N/A			
6.6.30	GS Financial Square Treasury Obligations Fund	Yes			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.7	Mid Cap Value Equity Fund - GSAM	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
6.8	Multi-Strategy Hedge Fund - OZ	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.9	Multi-Market Hedge Fund - Omega	Yes	\$1,001 - \$15,000		\$15,001 - \$50,000
7	Ambrose Multiple Employer Retirement Savings 401(k)	No			
7.1	T. Rowe Personal Strategy Balance Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
8	CIT Bank N.A.	See Endnote		Wages	\$1,214,218
9	CIT Bank N.A.	See Endnote		Bonus & Incentive Payments	\$4,425,000
10	CIT Bank N.A.			Dividend Equivalent Payment	\$1,318,716
11	OneWest Bank N.A.			Stock Grant Vesting	\$5,049,423
12	CIT Bank N.A.			Options Exercise	\$16,281,179
13	CIT Bank N.A.			Severance	\$10,906,655
14	Stormchaser Partners LLC (The Steven T. Mnuchin Revocable Trust)	See Endnote	No		
14.1	SDA Film LLC	No			
14.1.1	Untitled Film 5	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.2	U.S. bank account (institution #1) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.3	Untitled Film 1	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.4	Untitled Film 2	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.5	Untitled Film 3	N/A	\$1,001 - \$15,000		None (or less than \$201)
14.6	Tarbox LLC	No			
14.6.1	Untitled Film 4	N/A	None (or less than \$1,001)		None (or less than \$201)
14.7	Receivable from Midnightman Holdings LLC	N/A	\$50,001 - \$100,000		None (or less than \$201)
14.8	Stormchaser Dune Entertainment LLC	No			
14.8.1	TPD Entertainment, LLC	No			
14.8.1.1	TPSE Financing, LLC	No			
14.8.1.1.1	Receivable from Six Below, LLC	N/A	\$250,001 - \$500,000		None (or less than \$201)
15	Stormchaser Partners LLC (Steven Mnuchin Dynasty Trust I)	No			
15.1	U.S. bank account (institution #1) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.2	SDA Film LLC	No			
15.2.1	Untitled Film 5	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.3	Untitled Film 1	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.4	Untitled Film 2	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.5	Untitled Film 3	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.6	Tarbox LLC	No			
15.6.1	Untitled Film 4	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.7	Receivable from Midnightman Holdings LLC	N/A	\$50,001 - \$100,000		None (or less than \$201)
15.8	Stormchaser Dune Entertainment LLC	No			
15.8.1	TPD Entertainment, LLC	No			
15.8.1.1	TPSE Financing, LLC	No			
15.8.1.1.1	Receivable from Six Below LLC	N/A	\$250,001 - \$500,000		None (or less than \$201)
16	CIT Group Inc. SIP 401(k) Plan				
16.1	Schwab Managed Retirement Trust Fund 2025	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
16.2	Schwab Managed Retirement Trust Fund 2030	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	CIT Group	New York, New York	Restrictive Covenant Agreement - Filer is subject to customary non-compete and non-solicitation provisions until July 2018.	7/2014
2	Goldman Sachs	New York, New York	401(k) Plan - Filer will continue to participate in Goldman Sach's 401(k) plan. No contributions will be made by Filer's former employer.	9/1985
3	Dune Capital Management	New York, New York	401(k) Plan - Filer will continue to participate in Dune Capital Management's 401(k) plan administered by Ambrose. No contributions will be made by Filer's former employer.	9/2004
4	CIT Group	New York, New York	401(k) Plan - Filer will continue to participate in CIT Group's SIP 401(k) plan. No contributions will be made by Filer's former employer.	8/2015

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	CIT Bank N.A.	Pasadena, California	Chairman and Director
2	Dune Capital Management GP LLC - Dune Capital Management LP	New York, New York	Chairman and CEO
3	SHM Investments LLC - Dune Capital Management LP	New York, New York	Managing member
4	STM Partners LLC	Los Angeles, California	Managing member
5	Sears Roebuck and Company	Hoffman Estates, Illinois	Director
6	The Lefrak Trust Company	Wilmington, Delaware	Director
7	OneWest Bank N.A.	Pasadena, California	Chairman and CEO

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account (institution #1) (checking/savings)	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
2	U.S. bank account (institution #4) (NOW Accounts)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	U.S. bank account (institution #5) (checking)		N/A	\$15,001 - \$50,000	Interest Capital Gains	\$201 - \$1,000
4	Receivable from STM Partners LLC		N/A	\$5,000,001 - \$25,000,000	Interest	\$100,001 - \$1,000,000
5	Berry Ventures Inc. Common Shares	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
6	AT& T Inc. Common Shares		N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
7	Comcast Corporation Common Shares		N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
8	General Electric Co. Common Shares		N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
9	GS Financial Square Treasury Instruments Fund		Yes	None (or less than \$1,001)		None (or less than \$201)
10	GS Financial Square Money Market Fund		Yes	None (or less than \$1,001)		\$2,501 - \$5,000
11	GS Financial Square Treasury Obligations Fund		Yes	None (or less than \$1,001)		\$201 - \$1,000
12	International Business Machines Corp. Common Shares		N/A	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
13	Seritage Growth Properties Common Shares		N/A	None (or less than \$1,001)	Dividends	\$100,001 - \$1,000,000
14	Verizon Communications Inc. Common Shares		N/A	None (or less than \$1,001)	Dividends	\$50,001 - \$100,000
15	Sears Holdings Corp. Bond		N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
16	U.S. brokerage money market account (institution #2) (cash)		N/A	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500
17	Dune Capital Partners LLC		No			
17.1	Dune Capital LP		No			
17.1.1	U.S. bank account (institution #1) (cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.1.2	Notes Receivable from Dune Entertainment Holdings		N/A	\$1,001 - \$15,000		None (or less than \$201)
17.1.3	Dune Entertainment Holdings		No			
17.1.3.1	U.S. bank account (institution #1) (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
17.1.3.2	Film Financing Agreement with Fox	See Endnote	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
17.1.3.3	Dune Entertainment III					
17.1.3.3.1	U.S. bank account (institution #1) (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
17.1.4	Dune Entertainment LP		No			
17.1.4.1	U.S. bank account (institution #3) (cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)
17.1.5	Notes Receivable from Dune Entertainment LP		N/A	\$1,001 - \$15,000		None (or less than \$201)
18	Dune Real Estate Partners LLC		No	\$1,000,001 - \$5,000,000	Partnership Income	\$135,868
18.1	Dune Real Estate Fund LP		No			
18.1.1	Leonard/Fulton Holdings, LLC (New York City For-Sale Residential)		No			
18.1.2	New York Standard Hotel JV (New York City Hotel)		No			
18.1.3	Co-Invest Hamlet Holdings B, LLC (Operating Company)		No			
18.1.3.1	Caesars Acquisition Company Shares		N/A			
18.1.4	1186 Broadway, LLC (New York City Hotel)		No			
18.1.5	McArthurGlen Europe (European Retail)		No			
18.1.6	U.S. bank account (institution #1) (cash)		N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.2	U.S. bank account (institution #1) (cash)	N/A			
19	ESL Partners, LP	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
20	Sebonak Bond	See Endnote	\$500,001 - \$1,000,000		None (or less than \$201)
21	DM UTMA Account (institution #2)	No			
21.1	Citigroup Inc. Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.2	Fairpoint Communications Inc. Common Shares	N/A	None (or less than \$1,001)		None (or less than \$201)
21.3	Frontier Communications Corporation Common Shares	N/A	None (or less than \$1,001)		None (or less than \$201)
21.4	GS Financial Square Treasury Instruments Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
21.5	GS Financial Square Treasury Obligations Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
21.6	Idearc Inc. Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.7	Lands' End Inc. Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.8	Microsoft Corporation Common Shares	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
21.9	Sears Holding Corporation Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.10	Sears Hometown and Outlet Stores, Inc. Common Shares	N/A	None (or less than \$1,001)		None (or less than \$201)
21.11	Sears Canada Inc. Common Shares	N/A	None (or less than \$1,001)		None (or less than \$201)
21.12	Sears Holding Corp Warrants	N/A	None (or less than \$1,001)		None (or less than \$201)
21.13	Seritage Growth Properties Common Shares	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.14	Verizon Communications Inc. Common Shares	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
21.15	Sears Holdings Corp. Bond	N/A	None (or less than \$1,001)		None (or less than \$201)
22	JM UTMA Account (institution #2)	No			
22.1	Citigroup Inc. Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.2	Fairpoint Communications Inc. Common Shares	N/A	None (or less than \$1,001)		None (or less than \$201)
22.3	Frontier Communications Corporation Common Shares	N/A	None (or less than \$1,001)		None (or less than \$201)
22.4	GS Financial Square Treasury Instruments Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
22.5	GS Financial Square Treasury Obligations Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
22.6	Idearc Inc. Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.7	Lands' End Inc. Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.8	Microsoft Corporation Common Shares	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
22.9	Sears Holding Corporation Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.10	Sears Hometown and Outlet Stores, Inc. Common Shares	N/A	None (or less than \$1,001)		None (or less than \$201)
22.11	Sears Canada Inc. Common Shares	N/A	None (or less than \$1,001)		None (or less than \$201)
22.12	Sears Holding Corp Warrants	N/A	None (or less than \$1,001)		None (or less than \$201)
22.13	Seritage Growth Properties Common Shares	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
22.14	Verizon Communications Inc. Common Shares	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.15	Sears Holdings Corp. Bond	N/A	None (or less than \$1,001)		None (or less than \$201)
22.16	U.S. brokerage money market account (institution #2) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
23	EM - U.S. bank account (institution #4) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	JM - U.S. bank account (institution #4) (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
25	DM - U.S. bank account (institution #4) (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
26	Trust F/BO EDM U/I/D 6/17/02	No			
26.1	AT& T Inc. Common Shares	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
26.2	Fairpoint Communications Inc. Common Shares	N/A	None (or less than \$1,001)		None (or less than \$201)
26.3	Frontier Communications Corporation Common Shares	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4	GS Financial Square Treasury Instruments Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
26.5	GS Financial Square Treasury Obligations Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
26.6	Idearc Inc. Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.7	Lands' End Inc. Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.8	Microsoft Corporation Common Shares	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.9	Sears Holding Corporation Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.10	Sears Hometown and Outlet Stores, Inc. Common Shares	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.11	Sears Canada Inc. Common Shares	N/A	None (or less than \$1,001)		None (or less than \$201)
26.12	Sears Holding Corp Warrants	N/A	None (or less than \$1,001)		None (or less than \$201)
26.13	Seritage Growth Properties Common Shares	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
26.14	Verizon Communications Inc. Common Shares	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
26.15	Sears Holdings Corp. Bond	N/A	None (or less than \$1,001)		None (or less than \$201)
27	The Steven T. Mnuchin Revocable Trust				
27.1	AT& T Inc. Common Shares	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
27.2	CIT Group, Inc. Common Shares	N/A	Over \$50,000,000	Dividends	\$1,000,001 - \$5,000,000
27.3	CIT Group, Inc. Common Shares	N/A	None (or less than \$1,001)	Capital Gains	Over \$5,000,000
27.4	CIT Group, Inc. Holdback on Merger	See Endnote	\$5,000,001 - \$25,000,000		None (or less than \$201)
27.5	Comcast Corporation Common Shares	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
27.6	Fairpoint Communications Inc. Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.7	General Electric Co. Common Shares	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
27.8	The Goldman Sachs Group, Inc. Common Shares	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
27.9	GS Financial Square Money Market Fund	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
27.10	GS Financial Square Treasury Obligations Fund	Yes	\$25,000,001 - \$50,000,000		\$50,001 - \$100,000
27.11	Idearc Inc. Common Shares	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.12	International Business Machines Corp. Common Shares	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
27.13	Lands' End Inc. Common Shares	N/A	\$100,001 - \$250,000		None (or less than \$201)
27.14	Lehman Brothers Holdings Inc. Common Shares	N/A	\$15,001 - \$50,000		None (or less than \$201)
27.15	Sears Hometown and Outlet Stores, Inc. Common Shares	N/A	\$15,001 - \$50,000		None (or less than \$201)
27.16	Sears Canada Inc. Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.17	Sears Holding Corp Warrants	N/A	\$15,001 - \$50,000		None (or less than \$201)
27.18	Seritage Growth Properties Common Shares	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
27.19	Verizon Communications Inc. Common Shares	N/A	\$1,000,001 - \$5,000,000	Dividends	\$100,001 - \$1,000,000
27.20	Sears Holdings Corp. Bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
27.21	U.S. brokerage money market account (institution #2) (cash)	N/A	\$25,000,001 - \$50,000,000	Interest	\$50,001 - \$100,000
27.22	The Blackstone Group L.P. Common Shares	N/A	\$500,001 - \$1,000,000		\$15,001 - \$50,000
27.23	Interest in Willem de Kooning Oil Painting "Untitled III", 1978	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
27.24	STM Partners LLC	No			
27.24.1	U.S. bank account (institution #1) (cash)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
27.24.2	GS Financial Square Treasury Obligations Fund	Yes	\$1,000,001 - \$5,000,000		\$2,501 - \$5,000
27.24.3	U.S. brokerage money market account (institution #2) (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.24.4	iHeart Communications, Inc. Bond	N/A	\$5,000,001 - \$25,000,000	Interest	\$100,001 - \$1,000,000
27.24.5	Dune Capital Partners LLC	No			
27.24.5.1	Dune Capital LP	No			
27.24.5.1.1	U.S. bank account (institution #1) (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
27.24.5.1.2	Notes Receivable from Dune Entertainment Holdings	N/A	\$15,001 - \$50,000		None (or less than \$201)
27.24.5.1.3	Dune Entertainment Holdings	No			
27.24.5.1.3.1	U.S. bank account (institution #1) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.24.5.1.3.2	Dune Entertainment III LLC				
27.24.5.1.3.2.1	U.S. bank account (institution #1) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.24.5.1.4	Dune Entertainment LP	No			
27.24.5.1.4.1	U.S. bank account (institution #3) (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
27.24.5.1.5	Notes Receivable from Dune Entertainment LP	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.24.6	Dune Real Estate Partners LLC	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
27.24.6.1	Dune Real Estate Fund LP	No			
27.24.6.1.1	Leonard/Fulton Holdings, LLC (New York City For-Sale Residential)	No			
27.24.6.1.2	New York Standard Hotel JV (New York City Hotel)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.24. 6.1.3	Co-Invest Hamlet Holdings B, LLC (Operating Company)	No			
27.24. 6.1.3.1	Caesars Acquisition Company Shares	N/A			
27.24. 6.1.4	1186 Broadway, LLC (New York City Hotel)	No			
27.24. 6.1.5	McArthurGlen Europe (European Retail)	No			
27.24. 6.1.6	U.S. bank account (institution #1) (cash)	N/A			
27.24. 6.2	U.S. bank account (institution #1) (cash)	N/A			
27.24. 7	Dune Real Estate Partners II LLC	No	\$1,000,001 - \$5,000,000	Partnership Income	\$575,907
27.24. 7.1	Dune Real Estate Fund II LP	No			
27.24. 7.1.1	DR/SP Orlando JV Investor LLC (Lake Buena Vista, FL Hotel)	No			
27.24. 7.1.2	DK Santa Monica LLC (Santa Monica, CA Mixed-Use)	No			
27.24. 7.1.3	SDC Fairway Delta JV, LLC (Beaumont, CA Single Fam. Res. Land)	No			
27.24. 7.1.4	Dune FL Land I LLC (Tampa, FL Single Family Residential Land)	No			
27.24. 7.1.5	DREP RRI LLC (47 Hotels across 21 states)	No			
27.24. 7.1.6	DP Portfolio Investors, LLC (Washington, DC Multifamily)	No			
27.24. 7.1.7	DW MLB Owner LLC / DW MLB LLC (Melbourne, FL Hotel)	No			
27.24. 7.1.8	Dune FB LLC (Tampa, FL Single Family Residential Land)	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.24. 7.1.9	Dune OW LLC (Irvine, CA Office Building)		No			
27.24. 7.1.10	DM Chesapeake LLC (Glen Burnie, MD Multifamily)		No			
27.24. 7.1.11	McArthurGlen Europe (European Retail)		No			
27.24. 7.1.12	U.S. bank account (institution #1) (cash)		N/A			
27.24. 7.1.13	Arioso Apartments (Dallas, TX Multifamily)		N/A			
27.24. 7.1.14	TDI/Dune Real Estate Investments LLC (Austin, TX Multifamily)		N/A			
27.24. 7.1.15	DR Mission Oaks LLC (Camarillo, CA Industrial)		N/A			
27.24. 7.2	U.S. bank account (institution #1) (restricted cash)	See Endnote	N/A			
27.24. 7.3	U.S. bank account (institution #1) (cash)		No			
27.24. 7.4	Dune Real Estate Parallel Fund II LP	See Endnote	No			
27.24. 7.5	Dune Parallel AIV II LP	See Endnote	No			
27.24. 7.6	DREF II NA Fund LP	See Endnote	No			
27.24. 7.7	DREF II International Fund LP	See Endnote	No			
27.24. 8	Halo WeWin II LLC	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
27.24. 9	Paulson Advantage LP	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)
27.24. 10	Dune Capital Partners III LLC		No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.24.10.1	Ratpac-Dune Entertainment Holdings LLC		No			
27.24.10.1.1	Ratpac-Dune Entertainment LLC		No			
27.24.10.1.1.1	WDR Films		No			
27.24.10.1.1.1.1	Agreement with Warner Brothers	See Endnote	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
27.24.11	Dune Entertainment Partners LLC	See Endnote	N/A	\$100,001 - \$250,000		None (or less than \$201)
27.24.12	East End Investors LLC		No			
27.24.12.1	Bellport Investors I, LLC		No			
27.24.12.1.1	Bellport Mezz I, LLC		No			
27.24.12.1.1.1	Bellport Property Investors I, LLC		No			
27.24.12.1.1.1.1	Residential Real Estate located in Bellport, NY		N/A	\$1,001 - \$15,000		None (or less than \$201)
27.24.13	HMBAP LLC		No			
27.24.13.1	Residential Real Estate located in California		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
27.24.14	EMT Air LLC	See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
28	The Steven T. Mnuchin 2000 Family Trust		No			
28.1	Berkshire Hathaway Inc. Class A Shares		N/A	Over \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28.2	Fairpoint Communications Inc. Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
28.3	General Electric Co. Common Shares	N/A	Over \$1,000,000	Dividends	\$50,001 - \$100,000
28.4	The Goldman Sachs Group, Inc. Common Shares	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28.5	GS Financial Square Treasury Obligations Fund	Yes	\$250,001 - \$500,000		\$201 - \$1,000
28.6	Idearc Inc. Common Shares	N/A	\$15,001 - \$50,000		None (or less than \$201)
28.7	Lands' End Inc. Common Shares	N/A	\$15,001 - \$50,000		None (or less than \$201)
28.8	Microsoft Corporation Common Shares	N/A	Over \$1,000,000	Dividends	\$50,001 - \$100,000
28.9	Sears Holding Corporation Common Shares	N/A	\$100,001 - \$250,000		None (or less than \$201)
28.10	Sears Hometown and Outlet Stores, Inc. Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
28.11	Sears Canada Inc. Common Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)
28.12	Sears Holding Corp Warrants	N/A	\$1,001 - \$15,000		None (or less than \$201)
28.13	Seritage Growth Properties Common Shares	N/A	\$100,001 - \$250,000	Dividends	\$50,001 - \$100,000
28.14	Verizon Communications Inc. Common Shares	N/A	Over \$1,000,000	Dividends	\$100,001 - \$1,000,000
28.15	Sears Holdings Corp. Bond	N/A	\$15,001 - \$50,000	Interest	\$5,001 - \$15,000
28.16	Dune Capital Partners IV LLC	No			
28.16.1	Receivable from Relativity Holdings LLC	N/A	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
29	Dune Capital Manager LLC	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29.1	Dune Capital Partners II LP	No			
29.1.1	U.S. bank account (institution #1) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
30	Steven T. Mnuchin 2002 Family Trust	No			
30.1	ESL Partners, LP	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
31	SHM Partners II, LLC	No			
31.1	GS Financial Square Treasury Solutions Fund	Yes	\$250,001 - \$500,000		\$201 - \$1,000
31.2	U.S. brokerage money market account (institution #2) (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
32	SHM Investments LLC	No			
32.1	U.S. bank account (institution #1) (cash)	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
32.2	Dune Capital Partners II LP	No			
32.2.1	U.S. bank account (institution #1) (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
32.3	Dune Capital Management LP	No			
32.3.1	U.S. bank account (institution #1) (cash)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
33	STM Partners LLC	No			
33.1	GS Financial Square Treasury Obligations Fund	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
33.2	Dune Capital Partners LLC	No			
33.2.1	Dune Capital LP	No			
33.2.1.1	Dune Entertainment Holdings	No			
33.2.1.1	Film Financing Agreement with Fox	See Endnote	N/A	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.3	Dune Real Estate Partners LLC	No	None (or less than \$1,001)	Partnership Income	\$8,182
33.3.1	Dune Real Estate Fund LP	No			
33.3.1.1	Leonard/Fulton Holdings, LLC (New York City For-Sale Residential)	No			
33.3.1.2	New York Standard Hotel JV (New York City Hotel)	No			
33.3.1.3	Co-Invest Hamlet Holdings B, LLC (Operating Company)	No			
33.3.1.3.1	Caesars Acquisition Company Shares	N/A			
33.3.1.4	1186 Broadway, LLC (New York City Hotel)	No			
33.3.1.5	McArthurGlen Europe (European Retail)	No			
33.3.1.6	U.S. bank account (institution #1) (cash)	N/A			
33.3.2	U.S. bank account (institution #1) (cash)	N/A			
33.4	Dune Real Estate Partners II LLC	No	None (or less than \$1,001)	Partnership Income	\$16,674
33.4.1	Dune Real Estate Fund II LP	No			
33.4.1.1	DR/SP Orlando JV Investor LLC (Lake Buena Vista, FL Hotel)	No			
33.4.1.2	DK Santa Monica LLC (Santa Monica, CA Mixed-Use)	No			
33.4.1.3	SDC Fairway Delta JV, LLC (Beaumont, CA Single Fam. Res. Land)	No			
33.4.1.4	Dune FL Land I LLC (Tampa, FL Single Family Residential Land)	No			
33.4.1.5	DREP RRI LLC (47 Hotels across 21 states)	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.4.1.6	DP Portfolio Investors, LLC (Washington, DC Multifamily)		No			
33.4.1.7	DW MLB Owner LLC / DW MLB LLC (Melbourne, FL Hotel)		No			
33.4.1.8	Dune FB LLC (Tampa, FL Single Family Residential Land)		No			
33.4.1.9	Dune OW LLC (Irvine, CA Office Building)		No			
33.4.1.10	DM Chesapeake LLC (Glen Burnie, MD Multifamily)		No			
33.4.1.11	McArthurGlen Europe (European Retail)		No			
33.4.1.12	U.S. bank account (institution #1) (cash)		N/A			
33.4.1.13	Arioso Apartments (Dallas, TX Multifamily)		N/A			
33.4.1.14	TDI/Dune Real Estate Investments LLC (Austin, TX Multifamily)		N/A			
33.4.1.15	DR Mission Oaks LLC (Camarillo, CA Industrial)		N/A			
33.4.2	U.S. bank account (institution #1) (restricted cash)	See Endnote	N/A			
33.4.3	U.S. bank account (institution #1) (cash)		N/A			
33.4.4	Dune Real Estate Parallel Fund II LP	See Endnote	No			
33.4.5	Dune Parallel AIV II LP	See Endnote	No			
33.4.6	DREF II NA Fund LP	See Endnote	No			
33.4.7	DREF II International Fund LP	See Endnote	No			
33.5	Dune Entertainment Partners LLC	See Endnote	N/A	None (or less than \$1,001)	Operating Income	\$65,038
33.6	Dune Capital Partners IV LLC		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.6.1	Receivable from Relativity Holdings LLC	N/A	None (or less than \$1,001)	Interest	\$1,000,001 - \$5,000,000
33.7	Miscellaneous Income	See Endnote	N/A	Miscellaneous Income	\$364
34	Steven T. Mnuchin Inc.	No			
34.1	GS Financial Square Treasury Instruments Fund	Yes	\$500,001 - \$1,000,000		\$201 - \$1,000
34.2	Bridge Street Real Estate Fund 1998, L.P.	No	\$1,001 - \$15,000		None (or less than \$201)
34.2.1	GS Financial Square Government Fund	Yes			
34.3	Goldman Sachs Direct Investment Fund 2000, L.P.	No	\$15,001 - \$50,000	Partnership Income	\$17,247
34.3.1	Neos, Inc. (oil/gas exploration company)	N/A			
34.3.2	Sunus (Bermuda 1) Ltd. (advanced utility metering systems)	N/A			
34.3.3	SunGard Availability Services Capital Inc. (integrated software processing)	N/A			
34.3.4	GS Financial Square Government Fund	Yes			
34.4	GS Capital Partners 2000 Employee Fund, L.P.	No	\$100,001 - \$250,000	Partnership Income	\$154,421
34.4.1	National Golf Properties (Sylmar, CA golf course)	N/A			
34.4.2	Neos, Inc. (oil/gas exploration company)	N/A			
34.4.3	Sunsus (Bermuda 1) Ltd. (advanced utility metering systems)	N/A			
34.4.4	SunGard Availability Services Capital Inc. (integrated software processing)	N/A			
34.4.5	GS Financial Square Government Fund	Yes			
34.5	Bridge Street PEP Technology Fund 2000, L.P.	See Endnote	No	\$1,001 - \$15,000	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.6	Stone Street PEP Technology Fund 2000, L.P. See Endnote	No	\$15,001 - \$50,000	Partnership Income	\$45,284
35	Steven Mnuchin Dynasty Trust I	No			
35.1	GS Financial Square Money Market Fund	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
35.2	U.S. brokerage money market account (institution #2) (cash)	N/A	Over \$1,000,000	Interest	\$15,001 - \$50,000
35.3	CIT Group, Inc.	N/A	Over \$1,000,000	Dividends	\$100,001 - \$1,000,000
35.4	CIT Group, Inc.	N/A	None (or less than \$1,001)	Capital Gains	Over \$1,000,000
35.5	CIT Group, Inc. Holdback on Merger See Endnote	N/A	Over \$1,000,000		None (or less than \$201)
35.6	SHM Partners II	No			
35.6.1	GS Financial Square Treasury Solutions Fund	Yes	\$250,001 - \$500,000		\$201 - \$1,000
35.6.2	U.S. brokerage money market account (institution #2) (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
35.7	STM Partners LLC	No			
35.7.1	U.S. bank account (institution #1) (cash)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
35.7.2	GS Financial Square Treasury Obligations Fund	Yes	\$1,000,001 - \$5,000,000		\$2,501 - \$5,000
35.7.3	U.S. brokerage money market account (institution #2) (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
35.7.4	iHeart Communications, Inc. Bond	N/A	Over \$1,000,000	Interest	\$100,001 - \$1,000,000
35.7.5	Dune Capital Partners LLC	No			
35.7.5.1	Dune Capital LP	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.7.5.1.1	U.S. bank account (institution #1) (cash)		N/A	\$50,001 - \$100,000		None (or less than \$201)
35.7.5.1.2	Notes Receivable from Dune Entertainment Holdings		N/A	\$15,001 - \$50,000		None (or less than \$201)
35.7.5.1.3	Dune Entertainment Holdings		No			
35.7.5.1.3.1	U.S. bank account (institution #1) (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
35.7.5.1.3.2	Film Financing Agreement with Fox	See Endnote	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
35.7.5.1.3.3	Dune Entertainment III LLC					
35.7.5.1.3.3.1	U.S. bank account (institution #1) (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
35.7.5.1.4	Dune Entertainment LP		No			
35.7.5.1.4.1	U.S. bank account (institution #3) (cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)
35.7.5.1.5	Notes Receivable from Dune Entertainment LP		N/A	\$1,001 - \$15,000		None (or less than \$201)
35.7.6	Dune Real Estate Partners LLC		No	\$100,001 - \$250,000	Partnership Income	\$67,934
35.7.6.1	Dune Real Estate Fund LP		No			
35.7.6.1.1	Leonard/Fulton Holdings, LLC (New York City For-Sale Residential)		No			
35.7.6.1.2	New York Standard Hotel JV (New York City Hotel)		No			
35.7.6.1.3	Co-Invest Hamlet Holdings B, LLC (Operating Company)		No			
35.7.6.1.3.1	Caesars Acquisition Company Shares		N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.7.6.1.4	1186 Broadway, LLC (New York City Hotel)	No			
35.7.6.1.5	McArthurGlen Europe (European Retail)	No			
35.7.6.1.6	U.S. bank account (institution #1) (cash)	N/A			
35.7.6.2	U.S. bank account (institution #1) (cash)	N/A			
35.7.7	Dune Real Estate Partners II LLC	No	Over \$1,000,000	Partnership Income	\$592,581
35.7.7.1	Dune Real Estate Fund II LP	No			
35.7.7.1.1	DR/SP Orlando JV Investor LLC (Lake Buena Vista, FL Hotel)	No			
35.7.7.1.2	DK Santa Monica LLC (Santa Monica, CA Mixed-Use)	No			
35.7.7.1.3	SDC Fairway Delta JV, LLC (Beaumont, CA Single Fam. Res. Land)	No			
35.7.7.1.4	Dune FL Land I LLC (Tampa, FL Single Family Residential Land)	No			
35.7.7.1.5	DREP RRI LLC (47 Hotels across 21 states)	No			
35.7.7.1.6	DP Portfolio Investors, LLC (Washington, DC Multifamily)	No			
35.7.7.1.7	DW MLB Owner LLC / DW MLB LLC (Melbourne, FL Hotel)	No			
35.7.7.1.8	Dune FB LLC (Tampa, FL Single Family Residential Land)	No			
35.7.7.1.9	Dune OW LLC (Irvine, CA Office Building)	No			
35.7.7.1.10	DM Chesapeake LLC (Glen Burnie, MD Multifamily)	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.7.7.1.11	McArthurGlen Europe (European Retail)		No			
35.7.7.1.12	U.S. bank account (institution #1) (cash)		N/A			
35.7.7.1.13	Arioso Apartments (Dallas, TX Multifamily)		N/A			
35.7.7.1.14	TDI/Dune Real Estate Investments LLC (Austin, TX Multifamily)		N/A			
35.7.7.1.15	DR Mission Oaks LLC (Camarillo, CA Industrial)		N/A			
35.7.7.2	U.S. bank account (institution #1) (restricted cash)	See Endnote	N/A			
35.7.7.3	U.S. bank account (institution #1) (cash)		N/A			
35.7.7.4	Dune Real Estate Parallel Fund II LP	See Endnote	No			
35.7.7.5	Dune Parallel AIV II LP	See Endnote	No			
35.7.7.6	DREF II NA Fund LP	See Endnote	No			
35.7.7.7	DREF II International Fund LP	See Endnote	No			
35.7.8	Halo WeWin II LLC	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
35.7.9	Paulson Advantage LP	See Endnote	No	\$500,001 - \$1,000,000		None (or less than \$201)
35.7.10	Dune Capital Partners III LLC		No			
35.7.10.1	Ratpac-Dune Entertainment Holdings LLC		No			
35.7.10.1.1	Ratpac-Dune Entertainment LLC		No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35.7.1 0.1.1.1	WDR Films		No			
35.7.1 0.1.1.1 .1	Agreement with Warner Brothers	See Endnote	N/A	Over \$1,000,000		None (or less than \$201)
35.7.1 1	Dune Entertainment Partners LLC	See Endnote	N/A	\$100,001 - \$250,000	Operating Income	\$65,038
35.7.1 2	East End Investors LLC		No			
35.7.1 2.1	Bellport Investors I, LLC		No			
35.7.1 2.1.1	Bellport Mezz I, LLC		No			
35.7.1 2.1.1.1	Bellport Property Investors I, LLC		No			
35.7.1 2.1.1.1 .1	Residential Real Estate located in Bellport, NY		N/A	\$1,001 - \$15,000		None (or less than \$201)
35.7.1 3	HMBAP LLC		No			
35.7.1 3.1	Residential Real Estate located in California		N/A	Over \$1,000,000		None (or less than \$201)
35.7.1 4	Dune Capital Partners IV LLC		No			
35.7.1 4.1	Receivable from Relativity Holdings LLC		N/A	None (or less than \$1,001)	Interest	Over \$1,000,000
35.7.1 5	EMT Air LLC	See Endnote	No	Over \$1,000,000		None (or less than \$201)
35.8	Interest in Willem de Kooning Oil Painting "Untitled III", 1978		N/A	Over \$1,000,000		None (or less than \$201)
35.9	Miscellaneous Income	See Endnote	N/A	None (or less than \$1,001)	Miscellaneous Income	\$364
36	The Steven Mnuchin 2007 Family Trust					

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
36.1	STM Partners LLC	No			
36.1.1	Dune Real Estate Partners LLC	No	\$500,001 - \$1,000,000	Partnership Income	\$59,753
36.1.1.1	Dune Real Estate Fund LP 1	No			
36.1.1.1.1	Leonard/Fulton Holdings, LLC (New York City For-Sale Residential)	No			
36.1.1.1.2	New York Standard Hotel JV (New York City Hotel)	No			
36.1.1.1.3	Co-Invest Hamlet Holdings B, LLC (Operating Company)	No			
36.1.1.1.3.1	Caesars Acquisition Company Shares	N/A			
36.1.1.1.4	1186 Broadway, LLC (New York City Hotel)	No			
36.1.1.1.5	McArthurGlen Europe (European Retail)	No			
36.1.1.1.6	U.S. bank account (institution #1) (cash)	N/A			
36.1.1.2	U.S. bank account (institution #1) (cash)	N/A			
37	Steven Mnuchin GST Trust	No			
37.1	Dune Capital Partners IV LLC	No			
37.1.1	Receivable from Relativity Holdings LLC	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
37.2	GS Financial Square Treasury Instruments Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
38	Steven T. Mnuchin 1996 Trust	No			
38.1	New York Life general account whole life insurance policy	N/A	\$100,001 - \$250,000		None (or less than \$201)
39	Dune Capital Management GP LLC	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39.1	Dune Capital Management LP	No			
39.1.1	U.S. bank account (institution #1) (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
40	Beverly Drive Revocable Trust	No			
40.1	Beverly Drive LLC	No			
40.1.1	Residential Real Estate located in Beverly Hills, CA	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
41	The Crosby Trust	No			
41.1	Residential Real Estate located in New York City	N/A	\$250,001 - \$500,000		None (or less than \$201)
42	Sears Holding Corporation Common Shares	N/A	\$100,001 - \$250,000		None (or less than \$201)
43	EM Interest in Fourcade LLC	No			
43.1	Artwork held for investment	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
44	JM Interest in Fourcade LLC	No			
44.1	Artwork held for investment	N/A	\$100,001 - \$250,000		None (or less than \$201)
45	DM Interest in Fourcade LLC	No			
45.1	Artwork held for investment	N/A	\$100,001 - \$250,000		None (or less than \$201)
46	ETC Estate				
46.1	U.S. bank account (institution #1) (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
46.2	GS Financial Square Treasury Obligations Fund	Yes	None (or less than \$1,001)		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Citi	Credit Card	\$15,001 - \$50,000	2016	15.49%	Revolving
2	Dune Real Estate Partners	Capital Call	\$100,001 - \$250,000	2005	NA	On demand
3	Citibank (no longer outstanding)	Personal Loan	\$5,000,001 - \$25,000,000	2014	2% until 2017, then LIBOR + 2.375%,	30 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	44	The start date listed is an approximation.
1.	45	This entity is an Anguilla company treated as a partnership for US tax purposes with its principal office located in NY.
2.	8	This amount includes wages attributable to filer's employment at OneWest Bank N.A. prior to the merger on 7/31/15. The compensation report for 2015 (W-2) does not segregate compensation between the two companies.

PART	#	ENDNOTE
2.	9	This amount includes bonus and incentive payments attributable to filer's employment at OneWest Bank N.A. prior to the merger on 7/31/15. The compensation report for 2015 (W-2) does not segregate compensation between the two companies.
2.	14	Filer owns Stormchaser Partners LLC thru two separate trusts. The interest owned by the Steven T. Mnuchin Revocable Trust is listed as Asset 14 and the interest owned by the Steven Mnuchin Dynasty Trust I is listed as Asset 15.
6.	5	Tulsa, OK - Oil and gas exploration and production company.
6.	17.1.3.2	This financing agreement terminated in 2015.
6.	20	This bond represents a debt obligation of a golf club on Long Island, NY.
6.	27.4	This asset is a holdback amount from the merger of IMB Holdings LLC with CIT Group, Inc. Under the provisions of the merger, approximately \$6 million due to the Revocable Trust is being reserved by CIT Group, Inc. in order to cover certain contingent liabilities of IMB Holdings, LLC. Any excess is expected to be distributed to the Revocable Trust between August 2018 and August 2020.
6.	27.24.7.2	The restricted cash account holds a portion of the entity's carried interest until certain thresholds are met.
6.	27.24.7.4	The underlying assets of Dune Real Estate Parallel Fund II LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 27.24.7.1.1 - 27.24.7.1.15.
6.	27.24.7.5	The underlying assets of Dune Parallel AIV II LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 27.24.7.1.1 - 27.24.7.1.15.
6.	27.24.7.6	The underlying assets of DREF II NA Fund LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 27.24.7.1.1 - 27.24.7.1.15.
6.	27.24.7.7	The underlying assets of DREF II International Fund LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 27.24.7.1.1 - 27.24.7.1.15.
6.	27.24.8	Los Angeles, CA - online gaming company
6.	27.24.9	Underlying assets are not ascertainable.
6.	27.24.10.1.1.1.1	This agreement involves an interest in the rights to 52 existing and 23 future films by Warner Bros ("WB"). RatPac-Dune LLC ("RPD") is a passive investor in each film and receives an income stream with respect to each film. RPD invests its share of the production costs and in return RPD receives the "ultimates" for each film 6 weeks after the film is released. The ultimates are WB's estimate of receipts and distribution costs (including residuals to the unions and participations to producers, actors, directors, etc. that are involved in the film). RPD has a copyright interest in the individual films.
6.	27.24.11	Los Angeles, CA - Entertainment company.
6.	27.24.14	Los Angeles, CA - Holds and operates a small airplane used solely by the filer for both business and personal reasons.

PART	#	ENDNOTE
6.	33.2.1.1.1	This financing agreement terminated in 2015.
6.	33.4.2	The restricted cash account holds a portion of the entity's carried interest until certain thresholds are met.
6.	33.4.4	The underlying assets of Dune Real Estate Parallel Fund II LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 33.4.1.1 - 33.4.1.15.
6.	33.4.5	The underlying assets of Dune Parallel AIV II LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 33.4.1.1 - 33.4.1.15.
6.	33.4.6	The underlying assets of DREF II NA Fund LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 33.4.1.1 - 33.4.1.15.
6.	33.4.7	The underlying assets of DREF II International Fund LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 33.4.1.1 - 33.4.1.15.
6.	33.5	Los Angeles, CA - Entertainment company.
6.	33.7	The source of this income is unknown.
6.	34.5	The manager does not release information regarding the underlying investments of this asset, except in limited circumstances.
6.	34.6	The manager does not release information regarding the underlying investments of this asset, except in limited circumstances.
6.	35.5	This asset is a holdback amount from the merger of IMB Holdings LLC with CIT Group, Inc. Under the provisions of the merger, approximately \$2 million due to the Dynasty Trust I is being reserved by CIT Group, Inc. in order to cover certain contingent liabilities of IMB Holdings, LLC. Any excess is expected to be distributed to the Dynasty Trust I between August 2018 and August 2020.
6.	35.7.5.1.3.2	This financing agreement terminated in 2015.
6.	35.7.7.2	The restricted cash account holds a portion of the entity's carried interest until certain thresholds are met.
6.	35.7.7.4	The underlying assets of Dune Real Estate Parallel Fund II LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 35.7.7.1.1 - 35.7.7.1.15.
6.	35.7.7.5	The underlying assets of Dune Parallel AIV II LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 35.7.7.1.1 - 35.7.7.1.15.
6.	35.7.7.6	The underlying assets of DREF II NA Fund LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 35.7.7.1.1 - 35.7.7.1.15.
6.	35.7.7.7	The underlying assets of DREF II International Fund LP are identical to the underlying assets of Dune Real Estate Fund II LP reported as assets 35.7.7.1.1 - 35.7.7.1.15.

PART	#	ENDNOTE
6.	35.7.8	Los Angeles, CA - online gaming company
6.	35.7.9	Underlying assets are not ascertainable.
6.	35.7.10.1.1.1.1	This agreement involves an interest in the rights to 52 existing and 23 future films by Warner Bros ("WB"). RatPac-Dune LLC ("RPD") is a passive investor in each film and receives an income stream with respect to each film. RPD invests its share of the production costs and in return RPD receives the "ultimates" for each film 6 weeks after the film is released. The ultimates are WB's estimate of receipts and distribution costs (including residuals to the unions and participations to producers, actors, directors, etc. that are involved in the film). RPD has a copyright interest in the individual films.
6.	35.7.11	Los Angeles, CA - Entertainment company.
6.	35.7.15	Los Angeles, CA - Holds and operates a small airplane used solely by the filer for both business and personal reasons.
6.	35.9	The source of this income is unknown.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
