

A01548 Summary:

BILL NO A01548

SAME AS SAME AS

SPONSOR Gottfried (MS)

COSPNSR Gunther, Clark, Peoples-Stokes, Rosenthal, Brindisi, Bronson, Colton, Benedetto, Jaffee, Magnarelli, Markey, Miller, Weprin, Rivera, Ryan, Skartados, Sepulveda, Aubry, Otis, Skoufis, Steck, Mayer, Santabarbara, Zebrowski, Mosley, Ortiz, Titus, Abinanti, Persaud, Barron, Brabenec, Seawright, Linares, Palumbo, Walker, Bichotte, Richardson

MLTSPNSR Abbate, Arroyo, Borelli, Brennan, Butler, Cahill, Ceretto, Cook, Crespo, Cusick, Cymbrowitz, Davila, DenDekker, Dinowitz, Englebright, Fahy, Farrell, Glick, Graf, Hooper, Johns, Kearns, Lavine, Lifton, Lupardo, Lupinacci, Magee, McDonough, McKeivitt, Montesano, Paulin, Perry, Pretlow, Ra, Ramos, Robinson, Russell, Saladino, Schimel, Simon, Simotas, Solages, Tedisco, Thiele, Titone, Weinstein, Woerner, Wozniak, Wright

Amd Pub Health L, generally

Enacts the "safe staffing for quality care act" to require acute care facilities and nursing homes to implement certain direct-care nurse to patient ratios in all nursing units; sets minimum staffing requirements; requires every such facility to submit a documented staffing plan to the department on an annual basis and upon application for an operating certificate; requires acute care facilities to maintain staffing records during all shifts; authorizes nurses to refuse work assignments if the assignment exceeds the nurse's abilities or if minimum staffing is not present; requires public access to documented staffing plans; imposes civil penalties for violations of such provisions; establishes private right of action for nurses discriminated against for refusing any illegal work assignment.

A01548 Actions:

BILL NO A01548

01/12/2015 referred to health

04/22/2015 reported referred to codes

04/28/2015 reported referred to ways and means

A01548 Votes:

There are no votes for this bill in this legislative session.

A01548 Memo:

BILL NUMBER:A1548

TITLE OF BILL: An act to amend the public health law, in relation to enacting the "safe staffing for quality care act"

PURPOSE OR GENERAL IDEA OF BILL: To require all acute care facilities and nursing homes to meet standards for appropriate staffing ratios of nursing and unlicensed direct care staff.

SUMMARY OF SPECIFIC PROVISIONS: Section 1 is the short title.

Section 2 amends Public Health Law S 2805 to require that application for operating certificates for a hospital include a direct care staffing plan.

Section 3 adds nine new sections, 2823 through 2831, which require hospitals to maintain and comply with a staffing plan; establish the Acute Care Facility Council to be appointed by the Commissioner of Health, enumerate the elements of a satisfactory staffing plan; set forth minimum nurse to patient ratios; require clinical competency; provide for emergency situations; require public disclosure of facility staffing requirements; and allows for private right of action by employees.

Section 4 amends S 2801-a directing the Public Health and Health Planning Council to consider staffing violations when reviewing "character, competence and standing in the community" for applications and renewals of certificates of incorporation or establishment of a hospital.

Section 5 amends S 2805 requiring the Commissioner to consider staffing violations when reviewing applications and renewals operating certificates for acute care facilities.

Section 6 amends S 2895-b to establish a Residential Health Care Facility Council to be appointed by the Commissioner; requires minimum staff-hours of care per resident per day; allows for private right of action by employees; and requires public disclosure of information about direct care staffing.

JUSTIFICATION: The hospital nurse-to-patient ratios specified in this bill are based on peer-reviewed academic research and evidence-based recommendations. The minimum care hours specified for residential health care facilities are also based on research evidence and on the recommendations of the Institute of Medicine's report, "Keeping Patients Safe: Transforming the Work Environment of Nurses" (2004).

The number of patients assigned to a nurse has a direct impact on the quality of care that nurse can provide. Research published in the Journal of the American Medical Association, estimates five additional deaths per 1,000 patients in hospitals which routinely staff with only 1:8 nurse-to-patient ratios compared to those staffing with 1:4 nurse-to-patient ratios. This same study determined the odds of patient death increased by 7% for each additional patient the nurse must care for at one time.

Safe nurse staffing also reduces avoidable, adverse patient outcomes. Research funded by the federal Agency for Healthcare Research and Quality (AHRQ) has demonstrated that hospitals with lower nurse staffing levels have higher rates of pneumonia, shock, cardiac arrest, urinary tract infections and upper gastrointestinal bleeds; all leading to higher costs and mortality from hospital-acquired complications.

In nursing homes, research has demonstrated that safe nurse staffing levels have a positive impact on facility processes and on resident outcomes. Research has demonstrated that as nurse turnover increases in nursing homes, the quality of resident care declines, resulting in more frequent use of restraints, urinary catheterization, and

psychoactive drugs; increased risk of contractures, pressure ulcers and more survey deficiencies.

A broad range of research demonstrates that increased staffing levels do not diminish the profitability of facilities. Nursing workforce costs may rise, but that increase is mitigated by overall savings from improved patient outcomes and avoided adverse events. The improved outcomes reduce medical malpractice and other penalties resulting from avoidable occurrences and poor patient satisfaction.

In 2004, California became the first state to mandate nurse staffing ratios in hospitals. New statistical analysis reveals that the California mandates are significantly associated with fewer negative outcomes for patients and staff. The study, published in Health Services Research and conducted by the Center for Health Outcomes and Policy Research, University of Pennsylvania, concluded that "Improved nurse staffing, however it is achieved, is associated with better outcomes for nurses and patients."

Establishing staffing standards for nursing and unlicensed direct care staff in acute care facilities and residential health care facilities will help ensure that these facilities operate in a manner that guarantees the public safety and the delivery of quality health care services.

PRIOR LEGISLATIVE HISTORY: 2009-2010: A.11015- referred to Health committee. 2011-2012: A.921- reported to Ways and Means committee 2013-2014: A6571- reported to Ways and Means committee

FISCAL IMPLICATIONS: Some staff time in the Department of Health; possible increased Medicaid spending to cover the cost of increased staffing balanced by reduced Medicaid spending as reduced bad outcomes and reduced staff turnover reduce health care costs.

EFFECTIVE DATE: Takes effect 180 days after it becomes law.

A01548 Text:

S T A T E O F N E W Y O R K

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2015-2016 Regular Sessions

I N A S S E M B L Y

January 12, 2015

Introduced by M. of A. GOTTFRIED, GUNTHER, CLARK, PEOPLES-STOKES, ROSENTHAL, BRINDISI, BRONSON, COLTON, BENEDETTO, CAMARA, JAFFEE, MAGNARELLI, MARKEY, MILLER, WEPRIN, RIVERA, ROBERTS, RYAN, SKARTADOS, SEPULVEDA, AUBRY, OTIS, SKOUFIS, STECK, MAYER, SANTABARBARA, ZEBROWSKI, BROOK-KRASNY, MOSLEY, ORTIZ, TITUS -- Multi-Sponsored by -- M. of A. ABBATE, ABINANTI, ARROYO, BRENNAN, BUTLER, CAHILL, CERETTO, COOK, CRESPO, CUSICK, CYMBROWITZ, DAVILA, DINOWITZ, ENGLEBRIGHT, FAHY, FARRELL, GLICK, GRAF, HEASTIE, HOOPER, JOHNS, KEARNS, LAVINE, LIFTON, LUPARDO, LUPINACCI, MAGEE, McDONOUGH, McKEVITT, MONTESANO, PAULIN, PERRY, PRETLOW, RA, RAMOS, ROBINSON, RUSSELL, SALADINO, SCARBOROUGH, SCHIMEL, SIMOTAS, SOLAGES, TEDISCO, THIELE, TITONE, WEINSTEIN, WRIGHT -- read once and referred to the Committee on Health

AN ACT to amend the public health law, in relation to enacting the "safe staffing for quality care act"

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1 Section 1. Short title. This act shall be known and may be cited as
2 the "safe staffing for quality care act".

3 S 2. Paragraphs (a) and (b) of subdivision 2 of section 2805 of the
4 public health law, paragraph (a) as amended by chapter 923 of the laws
5 of 1973 and paragraph (b) as added by chapter 795 of the laws of 1965,
6 are amended to read as follows:

7 (a) Application for an operating certificate for a hospital shall be
8 made upon forms prescribed by the department. The application shall
9 [contain] INCLUDE the name of the hospital, the kind or kinds of hospi-
10 tal service to be provided, the location and physical description of the
11 institution, A DOCUMENTED STAFFING PLAN, AS DEFINED IN SECTION
12 TWENTY-EIGHT HUNDRED TWENTY-EIGHT OF THIS ARTICLE, and such other infor-
13 mation as the department may require.

14 (b) An operating certificate shall not be issued by the department
15 unless it finds that the premises, equipment, personnel, DOCUMENTED

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets
[] is old law to be omitted.

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1 STAFFING PLAN, rules and by-laws, standards of medical care, and hospi-
2 tal service are fit and adequate and that the hospital will be operated
3 in the manner required by this article and rules and regulations there-
4 under.

5 S 3. The public health law is amended by adding nine new sections
6 2827, 2828, 2829, 2830, 2831, 2832, 2833, 2834 and 2835 to read as
7 follows:

8 S 2827. POLICY AND PURPOSE. THE LEGISLATURE FINDS AND DECLARES ALL OF
9 THE FOLLOWING:

10 1. HEALTH CARE SERVICES ARE BECOMING COMPLEX AND IT IS INCREASINGLY
11 DIFFICULT FOR PATIENTS TO ACCESS INTEGRATED SERVICES;

12 2. THE QUALITY OF PATIENT CARE IS JEOPARDIZED BECAUSE OF NURSE STAFF-
13 ING SHORTAGES AND IMPROPER UTILIZATION OF NURSING SERVICES;

14 3. TO ENSURE THE ADEQUATE PROTECTION OF PATIENTS IN HEALTH CARE
15 SETTINGS, IT IS ESSENTIAL THAT QUALIFIED REGISTERED NURSES AND OTHER
16 LICENSED NURSES BE ACCESSIBLE AND AVAILABLE TO MEET THE NEEDS OF
17 PATIENTS; AND

18 4. THE BASIC PRINCIPLES OF STAFFING IN THE HEALTH CARE SETTING SHOULD
19 BE BASED ON THE PATIENT'S CARE NEEDS, THE SEVERITY OF CONDITION,
20 SERVICES NEEDED AND THE COMPLEXITY SURROUNDING THOSE SERVICES.

21 S 2828. SAFE STAFFING; DEFINITIONS. THE FOLLOWING WORDS AND PHRASES,
22 AS USED IN THIS ARTICLE, SHALL HAVE THE FOLLOWING MEANINGS UNLESS THE
23 CONTEXT OTHERWISE PLAINLY REQUIRES:

24 1. "ACUTE CARE FACILITY" SHALL MEAN A HOSPITAL OTHER THAN A RESIDEN-
25 TIAL HEALTH CARE FACILITY AND SHALL ALSO INCLUDE ANY FACILITY THAT
26 PROVIDES HEALTH CARE SERVICES PURSUANT TO THE MENTAL HYGIENE LAW, ARTI-
27 CLE NINETEEN-G OF THE EXECUTIVE LAW OR THE CORRECTION LAW IF SUCH FACIL-
28 ITY IS OPERATED BY THE STATE OR A POLITICAL SUBDIVISION OF THE STATE OR
29 A PUBLIC AUTHORITY OR PUBLIC BENEFIT CORPORATION.

30 2. "ACUITY SYSTEM" SHALL MEAN AN ESTABLISHED MEASUREMENT INSTRUMENT
31 WHICH (A) PREDICTS NURSING CARE REQUIREMENTS FOR INDIVIDUAL PATIENTS
32 BASED ON SEVERITY OF PATIENT ILLNESS, NEED FOR SPECIALIZED EQUIPMENT AND
33 TECHNOLOGY, INTENSITY OF NURSING INTERVENTIONS REQUIRED, AND THE
34 COMPLEXITY OF CLINICAL NURSING JUDGMENT NEEDED TO DESIGN, IMPLEMENT AND

35 EVALUATE THE PATIENT'S NURSING CARE PLAN; (B) DETAILS THE AMOUNT OF
36 NURSING CARE NEEDED, BOTH IN NUMBER OF DIRECT-CARE NURSES AND IN SKILL
37 MIX OF NURSING PERSONNEL REQUIRED, ON A DAILY BASIS, FOR EACH PATIENT IN
38 A NURSING DEPARTMENT OR UNIT; AND (C) IS STATED IN TERMS THAT READILY
39 CAN BE USED AND UNDERSTOOD BY DIRECT-CARE NURSES. THE ACUITY SYSTEM
40 SHALL TAKE INTO CONSIDERATION THE PATIENT CARE SERVICES PROVIDED NOT
41 ONLY BY REGISTERED PROFESSIONAL NURSES BUT ALSO BY LICENSED PRACTICAL
42 NURSES, SOCIAL WORKERS AND OTHER HEALTH CARE PERSONNEL.

43 3. "ASSESSMENT TOOL" SHALL MEAN A MEASUREMENT SYSTEM THAT COMPARES THE
44 STAFFING LEVEL IN EACH NURSING DEPARTMENT OR UNIT AGAINST ACTUAL PATIENT
45 NURSING CARE REQUIREMENTS IN ORDER TO REVIEW THE ACCURACY OF AN ACUITY
46 SYSTEM.

47 4. "DIRECT-CARE NURSE" AND "DIRECT-CARE NURSING STAFF" SHALL MEAN ANY
48 NURSE WHO HAS PRINCIPAL RESPONSIBILITY TO OVERSEE OR CARRY OUT MEDICAL
49 REGIMENS, NURSING OR OTHER BEDSIDE CARE FOR ONE OR MORE PATIENTS.

50 5. "DOCUMENTED STAFFING PLAN" SHALL MEAN A DETAILED WRITTEN PLAN
51 SETTING FORTH THE MINIMUM NUMBER AND CLASSIFICATION OF DIRECT-CARE NURS-
52 ES REQUIRED IN EACH NURSING DEPARTMENT OR UNIT IN AN ACUTE CARE FACILITY
53 FOR A GIVEN YEAR, BASED ON REASONABLE PROJECTIONS DERIVED FROM THE
54 PATIENT CENSUS AND AVERAGE ACUITY LEVEL WITHIN EACH DEPARTMENT OR UNIT
55 DURING THE PRIOR YEAR, THE DEPARTMENT OR UNIT SIZE AND GEOGRAPHY, THE
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1 NATURE OF SERVICES PROVIDED AND ANY FORESEEABLE CHANGES IN DEPARTMENT OR
2 UNIT SIZE OR FUNCTION DURING THE CURRENT YEAR.

3 6. "NURSE" SHALL MEAN A REGISTERED PROFESSIONAL NURSE OR LICENSED
4 PRACTICAL NURSE LICENSED PURSUANT TO ARTICLE ONE HUNDRED THIRTY-NINE OF
5 THE EDUCATION LAW.

6 7. "NURSING CARE" SHALL MEAN THAT CARE WHICH IS WITHIN THE DEFINITION
7 OF THE PRACTICE OF NURSING PURSUANT TO SECTION SIXTY-NINE HUNDRED TWO OF
8 THE EDUCATION LAW, OR OTHERWISE ENCOMPASSED WITH THE RECOGNIZED STAND-
9 ARDS OF NURSING PRACTICE, INCLUDING ASSESSMENT, NURSING DIAGNOSIS, PLAN-
10 NING, INTERVENTION, EVALUATION AND PATIENT ADVOCACY.

11 8. "SAFE STAFFING REQUIREMENTS" SHALL MEAN THE PROVISIONS OF SECTIONS
12 TWENTY-EIGHT HUNDRED TWENTY-SEVEN THROUGH TWENTY-EIGHT HUNDRED
13 THIRTY-FIVE OF THIS ARTICLE AND ALL RULES AND REGULATIONS ADOPTED PURSU-
14 ANT THERETO.

15 9. "SKILL MIX" SHALL MEAN THE DIFFERENCES IN LICENSING, SPECIALTY AND
16 EXPERIENCE AMONG DIRECT-CARE NURSES.

17 10. "STAFFING LEVEL" SHALL MEAN THE ACTUAL NUMERICAL NURSE TO PATIENT
18 RATIO WITHIN A NURSING DEPARTMENT OR UNIT.

19 11. "UNIT" SHALL MEAN A PATIENT CARE COMPONENT, AS DEFINED BY THE
20 DEPARTMENT, WITHIN AN ACUTE CARE FACILITY.

21 12. "NON-NURSING DIRECT-CARE STAFF" SHALL MEAN ANY EMPLOYEE WHO IS NOT
22 A NURSE OR OTHER PERSON LICENSED, CERTIFIED OR REGISTERED UNDER TITLE
23 EIGHT OF THE EDUCATION LAW WHOSE PRINCIPAL RESPONSIBILITY IS TO CARRY
24 OUT PATIENT CARE FOR ONE OR MORE PATIENTS OR PROVIDES DIRECT ASSISTANCE
25 IN THE DELIVERY OF PATIENT CARE.

26 S 2829. COMMISSIONER AND COUNCIL; POWERS AND DUTIES. THE COMMISSIONER
27 SHALL:

28 1. APPOINT AN ACUTE CARE FACILITY COUNCIL CONSISTING OF THIRTEEN
29 MEMBERS. NO LESS THAN SEVEN MEMBERS SHALL BE REGISTERED PROFESSIONAL
30 NURSES, THREE OF WHOM SHALL BE DIRECT CARE REGISTERED NURSES, THREE OF
31 WHOM SHALL BE NURSE MANAGERS AND ONE OF WHOM SHALL BE A NURSE ADMINIS-
32 TRATOR. NO LESS THAN TWO MEMBERS OF THE ACUTE CARE FACILITY COUNCIL
33 SHALL BE REPRESENTATIVES OF RECOGNIZED OR CERTIFIED COLLECTIVE BARGAIN-
34 ING AGENTS OF NON-NURSING DIRECT CARE STAFF. THERE SHALL BE AT LEAST TWO
35 REPRESENTATIVES OF ACUTE CARE FACILITIES, ONE REPRESENTATIVE OF A NURS-
36 ING PROFESSIONAL ASSOCIATION, AND ONE REPRESENTATIVE OF A RECOGNIZED OR
37 CERTIFIED BARGAINING AGENT OF NURSES. THE ACUTE CARE FACILITY COUNCIL
38 SHALL ADVISE THE COMMISSIONER IN THE DEVELOPMENT OF REGULATIONS, INCLUD-
39 ING REGISTERED PROFESSIONAL NURSE TO PATIENT STAFFING REQUIREMENTS AND

40 NON-NURSING DIRECT-CARE STAFF TO PATIENT RATIOS THAT ARE NOT SPECIFIED
 41 IN THIS ARTICLE; THE EFFICACY OF ACUITY SYSTEMS SUBMITTED FOR APPROVAL
 42 BY THE COMMISSIONER; THE DEVELOPMENT OF AN ASSESSMENT TOOL USED TO EVAL-
 43 UATE THE EFFICACY OF ACUITY SYSTEMS; AND REVIEW AND MAKE RECOMMENDATIONS
 44 ON APPROVAL OF STAFFING PLANS PRIOR TO THE GRANTING OF AN OPERATING
 45 CERTIFICATE BY THE DEPARTMENT.

46 2. PROMULGATE, AFTER CONSULTATION WITH THE ACUTE CARE FACILITY COUN-
 47 CIL, THE RULES AND REGULATIONS NECESSARY TO CARRY OUT THE PURPOSES AND
 48 PROVISIONS OF THE SAFE STAFFING REQUIREMENTS, INCLUDING REGULATIONS
 49 DEFINING TERMS, SETTING FORTH DIRECT-CARE NURSE TO PATIENT RATIOS,
 50 SETTING FORTH NON-NURSING DIRECT-CARE STAFF TO PATIENT RATIOS AND
 51 PRESCRIBING THE PROCESS FOR APPROVING FACILITY SPECIFIC ACUITY SYSTEMS;
 52 AND

53 3. ASSURE THAT THE PROVISIONS OF SAFE STAFFING REQUIREMENTS ARE
 54 ENFORCED, INCLUDING THE ISSUANCE OF REGULATIONS WHICH AT A MINIMUM
 55 PROVIDE FOR AN ACCESSIBLE AND CONFIDENTIAL SYSTEM TO REPORT THE FAILURE
 56 TO COMPLY WITH SUCH REQUIREMENTS AND PUBLIC ACCESS TO INFORMATION

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1 REGARDING REPORTS OF INSPECTIONS, RESULTS, DEFICIENCIES AND CORRECTIONS
 2 PURSUANT TO SUCH REQUIREMENTS.

3 S 2830. STAFFING REQUIREMENTS. 1. STAFFING REQUIREMENTS. EACH ACUTE
 4 CARE FACILITY SHALL ENSURE THAT IT IS STAFFED IN A MANNER THAT PROVIDES
 5 SUFFICIENT, APPROPRIATELY QUALIFIED DIRECT-CARE NURSES IN EACH DEPART-
 6 MENT OR UNIT WITHIN SUCH FACILITY IN ORDER TO MEET THE INDIVIDUALIZED
 7 CARE NEEDS OF THE PATIENTS THEREIN. AT A MINIMUM, EACH SUCH FACILITY
 8 SHALL MEET THE REQUIREMENTS OF SUBDIVISIONS TWO AND THREE OF THIS
 9 SECTION.

10 2. STAFFING PLAN. THE DEPARTMENT SHALL NOT ISSUE AN OPERATING CERTIF-
 11 ICATE TO ANY ACUTE CARE FACILITY UNLESS SUCH FACILITY ANNUALLY SUBMITS
 12 TO THE DEPARTMENT A DOCUMENTED STAFFING PLAN AND A WRITTEN CERTIFICATION
 13 THAT THE SUBMITTED STAFFING PLAN IS SUFFICIENT TO PROVIDE ADEQUATE AND
 14 APPROPRIATE DELIVERY OF HEALTH CARE SERVICES TO PATIENTS FOR THE ENSUING
 15 YEAR. THE DOCUMENTED STAFFING PLAN SHALL:

16 (A) MEET THE MINIMUM REQUIREMENTS SET FORTH IN SUBDIVISION THREE OF
 17 THIS SECTION;

18 (B) BE ADEQUATE TO MEET ANY ADDITIONAL REQUIREMENTS PROVIDED BY OTHER
 19 LAWS, RULES OR REGULATIONS;

20 (C) EMPLOY AND IDENTIFY AN ACUITY SYSTEM FOR ADDRESSING FLUCTUATIONS
 21 IN ACTUAL PATIENT ACUITY LEVELS AND NURSING CARE REQUIREMENTS REQUIRING
 22 INCREASED STAFFING LEVELS ABOVE THE MINIMUMS SET FORTH IN THE PLAN;

23 (D) FACTOR IN OTHER UNIT OR DEPARTMENT ACTIVITY SUCH AS DISCHARGES,
 24 TRANSFERS AND ADMISSIONS, STAFF BREAKS, MEALS, ROUTINE AND EXPECTED
 25 ABSENCES FROM THE UNIT AND ADMINISTRATIVE AND SUPPORT TASKS THAT ARE
 26 EXPECTED TO BE DONE BY DIRECT-CARE NURSES IN ADDITION TO DIRECT NURSING
 27 CARE;

28 (E) INCLUDE A PLAN TO MEET NECESSARY STAFFING LEVELS AND SERVICES
 29 PROVIDED BY NON-NURSING DIRECT-CARE STAFF IN MEETING PATIENT CARE NEEDS
 30 PURSUANT TO SUBDIVISION ONE OF THIS SECTION; PROVIDED, HOWEVER, THAT THE
 31 STAFFING PLAN SHALL NOT INCORPORATE OR ASSUME THAT NURSING CARE FUNC-
 32 TIONS REQUIRED BY LAWS, RULES OR REGULATIONS, OR ACCEPTED STANDARDS OF
 33 PRACTICE TO BE PERFORMED BY A REGISTERED PROFESSIONAL NURSE ARE TO BE
 34 PERFORMED BY OTHER PERSONNEL;

35 (F) IDENTIFY THE SYSTEM THAT WILL BE USED TO DOCUMENT ACTUAL STAFFING
 36 ON A DAILY BASIS WITHIN EACH DEPARTMENT OR UNIT;

37 (G) INCLUDE A WRITTEN ASSESSMENT OF THE ACCURACY OF THE PRIOR YEAR'S
 38 STAFFING PLAN IN LIGHT OF ACTUAL STAFFING NEEDS;

39 (H) IDENTIFY EACH NURSE STAFF CLASSIFICATION REFERENCED IN SUCH PLAN
 40 TOGETHER WITH A STATEMENT SETTING FORTH MINIMUM QUALIFICATIONS FOR EACH
 41 SUCH CLASSIFICATION; AND

42 (I) BE DEVELOPED IN CONSULTATION WITH A MAJORITY OF THE DIRECT-CARE
 43 NURSES WITHIN EACH DEPARTMENT OR UNIT OR, WHERE SUCH NURSES ARE REPRES-

44 ENDED, WITH THE APPLICABLE RECOGNIZED OR CERTIFIED COLLECTIVE BARGAINING
45 REPRESENTATIVE OR REPRESENTATIVES OF THE DIRECT-CARE NURSES AND OF OTHER
46 SUPPORTIVE AND ASSISTIVE STAFF.

47 3. MINIMUM STAFFING REQUIREMENTS. (A) THE DOCUMENTED STAFFING PLAN
48 SHALL INCORPORATE, AT A MINIMUM, THE FOLLOWING DIRECT-CARE NURSE-TO-PA-
49 TIENT RATIOS:

50 (I) ONE NURSE TO ONE PATIENT: OPERATING ROOM AND TRAUMA EMERGENCY
51 UNITS AND MATERNAL/CHILD CARE UNITS FOR THE SECOND OR THIRD STAGE OF
52 LABOR;

53 (II) ONE NURSE TO TWO PATIENTS: MATERNAL/CHILD CARE UNITS FOR THE
54 FIRST STAGE OF LABOR, AND ALL CRITICAL CARE AREAS INCLUDING EMERGENCY
55 CRITICAL CARE AND ALL INTENSIVE CARE UNITS AND POSTANESTHESIA UNITS;

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1 (III) ONE NURSE TO THREE PATIENTS: ANTEPARTUM, EMERGENCY ROOM, PEDIA-
2 TRICS, STEP-DOWN AND TELEMETRY UNITS AND UNITS FOR NEWBORNS AND INTERME-
3 DIATE CARE NURSERY UNITS;

4 (IV) ONE NURSE TO THREE PATIENTS: POSTPARTUM MOTHER/BABY COUPLETS
5 (MAXIMUM SIX PATIENTS PER NURSE);

6 (V) ONE NURSE TO FOUR PATIENTS: NON-CRITICAL ANTEPARTUM PATIENTS,
7 POSTPARTUM MOTHER ONLY UNITS AND MEDICAL/SURGICAL AND ACUTE CARE PSYCHI-
8 ATRIC UNITS;

9 (VI) ONE NURSE TO FIVE PATIENTS: REHABILITATION UNITS AND SUBACUTE
10 PATIENTS; AND

11 (VII) ONE NURSE TO SIX PATIENTS: WELL-BABY NURSERY UNITS.

12 FOR ANY UNITS NOT LISTED IN THIS PARAGRAPH, INCLUDING, BUT NOT LIMITED
13 TO, PSYCHIATRIC UNITS, AND ACUTE CARE FACILITIES OPERATED PURSUANT TO
14 THE MENTAL HYGIENE LAW OR THE CORRECTION LAW, THE DEPARTMENT SHALL
15 ESTABLISH BY REGULATION THE APPROPRIATE DIRECT-CARE NURSE-TO-PATIENT
16 RATIO.

17 (B) THE NURSE-TO-PATIENT RATIOS SET FORTH IN PARAGRAPH (A) OF THIS
18 SUBDIVISION SHALL REFLECT THE MAXIMUM NUMBER OF PATIENTS THAT MAY BE
19 ASSIGNED TO EACH DIRECT-CARE NURSE IN A UNIT AT ANY ONE TIME.

20 (C) THERE SHALL BE NO AVERAGING OF THE NUMBER OF PATIENTS AND THE
21 TOTAL NUMBER OF NURSES ON THE UNIT DURING ANY ONE SHIFT NOR OVER ANY
22 PERIOD OF TIME.

23 (D) THE COMMISSIONER, IN CONSULTATION WITH THE ACUTE CARE FACILITY
24 COUNCIL, SHALL ESTABLISH REGULATIONS PROVIDING FOR THE MAINTENANCE OF
25 MINIMUM NURSE-TO-PATIENT RATIOS, AS SET FORTH IN THIS SECTION, INCLUDING
26 DURING ROUTINE OR EXPECTED ABSENCES FROM THE UNIT, SUCH AS MEALS OR
27 BREAKS.

28 4. LICENSED PRACTICAL NURSES. IN ANY SITUATION IN WHICH LICENSED PRAC-
29 TICAL NURSES ARE INCLUDED IN THE DOCUMENTED STAFFING PLAN, ANY PATIENTS
30 ASSIGNED TO THE LICENSED PRACTICAL NURSE SHALL ALSO BE INCLUDED IN
31 CALCULATING THE NUMBER OF PATIENTS ASSIGNED TO ANY REGISTERED PROFES-
32 SIONAL NURSE WHO IS REQUIRED BY LAW, RULE, REGULATION, CONTRACT OR PRAC-
33 TICE TO SUPERVISE OR OVERSEE THE DIRECT-NURSING CARE PROVIDED BY THE
34 LICENSED PRACTICAL NURSE.

35 5. SKILL MIX. THE SKILL MIX SHALL NOT INCORPORATE OR ASSUME THAT NURS-
36 ING CARE FUNCTIONS REQUIRED BY SECTION SIXTY-NINE HUNDRED TWO OF THE
37 EDUCATION LAW OR ACCEPTED STANDARDS OF PRACTICE TO BE PERFORMED BY A
38 REGISTERED PROFESSIONAL NURSE ARE TO BE PERFORMED BY A LICENSED PRACTI-
39 CAL NURSE OR UNLICENSED ASSISTIVE PERSONNEL, OR THAT NURSING CARE FUNC-
40 TIONS REQUIRED BY SECTION SIXTY-NINE HUNDRED TWO OF THE EDUCATION LAW OR
41 ACCEPTED STANDARDS OF PRACTICE TO BE PERFORMED BY A LICENSED PRACTICAL
42 NURSE ARE TO BE PERFORMED BY UNLICENSED ASSISTIVE PERSONNEL.

43 6. ADJUSTMENTS BY FACILITY. THE MINIMUM STAFFING REQUIREMENT AND
44 NURSE-TO-PATIENT RATIO SET FORTH IN THIS SECTION SHALL BE ADJUSTED BY
45 THE ACUTE CARE FACILITY AS NECESSARY TO REFLECT THE NEED FOR ADDITIONAL
46 DIRECT-CARE NURSES. ADDITIONAL STAFF SHALL BE ASSIGNED IN ACCORDANCE
47 WITH THE APPROVED, FACILITY-SPECIFIC PATIENT ACUITY SYSTEM FOR DETERMIN-
48 ING NURSING CARE REQUIREMENTS, INCLUDING THE SEVERITY OF THE ILLNESS,

49 THE NEED FOR SPECIALIZED EQUIPMENT AND TECHNOLOGY, THE COMPLEXITY OF
50 CLINICAL JUDGMENT NEEDED TO DESIGN, IMPLEMENT AND EVALUATE THE PATIENT
51 CARE PLAN AND THE ABILITY FOR SELF-CARE, AND THE LICENSURE OF THE
52 PERSONNEL REQUIRED FOR CARE.

53 7. COMMISSIONER REGULATIONS. THE COMMISSIONER MAY BY REGULATION
54 REQUIRE A DOCUMENTED STAFFING PLAN TO HAVE HIGHER NURSE-TO-PATIENT
55 RATIOS THAN THOSE SET FORTH IN THIS SECTION.

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1 8. NOTHING CONTAINED IN THIS SECTION SHALL SUPERSEDE OR DIMINISH THE
2 TERMS OF A COLLECTIVE BARGAINING AGREEMENT THAT PROVIDES FOR STAFFING
3 RATIOS THAT EXCEED THE RATIOS ESTABLISHED UNDER THIS SECTION.

4 S 2831. COMPLIANCE WITH STAFFING PLAN AND RECORDKEEPING. 1. EACH
5 ACUTE CARE FACILITY SHALL AT ALL TIMES STAFF IN ACCORDANCE WITH ITS
6 DOCUMENTED STAFFING PLAN AND THE STAFFING STANDARDS SET FORTH IN SECTION
7 TWENTY-EIGHT HUNDRED THIRTY OF THIS ARTICLE; PROVIDED, HOWEVER, THAT
8 NOTHING IN THIS SECTION SHALL BE DEEMED TO PRECLUDE ANY SUCH FACILITY
9 FROM IMPLEMENTING HIGHER DIRECT-CARE NURSE-TO-PATIENT STAFFING LEVELS,
10 NOR SHALL THE REQUIREMENTS SET FORTH IN SUCH SECTION TWENTY-EIGHT
11 HUNDRED THIRTY OF THIS ARTICLE BE DEEMED TO SUPERSEDE OR REPLACE ANY
12 HIGHER REQUIREMENTS OTHERWISE MANDATED BY LAW, REGULATION OR CONTRACT.

13 2. FOR PURPOSES OF COMPLIANCE WITH THE MINIMUM STAFFING REQUIREMENTS
14 STANDARDS SET FORTH IN SECTION TWENTY-EIGHT HUNDRED THIRTY OF THIS ARTI-
15 CLE, NO NURSE SHALL BE ASSIGNED, OR INCLUDED IN THE NURSE-TO-PATIENT
16 RATIO COUNT IN A NURSING UNIT OR A CLINICAL AREA WITHIN AN ACUTE CARE
17 FACILITY UNLESS THAT NURSE HAS AN APPROPRIATE LICENSE PURSUANT TO ARTI-
18 CLE ONE HUNDRED THIRTY-NINE OF THE EDUCATION LAW, HAS RECEIVED PRIOR
19 ORIENTATION IN THAT CLINICAL AREA SUFFICIENT TO PROVIDE COMPETENT NURS-
20 ING CARE TO THE PATIENTS IN THAT UNIT OR CLINICAL AREA, AND HAS DEMON-
21 STRATED CURRENT COMPETENCE IN PROVIDING CARE IN THAT UNIT OR CLINICAL
22 AREA. ACUTE CARE FACILITIES THAT UTILIZE TEMPORARY NURSING AGENCIES
23 SHALL HAVE AND ADHERE TO A WRITTEN PROCEDURE TO ORIENT AND EVALUATE
24 PERSONNEL FROM SUCH SOURCES TO ENSURE ADEQUATE ORIENTATION AND COMPETEN-
25 CY PRIOR TO INCLUSION IN THE NURSE-TO-PATIENT RATIO. IN THE EVENT OF AN
26 EMERGENCY STAFFING SITUATION IN WHICH INSUFFICIENT STAFFING MAY LEAD TO
27 UNSAFE PATIENT CARE, NURSES MAY BE TEMPORARILY ASSIGNED TO A DIFFERENT
28 UNIT OR CLINICAL AREA, PROVIDED THAT SUCH NURSES SHALL BE ASSIGNED
29 PATIENTS APPROPRIATE TO THEIR SKILL AND COMPETENCY LEVEL. THE FACILITY
30 SHALL ESTABLISH A CONSISTENT PLAN FOR ADDRESSING EMERGENCY STAFFING
31 SITUATIONS AND MONITOR OUTCOMES. EMERGENCIES ARE DEFINED AS NATURAL
32 DISASTERS, DECLARED EMERGENCIES, MASS CASUALTY INCIDENTS OR OTHER EVENTS
33 NOT REASONABLY ANTICIPATED AND PLANNED FOR AND NOT REGULARLY OCCURRING
34 WITHIN THE FACILITY.

35 3. EACH ACUTE CARE FACILITY SHALL MAINTAIN ACCURATE DAILY RECORDS
36 SHOWING:

37 (A) THE NUMBER OF PATIENTS ADMITTED, RELEASED AND PRESENT IN EACH
38 NURSING DEPARTMENT OR UNIT WITHIN SUCH FACILITY;

39 (B) THE INDIVIDUAL ACUITY LEVEL OF EACH PATIENT PRESENT IN EACH NURS-
40 ING DEPARTMENT OR UNIT WITHIN SUCH FACILITY; AND

41 (C) THE IDENTITY AND DUTY HOURS OF EACH DIRECT-CARE NURSE IN EACH
42 NURSING DEPARTMENT OR UNIT WITHIN SUCH FACILITY.

43 4. EACH ACUTE CARE FACILITY SHALL MAINTAIN DAILY STATISTICS, BY NURS-
44 ING DEPARTMENT AND UNIT, OF MORTALITY, MORBIDITY, INFECTION, ACCIDENT,
45 INJURY AND MEDICAL ERRORS.

46 5. ALL RECORDS REQUIRED TO BE KEPT PURSUANT TO THIS SECTION SHALL BE
47 MAINTAINED FOR A PERIOD OF SEVEN YEARS.

48 6. ALL RECORDS REQUIRED TO BE KEPT PURSUANT TO THIS SECTION SHALL BE
49 MADE AVAILABLE UPON REQUEST TO THE DEPARTMENT AND TO THE PUBLIC;
50 PROVIDED, HOWEVER, THAT INFORMATION RELEASED TO THE PUBLIC SHALL COMPLY
51 WITH THE APPLICABLE PATIENT PRIVACY LAWS, RULES AND REGULATIONS, AND
52 THAT IN FACILITIES OPERATED PURSUANT TO THE CORRECTION LAW THE IDENTITY
53 AND HOURS OF STAFF SHALL NOT BE RELEASED TO THE PUBLIC.

54 S 2832. WORK ASSIGNMENT POLICY. 1. GENERAL. EACH ACUTE CARE FACILITY
 55 SHALL ADOPT, DISSEMINATE TO DIRECT-CARE NURSES AND COMPLY WITH A WRITTEN
 56 WORK ASSIGNMENT POLICY, THAT MEETS THE REQUIREMENTS OF SUBDIVISIONS TWO
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1 AND THREE OF THIS SECTION, DETAILING THE CIRCUMSTANCES UNDER WHICH A
 2 DIRECT-CARE NURSE MAY REFUSE A WORK ASSIGNMENT.

3 2. MINIMUM CONDITIONS. AT A MINIMUM, THE WORK ASSIGNMENT POLICY SHALL
 4 PERMIT A DIRECT-CARE NURSE TO REFUSE AN ASSIGNMENT:

5 (A) FOR WHICH THE NURSE IS NOT PREPARED BY EDUCATION, TRAINING OR
 6 EXPERIENCE TO SAFELY FULFILL THE ASSIGNMENT WITHOUT COMPROMISING OR
 7 JEOPARDIZING PATIENT SAFETY, THE NURSE'S ABILITY TO MEET FORESEEABLE
 8 PATIENT NEEDS OR THE NURSE'S LICENSE; OR

9 (B) WOULD OTHERWISE VIOLATE THE SAFE STAFFING REQUIREMENTS.

10 3. MINIMUM PROCEDURES. AT A MINIMUM, THE WORK ASSIGNMENT POLICY SHALL
 11 CONTAIN PROCEDURES FOR THE FOLLOWING:

12 (A) REASONABLE REQUIREMENTS FOR PRIOR NOTICE TO THE NURSE'S SUPERVISOR
 13 REGARDING THE NURSE'S REQUEST AND SUPPORTING REASONS FOR BEING RELIEVED
 14 OF AN ASSIGNMENT OR CONTINUED DUTY;

15 (B) WHERE FEASIBLE, AN OPPORTUNITY FOR THE SUPERVISOR TO REVIEW THE
 16 SPECIFIC CONDITIONS SUPPORTING THE NURSE'S REQUEST, AND TO DECIDE WHETH-
 17 ER TO REMEDY THE CONDITIONS, TO RELIEVE THE NURSE OF THE ASSIGNMENT, OR
 18 TO DENY THE NURSE'S REQUEST TO BE RELIEVED OF THE ASSIGNMENT OR CONTIN-
 19 UED DUTY;

20 (C) A PROCESS THAT PERMITS THE NURSE TO EXERCISE THE RIGHT TO REFUSE
 21 THE ASSIGNMENT OR CONTINUED ON-DUTY STATUS WHEN THE SUPERVISOR DENIES
 22 THE REQUEST TO BE RELIEVED IF:

23 (I) THE SUPERVISOR REJECTS THE REQUEST WITHOUT PROPOSING A REMEDY OR
 24 THE PROPOSED REMEDY WOULD BE INADEQUATE OR UNTIMELY,

25 (II) THE COMPLAINT AND INVESTIGATION PROCESS WITH A REGULATORY AGENCY
 26 WOULD BE UNTIMELY TO ADDRESS THE CONCERN, AND

27 (III) THE EMPLOYEE IN GOOD FAITH BELIEVES THAT THE ASSIGNMENT MEETS
 28 CONDITIONS JUSTIFYING REFUSAL; AND

29 (D) RECOGNITION THAT A NURSE WHO REFUSES AN ASSIGNMENT PURSUANT TO A
 30 WORK ASSIGNMENT POLICY AS SET FORTH IN THIS SECTION SHALL NOT BE DEEMED,
 31 BY REASON THEREOF, TO HAVE ENGAGED IN NEGLIGENT OR INCOMPETENT ACTION,
 32 PATIENT ABANDONMENT, OR OTHERWISE TO HAVE VIOLATED ANY LAW RELATING TO
 33 NURSING.

34 S 2833. PUBLIC DISCLOSURE OF STAFFING REQUIREMENTS. EVERY ACUTE CARE
 35 FACILITY SHALL:

36 1. POST IN A CONSPICUOUS PLACE READILY ACCESSIBLE TO THE GENERAL
 37 PUBLIC A NOTICE PREPARED BY THE DEPARTMENT SETTING FORTH A SUMMARY OF
 38 THE SAFE STAFFING REQUIREMENTS APPLICABLE TO THAT FACILITY TOGETHER WITH
 39 INFORMATION ABOUT WHERE DETAILED INFORMATION ABOUT THE FACILITY'S STAFF-
 40 ING PLAN AND ACTUAL STAFFING MAY BE OBTAINED;

41 2. UPON REQUEST, MAKE COPIES OF THE DOCUMENTED STAFFING PLAN FILED
 42 WITH THE DEPARTMENT AVAILABLE TO THE PUBLIC; AND

43 3. UPON REQUEST MAKE READILY AVAILABLE TO THE NURSING STAFF WITHIN A
 44 DEPARTMENT OR UNIT, DURING EACH WORK SHIFT, THE FOLLOWING INFORMATION:

45 (A) A COPY OF THE CURRENT STAFFING PLAN FOR THAT DEPARTMENT OR UNIT,

46 (B) DOCUMENTATION OF THE NUMBER OF DIRECT-CARE NURSES REQUIRED TO BE
 47 PRESENT DURING THE SHIFT, BASED ON THE APPROVED ADOPTED ACUITY SYSTEM,
 48 AND

49 (C) DOCUMENTATION OF THE ACTUAL NUMBER OF DIRECT-CARE NURSES PRESENT
 50 DURING THE SHIFT.

51 S 2834. ENFORCEMENT RESPONSIBILITIES. THE DEPARTMENT SHALL NOT DELE-
 52 GATE ITS RESPONSIBILITIES TO ENFORCE THE SAFE STAFFING REQUIREMENTS
 53 PROMULGATED PURSUANT TO THIS ARTICLE.

54 S 2835. PRIVATE RIGHT OF ACTION FOR VIOLATIONS OF SECTION TWENTY-EIGHT
 55 HUNDRED THIRTY-TWO OF THIS ARTICLE. ANY ACUTE CARE FACILITY THAT
 56 VIOLATES THE RIGHTS OF AN EMPLOYEE PURSUANT TO AN ADOPTED WORK ASSIGN-
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1 MENT POLICY UNDER SECTION TWENTY-EIGHT HUNDRED THIRTY-TWO OF THIS ARTI-
 2 CLE MAY BE HELD LIABLE TO SUCH EMPLOYEE IN AN ACTION BROUGHT IN A COURT
 3 OF COMPETENT JURISDICTION FOR SUCH LEGAL OR EQUITABLE RELIEF AS MAY BE
 4 APPROPRIATE TO EFFECTUATE THE PURPOSES OF THE SAFE STAFFING REQUIRE-
 5 MENTS, INCLUDING BUT NOT LIMITED TO REINSTATEMENT, PROMOTION, LOST WAGES
 6 AND BENEFITS, AND COMPENSATORY AND CONSEQUENTIAL DAMAGES RESULTING FROM
 7 THE VIOLATION TOGETHER WITH AN EQUAL AMOUNT IN LIQUIDATED DAMAGES. THE
 8 COURT IN SUCH ACTION SHALL, IN ADDITION TO ANY JUDGMENT AWARDED TO A
 9 PREVAILING PLAINTIFF, AWARD REASONABLE ATTORNEYS' FEES AND COSTS OF
 10 ACTION TO BE PAID BY THE DEFENDANT. AN EMPLOYEE'S RIGHT TO INSTITUTE A
 11 PRIVATE ACTION PURSUANT TO THIS SUBDIVISION SHALL NOT BE LIMITED BY ANY
 12 OTHER RIGHT GRANTED BY THE SAFE STAFFING REQUIREMENTS.

13 S 4. Section 2801-a of the public health law is amended by adding a
 14 new subdivision 3-b to read as follows:

15 3-B. IN CONSIDERING CHARACTER, COMPETENCE AND STANDING IN THE COMMUNI-
 16 TY UNDER SUBDIVISION THREE OF THIS SECTION, THE PUBLIC HEALTH AND HEALTH
 17 PLANNING COUNCIL SHALL CONSIDER ANY PAST VIOLATIONS OF STATE OR FEDERAL
 18 RULES, REGULATIONS OR STATUTES RELATING TO EMPLOYER-EMPLOYEE RELATIONS,
 19 WORKPLACE SAFETY, COLLECTIVE BARGAINING OR ANY OTHER LABOR RELATED PRAC-
 20 TICES, OBLIGATIONS OR IMPERATIVES. THE PUBLIC HEALTH AND HEALTH PLANNING
 21 COUNCIL SHALL GIVE SUBSTANTIAL WEIGHT TO VIOLATIONS OF THE PROVISIONS OF
 22 THIS CHAPTER CONCERNING NURSE STAFF AND SUPPORTIVE STAFF RATIOS.

23 S 5. Section 2805 of the public health law is amended by adding a new
 24 subdivision 3 to read as follows:

25 3. IN DETERMINING WHETHER TO ISSUE OR RENEW AN OPERATING CERTIFICATE
 26 TO AN APPLICANT SEEKING TO OPERATE, OR OPERATING, A HOSPITAL IN ACCORD-
 27 ANCE WITH THIS ARTICLE, THE COMMISSIONER SHALL CONSIDER ANY PAST
 28 VIOLATIONS OF STATE OR FEDERAL RULES, REGULATIONS OR STATUTES RELATING
 29 TO EMPLOYER-EMPLOYEE RELATIONS, WORKPLACE SAFETY, COLLECTIVE BARGAINING
 30 OR ANY OTHER LABOR RELATED PRACTICES, OBLIGATIONS OR IMPERATIVES. THE
 31 PUBLIC HEALTH AND HEALTH PLANNING COUNCIL SHALL GIVE SUBSTANTIAL WEIGHT
 32 TO VIOLATIONS OF THE PROVISIONS OF THIS CHAPTER CONCERNING NURSE STAFF
 33 AND SUPPORTIVE STAFF RATIOS.

34 S 6. The public health law is amended by adding a new section 2895-b
 35 to read as follows:

36 S 2895-B. RESIDENTIAL HEALTH CARE FACILITY STAFFING LEVELS. 1. DEFI-
 37 NITIONS. AS USED IN THIS SECTION, THE FOLLOWING TERMS SHALL HAVE THE
 38 FOLLOWING MEANINGS:

39 (A) "CERTIFIED NURSE AIDE" MEANS ANY PERSON INCLUDED IN THE RESIDEN-
 40 TIAL HEALTH CARE FACILITY NURSE AIDE REGISTRY PURSUANT TO SECTION TWEN-
 41 TY-EIGHT HUNDRED THREE-J OF THIS CHAPTER.

42 (B) "STAFFING RATIO" MEANS THE QUOTIENT OF THE NUMBER OF PERSONNEL IN
 43 A PARTICULAR CATEGORY REGULARLY ON DUTY FOR A PARTICULAR TIME PERIOD IN
 44 A NURSING HOME DIVIDED BY THE NUMBER OF RESIDENTS OF THE NURSING HOME AT
 45 THAT TIME.

46 2. COMMISSIONER AND RESIDENTIAL HEALTH CARE FACILITY COUNCIL; POWERS
 47 AND DUTIES. THE COMMISSIONER SHALL: APPOINT A RESIDENTIAL HEALTH CARE
 48 FACILITY COUNCIL CONSISTING OF THIRTEEN MEMBERS. NO LESS THAN TWO
 49 MEMBERS SHALL BE DIRECT CARE LICENSED PRACTICAL NURSES, NO LESS THAN
 50 TWO MEMBERS SHALL BE DIRECT CARE CERTIFIED NURSE ASSISTANTS AND NO LESS
 51 THAN ONE MEMBER SHALL BE A DIRECT CARE REGISTERED PROFESSIONAL NURSE.
 52 THE COUNCIL SHALL ALSO INCLUDE NO LESS THAN ONE REPRESENTATIVE EACH OF
 53 RECOGNIZED OR CERTIFIED COLLECTIVE BARGAINING AGENTS OF REGISTERED NURS-
 54 ES, OF NON-REGISTERED NURSE DIRECT CARE STAFF AND A REPRESENTATIVE OF
 55 NURSING PROFESSIONAL ASSOCIATIONS. THE COUNCIL SHALL ALSO INCLUDE NO
 56 LESS THAN TWO REPRESENTATIVES OF RESIDENTIAL HEALTH CARE FACILITY OPERA-
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1 TORS, TWO REPRESENTATIVES OF RESIDENTIAL HEALTH CARE FACILITY NURSE
 2 ADMINISTRATORS AND ONE REPRESENTATIVE OF CONSUMERS. THE RESIDENTIAL
 3 HEALTH CARE FACILITY COUNCIL SHALL ADVISE THE COMMISSIONER IN THE DEVEL-

4 OPMENT OF REGULATIONS RELATING TO THE STAFFING STANDARDS UNDER THIS
 5 SECTION; AND MAY FROM TIME TO TIME, REPORT TO THE GOVERNOR, THE LEGISLA-
 6 TURE, THE PUBLIC AND THE COMMISSIONER ANY RECOMMENDATIONS REGARDING
 7 STAFFING LEVELS IN RESIDENTIAL HEALTH CARE FACILITIES.

8 3. STAFFING STANDARDS. (A) THE COMMISSIONER, IN CONSULTATION WITH THE
 9 COUNCIL, SHALL, BY REGULATION, ESTABLISH STAFFING STANDARDS FOR RESIDEN-
 10 TIAL HEALTH CARE FACILITY MINIMUM STAFFING LEVELS TO MEET APPLICABLE
 11 STANDARDS OF SERVICE AND CARE AND TO PROVIDE SERVICES TO ATTAIN OR MAIN-
 12 TAIN THE HIGHEST PRACTICABLE PHYSICAL, MENTAL, AND PSYCHOSOCIAL WELL-BE-
 13 ING OF EACH RESIDENT OF THE FACILITY. THE COMMISSIONER SHALL ALSO
 14 REQUIRE BY REGULATION THAT EVERY RESIDENTIAL HEALTH CARE FACILITY MAIN-
 15 TAIN RECORDS ON ITS STAFFING LEVELS, REPORT ON SUCH RECORDS TO THE
 16 DEPARTMENT, AND MAKE SUCH RECORDS AVAILABLE FOR INSPECTION BY THE
 17 DEPARTMENT.

18 (B) EVERY RESIDENTIAL HEALTH CARE FACILITY SHALL:

19 (I) COMPLY WITH THE STAFFING STANDARDS UNDER THIS SECTION; AND

20 (II) EMPLOY SUFFICIENT STAFFING LEVELS TO MEET APPLICABLE STANDARDS OF
 21 SERVICE AND CARE AND TO PROVIDE SERVICE AND CARE AND TO PROVIDE SERVICES
 22 TO ATTAIN OR MAINTAIN THE HIGHEST PRACTICABLE PHYSICAL, MENTAL, AND
 23 PSYCHOSOCIAL WELL-BEING OF EACH RESIDENT OF THE FACILITY.

24 (C) SUBJECT TO SUBDIVISION FIVE OF THIS SECTION, STAFFING STANDARDS
 25 UNDER THIS SECTION SHALL, AT A MINIMUM, BE THE STAFFING STANDARDS UNDER
 26 SUBDIVISION FOUR OF THIS SECTION.

27 (D) IN DETERMINING COMPLIANCE WITH THE STAFFING STANDARDS UNDER THIS
 28 SECTION, AN INDIVIDUAL SHALL NOT BE COUNTED WHILE PERFORMING SERVICES
 29 THAT ARE NOT DIRECT NURSING CARE, SUCH AS ADMINISTRATIVE SERVICES, FOOD
 30 PREPARATION, HOUSEKEEPING, LAUNDRY, MAINTENANCE SERVICES, OR OTHER
 31 ACTIVITIES THAT ARE NOT DIRECT NURSING CARE.

32 4. STATUTORY STANDARD. BEGINNING TWO YEARS AFTER THE EFFECTIVE DATE
 33 OF THIS SECTION, EVERY RESIDENTIAL HEALTH CARE FACILITY SHALL MAINTAIN A
 34 STAFFING RATIO EQUAL TO AT LEAST THE FOLLOWING:

35 (A) 2.8 HOURS OF CARE PER RESIDENT PER DAY BY A CERTIFIED NURSE AIDE;

36 (B) 1.3 HOURS OF CARE PER RESIDENT PER DAY BY A LICENSED PRACTICAL
 37 NURSE OR A REGISTERED NURSE;

38 (C) 0.75 HOURS OF CARE PER RESIDENT PER DAY BY A REGISTERED NURSE; THE
 39 MINIMUM OF 0.75 HOURS OF CARE PER RESIDENT PROVIDED BY A REGISTERED
 40 NURSE SHALL BE DIVIDED AMONG ALL SHIFTS TO ENSURE AN APPROPRIATE LEVEL
 41 OF REGISTERED NURSE CARE TWENTY-FOUR HOURS PER DAY, SEVEN DAYS A WEEK,
 42 TO MEET RESIDENT NEEDS; AND

43 (D) RESIDENTIAL HEALTH CARE FACILITIES THAT CARE FOR SUBACUTE PATIENTS
 44 SHALL MAINTAIN AT A MINIMUM, THE FOLLOWING DIRECT-CARE NURSE-TO-PATIENT
 45 RATIO: ONE NURSE TO FIVE PATIENTS.

46 5. ANY RESIDENTIAL HEALTH CARE FACILITY THAT VIOLATES THE RIGHTS OF
 47 AN EMPLOYEE PURSUANT TO AN ADOPTED WORK ASSIGNMENT POLICY UNDER THIS
 48 SECTION MAY BE HELD LIABLE TO SUCH EMPLOYEE IN AN ACTION BROUGHT IN A
 49 COURT OF COMPETENT JURISDICTION FOR SUCH LEGAL OR EQUITABLE RELIEF AS
 50 MAY BE APPROPRIATE TO EFFECTUATE THE PURPOSES OF THE SAFE STAFFING
 51 REQUIREMENTS, INCLUDING BUT NOT LIMITED TO REINSTATEMENT, PROMOTION,
 52 LOST WAGES AND BENEFITS, AND COMPENSATORY AND CONSEQUENTIAL DAMAGES
 53 RESULTING FROM THE VIOLATION TOGETHER WITH AN EQUAL AMOUNT IN LIQUIDATED
 54 DAMAGES. THE COURT IN SUCH ACTION SHALL, IN ADDITION TO ANY JUDGMENT
 55 AWARDED TO A PREVAILING PLAINTIFF, AWARD REASONABLE ATTORNEYS' FEES AND
 56 COSTS OF ACTION TO BE PAID BY THE DEFENDANT. AN EMPLOYEE'S RIGHT TO
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1 INSTITUTE A PRIVATE ACTION PURSUANT TO THIS SUBDIVISION SHALL NOT BE
 2 LIMITED BY ANY OTHER RIGHT GRANTED BY THE SAFE STAFFING REQUIREMENTS.

3 6. PUBLIC DISCLOSURE OF STAFFING LEVELS. (A) A RESIDENTIAL HEALTH CARE
 4 FACILITY SHALL POST INFORMATION REGARDING NURSE STAFFING THAT THE FACIL-
 5 ITY IS REQUIRED TO MAKE AVAILABLE TO THE PUBLIC UNDER SECTION
 6 TWENTY-EIGHT HUNDRED FIVE-T OF THIS CHAPTER. INFORMATION UNDER THIS
 7 PARAGRAPH SHALL BE DISPLAYED IN A FORM APPROVED BY THE DEPARTMENT AND BE

8 POSTED IN A MANNER WHICH IS VISIBLE AND ACCESSIBLE TO RESIDENTS, THEIR
9 FAMILIES AND THE STAFF, AS REQUIRED BY THE COMMISSIONER.

10 (B) A RESIDENTIAL HEALTH CARE FACILITY SHALL POST A SUMMARY OF THIS
11 SECTION, PROVIDED BY THE DEPARTMENT, IN PROXIMITY TO EACH POSTING
12 REQUIRED BY PARAGRAPH (A) OF THIS SUBDIVISION.

13 S 7. If any provision of this act, or any application of any provision
14 of this act, is held to be invalid, or ruled by any federal agency to
15 violate or be inconsistent with any applicable federal law or regu-
16 lation, that shall not affect the validity or effectiveness of any other
17 provision of this act, or of any other application of any provision of
18 this act.

19 S 8. This act shall take effect on the one hundred eightieth day after
20 it shall have become a law, provided that any rules and regulations, and
21 any other actions necessary to implement the provisions of this act on
22 its effective date are authorized and directed to be completed on or
23 before such date.