

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Bohigian, David**

Advisor, Department of the Treasury

Date of Appointment: 01/23/2017

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Bohigian, David [electronically signed on 02/20/2017 by Bohigian, David in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Horton, Elizabeth A, Certifying Official [electronically signed on 04/20/2017 by Horton, Elizabeth A in Integrity.gov]**

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Other review conducted by

**/s/ Veras, Hanoi, Ethics Official [electronically signed on 04/20/2017 by Veras, Hanoi in Integrity.gov]**

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U.S. Office of Government Ethics Certification

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Data Revised 04/20/2017

Data Revised 04/19/2017

Data Revised 04/12/2017

Data Revised 04/11/2017

Data Revised 03/21/2017

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Pluribus Ventures LLC	Washington, District of Columbia	Corporation	Managing Director	1/2013	1/2017
2	Build.org	San Francisco, California	Non-Profit	Board of Directors	10/2014	6/2016
3	American Research Capital, LLC	Seattle, Washington	Corporation	Advisor	9/2015	1/2017
4	Volunteer Presidential Transition Team Member	Washington, District of Columbia	Non-Profit	Volunteer-Commerce Department Landing Team	10/2016	1/2017

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Pluribus Ventures LLC (Consulting)	N/A		Salary - actual amount for 2016-2017 thru 3/17	\$357,210
2	IRA D 821	No			
2.1	Cash (held in US account)	N/A	\$100,001 - \$250,000		None (or less than \$201)
3	IRA D 392	No			
3.1	Cash (held in US Account)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	IRA D 393	No			
4.1	Cash (held in US Account)	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	American Research Capital; finances health research; 25,000 vested Profits Interest Units (value not readily ascertainable)	N/A			None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	American Research Capital, LLC	Seattle, Washington	I hold Profits Interest Units in American Research Capital. The company distributes profits in accordance with the Company's Operating Agreement which fully specifies how profits, losses, and distributions are allocated among the members. Profits are allocated strictly in proportion to the number of units held and there is no discretion involved	9/2015
2	Pluribus Ventures, LLC	Washington, District of Columbia	Pluribus Ventures LLC is a limited liability consulting company which has not conducted business since Jan 19, 2017.	1/2013

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Pluribus Ventures LLC	Washington, District of Columbia	Provided consulting and advisory services
2	UBS Financial Services Inc (consultant to UBS through Pluribus Ventures)	New York, New York	Consulting
3	American Research Capital	Seattle, Washington	Advisory Work

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Charter Communication, Inc	N/A		salary, bonus	
2	Charter Communications, In., stock options, Grant Price 299.61, Options Granted 12009, expiration date 1/17/2027, Vesting Date 1/17/2020, value not readily ascertainable	N/A			None (or less than \$201)
3	Charter Communications, Inc, Restricted Stock Units, Units Granted 2921, Vesting Date 1/17/2020	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4	401k Fidelity Cablevision Corpororation	No			
4.1	Vanguard S&P Index Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.2	Vanguard Tax-Managed Small-Cap Admiral Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	Vanguard Extended Market Index Fund Institutional Plus Shares	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.4	Vanguard Total International Stock Index Fund Institutional Plus Shares	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	401k Fidelity for Charter Communications	No			
5.1	JPMCB Smart Retirement Passive Blend 2035 Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	Cablevision, defined benefit plan	N/A	\$50,001 - \$100,000		None (or less than \$201)
7	US Brokerage Account F	N/A			
7.1	Charter Communications, Inc. - Class A Common Stock	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
7.2	AMC Networks Inc. - Class A Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
8	Charter Communications Supplemental Deferred Compensation Plan	No			
8.1	JPMorgan SmartRetirement Blend 2035	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.2	State Street Equity 500 Index Fund Class K	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	Charter Communications, Inc. Stock Options, Grant Price 242.30, Options Granted 22401, Expiration Date 7/25/2026, Vesting Date 7/25/2019, value not readily ascertainable	N/A			None (or less than \$201)
10	Charter Communications, Inc. Stock Options, Grant Price 221.25, Options Granted 2457, Expiration Date 6/17/2026, Vesting Date 6/17/2019, value not readily ascertainable	N/A			None (or less than \$201)
11	Charter Communications, Inc. Stock Options, Grant Price 183.87, Options Granted 14439, Expiration Date 1/15/2026, Vesting Date 1/15/2019, value not readily ascertainable	N/A			None (or less than \$201)
12	Charter Communications, Inc. Stock Options, Grant Price 175.76, Options Granted 20428, Expiration Date 1/15/2025, Vesting Date 1/15/2018, value not readily ascertainable	N/A			None (or less than \$201)
13	Charter Communications, Inc. Stock Options, Grant Price 150.88, Options Granted 22185, Expiration Date 1/15/2024, Vesting Date 1/15/2017, value not readily ascertainable	N/A			None (or less than \$201)
14	Charter Communications, Inc. Stock Options, Grant Price 142.15, Options Granted 13563, Expiration Date 7/08/2023, Vesting Dates 7/8/14, 7/8/15, 7/8/16, 7/8/17, value not readily ascertainable	N/A			None (or less than \$201)
15	Charter Communications, Inc. Restricted Stock Units, Units Granted 5159, Vesting Date 7/25/19	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
16	Charter Communications, Inc. Restricted Stock Units, Units Granted 565, Vesting Date 6/17/19	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	Charter Communications, Inc. Restricted Stock Units, Units Granted 4078, Vesting Date 1/15/19	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
18	Charter Communications, Inc. Restricted Stock Units, Units Granted 853, Vesting Date 1/15/18	N/A	\$250,001 - \$500,000		None (or less than \$201)
19	Charter Communications, Inc. Restricted Stock Units, Units Granted 9042, Vesting Date 7/8/17	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
20	IRA C 159	No			
20.1	Cash (held in US Account)	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Family Present Interest Trust	No			
1.1	iShares Russell 2000 Growth Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	iShares Russell Midcap Growth Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.3	iShares MSCI EAFE Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.4	iShares MSCI Emerging Markets Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.5	SPDR S&P 500 ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.6	Plains All American Pipeline, LP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2	US Bank Account (cash)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	MOST - Missouri's 529 College Savings Plan	No			
3.1	Vanguard Total Bond Market II Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	Vanguard Total International Bond Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	Vanguard Institutional Total Stock Market Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	Vanguard Total International Stock Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	DC College Savings Plan	No			None (or less than \$201)
4.1	Calvert Small Cap Fund - DC 529	Yes	\$100,001 - \$250,000		None (or less than \$201)
5	Utah Educational Savings Plan (1)	No			
5.1	Vanguard Total Stock Market Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	Vanguard Developed Market Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	Vanguard Emerging Market Stock Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	Vanguard Total Bond Market Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	Vanguard Short-Term Investment-Grade	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.6	Vanguard Short-Term Bon	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.7	FDIC Insured Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Center Creek Housing Fund I: residential real estate, jacksonville FL (limited partner)	No	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
7	CEEFAM Properties LLC	No			



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.1	NuStar Energy LP (stock)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.2	Buckeye Partners, LP (stock)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.3	Magellan Midstream Partners LP (stock)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.4	VIZN energy systems - energy storage/battery company	N/A	\$50,001 - \$100,000		None (or less than \$201)
7.5	Pegasus Bank	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	US Brokerage Account C 394	No			
8.1	Buckeye Partners, LP	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
8.2	Energy Transfer Partners, LP	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
8.3	Holly Energy Partners, LP	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
8.4	Plains All American Pipeline LP	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
8.5	iShares MSCI Emerging Markets Index	Yes	\$100,001 - \$250,000		None (or less than \$201)
8.6	iShares MSCI EAFE Index	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
8.7	iShares Russel Midcap Growth Index	Yes	\$50,001 - \$100,000		\$201 - \$1,000
8.8	iShares Russell Midcap Index	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.9	iShares Russell 1000 Growth Index	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
8.10	iShares Russell 1000 Value Index	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
8.11	iShares Russell 1000 Index	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.12	iShares Russell 2000 Growth Index	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.13	iShares Russell 2000 Value Index	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.14	SPDR S&P 500	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
9	US Brokerage Account 523	No			
9.1	NGL Energy Partners LP	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
9.2	Williams Partners LP	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.3	Kinder Morgan Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.4	iShares MSCI EAFE Index	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.5	iShares Russell Midcap Index	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.6	iShares Russell 1000 Index	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9.7	SPDR EURO STOXX 50	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10	Utah Educational Savings Plan (2)				
10.1	Vanguard Total Stock Market Index Admiral Shares	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.2	Vanguard Developed Markets Index Admiral	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.3	Vanguard Emerging Market	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.4	Vanguard Total Bond Market Index Admiral Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.5	Vanguard Short-Term Investment Grade	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.6	Vanguard Short-Term Bond Index Admiral Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	Utah Educational Savings Plan (3)				
11.1	Vanguard Total Stock	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.2	Vanguard Developed Markets Index Admiral	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.3	Vanguard Emerging Markets Stock Index Admiral Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.4	Vanguard Total Bond Market Index Admiral Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.5	Vanguard Short-Term Investment-Grade	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.6	Vanguard Short-Term Bond Index Admiral Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	MOST-Missouri's 529 College Savings Plan (2)	No			
12.1	vanguard institutional total stock market index fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.2	Vanguard Total International Bond Index Admiral Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.3	vanguard total bond market II index fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.4	Vanguard Total International Bond Index Admiral Shares	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	MOST - Missouri's 529 College Savings Plan (1)	No			
13.1	Vanguard Institutional Total Stock Market Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.2	Vanguard Total International Stock Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.3	Vanguard Total Bond Market II Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.4	Vanguard Total International Bond Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	DC College Savings Plan 2	No			
14.1	Calvert College Savings 2019 Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.2	Calvert US Total Stock Market Index Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	American Express	Credit Card	\$15,001 - \$50,000	2017	Paid in Full Every Month	revolving
2	UBS Financial Services, Inc. Line of Credit	Exercised Line of Credit	\$500,001 - \$1,000,000	2016	3.5	NA- revolving line of credit

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

## **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

## **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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