

**U.S. District Court [LIVE]
Western District of Texas (Austin)
CIVIL DOCKET FOR CASE #: 1:22-cv-01314-DAE**

Block v. U.S. Securities and Exchange Commission
Assigned to: Judge David A. Ezra
Cause: 05:552 Freedom of Information Act

Date Filed: 12/14/2022
Jury Demand: None
Nature of Suit: 895 Freedom of Information Act
Jurisdiction: U.S. Government Defendant

Plaintiff

Carson Block

represented by **Annie Shi Zhang**
Davis Wright Tremaine LLP
50 California St., Suite 2300
San Francisco, CA 94111
917-767-7065
Email: anniezhang@dwt.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Eric M. Stahl
Davis Wright Tremaine LLP
920 Fifth Avenue, Suite 3300
Seattle, WA 98104
206-757-8148
Fax: 206-757-7148
Email: ericstahl@dwt.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Megan C. Amaris
Davis Wright Tremaine LLP
50 California Street, 23rd Floor
San Francisco, CA 94111
415-276-6500
Fax: 415-276-6599
Email: meganamaris@dwt.com
ATTORNEY TO BE NOTICED

Thomas R. Burke
Davis Wright Tremaine LLP
50 California Street, 23rd Floor
San Francisco, CA 94111
415-276-6500
Fax: 415-276-6599
Email: thomasburke@dwt.com
ATTORNEY TO BE NOTICED

John Courtade
Attorney at Law
4408 Spicewood Springs Rd.
Austin, TX 78759
(512) 502-1135
Fax: (512) 502-1138
Email: johncourtade@johncourtade.com
ATTORNEY TO BE NOTICED

V.

Defendant

**U.S. Securities and Exchange
Commission**

represented by **Liane Ngin Noble-AUSA**
U.S. Attorney's Office

903 San Jacinto Blvd., Suite 334
 Austin, TX 78701
 512-370-1252
 Email: liane.noble@usdoj.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

William Smith Greig
 Securities and Exchange Commission
 Office of the General Counsel
 100 F. Street, NE
 Washington, DC 20549
 202-551-5147
 Email: greigw@sec.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Defendant

U.S. Department of Justice

represented by **Liane Ngin Noble–AUSA**
 (See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
12/14/2022	<u>1</u>	COMPLAINT (Filing fee \$ 402 receipt number ATXWDC-16859553), filed by Carson Block. (Attachments: # <u>1</u> Civil Cover Sheet, # <u>2</u> Exhibit A, # <u>3</u> Exhibit B, # <u>4</u> Exhibit C, # <u>5</u> Exhibit D, # <u>6</u> Exhibit E, # <u>7</u> Exhibit F, # <u>8</u> Exhibit G, # <u>9</u> Exhibit H)(Courtade, John) (Entered: 12/14/2022)
12/14/2022	<u>2</u>	REQUEST FOR ISSUANCE OF SUMMONS by Carson Block. <i>to be issued to SEC</i> (Courtade, John) (Entered: 12/14/2022)
12/14/2022	<u>3</u>	REQUEST FOR ISSUANCE OF SUMMONS by Carson Block. <i>to be issued to DOJ</i> (Courtade, John) (Entered: 12/14/2022)
12/14/2022	<u>4</u>	REQUEST FOR ISSUANCE OF SUMMONS by Carson Block. <i>to be issued to USAO</i> (Courtade, John) (Entered: 12/14/2022)
12/14/2022		Case assigned to Judge Lee Yeakel. CM WILL NOW REFLECT THE JUDGE INITIALS AS PART OF THE CASE NUMBER. PLEASE APPEND THESE JUDGE INITIALS TO THE CASE NUMBER ON EACH DOCUMENT THAT YOU FILE IN THIS CASE. (cr5) (Entered: 12/16/2022)
12/14/2022		If ordered by the court, all referrals and consents in this case will be assigned to Magistrate Judge Hightower. (cr5) (Entered: 12/16/2022)
12/16/2022	<u>5</u>	Summons Issued as to U.S. Securities and Exchange Commission. (cr5) (Entered: 12/16/2022)
12/16/2022	<u>6</u>	Summons Issued as to U.S. Attorney General. (cr5) (Entered: 12/16/2022)
12/16/2022	<u>7</u>	MOTION to Appear Pro Hac Vice by John Courtade <i>for Megan Amaris</i> (Filing fee \$ 100 receipt number ATXWDC-16872003) by on behalf of Carson Block. (Courtade, John) (Entered: 12/16/2022)
12/16/2022	<u>8</u>	Summons Issued as to U.S. Attorney. (cr5) (Entered: 12/16/2022)
12/16/2022	<u>9</u>	MOTION to Appear Pro Hac Vice by John Courtade <i>for Thomas Burke</i> (Filing fee \$ 100 receipt number ATXWDC-16872046) by on behalf of Carson Block. (Courtade, John) (Entered: 12/16/2022)
12/20/2022	<u>10</u>	ORDER GRANTING <u>9</u> Motion to Appear Pro Hac Vice for Attorney Thomas R. Burke for Carson Block. Pursuant to our Administrative Policies and Procedures for Electronic Filing, the attorney hereby granted to practice pro hac vice in this case must register for electronic filing with our court within 10 days of this order. Registration is

		managed by the PACER Service Center Signed by Judge Lee Yeakel. (pg) (Entered: 12/20/2022)
12/20/2022	<u>11</u>	ORDER GRANTING <u>7</u> Motion to Appear Pro Hac Vice for Attorney Megan C. Amaris for Carson Block. Pursuant to our Administrative Policies and Procedures for Electronic Filing, the attorney hereby granted to practice pro hac vice in this case must register for electronic filing with our court within 10 days of this order. Registration is managed by the PACER Service Center Signed by Judge Lee Yeakel. (pg) (Entered: 12/20/2022)
12/27/2022	<u>12</u>	NOTICE of DEFICIENT SERVICE OF PROCESS AS TO US ATTY by U.S. Securities and Exchange Commission re <u>8</u> Summons Issued as to USA (Noble–AUSA, Liane) (Entered: 12/27/2022)
12/27/2022	<u>13</u>	REQUEST FOR ISSUANCE OF SUMMONS by Carson Block. (<i>amended</i>) (Courtade, John) (Entered: 12/27/2022)
12/28/2022	<u>14</u>	Summons Reissued as to U.S. Attorney (jv2) (Entered: 12/28/2022)
01/20/2023	<u>15</u>	MOTION to Appear Pro Hac Vice by Jason Patrick Reinsch <i>on behalf of Attorney, William Smith Greig</i> by on behalf of U.S. Securities and Exchange Commission. (Reinsch, Jason) (Entered: 01/20/2023)
01/23/2023	<u>16</u>	ORDER GRANTING <u>15</u> Motion to Appear Pro Hac Vice as to William Smith Greig. Pursuant to our Administrative Policies and Procedures for Electronic Filing, the attorney hereby granted to practice pro hac vice in this case must register for electronic filing with our court within 10 days of this order. Registration is managed by the PACER Service Center Signed by Judge Lee Yeakel. (dm) (Entered: 01/23/2023)
01/30/2023	<u>17</u>	ANSWER to <u>1</u> Complaint, . Attorney Wm Smith Greig added to party U.S. Securities and Exchange Commission(pty:dft) by U.S. Securities and Exchange Commission.(Greig, Wm) (Entered: 01/30/2023)
04/27/2023	<u>18</u>	ORDER REASSIGNING CASE. Case reassigned to Judge David A. Ezra for all proceedings. Judge Lee Yeakel no longer assigned to case. Signed by Judge Lee Yeakel. (pg) (Entered: 04/28/2023)
09/12/2023	<u>19</u>	ORDER for Scheduling Recommendations and Advisory Concerning Magistrate Judge Assignment. Signed by Judge David A. Ezra. (dm) (Entered: 09/13/2023)
10/12/2023	<u>20</u>	Scheduling Recommendations <i>Joint Scheduling Recommendations</i> by Carson Block. (Amaris, Megan) (Entered: 10/12/2023)
11/30/2023	<u>21</u>	SCHEDULING ORDER. Signed by Judge David A. Ezra. (pg) (Entered: 12/01/2023)
01/12/2024	<u>22</u>	Unopposed MOTION for Leave to File First Amended Complaint by Carson Block. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Proposed Order)(Burke, Thomas) (Entered: 01/12/2024)
01/17/2024		Text Order GRANTING <u>22</u> Unopposed Motion for Leave to File First Amended Complaint. (Dkt. # 22.) Entered by Judge David A. Ezra. (This is a text–only entry generated by the court. There is no document associated with this entry.) (kj) (Entered: 01/17/2024)
01/17/2024	<u>23</u>	FIRST AMENDED COMPLAINT For Injunctive and Declaratory Relief against U.S. Securities and Exchange Commission, U.S. Department of Justice amending <u>1</u> Complaint, filed by Carson Block.(dm) (Entered: 01/18/2024)
01/25/2024	<u>24</u>	MOTION to Appear Pro Hac Vice by John Courtade <i>on behalf of Erik Stahl</i> (Filing fee \$ 100 receipt number ATXWDC–18345337) by on behalf of Carson Block. (Attachments: # <u>1</u> Proposed Order)(Courtade, John) (Entered: 01/25/2024)
01/25/2024	<u>25</u>	MOTION to Appear Pro Hac Vice by John Courtade <i>on behalf of Annie S. Zhang</i> (Filing fee \$ 100 receipt number ATXWDC–18345425) by on behalf of Carson Block. (Attachments: # <u>1</u> Proposed Order)(Courtade, John) (Entered: 01/25/2024)
03/05/2024	<u>26</u>	ORDER GRANTING <u>24</u> Motion to Appear Pro Hac Vice as to Eric Stahl. Pursuant to our Administrative Policies and Procedures for Electronic Filing, the attorney hereby granted to practice pro hac vice in this case must register for electronic filing with our

		court within 10 days of this order. Registration is managed by the PACER Service Center. Signed by Judge David A. Ezra. (dm) (Entered: 03/05/2024)
03/05/2024	<u>27</u>	ORDER GRANTING <u>25</u> Motion to Appear Pro Hac Vice as to Annie S. Zhang. Pursuant to our Administrative Policies and Procedures for Electronic Filing, the attorney hereby granted to practice pro hac vice in this case must register for electronic filing with our court within 10 days of this order. Registration is managed by the PACER Service Center. Signed by Judge David A. Ezra. (dm) (Entered: 03/05/2024)
03/13/2024	<u>28</u>	ANSWER to <u>23</u> Amended Complaint . Attorney Liane Ngin Noble-AUSA added to party U.S. Department of Justice(pty:dft) by U.S. Department of Justice, U.S. Securities and Exchange Commission.(Noble-AUSA, Liane) (Entered: 03/13/2024)
05/07/2024	<u>29</u>	Agreed MOTION to Extend Scheduling Order Deadlines by U.S. Department of Justice, U.S. Securities and Exchange Commission. (Attachments: # <u>1</u> Proposed Order)(Noble-AUSA, Liane) (Entered: 05/07/2024)
05/13/2024	<u>30</u>	ORDER GRANTING Agreed <u>29</u> Motion to Extend Scheduling Order Deadlines. Signed by Judge David A. Ezra. (klw) (Entered: 05/14/2024)