

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

TRIPODI, CATHY

, Department of Energy

Date of Appointment: 01/30/2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ TRIPODI, CATHY [electronically signed on 02/17/2017 by TRIPODI, CATHY in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kestenbaum, Rachel, Certifying Official [electronically signed on 03/08/2017 by Kestenbaum, Rachel in Integrity.gov]

Other review conducted by

/s/ Kestenbaum, Rachel, Ethics Official [electronically signed on 03/08/2017 by Kestenbaum, Rachel in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 04/04/2017

Data Revised 03/08/2017

Data Revised 02/27/2017

Data Revised 02/22/2017

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(02/27/2017, Kestenbaum, Rachel): 208: Adobe Systems, Bank of America Corp., Caterpillar Inc., Exxon Mobil, Qualcomm, Symantec Corp. 502: State of North Carolina, Constituting America

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Constituting America	Colleyville, Texas	Non-Profit	Leadership Board Member	12/2015	Present
2	State of North Carolina Department of Transportation	Raleigh, North Carolina	State Government	Director of Business Affairs	1/2014	1/2017

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	State of North Carolina Teachers and State Retirement System (cash account)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	State of North Carolina - Department of Transportation			Salary, Bonus & Accrued Leave payout - 2016 & Jan. 2017	\$94,602
3	Indiana 401A PERF Annuity Account - Stable Value Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Council on Competitiveness Defined Contribution Plan				
4.1	TIAA CREF T-C Lifecycle 2030 Retirement	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	NYL Retirement Plan simple IRA				
5.1	Main Stay Moderate growth Allocation Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Council on Competitiveness	D.C., Washington	Continuing Participation in defined contribution plan; Council no longer contributes.	7/2013
2	State of Indiana	Indianapolis, Indiana	Continuing participation in defined contribution plan; State no longer contributes.	4/2009
3	State of North Carolina Department of Transportation	Raleigh, North Carolina	Continuing participation in Public Employee Retirement System; State no longer contributes	10/2013

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	State of North Carolina Department of Transportation	Raleigh, North Carolina	I served as the Director of Business Affairs

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Residential Rental Property - Arlington, VA	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
2	Residential Rental Income - Arlington, VA	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
3	ADOBE SYSTEMS INC	No	\$1,001 - \$15,000		None (or less than \$201)
4	BANK OF AMERICA CORP	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	CATERPILLAR INC	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	CELESTICA INC F	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	DEERE & CO	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8	DELTA AIR LINES INC	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
9	EXXON MOBIL CORP	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10	F5 NETWORKS INC	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	HOME DEPOT INC	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12	MOTOROLA SOLUTIONS	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	PAYPAL HOLDINGS INCO	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	QUALCOMM INC	Yes	\$1,001 - \$15,000		None (or less than \$201)
15	SYMANTEC CORP	Yes	\$1,001 - \$15,000		None (or less than \$201)
16	ULTA SALON COSM&FRAG	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	UNION PACIFIC CORP	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
18	VERISIGN INC	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	JACOB INTERNET FUND	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20	US Bank - Checking Account	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	United Bank	Mortgage (investment/rental property)	\$100,001 - \$250,000	2004	4.75	14 years
2	SunTrust	Mortgage (investment/rental property)	\$250,001 - \$500,000	2008	4.75	30 years
3	Greentree	Mortgage (investment/rental property)	\$100,001 - \$250,000	2004	5.5	30
4	Chase	Credit Card	\$15,001 - \$50,000	1999	8.24	On demand
5	Bank of America	Credit Card	\$15,001 - \$50,000	2006	6.99	On demand

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Assets listed in Section 6, lines 4-18 should have been marked "N/A" in the EIF field. Any income earned on these assets was dividends or capital gains.