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# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report							
Roberts, Jr, John G.	Supreme Court of the United States	05/15/2020							
<ul> <li>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</li> <li>Chief Justice of the United States</li> </ul>	5a. Report Type (check appropriate type)         Nomination       Date         Initial          ✓ Annual       Final         5b.       Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019							
7. Chambers or Office Address									
One First Street, NE Washington, DC 20543									
	<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.								
I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing in	nstructions )								
✓ NONE (No reportable positions.)									
POSITION	NAME OF ORGAN	ZATION/ENTITY							
1									
2.									
3.									

II.	AGREEN	<b>MENTS.</b>	(Reporting	e individual o	onlv: see pp.	14-16 of filin	g instructions)
			neporning	<i>է տատաստ</i>	omy, see pp.	14-10 0/ juin	s monuciono )

NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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1.

2.

3.

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Roberts, Jr, John G.

# III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions )

#### A. Filer's Non-Investment Income

✓ NONE (*No reportable non-investment income.*)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
l.		
2.		
3.		
l.		

#### B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

DATE	SOURCE AND TYPE						
1. 2019	Major, Lindsey & Africa, LLC Attorney Search Consultants salary						
2. 2019	Mlegal Group, Inc Attorney Search Consultants salary						
3.							
4.							

### IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable non-investment income.)

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	DATES	LOCATION	<u>PURPOSE</u>	ITEMS PAID OR PROVIDED
1.	Belmont College of Law	02/06/19	Nashville, Tennessee	Addressed Belmont Law community; met with students, faculty, and Federal judges	Transportation and meal
2.	Nashville School of Law; Vanderbilt University Law School	Vanderbilt University Law		Met with NSL students and faculty; and, addressed Vanderbilt Law School community	Transportation, meals, and lodging
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting	Date of Report
Page 3 of 10	Roberts, Jr, John G.	05/15/2020

# V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

✓ NONE (No reportable gifts.)		
SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

### VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

\_\_\_\_

~	NONE (No reportable liabilit	ies.)	
	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Roberts, Jr, John G.

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	А		В	(	c			D		
	Description of Assets	Inco	me during		lue at end		Transactio		, reporting p	period
	(including trust assets)	repor	ting period	of report	ing period					
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
				1	1					
1.	Lam Research (Common) LRCX	В	Dividend	L	Т					
2.	Texas Instruments (Common) TXN	D	Dividend	N	Т					
3.	Thermo Fisher (Common) TMO	А	Dividend	N	Т					
4.	Sirius XM (Common) SIRI	В	Dividend	N	Т					
5.	Amer. Century Gro. Inv. Class I TWGIX	С	Dividend	L	Т					
6.	MetLife GVUL: Putnam Multi-Cap Growth Fund		None	К	Т					
7.	Vanguard Int'l Gr Fund Admiral Shares VWILX	В	Dividend	L	Т					
8.	Vanguard Sm-Cap Index Fund Admiral VSMAX	В	Dividend	М	Т					
9.	Wells Fargo bank accounts	А	Interest	М	Т					
10.	Capital One, Inc. bank accounts	В	Interest	P1	Т					
11.	1/8 int. cottage, Knocklong, County Limerick, Ireland	А	Rent	К	W					
12.	my529 Equity - 10% Int'l	D	Dividend	N	Т					
13.	my529 Age-Based Moderate	С	Dividend	М	Т					
14.	Allegis Group Ret. Plan (H)									
15.	Vanguard Target Retirement 2020 Trust II		None			Closed	04/10/19	М	В	
16.	Eaton Vance Ser II Income Fund Boston CL 1 EIBIX	С	Dividend	L	Т	Buy (add'l)	04/12/19	J		
17.	First Eagle Global SGIIX	Е	Dividend	N	Т	Buy (add'l)	01/15/19	J		

1 Income Gain Codes:

(See Columns B1 and D4) 2 Value Codes (See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only) V =Other C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 E =\$15,001 - \$50,000

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Roberts, Jr, John G.

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A		В		C .			D		
	Description of Assets		me during	Gross value at end of reporting period		Transactions during reporting period				period
	(including trust assets)		ting period	-					(1)	(-)
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Place "(X)" after each asset	Amount Code 1	Type (e g ,	Value	Value	Type (e g ,	Date	Value	Gain	Identity of
	exempt from prior disclosure	(A-H)	div , rent, or int )	Code 2 (J-P)	Method Code 3	buy, sell, redemption)	mm/dd/yy	Code 2 (J-P)	Code 1 (A-H)	buyer/seller (if private
		()	or mu)	(J-P)	(Q-W)	redemption)		(J-P)	(А-п)	(II private transaction)
					(Q-W)					u ansacuon)
				1	1	1	1			
18.						Buy	02/15/19	J		
						(add'l)				
19.						Buy	04/12/19	J		
						(add'l)				
20.	Gabelli Small Cap Growth 1 GACIX	Е	Dividend			Buy	01/15/19	J		
-0.	ensem sman enp crown r crienr	L	Dividend			(add'l)	01/15/17	5		
21										
21.						Buy	04/12/19	J		
						(add'l)				
22.						Sold	12/19/19	М	E	
23.	T. Rowe Price Real Estate TRREX	D	Dividend	L	Т					
		2	Dividend	-	-					
24.	T. Rowe Price Blue Chip Growth TRBCX	D	D' '1 1	N	т	D	01/15/10	т.		
24.	1. Rowe Frice Blue Chip Glowin TRBCA	В	Dividend	N	Т	Buy (add'l)	01/15/19	J		
						(auu I)				
25.						Buy	04/12/19	J		
						(add'l)				
26.	Wells Fargo Absolute Return Fund WABIX	Е	Dividend	0	Т	Buy	01/15/19	J		
						(add'l)				
27.						Buy	02/15/19	J		
27.						(add'l)	02/13/19	J		
-										
28.						Buy	04/12/19	Κ		
						(add'l)				
29.	AQR FDS Mgd. Futures Strategy AQMIX	C	Dividend	L	Т	Buy	04/12/19	J		
						(add'l)				
30.	Dodge & Cox FDS International Stock	D	Dividend	N	Т	Buy	04/12/19	K		
	DODFX		Dividend	1,		(add'l)	01/12/19			
31.							04/17/10			
51.						Sold	04/17/19	J	A	
						(part)				
32.	Jane Brain, LLC (see Part VIII)		None	J	U					
33.	Principal MidCap Institutional PCBIX	Е	Dividend	N	Т	Buy	01/15/19	J		
						(add'l)				
34.							04/12/19	J		
54.						Buy (add'l)	04/12/19	J		
				1		(4441)				

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

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Roberts, Jr, John G.

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	А		В	(	c			D			
	Description of Assets	Inco	me during		lue at end				period		
	(including trust assets)	report	ting period	of reporti	ing period						
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
35.						Sold (part)	10/11/19	J	A		
36.	ASG GLBL ALTRNTVE CL Y GAFYX	С	Dividend	N	Т	Buy (add'l)	04/12/19	J			
37.	Dodge & Cox Stock DODGX	Е	Dividend	N	Т	Buy (add'l)	01/15/19	J			
38.						Buy (add'l)	02/15/19	J			
39.						Buy (add'l)	04/12/19	J			
40.						Sold (part)	04/17/19	J	А		
41.	Invesco Oppenheimer Developing Markets ODVYX (see Part VIII)	D	Dividend	N	Т	Buy (add'l)	01/15/19	J			
42.						Buy (add'l)	02/15/19	J			
43.						Buy (add'l)	04/12/19	J			
44.	Charter Communications (Common) CHTR		None	N	Т						
45.	my529 Cash Account	D	Dividend	N	Т						
46.	Thornburg Ltd-Term Muni Inst LTMIX	А	Dividend	K	Т	Buy (add'l)	01/15/19	J			
47.						Buy (add'l)	02/15/19	J			
48.	Tweedy Browne Global Value Fund TBGVX	В	Dividend	L	Т	Buy (add'l)	01/15/19	J			
49.						Buy (add'l)	02/15/19	J			
50.	T Rowe Price Short-Term Bond PRWBX	С	Dividend	М	Т	Buy (add'l)	04/12/19	J			
51.	Vanguard Div. Growth Fd Investor Shares VDIGX	Е	Dividend	P1	Т	Buy (add'l)	01/10/19	М			

1 Income Gain Codes:

(See Columns B1 and D4) 2 Value Codes (See Columns C1 and D3)

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(See Column C2)

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Roberts, Jr, John G.

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	А		В	(	2			D			
	Description of Assets	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period					
	(including trust assets)										
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
52.	Gateway Fund GTEYX	В	Dividend	М	Т	Buy (add'l)	01/15/19	J			
53.						Buy (add'l)	02/15/19	J			
54.	Vanguard Total Stk Mkt Index Fd Adm Shs VTSAX	В	Dividend	L	Т	Buy (add'l)	01/15/19	J			
55.	Goldman Finl Sq Treas Money Market Fund FTIXX	В	Dividend	К	Т	Sold (part)	01/11/19	J			
56.						Buy (add'l)	01/15/19	J			
57.						Sold (part)	02/15/19	J			
58.						Buy (add'l)	02/15/19	J			
59.						Sold (part)	04/12/19	J			
60.						Buy (add'l)	04/12/19	J			
61.						Sold (part)	05/17/19	J			
62.						Sold (part)	07/12/19	J			
63.						Sold (part)	10/11/19	J			
64.	Wells Fargo IRA / Inv. Co. Amer. Class C AICCX	А	Dividend	J	Т	Buy (add'l)	03/29/19	J			
65.	MetLife GVUL: Deutsche Govt. Money Mkt VIP		None	J	Т						
66.	MetLife GVUL Put.Gro. Opps.		None	К	Т						
67.	Bost.Ptnrs. L/S Rsrch. Fund BPIRX	В	Dividend	L	Т	Buy (add'l)	04/12/19	J			
68.	Van Eck Global GHAYX	А	Dividend	L	Т	Buy (add'l)	04/12/19	J			

1 Income Gain Codes:

(See Columns B1 and D4) 2 Value Codes (See Columns C1 and D3)

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(See Column C2)

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Roberts, Jr, John G.

# VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets)		B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.	Charles Schwab bank account	Α	Interest	К	Т					
70.	C. Schwab Value Advantage M. Fund SWVXX	С	Dividend	М	Т					
71.	Tributary SM Co INSTL IP FOSBX		None	N	Т	Buy	12/20/19	N		

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

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# VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Part VII., line 32. The LLC referenced in line 32, which was previously listed as an account header, has no value.

Part VII., line 41. On May 24, 2019, Oppenheimer Developing Markets changed its name to Invesco Oppenheimer Developing Markets.

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Roberts, Jr, John G.

### **IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John G. Roberts, Jr

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544