

Ethics and Compliance Hotline

General Information

Caller Name: Declined

Type: Not Specified

Client Name: City of Tulsa

Location #: UNK

DBA: CITY OF TULSA

Address: 175 EAST SECOND STREET - C

City,State,Zip: TULSA - OK

Country: USA

Phone:

Report #: 117689321

Priority: 2

Trans #: 1

Rpt Date: 02/18/2013

Time: 02:40PM

Origin: Phone Call

Summary Information

WHO: Caller, name declined, reported BLAKE EWING.

WHAT: Conflicts of Interest

WHEN: ONGOING SINCE 2011, EXACT DATE UNKNOWN

WHERE: IN THE CITY OF TULSA

Incident Description

2/18/2013 2:40:00 PM - Original Call

Caller, DECLINED, reported ongoing since 2011, exact date unknown, City Counselor, Blake EWING, has been misusing his authority as an elected official. EWING has not been following city ordinances. He has been using the influence his office to get out of legal obligations related to his private businesses. He has also been using his authority to influence City of Tulsa employees, names DECLINED. The caller stated that the situation has been "well publicized" in the local media. He/She is appalled that the City of Tulsa has not taken any action against EWING. The caller had to terminate the phone call before the interview could be completed.

The caller would like for this report to go to the City Auditor's office. He/She would like for EWING to be removed from office.

How does the caller know about the incident?: Other

What documentation is available?: UNKNOWN

Involved Parties

Reported Individuals:

Name: BLAKE EWING

Title: CITY COUNSELOR

Management Notified: NO

Involved/Aware Parties : YES

Name: NAMES DECLINED

Title: CITY OF TULSA EMPLOYEES

Role: Unknown

Supplemental Information


How does the caller know about hotline: Other

Interviewer Observations:



CITY OF
Tulsa
A New Kind of Energy.

**MEMORANDUM
DEPARTMENT OF FINANCE**

DATE: February 19, 2013
TO: Drew Rees, City Council Administrator
FROM: 
Michael P. Kier, Finance Director
SUBJECT: Ethics Issue Reference Report No. 117689321

The City Clerk has received an ethics complaint from a citizen. The report involving a City Councilor was received on February 18, 2013 and is being forwarded to you pursuant to TRO, Title 12, Chapter 6, Section 609.B and the City Council's interim procedure for addressing the alleged violations of the Ethics Code.

If you have questions, please feel free to contact me.

MPK/kb

Attachment

cc: Russell Kidd, Deputy City Clerk




TULSA CITY COUNCIL

Memorandum

DATE: February 14, 2012

TO: Mike Kier, City Clerk

FROM: Andrew T. Rees, Council Administrator 

Re: Response to Ethics Complaint by Mr. Pinney filed January 13, 2012

The City Council Offices received an Ethics Complaint filed by Mr. Robert Pinney on January 13, 2012, against Councilor George Theron Bynum IV. The complaint alleged eleven (11) different violations. (Nine (9) of the violations were the same as an anonymous complaint filed in September of 2011, when Mr. Pinney was campaigning for the office of City Councilor for District 9 against Councilor Bynum.)

Pursuant to the internal operating procedures of the Tulsa City Council, the allegations have been investigated and addressed. Under the City Council procedures, the Chair, Vice-Chair, and Council Administrator are part of the reviewing committee; however, in this instance Councilor Bynum (who is the Council Chair) recused himself from the reviewing committee.

After reviewing the allegations, ordinances, and evidence, it is determined that the allegations are unsubstantiated and Councilor Bynum is exonerated from the allegations.

cc: all City Councilors

2012 JAN 13 P 1:08

NICHALL B. KIEP
CITY CLERK

I, Robert Pinney do hereby file the following complaint asserting violations of Title 12, Internal Policies, Ch. 6 Ethics Code against Councilor George Theron Bynum IV and request the Tulsa City Council take the appropriate actions as required in the Amended Charter Article II Section 6.1 "The Council shall be the judge of the qualifications of its members and for such purposes shall have the power to subpoena witnesses and require the production of records."

Violation 1:

On 10/1/09 Councilor Bynum seconded a motion and voted to approve Council agenda item 3b. This item approved the waiver of competitive bidding for revenue bond indenture with Bank of Oklahoma totaling \$155,860,000.00. Councilor Bynum's grandfather and campaign manager is Robert J. LaFortune. Mr. LaFortune is a director of Bank of Oklahoma Financial and has sufficient financial holding to have both organizational and financial interest as defined in section 601. Councilor Bynum's relation to Mr. LaFortune is within two degrees of affinity or consanguinity defined as Immediate Family in section 601. Councilor Bynum's participation in this action is prohibited by related personal, financial or organizational interest defined in section 603. Written disclosure with the court clerk is required by section 604b. Section 607 requires disclosure of personal, organizational interest of immediate family in a business that is receiving City funds, directly or indirectly, through business agreement with the City or a City contractor.

Violation 2:

On 03/11/10 Councilor Bynum voted to approve Council Agenda item 3b. This item approved the waiver of competitive bidding for Revenue Bond Indenture with Bank of Oklahoma totaling \$35,000,000.00. Violations of participation prohibition and disclosure are as stated in Violation 1.

Violation 3:

After being elected to office Councilor Bynum created GT Bynum Consulting LLC, aka Capitol Ventures Government Relations, a lobbying firm. This is a violation of Section 600, "such individuals shall not use their public positions for personal gain nor should they act in such a way to give an appearance of any impropriety."

Violation 4:

GT Bynum Consulting, aka Capitol Ventures Government Relations, engaged in lobbying activities on behalf of the City of Miami. This is a violation of Section 600, "no City official should have any interest, financial, personal, or organizational, direct or indirect, or engage in any business, transaction, or activity or incur any obligation that is in conflict with the proper discharge of their duties in the public interest", "such individuals shall not use their public positions for personal gain nor should they act in such a way to give an appearance of any impropriety."

Violation 5:

GT Bynum Consulting, aka Capitol Ventures Government Relations, engaged in lobbying activities on behalf of the George Kaiser Family Foundation and received in excess of \$50,000.00 for lobbying services. The lobbying activity and specific lobbying issues are, as reported, expanded access to and improved health care in Oklahoma through the Oklahoma State University Medical Center, Trail revitalization, Female incarceration rates and Arkansas River low water dam development.

The lobbying activity and the specific issues are in conflict with city business as defined in Section 600. The George Kaiser Family Foundation has an organizational and financial interest in additional entities doing business with the City of Tulsa. The George Kaiser Family Foundation is a supporting organization of the Tulsa Community Foundation. The Board of the Tulsa Community Foundation appoints the GKFF Board of Directors. The George Kaiser Family Foundation and the Tulsa Community Foundation have a financial and organizational interest in the Tulsa Stadium Trust. The Tulsa Community Foundation has a financial and organizational interest in Tulsa Zoo Management Inc.

Violation 6:

On 12/3/09 Councilor Bynum voted to approve Council Agenda item 3b. This approved the waiver of competitive bidding and promissory note obligations by the Oklahoma State University Medical Center Trust of \$22,500,000.00 to the Bank of Oklahoma and \$7,825,000.00 to the George Kaiser Family Foundation. Councilor Bynum received direct financial payment by the George Kaiser Family Foundation for specific lobbying efforts related to the Oklahoma State University Medical Center. This is a violation of Sections 600, 603, 604, 607.

Violation 7:

On 12/16/10 Councilor Bynum moved the motion and voted to approve Council Agenda item 7a. This approved the transfer of \$1,189,000.00 to Tulsa Zoo Management Inc. The George Kaiser Family Foundation and the Tulsa Community Foundation have a financial and organizational interest in Tulsa Zoo Management Inc., the Chairman of the GKFF Board of Directors is Phil Lakin. Mr. Lakin is also the president of Tulsa Zoo Management Inc. Violations of participation prohibition and disclosure are as stated in Violation 5, 6.

Violation 8:

On 3/11/10 Councilor Bynum voted to approve Council Agenda item 7f. This item approved supplemental appropriations to be received within the Tulsa Stadium Trust Fund. The George Kaiser Family Foundation and the Tulsa Community Foundation have financial and organizational interest in the Tulsa Stadium Trust Fund. Violations of participation prohibition and disclosure are as stated in Violation 5, 6.

Violation 9:

On 12/2/10 Councilor Bynum seconded a motion and voted to approve Council Agenda item 3b. This item approved the waiver of competitive bidding for Revenue Bond Indenture by the Tulsa Industrial Authority with Bank of Oklahoma totaling \$35,000,000.00. Violations of participation prohibition and disclosure are as stated in Violation 1.

Violation 10:

On 12/16/10 Councilor Bynum moved the motion and voted to approve Council Agenda item 7c. This item approved donation of \$52,000.00 by the Tulsa Community Foundation for Mayor Department Salaries. Violations of participation prohibition and disclosure are as stated in Violation 3,4,5.

Violation 11:


Councilor Bynum has engaged in lobbying activities on behalf of Family and Children's Services of Oklahoma that requires recusal from CDBG Funding. Violations of participation prohibition and disclosure are as stated in Violation 4.



MEMORANDUM
DEPARTMENT OF FINANCE

DATE: May 18, 2012

TO: Drew Rees, City Council Administrator

FROM: 
Michael P. Kier, City Clerk

SUBJECT: Ethics Issue Reference Report Nos:
116575635, 116575648, 116577215, 116578700, 11603547

The City Clerk has received five incident reports from the City of Tulsa's Ethics & Compliance Hotline. The reports were received this week regarding a City Councilor and are being forwarded to you pursuant to TRO, Title 12, Chapter 6, Section 609.B and the City Council's procedure for addressing the alleged violations of the Ethics Code.

The Hotline Case Management System provides for outcome and disposition information of each complaint. The Ethics Advisory Committee has expressed an interest in having this information in the system. The requested system outcomes are: N/A, no investigation necessary, corrective action taken, and no corrective action taken. The disposition responses are: N/A, cleared, disciplined, terminated, prosecuted. Please provide the outcome and disposition information back to me or contact me if you wish to explore an alternate way to record the information.

If you have questions, please feel free to contact me.

MPK/wzm

Attachment

cc: Deputy City Clerk

Ethics and Compliance Hotline**General Information**

Caller Name: DAVE STRADER	Client Name: City of Tulsa	Report #: 116575635
Type: Unknown	Location #: UNK	Priority: 2
Title: PRESIDENT	DBA: CITY OF TULSA	Trans #: 1
Phone: (918)645-2092	Address: NOT PROVIDED	Rpt Date: 05/10/2012
Best Time to Call: NOT PROVIDED	City,State,Zip: TULSA - OK	Time: 05:35PM
Email:	Country: USA	Origin: Internet
	Phone:	

Summary Information

WHO:	Caller, DAVE STRADER, reported PHIL LARKIN JR..
WHAT:	Conflicts of Interest
WHEN:	APRIL 3RD, 2010
WHERE:	CITY COUNCIL ROOM

Incident Description

5/10/2012 5:35:00 PM - Original Call

WEB REPORTER, STRADER, reported During the April 3rd, 2012 council meeting concerning Quick Trip PUD 588-A, Cr. Lakin was asked to recuse himself from the room on the grounds that he is CEO of TCF and in his job he reports to the board of TCF. The CEO of Quick Trip of Quick Trip Corporation is on that board and therefore one of Cr. Lakin's bosses. Cr. Larkin chose not to recuse himself.

REPORTED PARTY PHIL LARKIN JR. IS RESPONSIBLE FOR THIS INCIDENT

How does the caller know about the incident?: Involved

What documentation is available?: NOT PROVIDED

Involved Parties

Reported Individuals:

Name: PHIL LARKIN JR.

Title: COUNCILOR

Management Notified: NO

Involved/Aware Parties : NO

Supplemental Information

How does the caller know about hotline: Unknown

Interviewer Observations:

Client Instructions

The caller has provided his/her name and/or contact information indicating an interest in discussing this matter with a company representative.

Conditions:

The information contained in this report was provided by a third party source. The Network, Inc. does not verify the accuracy or the completeness of the information contained in this report, and therefore, cannot guarantee its accuracy or completeness.

If you have questions, concerns or updates such as escalation and/or dissemination instructions relative to our service or this incident report please contact us at "clientcommunication@tnwinc.com."

Ethics and Compliance Hotline

General Information

Caller Name: DAVE STRADER Type: Unknown Title: THE PEARL DISTRICT, Phone: (918)645-2092 Best Time to Call: NOT PROVIDED Email:	Client Name: City of Tulsa Location #: UNK DBA: CITY OF TULSA Address: NOT PROVIDED City,State,Zip: TULSA - OK Country: USA Phone:	Report #: 116575648 Priority: 2 Trans #: 1 Rpt Date: 05/10/2012 Time: 06:09PM Origin: Internet
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Summary Information

WHO:	Caller, DAVE STRADER, reported PHIL LAKIN JR..
WHAT:	Conflicts of Interest
WHEN:	MAY 3, 2012
WHERE:	TULSA CITY COUNCIL ROOM

Incident Description

5/10/2012 6:09:00 PM - Original Call
 WEB REPORTER, STRADER, reported RE: Quick Trip PUD 588-A. On May 3rd 2012 Cr. Lakin was asked to recuse himself due to the fact that he is CEO of TCF. The CEO of the applicant, The Quick Trip Corporation is on the board of the TCF and therefore his relationship to this application and the councilor is a clear conflict of interest. Cr. Lakin should have recused himself from a vote on this PUD application but refused. His vote was vital and was material in the passage of this application. REPORTED PARTY PHIL LAKIN JR. IS RESPONSIBLE FOR THIS INCIDENT

How does the caller know about the incident?: Involved

What documentation is available?: NOT PROVIDED

Involved Parties

Reported Individuals:
Name: PHIL LAKIN JR.
Title: CITY COUNCILOR

Management Notified: NO

Involved/Aware Parties : YES
Name: BLAKE EWING
Title: CITY COUNCILOR
Role: Unknown

Supplemental Information

How does the caller know about hotline: Unknown

Interviewer Observations:

Client Instructions

The caller has provided his/her name and/or contact information indicating an interest in discussing this matter with a company representative.

Conditions:

The information contained in this report was provided by a third party source. The Network, Inc. does not verify the accuracy or the completeness of the information contained in this report, and therefore, cannot guarantee its accuracy or completeness.

If you have questions, concerns or updates such as escalation and/or dissemination instructions relative to our service or this incident report please contact us at "clientcommunication@tnwinc.com."

Ethics and Compliance Hotline**General Information**

Caller Name: Declined	Client Name: City of Tulsa	Report #: 116577215
Type: Not Specified	Location #: UNK	Priority: 2
	DBA: CITY OF TULSA	Trans #: 1
	Address: NOT PROVIDED	Rpt Date: 05/11/2012
	City,State,Zip: TULSA - OK	Time: 10:59AM
	Country: USA	Origin: Internet
	Phone:	

Summary Information

WHO:	Caller, name declined, reported UNKNOWN UNKNOWN.
WHAT:	Conflicts of Interest
WHEN:	TULSA CITY COUNCIL MEETING
WHERE:	COUNCIL ROOM

Incident Description

5/11/2012 10:59:00 AM - Original Call

WEB REPORTER, DECLINED, reported On May 3, 2012 Councilor Lakin cast the deciding vote on Quiktrip PUD 588-A Item5 A-B. When asked if he should recuse himself he stated that there was no conflict. Of course there is. He is CEO of TBF and a close personal friend of the CEO of Quiktrip. As well, his close personal friend, CEO of Quiktrip, sits on the Board of TBF. This vote should be recast as soon as possible. If this issue is not resolved in a timely manner, not after months of discussion, then it will prove that there was a conflict and the City of Tulsa is willing to sweep it under the carpet. REPORTED UNKNOWN PARTY IS RESPONSIBLE FOR THIS INCIDENT

How does the caller know about the incident?: Other

What documentation is available?: TGOV TULSA WORLD NEWSPAPER

Involved Parties

Reported Individuals:

Name: Unknown

Title: UNKNOWN

Gender: Unknown **Ethnic Origin:** UNKNOWN

Description: UNKNOWN

Management Notified: NO

Involved/Aware Parties : NO

Supplemental Information

How does the caller know about hotline: Unknown

Interviewer Observations:

Client Instructions

The caller has been instructed to call back on 6/1/2012. Please take some time to review the report and submit any additional questions you may have for the caller by 5/31/2012. If you are a licensed user of the Link2 system, please log in (<https://www.netclaim.net>) and submit your question. If you are not a licensed user of the Link2 system, please document your question in an email and send it to: NetworkCallbacks@tnwinc.com.

Conditions:
The information contained in this report was provided by a third party source. The Network, Inc. does not verify the accuracy or the completeness of the information contained in this report, and therefore, cannot guarantee its accuracy or completeness.

If you have questions, concerns or updates such as escalation and/or dissemination instructions relative to our service or this incident report please contact us at "clientcommunication@tnwinc.com."

Ethics and Compliance Hotline**General Information**

Caller Name: Declined

Type: Not Specified

Client Name: City of Tulsa

Location #: UNK

DBA: CITY OF TULSA

Address: UNKNOWN

City,State,Zip: UNKNOWN

Country: USA

Phone:

Report #: 116578700

Priority: 2

Trans #: 1

Rpt Date: 05/11/2012

Time: 11:23AM

Origin: Internet

Summary Information**WHO:** Caller, name declined, reported UNKNOWN UNKNOWN.**WHAT:** Customer Relations**WHEN:** MAY 3, 2012**WHERE:** TULSA CITY HALL**Incident Description****5/11/2012 11:23:00 AM - Original Call**

WEBUSER, DECLINED, reported On May 3, 2012, the Tulsa City Council voted to vacate a street and allow the application by Quick Trip Corporation to expand its convenience store. The expansion does not conform to the City's Comprehensive Plan and was opposed by the neighborhood association. Councilor Phil Lakin has a close association with the CEO of Quick Trip Corporation (QT). Cr. Lakin is CEO of Tulsa Community Foundation. (TCF). The Quick Trip CEO is on the board of directors of TCF and is essentially one to whom Cr. Lakin report in his TCF position. Lakin also stated during the Council meeting that he and the QT CEO were friends. This constitutes a close association and a conflict of interest. Cr. Lakin's vote in favor of the QT application decided the 5-4 outcome. Assistant City Attorney Bob Edmiston failed to uphold his duty to protect the rights of the citizens and the publicly owned property of the City of Tulsa by not instructing Lakin to recuse himself. Instead, Edmiston left the decision up to Cr. Lakin's own judgment.

UNKNOWN UNKNOWN IS RESPONSIBLE FOR THIS INCIDENT.

How does the caller know about the incident?: Witnessed**What documentation is available?:** Video**Involved Parties****Reported Individuals:**

Name: Unknown

Title: UNKNOWN

Gender: Unknown

Ethnic Origin: UNKNOWN

Description: UNKNOWN

Management Notified: NO**Involved/Aware Parties :** NO**Supplemental Information****How does the caller know about hotline:** Unknown**Interviewer Observations:**

Client Instructions

The caller has been instructed to call back on 6/1/2012. Please take some time to review the report and submit any additional questions you may have for the caller by 5/31/2012. If you are a licensed user of the Link2 system, please log in (<https://www.netclaim.net>) and submit your question. If you are not a licensed user of the Link2 system, please document your question in an email and send it to: NetworkCallbacks@tnwinc.com.

Conditions:

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If you have questions, concerns or updates such as escalation and/or dissemination instructions relative to our service or this incident report please contact us at "clientcommunication@tnwinc.com."

Ethics and Compliance Hotline**General Information**

Caller Name: Declined	Client Name: City of Tulsa	Report #: 116603547
Type: Not Specified	Location #: CITY HALL	Priority: 2
	DBA: COUNCIL VOTE MAY 3RD	Trans #: 1
	Address: 175 E 2ND	Rpt Date: 05/18/2012
	City,State,Zip: TULSA - OK 74103	Time: 10:24AM
	Country: USA	Origin: Internet
	Phone:	

Summary Information

WHO:	Caller, name declined, reported PHIL LAKIN.
WHAT:	Conflicts of Interest
WHEN:	5/3/2012
WHERE:	WEEKLY CITY COUNCIL MEETING

Incident Description**5/18/2012 10:24:00 AM - Original Call**

Web reporter, DECLINED, reported On May 3, 2012, the Tulsa City Council voted to vacate a street and allow the application by Quick Trip Corporation to expand its convenience store. The expansion does not conform to the City's Comprehensive Plan and was opposed by the neighborhood association. Councilor Phil Lakin has a close association with the CEO of Quick Trip Corporation (QT). Cr. Lakin is CEO of Tulsa Community Foundation. (TCF). The Quick Trip CEO is on the board of directors of TCF and is essentially one to whom Cr. Lakin reports in his TCF position. Lakin also stated during the Council meeting that he and the QT CEO were friends. This constitutes a close association and a conflict of interest. Cr. Lakin's vote in favor of the QT application decided the 5-4 outcome.

How does the caller know about the incident?: Witnessed

What documentation is available?: there is a video of the hearing on tgov

Involved Parties

Reported Individuals:
Name: PHIL LAKIN
Title: TULSA COUNCILOR DISTRICT 8

Management Notified: NO

Involved/Aware Parties : YES

Name: Unknown
Title: NOT APPLICABLE
Role: Unknown **Ethnic Origin:** UNKNOWN
Gender: Unknown
Description: UNKNOWN

Supplemental Information

How does the caller know about hotline: Other

Interviewer Observations:

Client Instructions

The caller has been instructed to call back on 6/8/2012. Please take some time to review the report and submit any additional questions you may have for the caller by 6/7/2012. If you are a licensed user of the Link2 system, please log in (<https://www.netclaim.net>) and submit your question. If you are not a licensed user of the Link2 system, please document your question in an email and send it to: NetworkCallbacks@tnwinc.com.

Conditions:


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If you have questions, concerns or updates such as escalation and/or dissemination instructions relative to our service or this incident report please contact us at "clientcommunication@tnwinc.com."

INTER-OFFICE MEMORANDUM

Date: May 24, 2012

To: Councilor Phil Lakin, Jr.
City Councilor, District 8

Fr: Drew Rees 
Council Administrator

Re: Ethics Issue Reference Report Nos.: 116575635, 116575648, 116578700, 11603547

Councilor:

Per Council policies, I have met with Councilor Bynum (Council Chair) and Councilor Patrick (Council Vice-Chair) and discussed the facts and circumstances giving rise to the Ethics complaints listed above.

As you are aware, all of the above listed complaints arise out of the same incident, wherein you voted on issues surrounding the re-zoning and street closure associated with the property at or about 10th and Utica, (both of which were requested by the Quik Trip Corporation).

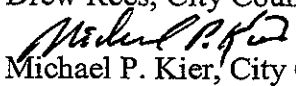
We found that your actions were proper and you are cleared of any misconduct.

If you have any questions regarding the disposition of these allegations, please feel free to contact either the Chair, the Vice-Chair, or myself.

Cc: Councilor Bynum, Chair
Councilor Patrick, Vice-Chair



MEMORANDUM
DEPARTMENT OF FINANCE

DATE: August 22, 2012
TO: Drew Rees, City Council Administrator
FROM: 
Michael P. Kier, City Clerk
SUBJECT: Ethics Issue Reference Report No. 116970394

The City Clerk has received an incident report from the City of Tulsa's Ethics & Compliance Hotline. The report was received August 19, 2012 regarding a City Councilor and is being forwarded to you pursuant to TRO, Title 12, Chapter 6, Section 609.B and the City Council's procedure for addressing the alleged violations of the Ethics Code.

The Hotline Case Management System provides for outcome and disposition information of each complaint. The Ethics Advisory Committee has expressed an interest in having this information in the system. The requested system outcomes are: N/A, no investigation necessary, corrective action taken, and no corrective action taken. The disposition responses are: N/A, cleared, disciplined, terminated, prosecuted. Please provide the outcome and disposition information back to me or contact me if you wish to explore an alternate way to record the information.

If you have questions, please feel free to contact me.

MPK/ kb

Attachment

cc: Deputy City Clerk

Ethics and Compliance Hotline

General Information

Caller Name: Declined Type: Not Specified	Client Name: City of Tulsa Location #: UNK DBA: CITY OF TULSA Address: NOT PROVIDED City,State,Zip: TULSA - OK Country: USA Phone:	Report #: 116970394 Priority: 2 Trans #: 1 Rpt Date: 08/19/2012 Time: 01:49PM Origin: Internet
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Summary Information

WHO:	Caller, name declined, reported DEWEY BARTLETT and G.T. BYNUM.
WHAT:	Conflicts of Interest
WHEN:	OFF & ON FOR THE PAST YEAR
WHERE:	MIKE NEAL'S (CHAMBER CEO) HOME

Incident Description

8/19/2012 1:49:00 PM - Original Call

Web reporter, DECLINED, reported City Councilors, Mayor & spouses hosted for dinner at the home of Chamber CEO Mike Neal for drinks & socializing. This is but one incident of the wining & dining of City officials. The Chamber is under contract & receives between \$2-3,000,000 annually from the City. The Mayor proposes these funds in the budget; the City Council has authority to approve the annual budget. It appears very unseemly that a City contractor is using these methods to influence the decision makers especially due to this lucrative financial contract. Over the course of the Mayor's tenure, this amounts to more than \$10,000,000 in public funds. Clearly a conflict of interest.

DEWEY BARTLETT AND G.T. BYNUM ARE THE RESPONSIBLE PARTIES FOR THIS INCIDENT.

How does the caller know about the incident?: Heard from others

What documentation is available?: NOT PROVIDED

Involved Parties

Reported Individuals:

Name: G.T. BYNUM

Title: COUNCIL CHAIRMAN

Name: DEWEY BARTLETT

Title: MAYOR

Management Notified: NO

Involved/Aware Parties : YES

Name: MIKE KIER

Title: FINANCE DIRECTOR

Role: Unknown

Supplemental Information

How does the caller know about hotline: Other


Interviewer Observations:



OFFICE OF THE CITY COUNCIL
Memorandum

DATE: December 6, 2012

TO: G.T. Bynum
City Councilor, District 9

FROM: Andrew T. Rees, Council Administrator 

Re: Response to anonymous Ethics Complaint filed August 19, 2012

The City Council Offices received an anonymous Ethics Complaint filed on August 19, 2012, against you and Mayor Dewey Bartlett, Jr. The complaint alleged City Councilors, Mayor & spouses went to the home of Chamber of Commerce CEO Mike Neal for dinner and drinks. The complainant alleges that since the Chamber of Commerce is a contractor of the City of Tulsa, this was sufficient to constitute an ethics opinion

Pursuant to the internal operating procedures of the Tulsa City Council, the allegations have been investigated and addressed. Under the City Council procedures, the Chair, Vice-Chair, and Council Administrator are part of the reviewing committee; however, in this instance you recused yourself from the reviewing committee.

I conducted my investigation alongside with the City Auditor's Office (which had the responsibility of investigating allegations of ethics violations by the Mayor.) Several follow up questions were submitted to the complainant to assist in the investigation. More than ninety (90) days has elapsed since those questions were submitted, and no response has been received. I have reviewed the facts and circumstances with the new Council Chair and Vice-Chair. Based upon the lack of information and after reviewing the allegations, ordinances, and the available evidence, it is determined that the allegations are unsubstantiated and you are exonerated from the allegations. Since this is an anonymous complaint, under Council policy no formal response will be provided to the complainant.



MEMORANDUM
DEPARTMENT OF FINANCE

DATE: 10/3/2014
TO: Drew Rees, City Council Administrator
FROM: *Michael P. Kier*
Michael P. Kier, City Clerk
SUBJECT: Ethics Issue Reference Report No. 120350082

The City Clerk has received an incident report from the City of Tulsa's Ethics & Compliance Hotline. The report was received 10/3/2014 regarding a City Councilor and is being forwarded to you pursuant to TRO, Title 12, Chapter 6, Section 609.B and the City Council's procedure for addressing the alleged violations of the Ethics Code.

The Hotline Case Management System provides for outcome and disposition information of each complaint. The Ethics Advisory Committee has expressed an interest in having this information in the system. The requested system outcomes are: N/A, no investigation necessary, corrective action taken, and no corrective action taken. The disposition responses are: N/A, cleared, disciplined, terminated, prosecuted. Please provide the outcome and disposition information back to me or contact me if you wish to explore an alternate way to record the information.

If you have questions, please feel free to contact me.

MPK/ mcs

Attachment

cc: Deputy City Clerk

Ethics and Compliance Hotline**General Information**

Caller Name: Declined

Type: Not Specified

Client Name: City of Tulsa

Location #: UNK

DBA: CITY OF TULSA

Address: 175 E 2ND STREET

City,State,Zip: TULSA - OK 74105

Country: USA

Phone:

Report #: 120350082

Priority: 2

Trans #: 1

Rpt Date: 10/02/2014

Time: 02:08PM

Origin: Internet

Summary Information

WHO: Caller, name declined, reported GEORGE THERON BYNUM.
WHAT: Conflicts of Interest
WHEN: 2 OCT 14
WHERE: TULSA CITY COUNCIL

Incident Description**10/2/2014 2:08:00 PM - Original Call**

WEB REPORTER, DECLINED, reported Posted on <http://www.batesline.com/archives/2011/09/tulsa-district-9-g-t-bynums-conf.html> "Bynum's work as a lobbyist is per se "a violation of Section 600, 'such individuals shall not use their public positions for personal gain nor should they act in such a way to give an appearance of any impropriety.'" Certainly, Bynum's lobbying practice is based in large part on his experience as a Washington staffer for Oklahoma U. S. Senators Don Nickles and Tom Coburn. But it could be argued that his status as a sitting Tulsa official adds to his appeal to potential clients, so that in and of itself, serving as a lobbyist while a councilor violates the ethics ordinance."

How does the caller know about the incident?: Heard from others

What documentation is available?: BATESLINE

Involved Parties**Reported Individuals:**

Name: GEORGE THERON BYNUM

Title: CITY COUNCILOR

Management Notified: NO**Involved/Aware Parties : NO****Supplemental Information**

How does the caller know about hotline: Intranet

Interviewer Observations:**Client Instructions**

The caller has been instructed to call back on 10/23/2014. Please take some time to review the report and submit any additional questions you may have for the caller by 10/22/2014. If you are a licensed user of the ReportLine system, please log in (<https://www.netclaim.net>) and submit your question. If you are not a licensed user of the ReportLine system, please document your question in an email and send it to: NetworkCallbacks@tnwinc.com.

Conditions:

The information contained in this report was provided by a third party source. The Network, Inc. does not verify the accuracy or the completeness of the information contained in this report, and therefore, cannot guarantee its accuracy or completeness.

If you have questions, concerns or updates such as escalation and/or dissemination instructions relative to our service or this incident report please contact us at "clientcommunication@tnwinc.com."



OFFICE OF THE CITY COUNCIL
Memorandum

DATE: October 30, 2014

TO: G.T. Bynum
City Councilor, District 9

FROM: Karen Gilbert *KG*
City Council Chairman

Re: Response to Anonymous Ethics Complaint filed October 3, 2014

The City Council Offices received an Ethics Complaint filed anonymously on October 3, 2014. The complaint alleged you violated section 600 of the City's Ethics Ordinance because of your employment as a lobbyist. Pursuant to the internal operating procedures of the Tulsa City Council, the allegations have been investigated and reviewed by the Council reviewing committee. (Under the City Council procedures, reviewing committee consists of the Chair, Vice-Chair, and Council Administrator.)

After reviewing the allegations, ordinances, and evidence, it is determined that the allegations are unsubstantiated and you are exonerated.

Since this is an anonymous complaint, under Council policy no formal response will be provided to the complainant.

cc: all City Councilors



MEMORANDUM
DEPARTMENT OF FINANCE

DATE: 11/5/2014
TO: Drew Rees, City Council Administrator
FROM: Michael P. Kier, City Clerk
SUBJECT: Ethics Issue Reference Report No. 120486992

Daniel Bryant for MPK

The City Clerk has received an incident report from the City of Tulsa's Ethics & Compliance Hotline. The report was received 10/31/2014 regarding a City Councilor and is being forwarded to you pursuant to TRO, Title 12, Chapter 6, Section 609.B and the City Council's procedure for addressing the alleged violations of the Ethics Code.

The Hotline Case Management System provides for outcome and disposition information of each complaint. The Ethics Advisory Committee has expressed an interest in having this information in the system. The requested system outcomes are: N/A, no investigation necessary, corrective action taken, and no corrective action taken. The disposition responses are: N/A, cleared, disciplined, terminated, prosecuted. Please provide the outcome and disposition information back to me or contact me if you wish to explore an alternate way to record the information.

If you have questions, please feel free to contact me.

MPK/ mcs

Attachment

cc: Deputy City Clerk

Ethics and Compliance Hotline**General Information**

Caller Name: PAUL TAY	Client Name: City of Tulsa	Report #: 120486992
Type: Other	Location #: UNK	Priority: 2
Title: CITIZEN	DBA: CITY OF TULSA	Trans #: 1
Phone: (918)884-0883	Address: 175 EAST SECOND STREET	Rpt Date: 10/31/2014
Best Time to Call: MORNING	City,State,Zip: TULSA - OK 74105	Time: 11:02AM
Email: bikesoup@gmail.com	Country: USA	Origin: Phone Call
	Phone:	

Summary Information

WHO:	Caller, PAUL TAY, reported GT BYNUN.
WHAT:	Conflicts of Interest
WHEN:	ONGOING SINCE 2009, EXACT DATE UNKNOWN
WHERE:	TULSA

Incident Description

10/31/2014 11:02:00 AM - Original Call

Caller, TAY, reported that since 2009, exact date unknown, City Counselor, GT BYNUN, has been in violation of title 12, section 600. This prohibits public officials from using their position for personal gain. TAY stated that BYNUN has been working as a paid lobbyist for the city of Bartlesville. The city of Bartlesville has paid BYNUN \$30,000 for his position. The city of Tulsa has paid BYNUN \$20,000 for his position. TAY read through BYNUN's filings on opensecrets.org.

TAY sent an email to City Counselor, Karen GILBET, and City Counselor, Phil LAKIN, regarding this issue. They have not responded to TAY yet.

TAY would like for the city to remove BYNUN from the position of city council for Tulsa.

How does the caller know about the incident?: Witnessed

What documentation is available?: opensecrets.org

Involved Parties

Reported Individuals:	
Name: GT BYNUN	
Title: CITY COUNSELOR	
Management Notified: YES	
Date: 10/23/2014	Phone: (918)596-1990
Name: KAREN GILBERT	
Title: CITY COUNSELOR	
Action Taken: None	
Date: 10/23/2014	Phone: (918)596-1990
Name: PHIL LAKIN	
Title: CITY COUNSELOR	
Action Taken: None	
Involved/Aware Parties : NO	

Supplemental Information

How does the caller know about hotline:	Intranet
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OFFICE OF THE CITY COUNCIL
Memorandum

DATE: November 14, 2014

TO: G.T. Bynum
City Councilor, District 9

FROM: Karen Gilbert
City Council Chairman

Re: Response to Ethics Complaint filed by Mr. Paul Tay on October 31, 2014

On November 10, 2014, the City Council Offices received an Ethics Complaint filed by Mr. Paul Tay. The complaint alleged you violated section 600 of the City's Ethics Ordinance because of your employment as a lobbyist. This is essentially the same complaint that was filed against you anonymously in early October. Pursuant to the internal operating procedures of the Tulsa City Council, the allegations have been investigated and reviewed by the Council reviewing committee. (Under the City Council procedures, reviewing committee consists of the Chair, Vice-Chair, and Council Administrator.)

After reviewing the allegations, ordinances, previous opinions by the Ethics Advisory Committee and other evidence, it is determined that the allegations are **unsubstantiated** and you are **exonerated**.

cc: all City Councilors



OFFICE OF THE CITY COUNCIL
Memorandum

DATE: November 14, 2014

TO: G.T. Bynum
City Councilor, District 9

FROM: Karen Gilbert
City Council Chairman

Re: Response to Ethics Complaint filed by Mr. Paul Tay on October 31, 2014

On November 10, 2014, the City Council Offices received an Ethics Complaint filed by Mr. Paul Tay. The complaint alleged you violated section 600 of the City's Ethics Ordinance because of your employment as a lobbyist. This is essentially the same complaint that was filed against you anonymously in early October. Pursuant to the internal operating procedures of the Tulsa City Council, the allegations have been investigated and reviewed by the Council reviewing committee. (Under the City Council procedures, reviewing committee consists of the Chair, Vice-Chair, and Council Administrator.)

After reviewing the allegations, ordinances, previous opinions by the Ethics Advisory Committee and other evidence, it is determined that the allegations are **unsubstantiated** and you are **exonerated**.

cc: all City Councilors

Rees, Drew

From: Rees, Drew
Sent: Thursday, November 20, 2014 11:19 AM
To: Kier, Mike
Subject: Ethics Issue No. 120486992

Mr. Kier:

This email is in response to Ethics Complaint No. 120486992. The complaint has been reviewed and no further investigation is necessary. It was determined the allegations were unsubstantiated and the Councilor was exonerated. Per the determination options requested in your letter, the disposition should be considered "Cleared".

If you have any questions or need additional information, please feel free to contact me.

Drew Rees | Council Administrator

Tulsa City Council
175 E. 2nd Street, 4th Floor, Tulsa, OK 74103

T: 918-596-1967

F: 918-596-1964

E: arees@tulsacouncil.org

www.tulsacouncil.org

Visit/Like/Follow/Watch:



Ethics Advisory Committee Opinion
EAC-2011-2

Questions:

1. If a City Councilor has an immediate family member serving on the board of an organization, or in such a position that the immediate family member has an organizational interest, must the City Councilor recuse from the item and be precluded from participation on it?
2. Can a City Councilor ethically seek support for, advocate for, or otherwise lobby for entities other than the City of Tulsa before governments other than the City of Tulsa (i.e. federal and/or state), so long as such activities do not adversely affect the City of Tulsa? Can they do so as part of their regular employment or on a contractual basis? And, would doing so constitute using their position for personal gain or give an appearance of impropriety?
3. May a City Councilor accept a client for their personal practice/business after an item involving the potential client has come before and been decided by the City Council? Is there a mandatory time requirement which would prevent accepting such a client?
4. May a City Councilor participate on an item involving a past client, assuming no objections from the past client? Is there a mandatory time requirements established to prohibit such participation after the end of the business relationship?
5. Does an immediate family member being a member of a board or an organization with an item, which comes before the City Council, present a "personal interest" of the City Councilor sufficient to require him to recuse from the items?

Background:

Mr. Drew Rees, Council Administrator, submitted these questions to the Ethics Advisory Committee in order to provide guidance to all present and future City Councilors so as to provide guidance in adherence to the City Of Tulsa Ethics Ordinance and to further the transparency of city government.

Discussion:

The Ethics Advisory Committee reviewed the question of whether Tulsa City Councilors should be able to participate in discussions and votes on questions in which a personal interest is involved in EAC-2011-1. In this opinion the EAC determined that as long as "full disclosure of all facts related thereto is made public", a City Councilor may participate in discussion and voting.

In addition to personal interest an opinion is sought concerning an "organizational interest." As defined in the Ethics Ordinance, "Organization Interest in a company, business, or other entity exists when the City official is a director or a member of a board which establishes policy and/or

budgetary decisions for the entity.” In EAC-2011-1 the EAC established that even though elected City Councilors are held to a high standard in regards to ethical behavior, most City Councilors hold private employment in addition to their part-time employment as a City Councilor and that there will be times when the possibility or the appearance of a organization interest will exist.

Lastly, two questions are asked concerning whether a mandatory time limit requirement exists before a City Councilor can accept a new client after that potential client has sought action by the City Council or whether a City Councilor can participate on an item involving a past client. Neither the Ethics Ordinance nor city policy addresses this issue.

Opinion:

In general, as determined in EAC 2011-1, a City Councilor is the member of the city government that most directly deals with the citizens. Because of that it is critical that their actions or lack of actions are as transparent and open as is possible. This requirement is made more difficult because of the City Charter that allows for only a part-time council. This constraint allows, and to many, encourages the councilors to retain employment outside of government. For this reason, City Councilors must fully disclose any personal or organizational interest that may be seen as a potential conflict of interest by the citizens of Tulsa. This disclosure must be made each and every time that a discussion and/or vote occur. With this disclosure, a City Councilor may participate in all actions except those concerning financial measures. Specifically in regards to the questions submitted:

1. If a City councilor has an immediate family member, who serves in an organization seeking action from the city, the councilor must disclose this relationship. If the organization is seeking funding from the city, the councilor must recuse him/herself from participating in any manner.
2. If a City councilor is employed in such a manner that requires them to lobby, advocate or seek support for entities before non-City of Tulsa governments they must disclose this at the time of any discussions concerning these entities.
3. A City councilor may accept a client for their personal practice/business ninety (90) days after final disposition of the City of the client’s request. Upon acceptance of this client, the City Councilor must disclose this relationship. Additionally, disclosure must be made any time the client has business before the City Council and recusal will be required if funding is sought whether through grants or contracts.
4. A city councilor may participate in an item involving a past client after a period of ninety (90) days has elapsed. Additionally, disclosure must be made and recusal will be required if funding is sought whether through grants or contracts.
5. Same as number one (1) above.

Michael R. Stanhard

Chairman

Secretary