

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Juster, Kenneth Ian

International Economic Affairs, White House

Date of Appointment: 01/20/2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Juster, Kenneth [electronically signed on 02/06/2017 by Juster, Kenneth in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Schultz, James D, Certifying Official [electronically signed on 03/30/2017 by Schultz, James D in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 03/30/2017

Data Revised 03/29/2017

Data Revised 03/21/2017

Data Revised 03/20/2017

Data Revised 03/18/2017

Data Revised 03/17/2017

Data Revised 03/16/2017

Data Revised 03/09/2017

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Warburg Pincus LLC	New York, New York	Private Equity Investment Firm	Partner	10/2010	1/2017
2	Gold Reserve, Inc.	Spokane, Washington	Corporation	Board Member	3/2015	1/2017
3	Weatherhead Center for International Affairs-Advisory Committee at Harvard	Cambridge, Massachusetts	University/College	Chairman of Advisory Committee	6/2008	1/2017
4	Freedom House	Washington, District of Columbia	Non-Profit	Chairman of Board	10/2009	1/2017
5	Asia Foundation	San Francisco, California	Non-Profit	Vice Chairman of Board	9/2008	1/2017
6	Bridgepoint Education	San Diego, California	Corporation	Board Member	8/2016	1/2017
7	U. S. India Business Council	Washington, District of Columbia	Advocacy Group	Board Member	11/2010	1/2017

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
1	Warburg Pincus & Co	See Endnote	No	\$1,000,001 - \$5,000,000	Capital Gains Dividends Interest	\$1,000,001 - \$5,000,000
1.1	Warburg Pincus 2011 Partners LP		No	\$100,001 - \$250,000	Capital Gains Interest Dividends	\$100,001 - \$1,000,000
1.1.1	ACB (India) Limited		No	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.2	Gangavaram Port Limited	No	\$15,001 - \$50,000		
1.1.3	Laredo Petroleum Inc.	No	\$50,001 - \$100,000		
1.1.4	Lemon Tree Hotels Limited	No	\$15,001 - \$50,000		
1.1.5	Southernpec Storage and Logistics Holding Limited	No	\$1,001 - \$15,000		
1.2	Warburg Pincus XI Partners, LP	No	\$1,000,001 - \$5,000,000	Capital Gains Dividends Interest	\$100,001 - \$1,000,000
1.2.1	Allied Universal Security Services	No	\$100,001 - \$250,000		
1.2.2	Ane Logistics Co., Ltd.	No	\$50,001 - \$100,000		
1.2.3	Avalara Inc.	No	\$50,001 - \$100,000		
1.2.4	Avtec Limited	No	\$1,001 - \$15,000		
1.2.5	Baoping Energy Investments Co., Ltd.	No	\$15,001 - \$50,000		
1.2.6	Beijing Amcare Women's & Children's Hospital Co., Ltd	No	\$50,001 - \$100,000		
1.2.7	Biba Apparels Private Limited	No	\$15,001 - \$50,000		
1.2.8	Blue Yonder GmbH	No	\$1,001 - \$15,000		
1.2.9	Brigham Resources, LLC	No	\$250,001 - \$500,000		
1.2.10	CAGR Gas Resource Limited	No	\$50,001 - \$100,000		
1.2.11	Capillary Technologies International Pte. Ltd.	No	\$15,001 - \$50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.12	Capital First Limited	No	\$100,001 - \$250,000		
1.2.13	CAR Inc.	No	\$50,001 - \$100,000		
1.2.14	Car Trade	No	\$50,001 - \$100,000		
1.2.15	China Huarong Asset Management Co., Ltd.	No	\$15,001 - \$50,000		
1.2.16	Civitas Learning, Inc.	No	\$1,001 - \$15,000		
1.2.17	ComplexCare Holdings, Inc.	No	\$1,001 - \$15,000		
1.2.18	Crossmark Holdings, Inc.	No	\$15,001 - \$50,000		
1.2.19	DBRS	No	\$15,001 - \$50,000		
1.2.20	Delonex Energy Advisors	No	\$1,001 - \$15,000		
1.2.21	Dude Solutions, Inc.	No	\$15,001 - \$50,000		
1.2.22	ECOM Express Private Limited	No	\$50,001 - \$100,000		
1.2.23	EDAIJIA Inc.	No	\$15,001 - \$50,000		
1.2.24	Evercare (Beijing) Holding Group Co., Ltd.	No	\$15,001 - \$50,000		
1.2.25	Genomics Development, LLC	No	\$1,001 - \$15,000		
1.2.26	GPS Particioacoes e Empreendimentos S.A.	No	\$15,001 - \$50,000		
1.2.27	Haodai	No	\$1,001 - \$15,000		
1.2.28	Hawkwood Energy LLC	No	\$50,001 - \$100,000		
1.2.29	Helix OpCo, LLC	No	\$15,001 - \$50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.30	Hygeia Medical Service Group	No	\$15,001 - \$50,000		
1.2.31	Incomm Holdings, Inc.	No	\$50,001 - \$100,000		
1.2.32	Independence Resources Management, LLC	No	\$15,001 - \$50,000		
1.2.33	INEA (PUT KOMA)	No	\$50,001 - \$100,000		
1.2.34	Inexio	No	\$1,001 - \$15,000		
1.2.35	Intellectual Property Associates Network	No	\$15,001 - \$50,000		
1.2.36	Kaifeng Baosteel Gases Co., Ltd.	No	\$15,001 - \$50,000		
1.2.37	Kalyan Jewellers India Limited	No	\$50,001 - \$100,000		
1.2.38	Kidswant Children Products (China) Co. Ltd.	No	\$50,001 - \$100,000		
1.2.39	Koudai Corporation	No	\$100,001 - \$250,000		
1.2.40	Laurus Labs Private Limited	No	\$15,001 - \$50,000		
1.2.41	LI Group Holdings, Inc.	No	\$50,001 - \$100,000		
1.2.42	Liepin	No	\$50,001 - \$100,000		
1.2.43	MainSail Energy Ltd.	No	\$1,001 - \$15,000		
1.2.44	Mariner Finance	No	\$50,001 - \$100,000		
1.2.45	Mercator	No	\$15,001 - \$50,000		
1.2.46	MercuryGate	No	\$15,001 - \$50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.47	Mofang	No	\$50,001 - \$100,000		
1.2.48	Navitas Midstream Partners, LLC	No	\$50,001 - \$100,000		
1.2.49	Network International	No	\$50,001 - \$100,000		
1.2.50	Nirvana Shopping Mall Platform	No	\$15,001 - \$50,000		
1.2.51	NOVA Property Investment Co., Ltd.	No	\$15,001 - \$50,000		
1.2.52	PayScale, Inc.	No	\$50,001 - \$100,000		
1.2.53	Pet Center Comercio e Participacoes S.A.	No	\$15,001 - \$50,000		
1.2.54	PRL Developers Private Limited	No	\$50,001 - \$100,000		
1.2.55	Restoque Comercio e Confecoos De Roupas S.A.	No	\$15,001 - \$50,000		
1.2.56	Sand Hill Petroleum B.V.	No	\$50,001 - \$100,000		
1.2.57	Santander Asset Management	No	\$50,001 - \$100,000		
1.2.58	Sequoia Logistica S.A.	No	\$1,001 - \$15,000		
1.2.59	Slickdeals LLC	No	\$50,001 - \$100,000		
1.2.60	Source Holdings Limited	No	\$50,001 - \$100,000		
1.2.61	Specialists On Call	No	\$15,001 - \$50,000		
1.2.62	Sterigenics International LLC	No	\$100,001 - \$250,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.63	Stratford School, Inc.	No	\$50,001 - \$100,000		
1.2.64	TriMark USA Corp.	No	\$100,001 - \$250,000		
1.2.65	UCar, Inc.	No	\$50,001 - \$100,000		
1.2.66	Uxin Limited	No	\$100,001 - \$250,000		
1.2.67	Venari Resources LLC	No	\$100,001 - \$250,000		
1.2.68	Vertice Pharma UK Parent Limited	No	\$50,001 - \$100,000		
1.2.69	Vincom Retail Joint Stock Company	No	\$50,001 - \$100,000		
1.2.70	Wencor Group LLC	No	\$50,001 - \$100,000		
1.2.71	WEX, Inc. (fka Electronic Funds Source, LLC)	No	\$100,001 - \$250,000		
1.2.72	Zenith Energy, L.P.	No	\$15,001 - \$50,000		
1.2.73	ZTO Express Group	No	\$100,001 - \$250,000		
1.3	Warburg Pincus Energy Partners, LP	No	\$100,001 - \$250,000		None (or less than \$201)
1.3.1	Brigham Resources, LLC	No	\$15,001 - \$50,000		
1.3.2	CAGR Gas Resource Limited	No	\$15,001 - \$50,000		
1.3.3	Chisholm Energy Holdings, LLC	No	\$1,001 - \$15,000		
1.3.4	Hawkwood Energy LLC	No	\$1,001 - \$15,000		
1.3.5	Independence Resources Management, LLC	No	\$15,001 - \$50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.3.6	Navitas Midstream Partners, LLC	No	\$15,001 - \$50,000		
1.3.7	RS Energy Group	No	\$1,001 - \$15,000		
1.3.8	Rubicon Oilfield International	No	\$15,001 - \$50,000		
1.3.9	Solar Mosaic, Inc.	No	\$1,001 - \$15,000		
1.3.10	Terra Energy Partners LLC	No	\$15,001 - \$50,000		
1.3.11	Venari Resources LLC	No	\$1,001 - \$15,000		
1.3.12	Zenith Energy, L.P.	No	\$1,001 - \$15,000		
1.4	Warburg Pincus XII Partners, L.P.	No	\$100,001 - \$250,000		None (or less than \$201)
1.4.1	Ascentium Capital LLC	No	\$15,001 - \$50,000		
1.4.2	Blue-Grace Logistics LLC	No	\$1,001 - \$15,000		
1.4.3	Camil Alimentos S.A.	No	\$1,001 - \$15,000		
1.4.4	Chisholm Energy Holdings, LLC	No	None (or less than \$1,001)		
1.4.5	DocuTAP, Inc.	No	\$1,001 - \$15,000		
1.4.6	Embassy Industrial Parks Private Limited	No	\$1,001 - \$15,000		
1.4.7	Fortune SG Fund Management Co., Ltd.	No	\$1,001 - \$15,000		
1.4.8	Gemini	No	\$1,001 - \$15,000		
1.4.9	Glansaol LLC	No	\$1,001 - \$15,000		
1.4.10	Hygiena LLC	No	\$1,001 - \$15,000		
1.4.11	Intelligent Medical Objects, Inc.	No	\$1,001 - \$15,000		
1.4.12	Mobike, Ltd.	No	\$1,001 - \$15,000		
1.4.13	NEOGOV	No	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.4.14	OfferUp, Inc.	No	\$1,001 - \$15,000		
1.4.15	PT Aplikasi Karya Anak Bangsa (Go-Jek)	No	\$1,001 - \$15,000		
1.4.16	Reiss	No	\$1,001 - \$15,000		
1.4.17	Rivigo Services Private Limited	No	\$1,001 - \$15,000		None (or less than \$201)
1.4.18	RS Energy Group	No	\$1,001 - \$15,000		
1.4.19	Rubicon Oilfield International	No	\$1,001 - \$15,000		
1.4.20	Solar Mosaic, Inc.	No	\$1,001 - \$15,000		
1.4.21	Southeast Asia Hospitality Platform	No	\$1,001 - \$15,000		
1.4.22	Stellar Value Chain Solutions Private Limited	No	\$1,001 - \$15,000		
1.4.23	Terra Energy Partners LLC	No	\$1,001 - \$15,000		
1.4.24	UIB (China) Group Limited	No	\$1,001 - \$15,000		
1.4.25	Varo Money, Inc.	No	\$1,001 - \$15,000		
1.4.26	Yunniao Logistics	No	\$1,001 - \$15,000		
1.4.27	Zimperium, Inc.	No	\$1,001 - \$15,000		
1.5	Warburg Pincus China Partners	No	\$1,001 - \$15,000		None (or less than \$201)
1.5.1	Fortune SG Fund Management Co., Ltd.	No	\$1,001 - \$15,000		
1.5.2	Southeast Asia Hospitality Platform	No	\$1,001 - \$15,000		
1.6	WPS Production Investors II, LP	No	\$250,001 - \$500,000		None (or less than \$201)
2	IRA - UBS	See Endnote	\$1,000,001 - \$5,000,000		None (or less than \$201)
2.1	Vanguard Mid Cap ETF	No	\$50,001 - \$100,000		\$201 - \$1,000
2.2	Vanguard FTSE Developed Markets ETF	No	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.3	Vanguard High Dividend Yield ETF	No	\$15,001 - \$50,000		\$201 - \$1,000
2.4	Vanguard Energy ETF	No	\$15,001 - \$50,000		\$201 - \$1,000
2.5	WisdomTree Europe Hedged Equity Fund ETF	No	\$50,001 - \$100,000		\$1,001 - \$2,500
2.6	Consumer Discretionary Select Sector Spider ETF	No	\$15,001 - \$50,000		\$201 - \$1,000
2.7	Health Care Select Sector Spider ETF	No	\$15,001 - \$50,000		\$201 - \$1,000
2.8	KBW Regional Banking ETF	No	\$15,001 - \$50,000		\$201 - \$1,000
2.9	Spider Series Trust KBW Bank ETF	No	\$15,001 - \$50,000		None (or less than \$201)
2.10	I Shares PHLX SOX Semiconductor Sector ETF	No	\$15,001 - \$50,000		None (or less than \$201)
2.11	I Shares Russell 1000 Value ETF	No	\$100,001 - \$250,000		\$1,001 - \$2,500
2.12	I Shares Russell 2000 Value ETF	No	\$15,001 - \$50,000		\$201 - \$1,000
2.13	I Shares Russell 1000 Growth ETF	No	\$100,001 - \$250,000		\$1,001 - \$2,500
2.14	I Shares Russell 2000 Growth ETF	No	\$15,001 - \$50,000		\$201 - \$1,000
2.15	Guggenheim S&P 500 Pure Growth ETF	No	\$15,001 - \$50,000		None (or less than \$201)
2.16	Guggenheim S&P 500 Pure Value ETF	No	\$15,001 - \$50,000		\$201 - \$1,000
2.17	First Trade ISE Cloud Computing ETF	No	\$15,001 - \$50,000		None (or less than \$201)
2.18	Wisdom Tree Japn Hedged ETF	No	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.19	I Shares Trust S&P Global Info Technology ETF		No	\$15,001 - \$50,000		\$201 - \$1,000
2.20	I Shares MSCI Emerging Markets ETF		No	\$15,001 - \$50,000		\$201 - \$1,000
2.21	UBS Bank Money Market	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
3	260,000 shs 2012 GOLD RESERVE INC STOCK OPTION PLAN		No	\$15,001 - \$50,000		None (or less than \$201)
4	Warburg Pincus 401K cash balance		No	\$500,001 - \$1,000,000		None (or less than \$201)
5	WPS Production Partners, LLC		No	None (or less than \$1,001)		None (or less than \$201)
6	WPS Production Partners II, LLC		No	None (or less than \$1,001)		None (or less than \$201)
7	Warburg Pincus LLC		No	\$1,000,001 - \$5,000,000	Salary of 1,275,000	
8	Warburg Pincus (Bermuda) Private Equity GP LTD	See Endnote	No	\$500,001 - \$1,000,000	Dividends Capital Gains Interest	\$15,001 - \$50,000
9	Warburg Pincus & Co US, LLC	See Endnote	No	None (or less than \$1,001)	Capital Gains Interest	None (or less than \$201)
10	Warburg Pincus Energy (E&P) Partners-A L.P.	See Endnote	No	\$50,001 - \$100,000	Interest Rent or Royalties	None (or less than \$201)
11	Warburg Pincus Energy Partners (Cayman), L.P.	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)
12	Warburg Pincus XI(E&P) Partners- A, L.P.	See Endnote	No	\$100,001 - \$250,000	Interest Dividends	\$1,001 - \$2,500
13	Warburg Pincus XI (Lexington) Partners-A, L.P.	See Endnote	No	None (or less than \$1,001)	Interest	None (or less than \$201)
14	Warburg Pincus XI Partners (Cayman), L.P.	See Endnote	No	\$250,001 - \$500,000	Dividends Interest	\$5,001 - \$15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Warburg Pincus XI Partners (International), LP	See Endnote	No	\$50,001 - \$100,000	Interest	None (or less than \$201)
16	Warburg Pincus XI Partners (Universal) LP	See Endnote	No	\$100,001 - \$250,000	Interest	None (or less than \$201)
17	Delaware Small Cap Core Fund Institutional		No	\$15,001 - \$50,000		\$2,501 - \$5,000
18	Blackrock S&P 500 Index Fund Instl		No	\$50,001 - \$100,000		\$2,501 - \$5,000
19	Columbia Midcap Index Fund		No	\$15,001 - \$50,000		\$201 - \$1,000
20	American EuroPacific Growth Fund		No	\$15,001 - \$50,000		None (or less than \$201)
21	Metropolitan West Total Return Bond		No	\$50,001 - \$100,000		None (or less than \$201)
22	PIMCO Real Return Fund Instl Class		No	\$50,001 - \$100,000		None (or less than \$201)
23	Eaton Vance Hgh Income Opportunities Fund		No	\$50,001 - \$100,000		None (or less than \$201)
24	Wells Fargo Stable Value Class K		No	\$15,001 - \$50,000		None (or less than \$201)
25	Warburg Pincus XII Partners (International), LP	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Warburg Pincus LLC	New York, New York	401K Retirement Plan- A contribution of \$100,000 was paid in March for 2016. The plan sponsor will not make further contributions after my separation. I do not intend on leaving this 401K at the plan sponsor. I intend on rolling over this 401K into an IRA	10/2010

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Gold Reserve Corporation	Spokane, Washington	Board Member Directors fee \$36,000
2	Warburg Pincus LLC	New York, New York	Partner in Private Equity Investment Firm
3	Bridgepoint Education	San Diego, California	Board Member Directors fee \$14,375

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	FINTAN PARTNERS LP	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	PINEHURST PARTNERS LP	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
3	HAMLIN INCOME PLUS FUND LP	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
4	SILVERCREST MUNI ADVANTAGE LLC	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
5	WHIPPOORWILL DISTRESSED OPP FUND	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	STEELPATH MLP PARTNERS LP	No	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.1	BUCKEYE PARTNERS LP UNIT LTD	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
6.2	CONE MIDSTREAM PARTNERS LP		No	\$15,001 - \$50,000		None (or less than \$201)
6.3	CSI COMPRESSCO LP		No	\$1,001 - \$15,000		None (or less than \$201)
6.4	ENERGY TRANSFER EQUITY LP COM		No	\$50,001 - \$100,000		None (or less than \$201)
6.5	ENERGY TRANSFER PARTNRS LP UNIT LTD		No	\$50,001 - \$100,000		None (or less than \$201)
6.6	ENTERPRISE PRODS PARTNERS LP		No	\$50,001 - \$100,000		None (or less than \$201)
6.7	EQT MIDSTREAM PARTNERS LP UNIT LTD		No	\$15,001 - \$50,000		None (or less than \$201)
6.8	GENESIS ENERGY LP UNIT LTD		No	\$50,001 - \$100,000		None (or less than \$201)
6.9	HOLLY ENERGY PARTNERS LP COM		No	\$15,001 - \$50,000		None (or less than \$201)
6.10	MAGELLAN MIDSTREAM PARTNRS LP COM UNIT		No	\$15,001 - \$50,000		None (or less than \$201)
6.11	MPLX LP COM UNIT REP LTD		No	\$15,001 - \$50,000		None (or less than \$201)
6.12	NGL ENERGY PARTNERS LP COM UNIT		No	\$15,001 - \$50,000		None (or less than \$201)
6.13	NUSTAR GP HOLDINGS LLC UNIT RESTG LLC		No	\$15,001 - \$50,000		None (or less than \$201)
6.14	RICE MIDSTREAM PARTNERS LP		No	\$50,001 - \$100,000		None (or less than \$201)
6.15	SUMMIT MIDSTREAM PARTNERS LP COM UNIT LTD		No	\$15,001 - \$50,000		None (or less than \$201)
6.16	SUNOCO LOGISTICS PRTNRS LP COM UNITS		No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.17	SUNOCO LP	No	\$15,001 - \$50,000		None (or less than \$201)
6.18	TALLGRASS ENERGY GP LP	No	\$15,001 - \$50,000		None (or less than \$201)
6.19	TALLGRASS ENERGY PARTNERS LP	No	\$15,001 - \$50,000		None (or less than \$201)
6.20	TARGA RESOURCES CORP	No	\$50,001 - \$100,000		None (or less than \$201)
6.21	TC PIPELINES LP UT COM LTD PRT	No	\$15,001 - \$50,000		None (or less than \$201)
6.22	TESORO LOGISTICS LP COM UNIT LP	No	\$15,001 - \$50,000		None (or less than \$201)
6.23	WESTERN GAS PARTNERS LP COM UNIT LP	No	\$15,001 - \$50,000		None (or less than \$201)
6.24	WILLIAMS COS INC DEL COM	No	\$15,001 - \$50,000		None (or less than \$201)
6.25	WILLIAMS PARTNERS LP	No	\$15,001 - \$50,000		None (or less than \$201)
6.26	CASH-STEELPATH PARTNERS	No	\$1,001 - \$15,000	CASH	\$5,369
7	WHITEBOX TERM CREDIT FUND I LP	No	\$500,001 - \$1,000,000		None (or less than \$201)
8	AEGION CORP COM	No	\$15,001 - \$50,000		None (or less than \$201)
9	AGCO CORP	No	\$15,001 - \$50,000		
10	BRINKS CO COM	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11	CENTENE CORP DEL	No	\$15,001 - \$50,000		None (or less than \$201)
12	CHICAGO BRIDGE & IRON CO NV SHS	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13	CLEAN HARBORS INC	No	\$15,001 - \$50,000		None (or less than \$201)
14	CRANE CO COM	No	\$1,001 - \$15,000		None (or less than \$201)
15	DARLING INGREDIENTS INC	No	\$15,001 - \$50,000		None (or less than \$201)
16	DIEBOLD NXDF INC	No	\$15,001 - \$50,000		\$201 - \$1,000
17	ENVISION HEALTHCARE CORP COM	No	\$15,001 - \$50,000		None (or less than \$201)
18	HILL ROM HLDGS INC COM	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19	KOPPERS HOLDINGS INC	No	\$15,001 - \$50,000		None (or less than \$201)
20	MIDDLEBY CORP	No	\$1,001 - \$15,000		None (or less than \$201)
21	NORDSON CORP	No	\$15,001 - \$50,000		None (or less than \$201)
22	OGE ENERGY CORP COM	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
23	POLARIS INDS INC	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24	SANDERSON FARMS INC	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
25	SCHWAB MONEY MARKET	No	\$15,001 - \$50,000		None (or less than \$201)
26	TENNECO INC COM	No	\$15,001 - \$50,000		None (or less than \$201)
27	THOR INDUSTRIES INC	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28	TIMKEN CO COM	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	TRINITY INDS INC COM	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30	TMW INVESTMENTS FUNDING-CASH	No	\$500,001 - \$1,000,000		None (or less than \$201)
31	FMI INTERNATIONAL FUND INSTITUTIONAL	No	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
32	UBS BANK DEPOSIT MM	No	None (or less than \$1,001)		None (or less than \$201)
33	VIRTUS EMERGING MARKET OPPORTUNITIES	No	\$1,000,001 - \$5,000,000	Dividends	\$2,501 - \$5,000
34	LONGLEAF INTERNATIONAL	No	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
35	UBS BANK DEPOSIT MM	No	None (or less than \$1,001)		None (or less than \$201)
36	EQUITY RESIDENTIAL	No	\$1,001 - \$15,000	Dividends	\$2,501 - \$5,000
37	SCHLUMBERGER LTD	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
38	ALTRIA GROUP INC	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
39	SEMPRA ENERGY	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
40	OCCIDENTAL PETROLEUM CORP	No	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
41	PG&E CORP	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
42	THERMO FISCHER SCIENTIFIC INC	No	\$1,001 - \$15,000		None (or less than \$201)
43	GENERAL ELECTRIC CORP	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
44	AMERICAN FINANCIAL GROUP INC	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
45	ZIMMER BIOMET HOLDINGS INC	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
46	EOG RESOURCES INC	No	\$1,001 - \$15,000		None (or less than \$201)
47	VERIZON COMMUNICATIONS	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
48	JP MORGAN CHASE & CO	No	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
49	GENERAL MILLS INC	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
50	CHARLES SCHWAB CORP	No	\$1,001 - \$15,000		None (or less than \$201)
51	INTEL CORP	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
52	WELLS FARGO & CO	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
53	VISA INC	No	\$15,001 - \$50,000		None (or less than \$201)
54	KROGER CO	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
55	JOHNSON & JOHNSON	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
56	CHEVRON CORP	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
57	UNITED TECHNOLOGIES CORP	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
58	EXXON MOBIL CORP	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
59	ELI LILLY & CO	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
60	PPG INDUSTRIES	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
61	NEXTERA ENERGY INC	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
62	HUBBELL INC	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
63	GOLDMAN SACHS GROUP	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
64	CH ROBINSON WORLDWIDE INC	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
65	KEYCORP NEW	No	\$15,001 - \$50,000		None (or less than \$201)
66	US BANCORP DEL	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
67	HOME DEPOT	No	\$15,001 - \$50,000		None (or less than \$201)
68	CHUBB LTD CHF	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
69	GOODYEAR TIRE & RUBBER CO	No	\$15,001 - \$50,000		None (or less than \$201)
70	CREDIT SUISSE GROUP ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
71	SIMON PROPERTY GROUP INC	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
72	PFIZER INC	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
73	INTERNATIONAL PAPER CO	No	\$1,001 - \$15,000		None (or less than \$201)
74	WHIRLPOOL CORP	No	\$1,001 - \$15,000		None (or less than \$201)
75	KELLOGG CO	No	\$1,001 - \$15,000		None (or less than \$201)
76	HALLIBURTON CO	No	\$15,001 - \$50,000		None (or less than \$201)
77	PUBLIC STORAGE	No	\$1,001 - \$15,000		None (or less than \$201)
78	BERKLEY WR CORP	No	\$1,001 - \$15,000		None (or less than \$201)
79	APPLE INC	No	\$15,001 - \$50,000		None (or less than \$201)
80	CATERPILLAR INC	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
81	ZOETIS INC	No	\$1,001 - \$15,000		None (or less than \$201)
82	L BRANDS INC	No	\$1,001 - \$15,000		None (or less than \$201)
83	CDW CORP	No	\$1,001 - \$15,000		None (or less than \$201)
84	OCEANEERING	No	\$1,001 - \$15,000		None (or less than \$201)
85	ANADARKO PETROLEUM CORP	No	\$15,001 - \$50,000		None (or less than \$201)
86	FEDERAL REALTY INVESTMENT TRUST	No	\$1,001 - \$15,000		\$201 - \$1,000
87	ALLEGHANY CORP	No	\$1,001 - \$15,000		None (or less than \$201)
88	ALLERGAN PLC	No	\$15,001 - \$50,000		None (or less than \$201)
89	BERKSHIRE HATHAWAY	No	\$1,001 - \$15,000		None (or less than \$201)
90	BIOGEN INC	No	\$1,001 - \$15,000		None (or less than \$201)
91	EBAY INC	No	\$15,001 - \$50,000		None (or less than \$201)
92	LAUDER ESTEE COS	No	\$1,001 - \$15,000		None (or less than \$201)
93	NXP SEMICONDUCTORS	No	\$1,001 - \$15,000		None (or less than \$201)
94	E*TRADE FINANCIAL CORP	No	\$1,001 - \$15,000		None (or less than \$201)
95	PROCTER & GAMBLE	No	\$1,001 - \$15,000		None (or less than \$201)
96	TRINITY INDUSTRIES	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
97	SALLY BEAUTY COMPANY	No	\$1,001 - \$15,000		None (or less than \$201)
98	AETNA INC.	No	\$1,001 - \$15,000		None (or less than \$201)
99	EATON VANCE LARGE CAP VALUE SMA - MONEY MARKET	No	\$15,001 - \$50,000		None (or less than \$201)
100	RMA ACCOUNTS - MONEY MARKET	No	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
101	BANK OF AMERICA (2.588%) 3/18/2020	No	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
102	TARGA RESOURCES	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
103	58.COM INC	No	\$50,001 - \$100,000		None (or less than \$201)
104	ANTERO RESOURCES CORP	No	\$250,001 - \$500,000		None (or less than \$201)
105	NUANCE COMMUNICATIONS INC	No	\$250,001 - \$500,000		None (or less than \$201)
106	CHINA BIOLOGIC PRODUCTS	No	\$50,001 - \$100,000		None (or less than \$201)
107	SALESFORCE.COM	No	\$50,001 - \$100,000		None (or less than \$201)
108	LAREDO PETROLEUM INC	No	\$100,001 - \$250,000		None (or less than \$201)
109	BANK OF AMERICA NOTE - ZERO COUPON - INDEX LINKED 6/29/18	No	\$500,001 - \$1,000,000		None (or less than \$201)
110	NEW YORK STATE DORMATORY REVS 5% 7/01/18	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
111	ARIZONA BOARD OF REGENTS UNIV ARIZ REV 5% 8/01/20	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
112	BLOMFIELD TOWNSHIP NJ BOARD OF ED 4% 3/01/18	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
113	MISSISSIPPI ST GO 5% 10/01/17	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
114	LIVINGSTON COUNTY NY PUBLIC IMP 5% 5/01/20	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
115	TRIBOROUGH BRIDGE & TUNNEL AUTH 4% 11/15/19	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
116	MET TRANSIT AUTH NY 5% 11/15/21	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
117	NASSAU CNTY NY INTERM FIN AUTH 4% 11/15/22	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
118	HADDON HEIGHTS NJ GO 4% 6/01/19	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
119	GEORGIA STATE GO 5% 12/01/19	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
120	UNIVERSITY COLORADO ENTERPRISE SYS 5% 6/01/30	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
121	HAWAII STATE 5% 10/01/26	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
122	HARRIS CNTY TEXAS MET TRANSIT AUTH 5% 11/01/25	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
123	GRAND RIVER DAM AUTH OKLAHOMA 5% 06/01/21	No	\$50,001 - \$100,000		None (or less than \$201)
124	NEW YORK CITY WFA 5% 2024	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
125	PORT SEATTLE WASHINGTON LTD 5% 06/01/23	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
126	BLACKROCK MUNICIPAL BOND PORT. - MONEY MARKET	No	\$15,001 - \$50,000		None (or less than \$201)
127	CUMMINS CORP	No	\$15,001 - \$50,000		None (or less than \$201)
128	PFIZER INC	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
129	CHEVRON CORP	No	\$15,001 - \$50,000		None (or less than \$201)
130	MCDONALDS CORP	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
131	PRAXAIR INC	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
132	QUALCOM INC	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
133	BLACKROCK INC	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
134	BANK OF AMERICA INC	No	\$15,001 - \$50,000		None (or less than \$201)
135	WALMART STORES	No	\$15,001 - \$50,000		None (or less than \$201)
136	WHOLE FOODS MARKET INC	No	\$15,001 - \$50,000		None (or less than \$201)
137	BRISTOL MEYERS SQUIBB	No	\$15,001 - \$50,000		None (or less than \$201)
138	FOCUS PORTFOLIO - MONEY MARKET	No	\$1,001 - \$15,000		None (or less than \$201)
139	UMB FINANCIAL	No	\$1,001 - \$15,000		None (or less than \$201)
140	SYNOVUS FINL CORP	No	\$1,001 - \$15,000		None (or less than \$201)
141	AIR LEASE CORP	No	\$1,001 - \$15,000		None (or less than \$201)
142	PROASSURANCE CORP	No	\$1,001 - \$15,000		None (or less than \$201)
143	PINNACLE FOODS	No	\$1,001 - \$15,000		None (or less than \$201)
144	CUBESMART	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
145	HEALTHSOUTH CORP	No	\$1,001 - \$15,000		None (or less than \$201)
146	QUAKER CHEMICAL CORP	No	\$1,001 - \$15,000		None (or less than \$201)
147	ACUITY BRANDS INC	No	\$1,001 - \$15,000		None (or less than \$201)
148	WEST PHARMACEUTICAL SVS INC	No	\$1,001 - \$15,000		None (or less than \$201)
149	HEXCEL CORP	No	\$1,001 - \$15,000		None (or less than \$201)
150	CASEYS GENERAL STORES INC	No	\$1,001 - \$15,000		None (or less than \$201)
151	GEO GROUP INC	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
152	WOODWARD INC	No	\$1,001 - \$15,000		None (or less than \$201)
153	LANDSTAR SYSTEMS INC	No	\$1,001 - \$15,000		None (or less than \$201)
154	JACK IN THE BOX INC	No	\$1,001 - \$15,000		None (or less than \$201)
155	WASTE CONNECTIONS INC	No	\$15,001 - \$50,000		None (or less than \$201)
156	NUTRISYSTEM INC	No	\$1,001 - \$15,000		None (or less than \$201)
157	COGNEX CORP	No	\$1,001 - \$15,000		None (or less than \$201)
158	TERADYNE INC	No	\$1,001 - \$15,000		None (or less than \$201)
159	HSN INC	No	\$1,001 - \$15,000		None (or less than \$201)
160	DOMINOS PIZZA INC	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
161	POOL CORPORATION INC	No	\$1,001 - \$15,000		None (or less than \$201)
162	HUNSTSMAN CORP	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
163	MARTIN MARIETTA MATERIALS INC	No	\$1,001 - \$15,000		None (or less than \$201)
164	EQUITY ONE	No	\$1,001 - \$15,000		None (or less than \$201)
165	SNYDERS LANCE INC	No	\$1,001 - \$15,000		None (or less than \$201)
166	BANK OF THE OZARKS INC	No	\$1,001 - \$15,000		None (or less than \$201)
167	GRACO INC	No	\$1,001 - \$15,000		None (or less than \$201)
168	SENSIENT TECHNOLOGIES CORP	No	\$1,001 - \$15,000		None (or less than \$201)
169	LITTLEFUSE INC	No	\$1,001 - \$15,000		None (or less than \$201)
170	RICHIE BROS AUCTIONEERS	No	\$1,001 - \$15,000		None (or less than \$201)
171	ACCELERON PHARMA INC	No	\$1,001 - \$15,000		None (or less than \$201)
172	ACORDA THERAPEUTICS INC	No	\$1,001 - \$15,000		None (or less than \$201)
173	ADVANCED MICRO DEVICES	No	\$1,001 - \$15,000		None (or less than \$201)
174	ADVISORY BOARD CO	No	\$1,001 - \$15,000		None (or less than \$201)
175	AIMMUNE THERAPEUTICS INC	No	\$1,001 - \$15,000		None (or less than \$201)
176	AKEBIA THERAPEUTICS	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
177	ALDER BIOPHARMACEUTICALS	No	\$1,001 - \$15,000		None (or less than \$201)
178	AMBARELLA INC	No	\$1,001 - \$15,000		None (or less than \$201)
179	ASPEN TECHNOLOGY INC	No	\$1,001 - \$15,000		None (or less than \$201)
180	ATARA BIOTHERAPEUTICS	No	\$1,001 - \$15,000		None (or less than \$201)
181	BRIGHT HORIZONS FAMILY SOLUTIONS	No	\$1,001 - \$15,000		None (or less than \$201)
182	BUFFALO WILD WINGS INC	No	\$1,001 - \$15,000		None (or less than \$201)
183	BUILDERS FIRSTSOURCE INC	No	\$1,001 - \$15,000		None (or less than \$201)
184	BURLINGTON STORES INC	No	\$1,001 - \$15,000		None (or less than \$201)
185	CAMPING WORLD HOLDINGS	No	\$1,001 - \$15,000		None (or less than \$201)
186	CAVIUM INC	No	\$1,001 - \$15,000		None (or less than \$201)
187	CHART INDUSTRIES INC	No	\$1,001 - \$15,000		None (or less than \$201)
188	CHEMTURA CORP	No	\$1,001 - \$15,000		None (or less than \$201)
189	CHUYS HOLDINGS INC	No	\$1,001 - \$15,000		None (or less than \$201)
190	COHERENT INC	No	\$1,001 - \$15,000		None (or less than \$201)
191	CORNERSTONE ONDEMAND INC	No	\$1,001 - \$15,000		None (or less than \$201)
192	COTIVITI HOLDINGS	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
193	DERMIRA INC	No	\$1,001 - \$15,000		None (or less than \$201)
194	DIAMONDBACK ENERGY	No	\$1,001 - \$15,000		None (or less than \$201)
195	ELECTRONICS FOR IMAGING	No	\$1,001 - \$15,000		None (or less than \$201)
196	ELLIE MAE INC	No	\$1,001 - \$15,000		None (or less than \$201)
197	ENSTAR GROUP INC	No	\$1,001 - \$15,000		None (or less than \$201)
198	EXACT SCIENCES CORP	No	\$1,001 - \$15,000		None (or less than \$201)
199	GENESCO INC	No	\$1,001 - \$15,000		None (or less than \$201)
200	GEOSPACE TECHNOLOGIES	No	\$1,001 - \$15,000		None (or less than \$201)
201	GUIDEWIRE SOFTWARE INC	No	\$1,001 - \$15,000		None (or less than \$201)
202	HMS HOLDINGS	No	\$1,001 - \$15,000		None (or less than \$201)
203	IMPERVA INC	No	\$1,001 - \$15,000		None (or less than \$201)
204	INFINERA	No	\$1,001 - \$15,000		None (or less than \$201)
205	INTEGRA LIFESCIENCES HOLDINGS	No	\$1,001 - \$15,000		None (or less than \$201)
206	INTRAWEST RESORTS HOLDINGS	No	\$1,001 - \$15,000		None (or less than \$201)
207	IPG PHOTOTONICS CORP	No	\$1,001 - \$15,000		None (or less than \$201)
208	JETBLUE AIRWAYS	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
209	LEXICON PHARMACEUTICALS INC	No	\$1,001 - \$15,000		None (or less than \$201)
210	LIGAND PHARMACEUTICALS INC	No	\$1,001 - \$15,000		None (or less than \$201)
211	MANHATTAN ASSOC INC	No	\$1,001 - \$15,000		None (or less than \$201)
212	MARINEMAX INC	No	\$1,001 - \$15,000		None (or less than \$201)
213	MEDICINES CO	No	\$1,001 - \$15,000		None (or less than \$201)
214	NATUS MEDICAL INC	No	\$1,001 - \$15,000		None (or less than \$201)
215	NUVASIVE INC	No	\$1,001 - \$15,000		None (or less than \$201)
216	OLLIE'S BARGAIN OUTLET HOLDINGS	No	\$1,001 - \$15,000		None (or less than \$201)
217	PATHEON NV	No	\$1,001 - \$15,000		None (or less than \$201)
218	PENN NATIONAL GAMING INC	No	\$1,001 - \$15,000		None (or less than \$201)
219	PLANET FITNESS INC	No	\$1,001 - \$15,000		None (or less than \$201)
220	PRA HEALTH SCIENCES INC	No	\$1,001 - \$15,000		None (or less than \$201)
221	PRESTIGE BRANDS HOLDING INC	No	\$1,001 - \$15,000		None (or less than \$201)
222	PROGENICS PHARMACEUTICALS INC	No	\$1,001 - \$15,000		None (or less than \$201)
223	PROOFPOINT INC	No	\$1,001 - \$15,000		None (or less than \$201)
224	REALPAGE INC	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
225	RSP PERMIAN INC	No	\$1,001 - \$15,000		None (or less than \$201)
226	SAGE THERAPEUTICS INC	No	\$1,001 - \$15,000		None (or less than \$201)
227	SAREPTA THERAPEUTICS INC	No	\$1,001 - \$15,000		None (or less than \$201)
228	SPROUTS FARMERS MARKETS INC	No	\$1,001 - \$15,000		None (or less than \$201)
229	STEVEN MADDEN LTD	No	\$1,001 - \$15,000		None (or less than \$201)
230	STIFEL FINANCIAL	No	\$1,001 - \$15,000		None (or less than \$201)
231	TAKE-TWO INTERACTIVE SOFTWARE	No	\$1,001 - \$15,000		None (or less than \$201)
232	TESARO INC	No	\$1,001 - \$15,000		None (or less than \$201)
233	THERMON GROUP HOLDINGS INC	No	\$1,001 - \$15,000		None (or less than \$201)
234	TREX CO	No	\$1,001 - \$15,000		None (or less than \$201)
235	TYLER TECHNOLOGIES INC	No	\$1,001 - \$15,000		None (or less than \$201)
236	ULTIMATE SOFTWARE GROUP	No	\$1,001 - \$15,000		None (or less than \$201)
237	ULTRAGENYX PHARMACEUTICAL INC	No	\$1,001 - \$15,000		None (or less than \$201)
238	UNIVERSAL ELECTRONICS	No	\$1,001 - \$15,000		None (or less than \$201)
239	VEECO INSTRUMENTS INC	No	\$1,001 - \$15,000		None (or less than \$201)
240	VITAMIN SHOPPE INC	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
241	WABCO HOLDINGS	No	\$1,001 - \$15,000		None (or less than \$201)
242	WEB MD HEALTH CORP	No	\$1,001 - \$15,000		None (or less than \$201)
243	ZELTIQ AESTHETICS INC	No	\$1,001 - \$15,000		None (or less than \$201)
244	PTC INC	No	\$1,001 - \$15,000		None (or less than \$201)
245	CYNOSURE INC CLASS A	No	\$1,001 - \$15,000		None (or less than \$201)
246	ANSYS INC	No	\$1,001 - \$15,000		None (or less than \$201)
247	SERITAGE GROWTH PROPERTIES	No	\$1,001 - \$15,000		None (or less than \$201)
248	EAGLE SMALL CAP SMA - MONEY MARKET	No	\$1,001 - \$15,000		None (or less than \$201)
249	ITAU UNIBANCO HOLDING	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
250	EMBRAER-EMPRESA BRASILEIRA DE AERONAUTICA	No	\$1,001 - \$15,000		None (or less than \$201)
251	SAFRAN SA	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
252	VODAFONE GROUP	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
253	BANCO SANTANDER	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
254	HONDA MOTOR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
255	UNILEVER NV	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
256	CARNIVAL PLC	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
257	BP PLC	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
258	BARCLAYS PLC	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
259	DIAGO PLC	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
260	LG DISPLAY CO LTD	No	\$1,001 - \$15,000		None (or less than \$201)
261	POSCO	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
262	ROYAL KPN	No	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
263	A.P. MOLLER-MAERSK	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
264	TURKIYE GARANTI BANKASI	No	\$1,001 - \$15,000		None (or less than \$201)
265	BAYER AG	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
266	NORSK HYDRO	No	\$1,001 - \$15,000		None (or less than \$201)
267	ADECCO GROUP	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
268	HEIDELBERG CEMENT	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
269	AVIVA PLC	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
270	SCHNEIDER ELEC SA	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
271	GREEK ORG FOOTBALL	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
272	AKZO NOBEL NV	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
273	DANONE	No	\$1,001 - \$15,000		None (or less than \$201)
274	AXA ADS	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
275	HYPERMARCAS SA	No	\$1,001 - \$15,000		None (or less than \$201)
276	WIENERBERGER BAUSTROFFINDUSTRIE AKTIENGESELLSCHAFT	No	\$1,001 - \$15,000		None (or less than \$201)
277	SANOFI	No	\$1,001 - \$15,000		None (or less than \$201)
278	SONY CORP	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
279	HITACHI	No	\$1,001 - \$15,000		None (or less than \$201)
280	TELEPERFORMANCE SA	No	\$1,001 - \$15,000		None (or less than \$201)
281	HOYA CORPORATION	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
282	CREDIT SUISSE GROUP	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
283	DAIWA SECURITIES	No	\$1,001 - \$15,000		None (or less than \$201)
284	HSBC HOLDINGS	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
285	NSK LIMITED	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
286	BNP PARIBAS	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
287	ROYAL DUTCH SHELL PLC	No	None (or less than \$1,001)	Dividends	\$201 - \$1,000
288	DEUTSCHE TELEKOM AG	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
289	CHINA CONSTRUCTION BANK	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
290	GAZPROM PJSC LEVEL 1 ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
291	CHOW TAI FOOK JEWELLERY GROUP	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
292	TRAVELSKY TECHNOLOGY	No	\$1,001 - \$15,000		None (or less than \$201)
293	RIO TINTO PLC	No	\$1,001 - \$15,000		None (or less than \$201)
294	NEWCREST MINING	No	\$1,001 - \$15,000		None (or less than \$201)
295	CAPCOM CO	No	\$1,001 - \$15,000		None (or less than \$201)
296	TDK CORP ADR JAPAN	No	\$1,001 - \$15,000		None (or less than \$201)
297	SUMITOMO MITSUI FINL GROUP	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
298	CHIBA BANK LTD	No	\$1,001 - \$15,000		None (or less than \$201)
299	INCITEC PIVOT LTD	No	\$1,001 - \$15,000		None (or less than \$201)
300	G4S ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
301	AMBARELLA INC	No	\$1,001 - \$15,000		None (or less than \$201)
302	BASF SE	No	\$1,001 - \$15,000		None (or less than \$201)
303	CEMEX SAB	No	\$1,001 - \$15,000		None (or less than \$201)
304	CNH INDL NV	No	\$1,001 - \$15,000		None (or less than \$201)
305	COCA COLA AMATIL	No	\$1,001 - \$15,000		None (or less than \$201)
306	H LUNBDBECK A/S	No	\$1,001 - \$15,000		None (or less than \$201)
307	INDRA SISTEMAS ADR	No	\$1,001 - \$15,000		None (or less than \$201)
308	MARINE HARVEST ASA	No	\$15,001 - \$50,000		None (or less than \$201)
309	NOVARTIS AG	No	\$1,001 - \$15,000		None (or less than \$201)
310	ROYAL BANK OF SCOTLAND	No	\$1,001 - \$15,000		None (or less than \$201)
311	SENSATA TECHNOLOGIES HLDG	No	\$1,001 - \$15,000		None (or less than \$201)
312	UBS GROUP AG	No	\$1,001 - \$15,000		None (or less than \$201)
313	WHITBREAD ADR	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
314	KT CORP		No	\$1,001 - \$15,000		None (or less than \$201)
315	AMEC PLC		No	\$1,001 - \$15,000		None (or less than \$201)
316	CLEARBRIDGE ADVISORS INTNL VALUE EQUITY SMA - MONEY MARKET		No	\$15,001 - \$50,000		None (or less than \$201)
317	AXA EQUITABLE ACCUMULATOR ELITE 2007		No	\$250,001 - \$500,000		None (or less than \$201)
318	EQUITABLE ACCUMULATOR SERIES 2004 CLASS B		No	\$250,001 - \$500,000		None (or less than \$201)
319	Champaign-Prospect Town Center LLC	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)
320	Emerging Europe Growth Fund LP	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
321	Seven Figures Management LLC	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
322	Vanguard Mid Cap ETF		Yes	\$50,001 - \$100,000		\$201 - \$1,000
323	Vanguard FTSE Developed Markets		Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
324	Vanguard High Dividend Yield ETF		Yes	\$15,001 - \$50,000		\$201 - \$1,000
325	Vanguard Energy ETF		Yes	\$50,001 - \$100,000		\$201 - \$1,000
326	WisdomTree Europe Hedged Equity Fund EFT		Yes	\$50,001 - \$100,000		\$201 - \$1,000
327	Consumer Discretionary Select Sector Spider ETF		Yes	\$15,001 - \$50,000		\$201 - \$1,000
328	Health Care Select Sector Spider ETF		Yes	\$50,001 - \$100,000		\$201 - \$1,000
329	I Shares PHLX SOX Semiconductor Sector ETF		Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
330	I Shares Russell 1000 Value ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
331	I Shares Russell 2000 Value ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
332	I Shares Russell 1000 Growth ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
333	I Shares Russell 2000 Growth ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000
334	Guggenheim S&P 500 Pure Growth ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
335	Guggenheim S&P 500 Pure Value ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
336	First Trust ISE Cloud Computing ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
337	Wisdom Tree Japn Hedged ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
338	I Shares Trust S&P Global Info Technology ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000
339	I Shares MSCI Emerging Markets ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000
340	ETF Portfolio - Money Market	No	\$250,001 - \$500,000		None (or less than \$201)
341	JP Morgan Chase & Co	No	\$500,001 - \$1,000,000	Cash	\$950,000
342	PNC Bank	No	\$1,001 - \$15,000	Cash	\$9,000
343	Laredo	No	\$15,001 - \$50,000		None (or less than \$201)
344	Accenture PLC Ireland	No	\$1,001 - \$15,000		None (or less than \$201)
345	Pioneer Nat Res Co.	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
346	Powershares KBW Bank Portfolio EFT	No	\$15,001 - \$50,000		None (or less than \$201)
347	SPDR S&P Regl Banking EFT	No	\$15,001 - \$50,000		None (or less than \$201)
348	AXA/AB Short Duration Government Bond	No	\$100,001 - \$250,000		None (or less than \$201)
349	AXA/AB Short Duration Government Bond	No	\$250,001 - \$500,000		None (or less than \$201)
350	Equitable Intermediate Government Bond	No	\$100,001 - \$250,000		None (or less than \$201)
351	Dyax Corp	No	None (or less than \$1,001)		None (or less than \$201)
352	Restricted C-Mos Inc	No	None (or less than \$1,001)		None (or less than \$201)
353	Total S.A. France Spon ADR	No	\$15,001 - \$50,000		None (or less than \$201)
354	Bioverativ Inc.	No	\$1,001 - \$15,000		None (or less than \$201)
355	MFA Mortgage	No	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	UBS Line of Credit	Exercised Line of Credit	\$1,000,001 - \$5,000,000	2014	1.513	

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	1	2016 SCHEDULE K-1'S ARE NOT AVAILABLE INCOME IS BASED ON 2015 SCHEDULE K-1'S
2.	2	UBS is the Custodian-
2.	2.21	UBS Bank deposit account MMPFDI-919446
2.	8	2016 SCHEDULE K-1S ARE NOT AVAILABLE. INCOME IS BASED ON 2015 SCHEDULE K-1S
2.	9	2016 SCHEDULE K-1S ARE NOT AVAILABLE. INCOME IS BASED ON 2015 SCHEDULE K-1S.
2.	10	2016 SCHEDULE K-1S ARE NOT AVAILABLE. INCOME IS BASED ON 2015 SCHEDULE K-1S.
2.	11	2016 SCHEDULE K-1S ARE NOT AVAILABLE. INCOME IS BASED ON 2015 SCHEDULE K-1S.
2.	12	2016 SCHEDULE K-1S ARE NOT AVAILABLE. INCOME IS BASED ON 2015 SCHEDULE K-1S.
2.	13	2016 SCHEDULE K-1S ARE NOT AVAILABLE. INCOME IS BASED ON 2015 SCHEDULE K-1S.
2.	14	2016 SCHEDULE K-1S ARE NOT AVAILABLE. INCOME IS BASED ON 2015 SCHEDULE K-1S.
2.	15	2016 SCHEDULE K-1S ARE NOT AVAILABLE. INCOME IS BASED ON 2015 SCHEDULE K-1S.
2.	16	2016 SCHEDULE K-1S ARE NOT AVAILABLE. INCOME IS BASED ON 2015 SCHEDULE K-1S.
2.	25	2016 SCHEDULE K-1S ARE NOT AVAILABLE. INCOME IS BASED ON 2015 SCHEDULE K-1S.
6.	6.1	2016 SCHEDULE K-1'S ARE NOT AVAILABLE INCOME IS BASED ON 2015 SCHEDULE K-1'S
6.	319	Value and income entered are estimates based on 2015 k-1s
6.	320	Value and income entered are estimates based on 2015 k-1s
6.	321	Value and income entered are estimates based on 2015 k-1s

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
