

Report Type: Nominee Report

Year (Annual Report only):

Date of Appointment:

Date of Termination:

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Patel, Kashyap

Director of the Federal Bureau of Investigation, Department of Justice

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on the Judiciary
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Patel, Kashyap [electronically signed on 01/03/2025 by Patel, Kashyap in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Lauria, Jolene A, Certifying Official [electronically signed on 01/28/2025 by Lauria, Jolene A in Integrity.gov]

Other review conducted by

/s/ Flagg, Melissa, Ethics Official [electronically signed on 01/28/2025 by Flagg, Melissa in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 01/29/2025 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Kash Foundation, Inc.	Alexandria, Virginia	Non-Profit	Director/Officer	4/2022	Present
2	Trishul, LLC	Wilmington, Delaware	LLC	Managing Member	2/2021	Present
3	Dal Forno, LLC	Wilmington, Delaware	LLC	Managing Member	2/2021	Present
4	Paytriots, Inc. (Payment Processing Company)	Wilmington, Delaware	Corporation	Director/Officer	4/2021	Present
5	Trump Media and Technology Group, Corp	Sarasota, Florida	Corporation	Director	3/2022	Present
6	Based Apparel, LLC	Leesburg, Virginia	LLC	Managing Member	7/2022	12/2024
7	Cavender Consulting	Greenwich, Connecticut	LLC	Consultant	4/2024	1/2025
8	VK Integrated Systems, Inc.	Clarksville, Tennessee	Corporation	Director	4/2024	Present
9	Skeleton Coast, LLC	Carson City, Nevada	LLC	Managing Member	11/2022	Present
10	Family Trust #1	Carson City, Nevada	Trust	Trustee	3/2022	Present
11	Believe Media, LLC	Leesburg, Virginia	LLC	Consultant	7/2022	12/2024
12	One & Oh, LLC	Leesburg, Virginia	LLC	Consultant	7/2022	12/2024
13	Elite Depot Ltd.	Cayman Islands, Outside U.S.	Management Company	Consultant	4/2024	1/2025

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
14	Epoch Times	New York, New York	Corporation	Contributor	4/2021	11/2024
15	Performance One Media	Centennial , Colorado	LLC	Contributor	2/2022	11/2024
16	Center for Renewing America	Washington, District of Columbia	Non-Profit	Senior Fellow	3/2021	2/2023

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #1	No			
1.1	iShares S&P Small-Cap 600 Value ETF (IJS)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	iShares US Financials ETF (IYF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.3	JPMorgan Chase & Co (JPM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.4	Marriott International Inc Class A (MAR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.5	Meta Platforms Inc Class A (META)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.6	Open Lending Corp (LPRO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.7	VanEck Agribusiness ETF (MOO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	GigaCloud Technology Inc Class A (GCT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.9	The Utilities Select Sector SPDR ETF (XLU)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.10	US brokerage cash sweep account	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	IRA #2 (SEP)	No			
2.1	GS FSQ Governmental ISTL MM Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Goldman Sachs ActiveBeta International Equity ETF (GSIE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	Goldman Sachs ActiveBetaEmerging Markets Equity ETF (GEM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	Goldman Sachs ActiveBeta US Large Cap Equity ETF (GSLC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.5	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	iShares Edge MSCI Multifactor USA ETF (LRGF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.7	iShares Core S&P Total US Stock Market ETF (ITOT)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.8	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	iShares Core Total USD Bond Market ETF (IUSB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.10	iShares Core US Aggregate Bond ETF (AGG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.11	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.12	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.13	Vanguard Extended ETF (VXF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.14	Vanguard Mid Cap ETF (VO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Trishul, LLC (National Security, Defense, and Intelligence Consulting)	N/A	\$500,001 - \$1,000,000	Business Income	\$2,114,251
4	Dal Forno, LLC (Media Appearances and Copyright Licensing)	No	\$100,001 - \$250,000		
4.1	The Epoch Times Association, Inc.	N/A		Contributor Fees	\$99,000
4.2	Performance One Media, LLC	N/A		Contributor Fees	\$165,000
4.3	"The Plot Against the King," Brave Books, LLC	See Endnote	N/A	Rent or Royalties	\$15,001 - \$50,000
4.4	"The Plot Against the King 2000 Mules," Brave Books, LLC	See Endnote	N/A	Rent or Royalties	\$15,001 - \$50,000
4.5	"The Plot Against the King 3: The Return of the King," Brave Books, LLC	See Endnote	N/A	Rent or Royalties	\$15,001 - \$50,000
4.6	"Government Gangsters" (book), Post Hill Press (paid through Vigliano, Ltd.)	See Endnote	N/A	Rent or Royalties	\$100,001 - \$1,000,000
4.7	"Government Gangsters" (movie), WarRoom, LLC	See Endnote	N/A		None (or less than \$201)
5	Consulting, sole proprietor	No			
5.1	Believe Media LLC	N/A		Consulting Fees	\$5,162
5.2	One and Oh	N/A		Consulting Fees	\$3,600
6	Media Appearances, sole proprietor	No			
6.1	Our Watch - 5/22/2024	N/A		Honorarium	\$20,000
6.2	Libertarian National Committee - 07/10/2024	N/A		Honorarium	\$2,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.3	Citizens Defending Freedom - 08/07/2024	N/A		Honorarium	\$10,000
6.4	American Global Strategies, LLP - 08/23/2024	N/A		Honorarium	\$10,000
7	Elite Depot Ltd. (Fashion Management Company), unvested restricted stock units	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
8	VK Integrated Systems, Inc. (Tactical and Weapons Systems)	N/A		Directors Fees	\$8,000
9	VK Integrated Systems, Inc., stock options (value not readily ascertainable): 18,750 unvested options (3,125 issued monthly starting 7/2024, strike \$4, vest six months after issue, exp. 10 years after issue)	N/A			None (or less than \$201)
10	VK Integrated Systems, Inc., stock options (value not readily ascertainable): 3,125 vested options, strike \$4, exp. 6/2034	N/A			None (or less than \$201)
11	VK Integrated Systems, Inc., unvested restricted stock	N/A	\$100,001 - \$250,000		None (or less than \$201)
12	Global Tree Pictures - 07/08/2024	N/A		Honorarium	\$25,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Trishul, LLC	Wilmington, Delaware	My consulting business will be inactive during my appointment and all outstanding client fees will be fixed before I enter government service.	2/2021

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	Dal Forno, LLC	Wilmington, Delaware	My media appearance and copyright licensing business will be inactive during my appointment and all outstanding client fees will be fixed before I enter government service. I will continue to receive royalties and licensing income from Brave Books, LLC, Vigliano Associates, Ltd., and WarRoom, LLC related to books published prior to the date of entering government service.	2/2021
3	Sole Proprietor	Las Vegas, Nevada	My media appearance and consulting sole proprietorships will be inactive during my appointment and all outstanding client fees will be fixed before I enter government service.	2/2021
4	Paytriots, Inc.	Wilmington, Delaware	I will terminate my positions as officer and director and my equity interest will be liquidated prior to entering government service. Thereafter, there will be no amounts due for past or future services. My equity interest in this asset is below the reporting threshold.	4/2021
5	Trump Media and Technology Group, Corp	Sarasota, Florida	I will terminate my position as director prior to entering government service. Thereafter, there will be no amounts due for past or future services.	3/2022
6	Elite Depot Ltd.	Cayman Islands, Outside U.S.	Unvested restricted stock units in Elite Depot, Ltd., which will vest quarterly between November 1, 2024 through November 1, 2025, with the first portion scheduled to vest on February 1, 2025. I will retain my vested and unvested restricted stock units in Elite Depot Inc.	11/2024
7	VK Integrated Systems, Inc.	Clarksville, Tennessee	Upon confirmation, I will resign from my position with VK Integrated Systems, LLC. I hold vested stock options, unvested restricted stock, and unvested stock options. I do not hold stock, vested restricted stock, or restricted stock units. Upon my appointment, however, I will forfeit any restricted stock or stock option that is unvested as of the date of my appointment. I will divest my vested stock options in VK Integrated Systems and any restricted stock that vests, as soon as practicable but not later than 90 days after my confirmation.	4/2024

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Trump Media & Technology Group Corp	Sarasota, Florida	Consulting (Client of Trishul, LLC)
2	Save America c/o Red Curve Solutions	Beverly, Massachusetts	Consulting (Client of Trishul, LLC)
3	Fix Nevada	Las Vegas, Nevada	Consulting (Client of Trishul, LLC)
4	Embassy of Qatar	Washington, District of Columbia	Consulting (Client of Trishul, LLC)
5	The Epoch Times Association, Inc.	New York, New York	Media Appearances (Client of Dal Forno, LLC)
6	Performance One Media, LLC	Centennial, Colorado	Media Appearances (Client of Dal Forno, LLC)
7	Center for Renewing America, Inc.	Washington, District of Columbia	Media Appearances (Client of Dal Forno, LLC)
8	VK Integrated Systems, Inc.	Clarksville, Tennessee	Director fees - Serving as Director
9	Believe Media, LLC	Leesburg, Virginia	Consulting
10	The Patriot Voice, Inc.	Fresno, California	Media Appearance
11	Friends of Fresno County	Fresno, California	Media Appearance
12	Glenn Story	Dallas, Texas	Media Appearance
13	Our Watch	Temecula, California	Media Appearance

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
14	Citizens Defending Freedom	Harris County, Texas	Media Appearance
15	American Global Strategies, LLP	Oklahoma City, Oklahoma	Media Appearance
16	Performance One Media, LLC	Centennial, Colorado	Media Appearance
17	Cavender Consulting	Greenwich, Connecticut	Consulting (Client of Trishul, LLC)
18	CSGM A.S., Czech Republic	, Outside U.S.	Consulting (Client of Trishul, LLC)
19	The Station, LLC	New York, New York	Consulting (Client of Trishul, LLC)
20	Vertical Tank Inc.	Bakersfield, California	Consulting (Client of Trishul, LLC)
21	American Global Strategies, LLC	Washington, District of Columbia	Consulting (Client of Trishul, LLC)
22	Global Tree Pictures	Los Angeles, California	Film Interview (paid via Trishul, LLC)
23	County Citizens USA	Houston, Texas	Cancellation Fee for Speech (paid via Trishul, LLC)
24	Trishul, LLC	Wilmington, Delaware	Managing Member of the LLC
25	Dal Forno, LLC	Wilmington, Delaware	Managing Member of the LLC

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
2	U.S. bank #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	U.S. bank #3 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
4	Brokerage Account #1	No			
4.1	Fidelity Government Money Market (SPAXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	Core Scientific Inc. Common Stock (CORZ)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.3	Core Scientific Inc. Warrant (CORZW), call warrant	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.4	Core Scientific Inc. New (CORZZ), call warrant	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Brokerage Account #2	No			
5.1	Core Scientific Inc. Common Stock (CORZ)	N/A	\$50,001 - \$100,000		None (or less than \$201)
5.2	Intel Corp. (INTC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.3	Rumble Inc. (RUM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.4	Grayscale Bitcoin TR ETF (GBTC)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.5	PSQ Hldgs Inc (PSQH)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.6	US brokerage account (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
5.7	Grayscale Bitcoin ETF (BTC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.8	Agora Inc. American Depositary Shares (API)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
6	Family Trust #1	No			
6.1	Residential Condominium, Washington, DC	N/A	\$250,001 - \$500,000		None (or less than \$201)
7	Kash Patel Legal Offense Trust u/d/t/ dated 6/1/21	No			
7.1	U.S. bank #4 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
8	Skeleton Coast, LLC	No			
8.1	Undeveloped Land, Chantilly, VA	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
9	Brokerage Account #3	No			
9.1	Advanced Micro Devices, Inc. (AMD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.2	Apple, Inc. (AAPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.3	Caterpillar, Inc. (CAT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.4	Cigna Corp. (CI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.5	Eli Lilly & Co. (LLY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.6	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.7	MP Materials Corp. Common Stock (MP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.8	NVIDIA Corp. (NVDA)	N/A	\$100,001 - \$250,000		None (or less than \$201)
9.9	Palantir Technologies Inc. Class A Common Stock (PLTR)	N/A	\$50,001 - \$100,000		None (or less than \$201)
9.10	Snowflake Inc. Class A Common Stock (SNOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Truist Bank	Mortgage (investment/rental property)	\$250,001 - \$500,000	2019	3.87	30
2	Nelnet	Student Loan	\$15,001 - \$50,000	2002	1.620	Filer has 17 payments left on one loan, and 130 payments left on the second. The two loans have been consolidated into one.

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	4.3	The value is an estimate of future income.
2.	4.4	The value is an estimate of future income.
2.	4.5	The value is an estimate of future income.
2.	4.6	The value is an estimate of future income.
2.	4.7	The value is an estimate of future income.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
