

**U.S. District Court  
District of Columbia (Washington, DC)  
CIVIL DOCKET FOR CASE #: 1:24-cv-00823-RDM**

GOVERNMENT ACCOUNTABILITY & OVERSIGHT v.  
SECURITIES AND EXCHANGE COMMISSION  
Assigned to: Judge Randolph D. Moss  
Cause: 05:552 Freedom of Information Act

Date Filed: 03/22/2024  
Jury Demand: None  
Nature of Suit: 895 Freedom of  
Information Act  
Jurisdiction: U.S. Government Defendant

**Plaintiff**

**GOVERNMENT ACCOUNTABILITY  
& OVERSIGHT**

represented by **Christopher Cochran Horner**  
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V.

**Defendant**

**SECURITIES AND EXCHANGE  
COMMISSION**

represented by **Michael S. Bailey**  
U.S. SECURITIES AND EXCHANGE  
COMMISSION  
Office of General Counsel  
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*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

| Date Filed | #        | Docket Text  |
|------------|----------|--|
| 03/22/2024 | <u>1</u> | COMPLAINT against SECURITIES AND EXCHANGE COMMISSION ( Filing fee \$ 405 receipt number ADCDC-10773481) filed by GOVERNMENT ACCOUNTABILITY & OVERSIGHT. (Attachments: # <u>1</u> Summons to Attorney General, # <u>2</u> Summons to US Attorney, # <u>3</u> Summons to SEC, # <u>4</u> Civil Cover Sheet)(Hardin, Matthew) (Entered: 03/22/2024) |
| 03/22/2024 | <u>2</u> | NOTICE of Appearance by Christopher Cochran Horner on behalf of GOVERNMENT ACCOUNTABILITY & OVERSIGHT (Horner, Christopher) (Entered: 03/22/2024)  |
| 03/22/2024 |          | Case Assigned to Judge Randolph D. Moss. (znmw) (Entered: 03/22/2024)  |
| 03/22/2024 | <u>3</u> | SUMMONS (3) Issued Electronically as to SECURITIES AND EXCHANGE COMMISSION, U.S. Attorney and U.S. Attorney General (Attachments: # <u>1</u> Notice to   |

|            |           |   |
|------------|-----------|---|
|            |           | Counsel/Party)(znmw) (Entered: 03/22/2024)  |
| 03/22/2024 | <u>4</u>  | STANDING ORDER: The parties are hereby ORDERED to comply with the directives set forth in the attached Standing Order. See document for details. Signed by Judge Randolph D. Moss on 3/22/2024. (lcrdm1) (Main Document 4 replaced on 8/21/2024) (zglw). (Entered: 03/22/2024)  |
| 04/22/2024 | <u>5</u>  | NOTICE of Appearance by Michael S. Bailey on behalf of SECURITIES AND EXCHANGE COMMISSION (Bailey, Michael) (Entered: 04/22/2024)   |
| 04/22/2024 | <u>6</u>  | ANSWER to Complaint by SECURITIES AND EXCHANGE COMMISSION.(Bailey, Michael) (Entered: 04/22/2024)   |
| 04/23/2024 |           | MINUTE ORDER: It is hereby ORDERED that, on or before May 16, 2024, the parties shall confer and file a joint status report addressing (1) any documents still to be produced pursuant to FOIA; (2) an anticipated schedule for processing and producing any such documents; and (3) any substantive areas of disagreement between the parties. It is further ORDERED that the parties shall appear for an Initial Scheduling Conference on May 23, 2024, at 10:00 a.m. in Courtroom 8. Signed by Judge Randolph D. Moss on 4/23/2024. (lcrdm1) (Entered: 04/23/2024) |
| 05/16/2024 | <u>7</u>  | Joint STATUS REPORT by SECURITIES AND EXCHANGE COMMISSION. (Bailey, Michael) (Entered: 05/16/2024)  |
| 05/17/2024 |           | MINUTE ORDER: Upon consideration of the parties' joint status report, Dkt. <u>7</u> , it is hereby ORDERED that the initial scheduling conference scheduled for May 23, 2024 is VACATED. It is further ORDERED that the parties submit a further joint status report on or before July 1, 2024. Signed by Judge Randolph D. Moss on 5/17/2024. (lcrdm1) (Entered: 05/17/2024)   |
| 07/01/2024 | <u>8</u>  | Joint STATUS REPORT by SECURITIES AND EXCHANGE COMMISSION. (Bailey, Michael) (Entered: 07/01/2024)  |
| 07/02/2024 |           | MINUTE ORDER: Upon consideration of the parties' joint status report, Dkt. <u>8</u> , it is hereby ORDERED that the parties submit a further joint status report on or before August 1, 2024. Signed by Judge Randolph D. Moss on 7/2/2024. (lcrdm1) (Entered: 07/02/2024)  |
| 08/01/2024 | <u>9</u>  | Joint STATUS REPORT by SECURITIES AND EXCHANGE COMMISSION. (Bailey, Michael) (Entered: 08/01/2024)  |
| 08/04/2024 |           | MINUTE ORDER: Upon consideration of the parties' joint status report, Dkt. <u>9</u> , it is hereby ORDERED that the parties submit a further joint status report on or before September 2, 2024. Signed by Judge Randolph D. Moss on 8/4/2024. (lcrdm1) (Entered: 08/04/2024)   |
| 08/30/2024 | <u>10</u> | Joint STATUS REPORT by SECURITIES AND EXCHANGE COMMISSION. (Bailey, Michael) (Entered: 08/30/2024)  |
| 08/30/2024 |           | MINUTE ORDER: Upon consideration of the parties' joint status report, Dkt. <u>10</u> , it is hereby ORDERED that the parties submit a further joint status report on or before October 2, 2024. Signed by Judge Randolph D. Moss on 08/30/2024. (lcrdm1) (Entered: 08/30/2024)  |
| 09/13/2024 | <u>11</u> | NOTICE of Voluntary Dismissal by GOVERNMENT ACCOUNTABILITY & OVERSIGHT (Horner, Christopher) (Entered: 09/13/2024)  |
| 09/13/2024 |           | MINUTE ORDER: In light of the parties' Notice of Voluntary Dismissal, Dkt. <u>11</u> , it is hereby ORDERED that the case is DISMISSED as MOOT pursuant to Federal Rule of Civil Procedure 41(a). The Clerk of Court is directed to terminate this case. Signed by Judge Randolph D. Moss on 09/13/2024. (lcrdm1) (Entered: 09/13/2024)   |