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#### FILER INFORMATION

Name:	Hon. Nancy Pelosi
Status:	Member
State/District:	CA12

### FILING INFORMATION

Filing Type:	Annual Report
Filing Year:	2021
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# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
11 Zinfandel Lane - Home & Vineyard $[{\mathbb{RP}}]$	JT	\$5,000,001 - \$25,000,000	Grape Sales	\$100,001 - \$1,000,000	
LOCATION: St. Helena/Napa, CA, US					
25 Point Lobos - Commercial Property [RP]	SP	\$5,000,001 - \$25,000,000	Rent	\$100,001 - \$1,000,000	
LOCATION: San Francisco/San Francisco, CA, US					
45 Belden Place - Four Story Commercial Building [RP]	SP	\$5,000,001 - \$25,000,000	Rent	\$100,001 - \$1,000,000	
LOCATION: San Francisco/San Francisco, CA, US					
AllianceBernstein Holding L.P. Units (AB) [OL]	SP	\$1,000,001 - \$5,000,000	Partnership Income	\$50,001 - \$100,000	<ul> <li>Image: A start of the start of</li></ul>
LOCATION: New York, NY, US DESCRIPTION: Limited partnership in a global asset mana institutional, high-net-worth and retail investors.	agement fi	rm providing investm	ent management and 1	research services worldv	vide to
Alphabet Inc Class A (GOOGL) [ST]	SP	\$5,000,001 - \$25,000,000	None		
Alphabet Inc Class A (GOOGL) [OP]	SP	\$500,001 - \$1,000,000	None		<b>v</b>

DESCRIPTION: 10 call options with a strike price of \$2,000 and an expiration date of 9/16/22.

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alphabet Inc Class A (GOOGL) [OP]	SP	None	None		
Description: 40 call options with a strike price of \$1,20	0 with an e	expiration date of 6/18	3/21.		
Amazon.com, Inc. (AMZN) [ST]	SP	\$5,000,001 - \$25,000,000	None		
Amazon.com, Inc. (AMZN) [OP]	SP	\$500,001 - \$1,000,000	None		<b>v</b>
DESCRIPTION: 20 call options with a strike price of \$3,00	o and an e	expiration date of 6/17	/22.		
American Express Company (AXP) [OP]	SP	\$250,001 - \$500,000	None		
DESCRIPTION: 50 call options with a strike price of \$80 v	vith an exp	iration date of 1/21/2:	2.		
Apple Inc. (AAPL) [ST]	SP	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000	~
Apple Inc. (AAPL) [OP]	SP	\$1,000,001 - \$5,000,000	None		$\checkmark$
DESCRIPTION: 100 call options with a strike price of \$100 expiration date of 6/17/22.	) with an e	xpiration date of 1/21/	/22 and 50 call options	s with a strike price of \$	100 and an
Aristotle LLC [OL]	SP	\$15,001 - \$50,000	None		
LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: Limited partnership investment in Aristot	le Publishi	ng, Inc.			
AT&T Inc. (T) [ST]	SP	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	
Auberge du Soleil [OL]	SP	\$5,000,001 - \$25,000,000	Partnership Income	\$1,000,001 - \$5,000,000	
LOCATION: Rutherford/Napa, CA, US DESCRIPTION: Limited partnership investment in resort	hotel.				
Bank of America - Checking Account [BA]	SP	\$1,001 - \$15,000	None		
DESCRIPTION: San Francisco, CA					
Bank of America - Checking Account [BA]	JT	\$1 - \$1,000	None		
DESCRIPTION: San Francisco, CA					
Bank of America - Checking Account [BA]	SP	\$1 - \$1,000	None		
DESCRIPTION: Washington, DC					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Block, Inc. Class A Common Stock, (SQ) [ST]	SP	\$500,001 - \$1,000,000	None		
Borel Real Estate Company [OL]	SP	\$1,001 - \$15,000	Partnership Income, Rent	\$15,001 - \$50,000	
LOCATION: San Mateo/San Mateo, CA, US DESCRIPTION: Limited partnership interest in shopping o	center.				
City Car Services LLC [OL]	SP	\$50,001 - \$100,000	Partnership Loss	\$2,501 - \$5,000	
LOCATION: Hingham/Plymouth, MA, US DESCRIPTION: Limited partnership investment in limous	ine compa	ny.			
City National Bank - Checking Account [BA]	SP	\$100,001 - \$250,000	Interest	\$1 - \$200	
DESCRIPTION: San Francisco, CA					
City National Securities - Brokerage Money Market Account [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	
Clean Energy Fuels Corp. (CLNE) [ST]	SP	\$15,001 - \$50,000	None		
Comcast Corporation - Class A (CMCSA) [ST]	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	
Congressional Credit Union - Checking Account [BA]		\$15,001 - \$50,000	None		
DESCRIPTION: Washington, DC					
CrowdStrike Holdings, Inc Class A (CRWD) [ST]	SP	\$1,000,001 - \$5,000,000	None		
Dropbox, Inc Class A (DBX) [ST]	SP	\$100,001 - \$250,000	None		
EDI Associates [OL]	SP	\$250,001 - \$500,000	Partnership Income	\$100,001 - \$1,000,000	
LOCATION: Sonoma/Sonoma, CA, US DESCRIPTION: Limited partnership investment in El Dora	ado Hotel.				
Financial Leasing Services [OL]	SP	\$100,001 - \$250,000	None		
LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: Investment consulting company.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Forty-Five Belden Corporation [OL]	SP	\$1,000,001 - \$5,000,000	Partnership Loss	\$50,001 - \$100,000	
LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: Investment management company.					
Global Ambassador Concierge LLC [OL]	SP	\$15,001 - \$50,000	None		
LOCATION: Washington, DC, US DESCRIPTION: Limited partnership interest in concierge	services fo	r international travele	rs.		
Interactive Brokers Group, Inc. (IBKR) [ST]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	
Matthews International Mutual Fund [MF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	
Micron Technology, Inc. (MU) [OP]	SP	\$250,001 - \$500,000	None		$\checkmark$
Description: 100 call options with a strike price of \$50	and an exp	piration date of 9/16/2	22.		
Microsoft Corporation (MSFT) [ST]	SP	\$5,000,001 - \$25,000,000	Dividends	\$15,001 - \$50,000	<ul> <li>Image: A set of the set of the</li></ul>
Microsoft Corporation (MSFT) [OP]	SP	None	None		
DESCRIPTION: 150 call options with a strike price of \$130 an expiration date of 3/19/21.	o with an e	xpiration date of 3/19/	/21 and 100 call option	ns with a strike price of S	\$140 with
Morningstar, Inc. (MORN) [ST]	SP	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	
Mosher Partners LP [OL]	SP	None	Partnership Income	\$1,000,001 - \$5,000,000	
LOCATION: Sacramento/Sacramento, CA, US DESCRIPTION: Undeveloped residential real estate invest	tment.				
Nautilus Leasing Services [OL]	SP	\$50,001 - \$100,000	None		
LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: Container leasing company.					
Netflix, Inc. (NFLX) [ST]	SP	\$1,000,001 - \$5,000,000	None		
Nine Forty Five Battery LLC [OL]	SP	\$5,000,001 - \$25,000,000	Partnership Income	\$1,000,001 - \$5,000,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: General partner in 945 Battery Street offic	e building				
NVIDIA Corporation (NVDA) [ST]	SP	\$1,000,001 - \$5,000,000	Dividends	\$201 - \$1,000	1
NVIDIA Corporation (NVDA) [OP]	SP	\$1,000,001 - \$5,000,000	None		$\checkmark$
DESCRIPTION: 200 call options with a strike price of \$100 expiration date of 9/16/22.	o and an ex	xpiration date of 6/17/	22 and 50 call options	s with a strike price of \$	100 and an
Odyssey LLC [OL]	SP	None	Partnership Loss	\$15,001 - \$50,000	
LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: Limited partnership interest in venture ca	pital inves	tment firm.			
PayPal Holdings, Inc. (PYPL) [ST]	SP	\$1,000,001 - \$5,000,000	None		
PayPal Holdings, Inc. (PYPL) [OP]	SP	\$250,001 - \$500,000	None		
DESCRIPTION: 50 call options with a strike price of \$100	with an ex	piration date of 1/21/2	22.		
Piatti Restaurant Company [OL]	SP	\$1,000,001 - \$5,000,000	Partnership Income	\$100,001 - \$1,000,000	
LOCATION: Tiburon/Marin, CA, US DESCRIPTION: Limited partnership investment in restau	ant compa	iny.			
QUALCOMM Incorporated (QCOM) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
REOF XX, LLC [AB]	SP	\$250,001 - \$500,000	Partnership Loss	\$1,001 - \$2,500	
DESCRIPTION: Investment in LLC which acquired five Co San Antonio, TX (two).	urtyard Ma	arriott hotels located i	n Fairfax, VA (one), sı	ıburban Baltimore, MD	(two), and
REOF XXI, LLC [AB]	SP	\$250,001 - \$500,000	Partnership Income	\$5,001 - \$15,000	
DESCRIPTION: Investment in LLC which is acquiring three CA.	ee unique o	ffice buildings with a	common address of 22	22 Kearny Street in San	Francisco,
REOF XXII, LLC [AB]	SP	\$100,001 - \$250,000	Partnership Loss	\$100,001 - \$1,000,000	~
DESCRIPTION: Investment in LLC which is acquiring elev - 1, Andover, MA - 1, Fayetteville, NC - 1, Greenville, SC - nine Hilton-branded hotels (Montgomery, AL -2, Schau 1).	- 1, Johnso	n City, TN - 1, Beaum	ont, TX - 1, Richmond,	VA - 1, Vancouver, WA	- 1) and

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Roblox Corporation Class A (RBLX) [ST]	SP	\$1,000,001 - \$5,000,000	None		<ul> <li>Image: A start of the start of</li></ul>
Roblox Corporation Class A (RBLX) [OP]	SP	\$250,001 - \$500,000	None		
Description: 100 call options with a strike price of \$100	and an ex	xpiration date of 1/20/	23.		
Russell Ranch LLC [OL]	SP	\$5,000,001 - \$25,000,000	Partnership Income	\$50,001 - \$100,000	
LOCATION: Sacramento/Sacramento, CA, US DESCRIPTION: Limited partner in undeveloped residentia	ıl real estat	te investment.			
Salesforce, Inc. (CRM) [OP]	SP	\$500,001 - \$1,000,000	None		~
Description: 130 call options with a strike price of \$210	and an ex	piration date of 1/20/	23.		
Salesforce.com Inc (CRM) [ST]	SP	\$5,000,001 - \$25,000,000	None		
Sierra Vista Baseline Investors LP [OL]	SP	None	Partnership Income	\$1,000,001 - \$5,000,000	
LOCATION: Sacramento/Sacramento, CA, US DESCRIPTION: Limited partnership in undeveloped real e	state inves	stment.			
Slack Technologies, Inc. Class A (WORK) [ST]	SP	None	Capital Gains	\$100,001 - \$1,000,000	
Square, Inc. Class A (SQ) [ST]	SP	None	None		
Stoneridge LLC [OL]	SP	\$250,001 - \$500,000	Partnership Income	\$5,001 - \$15,000	
LOCATION: Sacramento/Sacramento, CA, US DESCRIPTION: Limited partner in undeveloped residentia	ıl real estat	te investment.			
Tesla, Inc. (TSLA) [OP]	SP	\$1,000,001 - \$5,000,000	None		
Description: 25 call options with a strike price of \$500	with an ex	piration date of 3/18/	22.		
Thirteen Hundred One Sansome LLC [OL]	SP	\$5,000,001 - \$25,000,000	Partnership Income, Rent	\$100,001 - \$1,000,000	
LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: General partner in 1301 Sansome Street of	fice buildi	ng.			
Tripath Technology Inc. Common Stock [PS]	SP	\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Common stock not actively traded.					
Trivium LLC [OL]	SP	None	Partnership Loss	\$15,001 - \$50,000	
LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: Limited partnership investment in E-bus	iness softwa	are solutions company	у.		
Union Bank of California - Checking Account [BA]	SP	\$1 - \$1,000	None		
DESCRIPTION: San Francisco, CA					
Union Bank of California - Money Market Account [BA]	SP	\$1 - \$1,000	None		
DESCRIPTION: San Francisco, CA					
Union Bank of California - Pelosi Trust Checking Account [BA]	SP	\$1 - \$1,000	Interest	\$1 - \$200	
DESCRIPTION: San Francisco, CA					
Visa Inc. (V) [ST]	SP	\$5,000,001 - \$25,000,000	Dividends	\$50,001 - \$100,000	
Walt Disney Company (DIS) [ST]	SP	\$1,000,001 - \$5,000,000	None		
Walt Disney Company (The) (DIS) [OP]	SP	\$500,001 - \$1,000,000	None		<b>~</b>
DESCRIPTION: 100 call options with a strike price of $10$ expiration date of $9/16/22$ .	o with an e	xpiration date of 1/21	/22 and 50 call options	s with a strike price of \$	130 and an
Wells Fargo Bank - Checking Account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	
DESCRIPTION: San Francisco, CA					

 $\label{eq:complete} * For the complete list of asset type abbreviations, please visit \underline{https://fd.house.gov/reference/asset-type-codes.aspx}.$ 

# **Schedule B: Transactions**

Asset	Owner	• Date	Тх. Туре	Amount	Cap. Gains > \$200?
AllianceBernstein Holding L.P. Units (AB) [OL]	SP	02/18/2021	Р	\$500,001 - \$1,000,000	
LOCATION: New York NY US					

LOCATION: New York, NY, US

DESCRIPTION: Purchased 15,000 units in a global asset management firm providing investment management and research services worldwide to institutional, high-net-worth and retail investors.

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?				
AllianceBernstein Holding L.P. Units (AB) [OL]	SP	02/23/2021	Р	\$500,001 - \$1,000,000					
LOCATION: New York, NY, US DESCRIPTION: Purchased 25,000 units in a global asset management firm providing investment management and research services worldwide to institutional, high-net-worth and retail investors.									
Alphabet Inc Class A (GOOGL) [ST]	SP	06/18/2021	Р	\$1,000,001 - \$5,000,000					
DESCRIPTION: Exercised 40 call options (4,000 shares) expiring 6/	'18/21 at a	strike price of \$1200.							
Alphabet Inc Class C Capital Stock (GOOG) [OP]	SP	12/17/2021	Р	\$500,001 - \$1,000,000					
DESCRIPTION: Purchased 10 call options with a strike price of \$2000 and an expiration date of 9/16/22.									
Amazon.com, Inc. (AMZN) [OP]	SP	05/21/2021	Р	\$500,001 - \$1,000,000					
Description: Purchased 20 call options with a strike price of \$3,0	00 and an	expiration date of 6/1	7/22.						
Apple Inc. (AAPL) [OP]	SP	05/21/2021	Р	\$100,001 - \$250,000					
Description: Purchased 50 call options with a strike price of \$100	and an ex	piration date of 6/17/2	22.						
Apple Inc. (AAPL) [ST]	SP	12/30/2021	S (partial)	\$500,001 - \$1,000,000					
DESCRIPTION: Contribution of 3,000 shares held personally to the	Paul F. Pel	osi Endowed Fund at	Georgetow	n University.					
Apple Inc. (AAPL) [ST]	SP	12/30/2021	S (partial)	\$500,001 - \$1,000,000					
DESCRIPTION: Contribution of 3,000 shares held personally to Trin	nity College	•							
Micron Technology, Inc. (MU) [OP]	SP	12/21/2021	Р	\$250,001 - \$500,000					
Description: Purchased 100 call options with a strike price of \$50	and an ex	piration date of 9/16/	22.						
Microsoft Corporation (MSFT) [ST]	SP	03/19/2021	Р	\$1,000,001 - \$5,000,000					
DESCRIPTION: Exercised 150 call options (15,000 shares) expiring	3/19/2021	at a strike price of \$13	0.						
Microsoft Corporation (MSFT) [ST]	SP	03/19/2021	Р	\$1,000,001 - \$5,000,000					
DESCRIPTION: Exercised 100 call options (10,000 shares) expiring	3/19/2021	at a strike price of \$14	10.						
NVIDIA Corporation (NVDA) [OP]	SP	06/3/2021	Р	\$1,000,001 - \$5,000,000					

Asset	Owner	Date	Тх. Туре	Amount	Cap. Gains > \$200?		
DESCRIPTION: Purchased 50 call options with a strike price of \$400	and an ex	piration date of 6/17/2	22.				
NVIDIA Corporation (NVDA) [ST]	SP	07/23/2021	Р	\$500,001 - \$1,000,000			
DESCRIPTION: Purchased 5,000 shares.							
NVIDIA Corporation (NVDA) [OP]	SP	07/23/2021	Р	\$250,001 - \$500,000			
DESCRIPTION: Purchased 50 call options with a strike price of \$100	and an exp	piration date of 9/16/2	22.				
REOF XX, LLC [AB]	SP	12/22/2021	Р	\$50,001 - \$100,000			
DESCRIPTION: Additional investment in LLC which acquired five Co MD (two) and San Antonio, TX (two).	ourtyard by	Marriott hotels locat	ed in Fairf	ax, VA (one), suburba	n Baltimore,		
REOF XXII, LLC [AB]	SP	07/13/2021	Р	\$250,001 - \$500,000			
DESCRIPTION: Investment in LLC which is acquiring eleven Marriott-branded hotels (Rogers, AR - 1, Phoenix, AZ - 1, Lakeland, FL -1, Albany, GA - 1, Andover, MA - 1, Fayetteville, NC - 1, Greenville, SC - 1, Johnson City, TN - 1, Beaumont, TX - 1, Richmond, VA - 1, Vancouver, WA - 1) and nine Hilton-branded hotels (Montgomery, AL -2, Schaumberg, IL -1, Jackson, TN - 1, Allen, TX -2, Burleson, TX - 1, El Paso, TX - 1, Irving, TX - 1).							
Roblox Corporation [OT] LOCATION: US DESCRIPTION: Purchased 10,000 shares.	SP	03/10/2021	Р	\$500,001 - \$1,000,000			
Roblox Corporation Class A (RBLX) [OP]	SP	12/20/2021	Р	\$250,001 - \$500,000			
Description: Purchased 100 call options with a strike price of \$10	o and an e	xpiration date of 1/20	/23.				
Salesforce.com Inc (CRM) [OP]	SP	12/20/2021	Р	\$500,001 - \$1,000,000			
Description: Purchased 100 call options with a strike price of \$21	o and an e	xpiration date of 1/20,	/23.				
Salesforce.com Inc (CRM) [OP]	SP	12/20/2021	Р	\$100,001 - \$250,000			
DESCRIPTION: Purchased 30 call options with a strike price of \$210	and an exp	piration date of 1/20/2	23.				
Slack Technologies, Inc. Class A (WORK) [ST]	SP	07/22/2021	S	\$250,001 - \$500,000			
DESCRIPTION: 10,000 shares of Slack Technologies Inc. exchanged payout of \$267,900.	for 776 sha	res of Salesforce.com	Inc. as a r	esult of a merger, with	a cash		
Walt Disney Company (DIS) [OP]	SP	12/17/2021	Р	\$100,001 -			

Asset	Owner Date	Тх. Туре	Amount	Cap. Gains > \$200?
			\$250,000	
DESCRIPTION: Purchased 50 call options with a strike price of \$130 and an expiration date of 9/16/22.				

 $\label{eq:complete} * For the complete list of asset type abbreviations, please visit \underline{https://fd.house.gov/reference/asset-type-codes.aspx}.$ 

## **Schedule C: Earned Income**

Source	Туре	Amount
Financial Leasing Services	Spouse Salary	N/A
45 Belden Corporation	Spouse Management Fee	N/A
Fairfield Associates	Spouse Management Fee	N/A

## **SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Туре	Amount of Liability
JT	Union Bank of California	September 2016	Mortgage on 11 Zinfandel Lane, St. Helena, CA	\$5,000,001 - \$25,000,000
SP	Union Bank of California	December 2017	Mortgage on 45 Belden Place, San Francisco, CA	\$1,000,001 - \$5,000,000
SP	Wells Fargo Bank	June 2017	Mortgage on 25 Point Lobos Avenue, San Francisco, CA	\$1,000,001 - \$5,000,000
SP	Union Bank of California	July 2002	Equity Line of Credit on 11 Zinfandel Lane, St. Helena, CA	\$500,001 - \$1,000,000
SP	City National Bank	July 2011	Brokerage Collateral Loan	\$1,000,001 - \$5,000,000
JT	Union Bank of California	September 2007	Mortgage on 2640 Broadway, San Francisco, CA	\$1,000,001 - \$5,000,000
SP	Bank of America	November 2006	Mortgage on 3030 K Street, Washington, DC	\$1,000,001 - \$5,000,000
JT	Union Bank of California	December 2012	Equity Line of Credit on 2640 Broadway, San Francisco, CA	\$500,001 - \$1,000,000
SP	City National Securities	Ongoing	Brokerage Margin Account	\$25,000,001 - \$50,000,000

### **SCHEDULE E: POSITIONS**

Position	Name of Organization
CFO & Secretary	The Paul & Nancy Pelosi Charitable Foundation
Congressional Advisory Board	International Women's Democracy Center
Board of Trustees Member Ex Officio	Kennedy Center
Honorary Member	Wheelchair Foundation
Distinguished Board Member	National Organization of Italian American Women

#### **SCHEDULE F: AGREEMENTS**

None disclosed.

#### **SCHEDULE G: GIFTS**

None disclosed.

#### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

🔍 Yes 🔍 No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

🔍 Yes 🔍 No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

🔍 Yes 🔍 No

#### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Nancy Pelosi, 05/16/2022