AO 10 Rev. 1/2007

ī

.

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gorsuch, Neil M	Tenth Circuit Court of Appeals	08/23/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) Nomination, Date Initial X Annual Final 5b. M Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address Byron White Courthouse 1823 Stout Street	 5b. Amended Report 8. On the basis of the information contained in this Report and ar modifications pertaining thereto, it is, in my opinion, in complian with applicable laws and regulations. 	
Denver, CO 80257	Reviewing Officer	Date
IMPORTANT NOTES: The instructions according the NONE box for each part where you have box for each	ompanying this form must be followed. Complete all parts, ave no reportable information. Sign on last page.	

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member	perty)					
2.						
3.						
4				<u>~ 1</u>		
5.			ISCL	A LOOI	70 	
II. AGREEMENTS. (Reporting		ons.)	IWARUIAL JOSURE OFFICE	AUG 24 A 10: 2	CE VED	
NONE (No reportable agre	eements.)		Ш	28		
DATE		PARTIES AND TER	MS			
1.2005	Kellogg, Huber, et al I retain a at the firm.	an interest in certain contingency cases	in recognition	of legal se	ervices I rendered	while
2.						
3.						

FINA	AN(CIAL	DISCL	OSURE	REPORT
-	^				

,

4

X

Gorsuch, Neil M

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	<u>INCOME</u> (yours, not spouse's)
1.2006	Kellogg, Huber, et al., see note Part VII	\$ 697,148
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

Χ	NONE (No reportable non-	investment income.)
	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		
5.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE (No reportable reimbursements.)

SOURCE

1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting	Date of Report
Page 3 of 8	Gorsuch, Neil M	08/23/2007

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

X NONE (No reportable gifts.)

٠

-

4

SOURCE	DESCRIPTION	VALUE
l		
2		
3.		
4.		
5		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of instructions.)

X NONE (No reportable liab	ilities.)	
CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

Page 4 of 8

.

,

Name of Person Reporting Gorsuch, Neil M

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

[A.	· · · · · · · · · · · · · · · · · · ·	В.	(z	·		D.		
	Description of Assets		me during	1	ic at end of		Transacti	ons during r	eporting pe	riod
	(including trust assets)	reporting period		reportin	g period					
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (c.g. buy, scil, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1,	USAA Money Market	D	Interest	N	Т	Exempt			<u>]</u>	
2.	USAA Bond Fund	D	Int./Div.	М	Т	Buy	3/7	К	-	
3.	USAA High Yield Bond Fund	D	Int./Div.	М	Т	Buy	3/7	К		
4.	USAA Int'l Fund	E	Int./Div.	М	Т	Buy	3/7	К		
5.	USAA S&P Fund	С	Int./Div.	М	Т					
6.	USAA Value Fund	В	Int./Div.	M	Т	Buy	3/7	L		
7.	USAA Tax Ex Interm Bond Fund	A	Int./Div.	N	Т					
8.	USAA Small Cap Fund	А	Int./Div.	L	Т	Buy	3/7	L		
9.	USAA GNMA Trust	В	Int./Div.			Sell	3/7	N	A	
10.	Walden Group LLC		None	N	w					
11.	529 Plans		None	N	Т					
12.	ABA 401 K Value Fund		None			Rollover	11/15	М		See note, Part VII
13.	ABA 401 K. Growth Fund		None			Rollover	11/15	L		
14.	ABA 401 K Equity Index Fund		None			Rollover	11/15	М		
15.	Diversified Investment 401K Stock Index		None	М	Т	Rollover	11/15			
16.	Diversified Invest 401K Amer Fds Growth Fund		None	L	Т	Rollover	11/15			
17.	Diversified Invest 401K US Lg Cap Fund		None	М	Т	Rollover	11/15			
(S 2. V (S 3. V	come Gain Codes: A =\$1,000 or less tee Columns B1 and D4) F =\$50,001 - \$100,000 alue Codes J =\$15,000 or less tee Columns C1 and D3) N =\$250,001 - \$500,000 alue Method Codes P3 =\$25,000,001 - \$500,000 ee Column C2) Q =Appraisal U =Book Value U =Book Value	000 g	3 =\$1,001 - \$2,500 3 =\$100,001 - \$1,0 4 =\$15,001 - \$50,0 50,001 - \$1,0 50,001 - \$1,0 50,001 - \$1,0 4 =Cost (Real Estation of the second	000,000 000 000,000	L =\$50,001 P1 =\$1,000	0,001 - \$5,000,000 - \$100,000 0,001 - \$5,000,000 than \$50,000,000 ment	H2 =Mo M =S100	1 - \$15,000 re than \$5,000 0,001 - \$250,0 00,001 - \$25,0 Market	00	E =\$15,001 - \$50,000

. .

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

Gorsuch, Neil M

NONE (No reportable income, assets, or transactions.)

	A.		В.	(С.	D,					
	Description of Assets		ome during		ue at end of	Transactions during reporting period					
	(including trust assets) . Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	rting period (2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	g period (2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)	
18.	403B Plan - TIAA CREF		None	J	Т						
19.	Thrift Savings Plan		None	К	Т	Exempt					
20.	Senate Credit Union checking	A	Int./Div.	J	Т	Exempt					
21.	USAA IRA -Growth & Income Fund	A	Int./Div.	J	Т	Buy	3/7	J			
22.	USAA IRA -GNMA Trust	A	Int./Div.			Sell	3/7	J			
23.	USAA IRA - High Yield Fund	А	Int./Div.	J	Т	Buy	3/7	J			
24.	USAA IRA - Total Return Fund	A	Int./Div.	J	Т	Buy	3/7	J			
25.	USAA IRA - World Growth Fund	A	Int./Div.	J	Т	Buy	3/7	J			
26.	USAA IRA -Growth & Income Fund	А	Int./Div.	J	Т	Buy	3/7	J			
27.	USAA IRA -GNMA Trust	А	Int./Div.			Sell	3/7	J			
28.	USAA IRA - High Yield Fund	A	Int./Div.	J	Т	Buy	3/7	J			
29.	USAA IRA - Total Return Fund	А	Int./Div.	J	Т	Buy	3/7	J			
30.	USAA IRA - World Growth Fund	А	Int./Div.	J	Т	Buy	3/7	J			
31.	USAA SEP IRA - S&P 500 Index Fund	А	Int./Div,	к	Т	Buy	9/25	К		1	
32.	Alpine FDS Dynamic Div Fund	А	Int./Div.			Sell	4/10	J	А		
33.	Provident Energy Trust common	А	Int./Div.			Sell	4/10	К	А		
34.	Vanguard Total Stock Mrkt Fund	A	Int./Div.			Sell	4/10	J	A		
(Se 2. Va (Se 3. Va	come Gain Codes: A =\$1,000 or less te Columns B1 and D4) F =\$50,001 - \$100,000 lue Codes J =\$15,000 or less te Columns C1 and D3) N =\$250,001 - \$500,000 lue Method Codes P3 =\$25,000,001 - \$500,000, te Column C2) Q =Appraisal U =Book Value U	000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,0 K =\$15,001 - \$50,0 O =\$500,001 - \$1,0 R =Cost (Real Esta V =Other	000,000 000 000,000	L =\$50,001 P1 =\$1,000	0,001 - \$5,000,000 - \$100,000 0,001 - \$5,000,000 han \$50,000,000 hent	H2 =Mo M =\$100	I	00	E =\$15,001 - \$50,000	

Gorsuch, Neil M

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
35.	Ameren common (X)	A	Int,/Div.			Sell	4/10	J	A	
36.	Dell common (X)	A	Int./Div.			Sell	4/10	J	A	
37.	FNM common (X)	A	Int./Div.			Sell	4/10	J	A	
38.	GE common (X)	A	Int./Div.			Sell	4/10	J	A	
39.	Intel common (X)	А	Int./Div.			Sell	4/10	J	A	
40.	Mattel common (X)	А	Int./Div.			Sell	4/10	J	A	
41.	Microsoft common (X)	А	Int./Div.			Sell	4/10	J	A	
42.	RMKR common (X)	А	Int./Div.			Sell	4/10	J	A	
43.	UNP common (X)	А	Int./Div.			Sell	3/16	J	A	
44.	WMT common (X)	A	Int./Div.			Sell	4/10	J	A	

1. Income Gain Codes:

- (See Columns B1 and D4) 2. Value Codes
- (See Columns C1 and D3)
- 3. Value Method Codes (See Column C2)

F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value

 $\Lambda =$ \$1,000 or less

B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market E =\$15,001 - \$50,000

Gorsuch, Neil M

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report.)

Note to Part III.A: Income from Kellogg, Huber, et al. represents payments of contingency fee income received in 2006 subject to an agreement reached with the firm prior to my departure.

r

Note to Part VII: Assets from ABA 401K account were directly rolled over into similar Diversified Investment Advisors 401K account.

Gorsuch, Neil M

IX. CERTIFICATION.

. .

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature____

8/23/07 Date

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544 AO 10 Rev. 1/2007

4.

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gorsuch, Neil M	Tenth Circuit Court of Appeals	04/26/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) Nomination, Date Initial X Annual Sb. Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address Byron White Courthouse 1823 Stout Street Denver, CO 80257	8. On the basis of the information contained in this Report and a modifications pertaining thereto, it is, in my opinion, in compli- with applicable laws and regulations. Reviewing Officer	ance
IMPORTANT NOTES: The instructions ac checking the NONE box for each part where you	companying this form must be followed. Complete all parts, have no reportable information. Sign on last page.	
I. POSITIONS. (Reporting individual only; see pp. 9-13 of instru NONE (No reportable positions.)	uctions.)	
POSITION	NAME OF ORGANI	ZATION/ENTITY
1. Member	Walden Group LLC (mountain property)	
2.		
3.		

5.		0	200	
II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instruct NONE (No reportable agreements.)	(ions.)	FINANCIAL SCLOSURE OFFICE	1 MAY - I A 9; 4	RECEIVED
DATE	PARTIES AND TERMS		8	

1. 2005	Kellogg, Huber, et al I retain an interest in certain contingency cases in recognition of legal services I rendered while at the firm.
2.	
3	

Gorsuch, Neil M

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.2006	Kellogg, Huber, et al., see note Part VII	\$ 697,148
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

Х	NONE (No	reportable	non-investment	income.)
---	----------	------------	----------------	----------

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE (No reportable reimbursements.)

X

<u>SOURCE</u>

 1.

 2.

 3.

 4.

 5.

DESCRIPTION

Page 3 of 8

, í

÷

Name of Person Reporting Gorsuch, Neil M

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

X	NONE (No reportable gifts.)	
	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.	j¢.		
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of instructions.)

Χ	NONE (No reportable liable	lities.)	
	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Page 4 of 8

÷

Name of Person Reporting Gorsuch, Neil M

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

.

[Α.		B.	(2.			D.			
	Description of Assets	Inco	me during		ie at end of		Transactions during reporting period				
(including trust assets)			ting period	reportin	g period						
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
1.	USAA Money Market	D	Interest	N	T	Exempt					
2.	USAA Bond Fund	D	Int./Div.	м	Т	Buy	3/7	К			
3.	USAA High Yield Bond Fund	D	Int./Div.	м	Т	Buy	3/7	К			
4.	USAA Int'l Fund	E	Int,/Div.	м	Т	Buy	3/7	К			
5.	USAA S&P Fund	С	Int./Div.	М	Т						
6.	USAA Value Fund	В	Int./Div.	М	Т	Buy	3/7	L			
7.	USAA Tax Ex Interm Bond Fund	A	Int./Div.	N	Т						
8.	USAA Small Cap Fund	A	Int./Div.	L	Т	Buy	3/7	L			
9.	USAA GNMA Trust	В	Int./Div.			Sell	3/7	N	A		
10.	Walden Group LLC		None	N	w						
11.	529 Plans		None	N	Т						
12.	ABA 401 K Value Fund		None			Rollover	11/15	М		See note, Part VII	
13.	ABA 401 K Growth Fund		None			Rollover	11/15	L			
14.	ABA 401 K Equity Index Fund		None			Rollover	11/15	М			
15.	Diversified Investment 401K Stock Index		None	М	Т	Rollover	11/15				
16.	Diversified Invest 401K Amer Fds Growth Fund		None	L	Т	Rollover	11/15				
17.	Diversified Invest 401K US Lg Cap Fund		None	М	Т	Rollover	11/15				
(Se 2. Va (Se 3. Va	come Gain Codes: A =\$1,000 or less ee Columns Bl and D4) F =\$50,001 - \$100,000 ube Codes J =\$15,000 or less ee Columns C1 and D3) N =\$250,001 - \$500,000 slue Method Codes P3 =\$\$25,000 or less ee Columns C2 Q =Appraisal U =Book Value U	,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1, K =\$15,001 - \$50, O =\$500,001 - \$1, R =Cost (Reat Esta V =Other	000,000 000 000,000	L =\$50,00 P1 =\$1,00	0,001 - \$5,000,000 I - \$100,000 0,001 - \$5,000,000 than \$50,000,000 ment	H2 =Mo M =\$100	01 - \$15,000 re than \$5,000 0,001 - \$250,0 000,001 - \$25, Market	000	E =\$15,001 - \$50,000	

Page 5 of 8

÷

Name of Person Reporting

٠

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

Gorsuch, Neil M

NONE (No reportable income, assets, or transactions.)

А.	B. C.				D.					
Description of Assets (including trust assets)	Income during Gross value at end reporting period reporting period				f Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)	
18. 18. 1990 103B Plan - TIAA CREF		None	J	Т						
19. Thrift Savings Plan		None	К	Т	Exempt					
20. Senate Credit Union checking	A	Int./Div.	J	Т	Exempt					
21. USAA IRA -Growth & Income Fund	A	Int./Div.	J	т	Buy	3/7	J			
22. USAA IRA -GNMA Trust	A	Int./Div.			Sell	3/7	J			
23. USAA IRA - High Yield Fund	A	Int./Div.	J	Т	Buy	3/7	J			
24. USAA IRA - Total Return Fund	A	Int./Div.	J	Т	Buy	3/7	J			
25. USAA IRA - World Growth Fund	A	Int./Div.	J	Т	Buy	3/7	J			
26. USAA IRA -Growth & Income Fun	d A	Int./Div.	J	Т	Buy	3/7	J			
27. USAA IRA -GNMA Trust	A	Int./Div.			Sell	3/7	J			
SAA IRA - High Yield Fund	А	Int./Div.	J	Т	Buy	3/7	J			
29. USAA IRA - Total Return Fund	A	Int./Div.	J	T	Buy	3/7	J			
30. USAA IRA - World Growth Fund	A	Int./Div.	J	T	Buy	3/7	J	· ····		
31. USAA SEP IRA - S&P 500 Index Fund	A	Int./Div.	к	Т	Buy	9/25	К			
32. Alpine FDS Dynamic Div Fund	A	Int./Div.			Sell	4/10	J	A		
33. Provident Energy Trust common	А	Int./Div.			Sell	4/10	, К	A		
34. Vanguard Total Stock Mrkt Fund	А	Int./Div.			Sell	4/10	J	A		
1. Income Gain Codes: A =\$1,000 or less (See Columns B1 and D4) F =\$50,001 - \$100,000 2. Value Codes J =\$15,000 or less (See Columns C1 and D3) N =\$2250,001 - \$500,000 3. Value Method Codes P3 =\$25,000,01 - \$50,000 (See Column C2) Q =Appraisal U =Book Value U	0,000	B =\$1,001 - \$2,50 G =\$100,001 - \$1, K =\$15,001 - \$50, G =\$500,001 - \$1, R =Cost (Real Esta V =Other	000,000 000 000,000	L =\$50,00 P1 =\$1,00	0,001 - \$5,000,000 1 - \$100,000 0,001 - \$5,000,000 than \$50,000,000 ment	H2 =Mo M =\$100	01 - \$15,000 re than \$5,000 0,001 - \$250,0 00,001 - \$25,1 Market	00	E =\$15,001 - \$50,000	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
35.	Ameren common	А	Int./Div.			Sell	4/10	J	А	
36.	Dell common	A	Int,/Div.			Sell	4/10	J	A	
37.	FNM common	A	Int./Div.			Sell	4/10	J	А	
38.	GE common	А	Int./Div.			Sell	4/10	J	A	
39.	Intel common	А	Int./Div.			Sell	4/10	J	А	
40.	Mattel common	A	Int./Di			Sell	4/10	J	A	s
41.	Microsoft common	A	Int./Div.			Sell	4/10	J	А	
42.	RMKR common	А	Int./Di			Sell	4/10	J	А	
43.	UNP common	А	Int./Div.			Sell	3/16	J	А	
44.	WMT common	А	Int./Div.			Sell	4/10	J	A	2

1. Income Gain Codes:

- (See Columns B1 and D4) 2. Value Codes
- (See Columns Cl and D3)
- 3. Value Method Codes (See Column C2)

€ =Appraisal U =Book Value

A =\$1,000 or less

J =\$15,000 or less

F =\$50,001 - \$100,000

N =\$250,001 - \$500,000

P3=\$25,000,001 - \$50,000,000

B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$50,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market E =\$15,001 - \$50,000

Page 7 of 8

Name of Person Reporting

4

2

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report.)

Note to Part III.A: Income from Kellogg, Huber, et al. represents payments of contingency fee income received in 2006 subject to an agreement reached with the firm prior to my departure.

Note to Part VII: Assets from ABA 401K account were directly rolled over into similar Diversified Investment Advisors 401K account.

Page 8 of 8

Gorsuch, Neil M

04/26/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



4.26.07 Date

NOTE: ANY INDIVIDUAL-WHO KING WINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544