

Report Type: Nominee Report

Year (Annual Report only):

Date of Appointment:

Date of Termination:

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Dhillon, Harmeet Kaur

Assistant Attorney General, Civil Rights Division, Department of Justice

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Names of Congressional Committees Considering Nomination:

- Committee on the Judiciary
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Dhillon, Harmeet Kaur [electronically signed on 01/23/2025 by Dhillon, Harmeet Kaur in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Lauria, Jolene A, Certifying Official [electronically signed on 02/22/2025 by Lauria, Jolene A in Integrity.gov]

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Other review conducted by

/s/ Bernhardt, Gena, Ethics Official [electronically signed on 02/21/2025 by Bernhardt, Gena in Integrity.gov]

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U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 02/24/2025 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Dhillon Law Group		San Francisco, California	Law Firm	Managing Partner	8/2014	Present
2	Center for American Liberty		Alexandria, Virginia	Non-Profit	CEO	1/2021	Present
3	Family Trust #1 (uncompensated)	See Endnote	San Francisco, California	Trust	Trustee	6/2022	Present
4	Dhillon Family Foundation Charitable Nonstock Corp. (uncompensated)	See Endnote	San Francisco, California	Corporation	Director	1/2025	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Dhillon Law Group (law firm)		N/A		Partnership Share	\$1,150,093
2	Dhillon Law Group (law firm)		N/A		Salary/Bonus	\$922,186
3	Wyoming Republican Party - April 21, 2024		N/A		Honorarium	\$15,000
4	Sutter County Republican Central Committee - January 25, 2024		N/A		Honorarium	\$10,000
5	Amber Hulse - June 7, 2024		N/A		Honorarium	\$15,000
6	Republican Party of Stanislaus - April 25, 2024		N/A		Honorarium	\$10,000
7	Dhillon Law Group, law firm stock	See Endnote	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	Dhillon Law Group, contingency fee cases (value not readily ascertainable)	N/A			None (or less than \$201)
9	Center for American Liberty	N/A		Salary	\$300,000
10	Dhillon Law Group, cash balance pension plan	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
11	Dhillon Law Group 401(k) plan:	No			
11.1	Voya Index Solution 2035 Portfolio Z (VSZDX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
12	IRA #1	No			
12.1	Amazon (AMZN)	N/A	\$50,001 - \$100,000		None (or less than \$201)
12.2	Apple, Inc. (AAPL)	N/A	\$50,001 - \$100,000		None (or less than \$201)
12.3	Columbia Seligman Communications and Info Fund (SLMCX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
12.4	First Trust Rising Dividend Achievers ETF (RDVY)	Yes	\$50,001 - \$100,000		None (or less than \$201)
12.5	Invesco QQQ Trust ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
12.6	iShares US Consumer Staples ETF (IYK)	Yes	\$50,001 - \$100,000		None (or less than \$201)
12.7	JP Morgan Equity Income Fund (OIEIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
12.8	Microsoft (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.9	Pacer US Cash Cows 100 ETF (COWZ)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.10	Salesforce, Inc. (CRM)	N/A	\$50,001 - \$100,000		None (or less than \$201)
12.11	Transamerica Flexi Inc (TFXIX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
12.12	Vivaldi Merger Arbitrage Fund (VARBX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
12.13	Walmart (WMT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
13	IRA #2				
13.1	Alumni Venture Green D 9 Fund (Purchase Date: 04/11/2023)	No	\$50,001 - \$100,000		
13.1.1	Believer (online ministry)	N/A			None (or less than \$201)
13.1.2	Earth Funeral (burial services)	N/A			None (or less than \$201)
13.1.3	Quince (fashion)	N/A			None (or less than \$201)
13.1.4	Thea Energy (fusion energy)	N/A			None (or less than \$201)
13.1.5	Carry1st (video games)	N/A			None (or less than \$201)
13.1.6	Sesame (healthcare)	N/A			None (or less than \$201)
13.1.7	Radiant Nuclear (power generation)	N/A			None (or less than \$201)
13.1.8	Kindbody (fertility treatment)	N/A			None (or less than \$201)
13.1.9	Jump (sports team management)	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.1.10	Operant (AI)	N/A			None (or less than \$201)
13.1.11	Atom Computing (quantum computing)	N/A			None (or less than \$201)
13.1.12	Magdrive (spacecraft)	N/A			None (or less than \$201)
13.1.13	Observe (AI)	N/A			None (or less than \$201)
13.1.14	Picogrid (autonomous systems)	N/A			None (or less than \$201)
13.1.15	Edgescale AI (AI)	N/A			None (or less than \$201)
13.1.16	Alix (estate management)	N/A			None (or less than \$201)
13.1.17	Lunar Energy (home battery)	N/A			None (or less than \$201)
13.1.18	Synteny (AI)	N/A			None (or less than \$201)
13.1.19	Praxis Labs (AI)	N/A			None (or less than \$201)
13.1.20	Earli (cancer treatment)	N/A			None (or less than \$201)
13.1.21	Dynasty (trust management)	N/A			None (or less than \$201)
13.1.22	Bolto (AI)	N/A			None (or less than \$201)
13.1.23	Fabric Health (healthcare management)	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.1.24	Lemurian Labs (AI)	N/A			None (or less than \$201)
13.1.25	Akto (IT security)	N/A			None (or less than \$201)
13.1.26	Cohart (art sales)	N/A			None (or less than \$201)
13.2	Alumni Venture Green D 8 Fund (Purchase Date: 09/02/2021)	No	\$50,001 - \$100,000		
13.2.1	Sesame (telehealth)	N/A			None (or less than \$201)
13.2.2	Lightship (clinical trials)	N/A			None (or less than \$201)
13.2.3	Beam Mobility (scooters)	N/A			None (or less than \$201)
13.2.4	Impulse Space (transportation)	N/A			None (or less than \$201)
13.2.5	Kumospace (team management)	N/A			None (or less than \$201)
13.2.6	Carry1st (video games)	N/A			None (or less than \$201)
13.2.7	Oula Health (maternity care)	N/A			None (or less than \$201)
13.2.8	Red 6 (training tech)	N/A			None (or less than \$201)
13.2.9	Swing Therapeutics (healthcare)	N/A			None (or less than \$201)
13.2.10	Unstructured (AI)	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.2.11	Brightside (healthcare)	N/A			None (or less than \$201)
13.2.12	LeadIQ (sales software)	N/A			None (or less than \$201)
13.2.13	Dune Security (IT security)	N/A			None (or less than \$201)
13.2.14	JumpCloud (cloud software)	N/A			None (or less than \$201)
13.2.15	Qredo (cryptocurrency management)	N/A			None (or less than \$201)
13.2.16	Crate (AI)	N/A			None (or less than \$201)
13.2.17	UNIPaaS Payments (payment processing)	N/A			None (or less than \$201)
13.2.18	Granata Bio (pharmaceuticals)	N/A			None (or less than \$201)
13.2.19	Synchron (neurotechnology)	N/A			None (or less than \$201)
13.2.20	Genesis Therapeutics (AI healthcare)	N/A			None (or less than \$201)
13.2.21	Miga Health (healthcare)	N/A			None (or less than \$201)
13.2.22	Niche (education search)	N/A			None (or less than \$201)
13.2.23	Replicant (call center support)	N/A			None (or less than \$201)
13.2.24	Cedar Build (architectual services)	N/A			None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.2.25	Trunk Tools (AI)	N/A			None (or less than \$201)
13.2.26	Rownd (authentication software)	N/A			None (or less than \$201)
13.2.27	AdeptID (HR)	N/A			None (or less than \$201)
13.2.28	Kodama (remote equipment)	N/A			None (or less than \$201)
13.2.29	Boulder Care (telehealth)	N/A			None (or less than \$201)
13.2.30	Highscore (video games)	N/A			None (or less than \$201)
13.2.31	Nanopath (healthcare)	N/A			None (or less than \$201)
13.2.32	WelbeHealth (healthcare)	N/A			None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Dhillon Law Group	San Francisco, California	I intend to sell my shares in my law firm to my non-equity law partner and brother. I am having these shares valued to facilitate this transaction; hence I have not reported a value for the shares.	8/2014
2	Dhillon Law Group	San Francisco, California	Upon confirmation, I will transfer to Dhillon Law Group 21 cases in which I have a contingency fee interest. I will retain an interest in these cases, which will be fixed as of the date of my withdrawal from the firm.	10/2018

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	Dhillon Law Group	San Francisco, California	Following my separation, I will receive a lump-sum distribution of my Dhillon Law Group cash balance pension plan	11/2016
4	Dhillon Law Group	San Francisco, California	I will not participate in this defined contribution plan after my separation.	12/2016

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Dhillon Law Group	San Francisco, California	Services as Managing Partner
2	Center for American Liberty	Alexandria, Virginia	Services as CEO
3	X Corp.	San Francisco, California	Payment for content creation
4	Wyoming Republican Party	Cheyenne, Wyoming	Honorarium
5	Amber Hulse	Hot Springs, South Dakota	Honorarium
6	Sutter County Republican Central Committee	Yuba City, California	Honorarium
7	Glacial Forum	Kalispell, Montana	Honorarium
8	Yellowstone County Republican Central Committee	Billings, Montana	Honorarium
9	Premiere Speakers	Franklin, Tennessee	Honorarium
10	Republican Party of Stanislaus County	Modesto, California	Honorarium

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
11	Amanda Wray	Scottsdale, Arizona	Legal services (client of Dhillon Law Group)
12	Anil Godhwani	Freemont, California	Legal services (client of Dhillon Law Group)
13	Bentkey Ventures LLC	Nashville, Tennessee	Legal services (client of Dhillon Law Group)
14	Caitlyn Jenner	Malibu, California	Legal services (client of Dhillon Law Group)
15	Center for American Liberty	Alexandria, Virginia	Legal services as client of Dhillon Law Group and payment of legal services for Kayla Lovdahl, Simon Ateba, Chloe Elise Brockman, Rogan O'Handley, Patrick Mahoney, Aurora Regino, Eva Knott, Nichole Vicario, Gina Ghattas, Richard Wales, Misty Startup
16	Center for Medical Progress	Irvine, California	Legal services (client of Dhillon Law Group)
17	Donald J. Trump	Palm Beach, Florida	Legal services (client of Dhillon Law Group)
18	Donald J. Trump for President 2024	Arlington, Virginia	Legal services (client of Dhillon Law Group)
19	Environmental Progress	Albany, California	Legal services (client of Dhillon Law Group)
20	Jennifer Sey	Denver, Colorado	Legal services (client of Dhillon Law Group)
21	Justin Wells	New York, New York	Legal services (client of Dhillon Law Group)
22	Liberty Safe	Payson, Utah	Legal services (client of Dhillon Law Group)
23	Never Surrender, Inc.	Arlington, Virginia	Legal services (client of Dhillon Law Group)
24	Project Veritas	Orlando, Florida	Legal services (client of Dhillon Law Group)
25	Republican National Committee	Washington, District of Columbia	Legal services (client of Dhillon Law Group)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
26	Restoration of America	Downers Grove, Illinois	Legal services (client of Dhillon Law Group)
27	Susan Dyer Reynolds	San Francisco, California	Legal services (client of Dhillon Law Group)
28	Tucker Carlson	Boca Grande, Florida	Legal services (client of Dhillon Law Group)
29	X Corp.	San Francisco, California	Legal services (client of Dhillon Law Group)

## 5. Spouse's Employment Assets & Income and Retirement Accounts

None

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	U.S. bank #2	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	U.S. bank #3	N/A	\$50,001 - \$100,000		None (or less than \$201)
4	X Creator Revenue Sharing	N/A		Content creation income	\$43,531
5	Brokerage Account				
5.1	Ally Financial Inc. (ALLY)	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.2	Apple Inc. (AAPL)	N/A	\$100,001 - \$250,000		None (or less than \$201)
5.3	Broadcom Inc (AVGO)	N/A	\$100,001 - \$250,000		None (or less than \$201)
5.4	Costco Wholesale Corp. (COST)	N/A	\$50,001 - \$100,000		None (or less than \$201)
5.5	Federated Strategic Value Dividend Fund Institutional Shares (SVAIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.6	First Trust Exchange Technology Alphasdex Fund (FXL)	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.7	First Trust Water ETF (FIW)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.8	Guggenheim Strategic Opp Fd SBI (GOF)	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.9	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.10	NVIDIA Corp. (NVDA)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
5.11	PGIM Ultra Short Bond ETF (PULS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.12	SelectQuote Inc. Common Stock (SLQT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.13	UBS Government Money Market Investments Fund (PCEXX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
5.14	UBS Insured Sweep Program (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.15	Visa, Inc. (V)	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.16	Zoetis, Inc. (ZTS)	N/A	\$50,001 - \$100,000		None (or less than \$201)
6	Alumni Venture Green D 6 Fund (Purchase Date: 07/01/2019)	No	\$50,001 - \$100,000		
6.1	Eclysium (IT Infrastructure)	N/A			None (or less than \$201)
6.2	Beam Mobility (electric scooter mnfg)	N/A			None (or less than \$201)
6.3	Shoulder Innovations (medical implants)	N/A			None (or less than \$201)
6.4	Kindbody (fertility medicine)	N/A			None (or less than \$201)
6.5	Sesame (telehealth)	N/A			None (or less than \$201)
6.6	Strike Graph (management software)	N/A			None (or less than \$201)
6.7	Deserve (credit payment processing)	N/A			None (or less than \$201)
6.8	Determined AI (AI)	N/A			None (or less than \$201)
6.9	TRM Labs (cryptocurrency forensics)	N/A			None (or less than \$201)
6.10	OnDat (cloud storage)	N/A			None (or less than \$201)
6.11	Grayce (home healthcare)	N/A			None (or less than \$201)
6.12	Particle (software)	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.13	Gatik.AI (autonomous vehicles)	N/A			None (or less than \$201)
6.14	AVG Basecamp 2020 AF (seed venture capital)	N/A			None (or less than \$201)
6.15	Arris Composites (Manufacturing)	N/A			None (or less than \$201)
6.16	Ionobell (battery tech)	N/A			None (or less than \$201)
6.17	Wheels (scooters)	N/A			None (or less than \$201)
6.18	Tempest (addiction therapy)	N/A			None (or less than \$201)
6.19	Resolve (payment processing)	N/A			None (or less than \$201)
6.20	Better Life Partners (addiction treatment)	N/A			None (or less than \$201)
6.21	Plastiq (payment processing)	N/A			None (or less than \$201)
6.22	Illumix (AI)	N/A			None (or less than \$201)
6.23	Nixtla (AI)	N/A			None (or less than \$201)
6.24	Savvy (wealth management)	N/A			None (or less than \$201)
6.25	Divinia (AI)	N/A			None (or less than \$201)
6.26	Lessonly (training software)	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.27	Sequel (webinar management)	N/A			None (or less than \$201)
6.28	AIVideo (AI)	N/A			None (or less than \$201)
6.29	Knoq (sales management)	N/A			None (or less than \$201)
7	Family Trust #1	See Endnote			
7.1	Residential Real Estate Concord, CA	N/A	\$250,001 - \$500,000	Rent or Royalties	\$5,001 - \$15,000
7.2	Residential Real Estate The Sea Ranch, CA	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
7.3	Residential Real Estate San Francisco, CA	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$50,001 - \$100,000
7.4	New Founding Venture Fund LP	No	\$15,001 - \$50,000		
7.4.1	Tranquility AI (AI software)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.4.2	Let Pam (senior living facility placement marketplace)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.4.3	After Thought (AI foundation model)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.4.4	Primer (microschool network)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.4.5	Numinar (campaign software)	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions



(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Shellpoint	Mortgage (investment/rental property)	\$500,001 - \$1,000,000	2016	3.875%	30 years
2	Ally Bank	Mortgage (investment/rental property)	\$250,001 - \$500,000	2015	3.375%	15 years
3	Chase Bank	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2022	3.350%	30 years

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

PART	#	ENDNOTE
1.	3	Filer is trustee and beneficiary. Trust is report again in section 6.
1.	4	Family Foundation Charitable Nonstock Corp. with no assets. Filer is director. Filer will resign from position if confirmed.
2.	7	Nominee is preparing to divest her interest in the law firm and has engaged an appraiser in order to sell the firm.

PART	#	ENDNOTE
6.	7	revocable trust with real estate assets - filer is beneficiary

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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