EXHIBIT W

From: Osborne, Sonja <OsborneS@SEC.GOV>
Sent: Tuesday, July 25, 2023 9:35 AM

To: Meyer, Ryan J.

Cc: Caputo, Grace A.; Mallon, Carmen; Tallarico, Mark

Subject: RE: SEC FOIA Appeal Decision - FOIA Appeal No. 23-00488-APPS

EXTERNAL EMAIL - EXERCISE CAUTION

Mr. Ryan,

We are in the consultation process and at this time my best estimate for a date of completion would be September 1, 2023.

Thank you very much for your patience as we work through the process to provide you with a response to your request.

Sonja Osborne

FOIA Lead Research Specialist
Office of FOIA Services (OSO/OFS)

OFFICE +1 202 551 8371 osbornes@sec.gov



From: Tallarico, Mark <tallaricom@SEC.GOV>

Sent: Monday, July 24, 2023 5:14 PM

To: Meyer, Ryan J. <ryan.meyer@katten.com>

Cc: Caputo, Grace A. <grace.caputo@katten.com>; Osborne, Sonja <OsborneS@SEC.GOV>; Mallon, Carmen

<mallonc@SEC.GOV>

Subject: RE: SEC FOIA Appeal Decision - FOIA Appeal No. 23-00488-APPS

Ryan,

I wish I could give you a date, but I am not if the FOIA Office and not handling the request. I have included Sonja and Carmen from the FOIA Office on the email string and they can provide you a better estimate on when you can expect a decision. I will be off starting Wednesday and won't return until Friday, August 4th so Sonja and Carmen will be best options to contact.

Mark Tallarico

Senior Counsel
Office of the General Counsel

OFFICE +1 202.551.5132 MOBILE +1 202.577.2439

tallaricom@sec.gov



From: Meyer, Ryan J. < ryan.meyer@katten.com>

Sent: Monday, July 24, 2023 3:19 PM

To: Tallarico, Mark < tallaricom@SEC.GOV >

Cc: Caputo, Grace A. < grace.caputo@katten.com >

Subject: RE: SEC FOIA Appeal Decision - FOIA Appeal No. 23-00488-APPS

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Mark,

Thank you for the decision and response.

Can you give me a date certain on what "very soon" is? As you know, the request for these transcripts goes back over a year, and just these two transcripts have been under review since November 1, 2022—266 days for the review of 2 transcripts in an investigation the Commission closed without action. As we discussed, I would like to avoid going to district court over this issue, but this delay is leaving my client without any other choices.

Kind regards,

Ryan

Ryan J. Meyer

Partner

Katten

Katten Muchin Rosenman LLP 2121 North Pearl Street, Suite 1100 | Dallas, TX 75201-2591 direct +1.214.765.3627 ryan.meyer@katten.com | katten.com

From: Tallarico, Mark < tallaricom@SEC.GOV>

Sent: Monday, July 24, 2023 6:16 AM

To: Meyer, Ryan J. < ryan.meyer@katten.com>

Subject: SEC FOIA Appeal Decision - FOIA Appeal No. 23-00488-APPS

EXTERNAL EMAIL - EXERCISE CAUTION

Ryan,

Attached please find the SEC's appeal decision for FOIA Appeal No. 23-00488-APPS (Request No. 23-00207-FOIA). Please let me know if you have any questions concerning this decision. Furthermore, I spoke with staff on Friday concerning the processing. They are working with ENF staff to complete the review of the transcripts and it is anticipated that the response should be complete very soon.

Thank you.

Mark Tallarico

Senior Counsel
Office of the General Counsel

OFFICE +1 202.551.5132 MOBILE +1 202.577.2439

tallaricom@sec.gov



CONFIDENTIALITY NOTICE:

This electronic mail message and any attached files contain information intended for the exclusive

use of the individual or entity to whom it is addressed and may contain information that is

proprietary, privileged, confidential and/or exempt from disclosure under applicable law. If you

are not the intended recipient, you are hereby notified that any viewing, copying, disclosure or

distribution of this information may be subject to legal restriction or sanction. Please notify

the sender, by electronic mail or telephone, of any unintended recipients and delete the original

message without making any copies.

NOTIFICATION: Katten Muchin Rosenman LLP is an Illinois limited liability partnership that has

elected to be governed by the Illinois Uniform Partnership Act (1997).
