

EXHIBIT N

From: Osborne, Sonja <OsborneS@SEC.GOV>
Sent: Tuesday, April 11, 2023 9:03 AM
To: Howell, Barrett R.
Subject: RE: FOIA Request No. 23-00207-FOIA

EXTERNAL EMAIL – EXERCISE CAUTION

Hi Mr. Howell,

No change at this time. I am available to speak with this morning at 11am.

Regards,

Sonja Osborne

FOIA Lead Research Specialist
Office of FOIA Services (OSO/OFS)

OFFICE +1 202 551 8371

osbornes@sec.gov



**U.S. Securities and
Exchange Commission**

From: Howell, Barrett R. <barrett.howell@katten.com>
Sent: Monday, April 10, 2023 6:00 PM
To: Osborne, Sonja <OsborneS@SEC.GOV>
Cc: Meyer, Ryan J. <ryan.meyer@katten.com>
Subject: RE: FOIA Request No. 23-00207-FOIA

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Ms. Osborne,

I appreciate your response. Is there any chance you might have a few minutes to speak by phone tomorrow mid-morning, perhaps around 11am EST?

Barrett R. Howell

Partner

Katten

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From: Osborne, Sonja <OsborneS@SEC.GOV>
Sent: Thursday, March 30, 2023 5:42 PM

To: Howell, Barrett R. <barrett.howell@katten.com>

Cc: Meyer, Ryan J. <ryan.meyer@katten.com>

Subject: RE: FOIA Request No. 23-00207-FOIA

EXTERNAL EMAIL – EXERCISE CAUTION

Good afternoon, Mr. Howell,

I called and left a voice message. I am processing your request. I am available to speak with you early next week if helpful.

Thank you for your patience as I work through the process.

Regards,
Sonja

Sonja Osborne

FOIA Lead Research Specialist

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osbornes@sec.gov



**U.S. Securities and
Exchange Commission**

From: Howell, Barrett R. <barrett.howell@katten.com>

Sent: Thursday, March 30, 2023 5:34 PM

To: Osborne, Sonja <OsborneS@SEC.GOV>

Cc: Meyer, Ryan J. <ryan.meyer@katten.com>

Subject: FW: FOIA Request No. 23-00207-FOIA

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Ms. Osborne:

Forgive me if I missed it, but I cannot find a response to my below follow-up inquiry regarding your request for an extension from March 16th until April 21, 2023 to provide two transcripts responsive to my above-referenced November 1, 2022 FOIA request. The only response I seem to have is your attached automatic reply stating you'd be out of the office until Monday, March 27th. As I'm sure you can imagine, given the inexplicable resistance we've encountered from the Fort Worth Regional Office and the DC FOIA Office in response to my request for **two transcripts** of testimony taken in connection with an administrative investigation that was closed by at least January 24, 2022, our patience at some point begins to wear thin, and our skepticism in the legitimacy of the process begins to grow.

If a fight in front of a federal district court judge is what ultimately has to happen in order to compel the FOIA Office to comply with its legal requirements, will you please let me know that now so we can file our action? Otherwise, I would greatly appreciate responses to my below inquiries.

Thank you very much for your help, and I am happy to speak by phone at your convenience if helpful.

Very kind regards,
Barrett

Barrett R. Howell

Partner

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From: Howell, Barrett R. <barrett.howell@katten.com>

Sent: Friday, March 24, 2023 6:47 PM

To: Osborne, Sonja <OsborneS@SEC.GOV>

Cc: Meyer, Ryan J. <ryan.meyer@katten.com>; Yogeshwarun, Nikita <nikita.yogeshwarun@katten.com>; Caputo, Grace A. <grace.caputo@katten.com>

Subject: RE: FOIA Request No. 23-00207-FOIA

Ms. Osborne:

Thank you for your reply and updated estimated April 21, 2023 completion date for producing records responsive to my November 1, 2022 FOIA request for two transcripts in connection with Formal Investigation styled *In the Matter of Hayman Capital*, (FW-4313). Based on our February 16, 2023 telephone conversation, it is my understanding that: (a) the two transcripts responsive to my November 1, 2022 FOIA request have been provided by the Fort Worth Regional Office to the D.C. FOIA Office for review and potential redaction or withholding; and (b) your estimated delivery date of March 16, 2023 was based on the estimated amount of time needed for your review of the two transcripts.

Is the additional time you request, until April 21, 2023 based on, or in anticipation of, any potential or outstanding requests from third-party(ies) for substantiation of confidential treatment?

At this point, have there been any assertions of confidential treatment that would potentially necessitate the redaction or withholding of either of the two transcripts? Lastly, Ms. Osborne, could you please let me know approximately how many pages comprise your transcript review process? As I'm sure you're already aware, this information will greatly assist in any appeal that we may have to file. I really appreciate your continued assistance with our request.

Kind regards,
Barrett

Barrett R. Howell

Partner

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From: Osborne, Sonja <OsborneS@SEC.GOV>

Sent: Thursday, March 23, 2023 5:56 PM

To: Howell, Barrett R. <barrett.howell@katten.com>

Cc: Meyer, Ryan J. <ryan.meyer@katten.com>

Subject: RE: FOIA Request No. 23-00207-FOIA

EXTERNAL EMAIL – EXERCISE CAUTION

Mr. Howell,

Thank you for your inquiry and I apologize for the delay in our response. Your request is actively being processed. My best estimate for a date of completion would be April 21, 2023.

Thank you for your patience as we work through the process.

Thank,

Sonja Osborne

FOIA Lead Research Specialist
Office of FOIA Services (OSO/OFS)

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osbornes@sec.gov



**U.S. Securities and
Exchange Commission**

From: Howell, Barrett R. <barrett.howell@katten.com>

Sent: Monday, March 20, 2023 3:14 PM

To: Osborne, Sonja <OsborneS@SEC.GOV>

Cc: Meyer, Ryan J. <ryan.meyer@katten.com>

Subject: FW: FOIA Request No. 23-00207-FOIA

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Ms. Osborne, I am re-sending the below email in hopes of putting it at the top of your inbox. I anxiously look forward to your response.

Thank you,
Barrett

Barrett R. Howell

Partner

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From: Howell, Barrett R. <barrett.howell@katten.com>

Sent: Friday, March 17, 2023 10:15 AM

To: OsborneS@SEC.gov

Cc: Meyer, Ryan J. <ryan.meyer@katten.com>

Subject: FW: FOIA Request No. 23-00207-FOIA

Ms. Osborne:

Forgive me if I missed it, but I do not have a record of receiving the requested transcripts by March 16th, as you represented during our February 16th phone conversation. Could you please let me know the status of my request? And again, I apologize in advance if I missed notification of production of the transcripts.

I look forward to your response.

Kind regards,
Barrett

Barrett R. Howell

Partner

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From: Howell, Barrett R. <barrett.howell@katten.com>

Sent: Thursday, February 16, 2023 2:27 PM

To: OsborneS@SEC.gov

Subject: FOIA Request No. 23-00207-FOIA

Ms. Osborne,

Thank you again for taking the time to speak with me on the phone earlier today regarding the transcripts requested in connection with the above-referenced FOIA request. I am profoundly appreciative of your help and per our conversation, I look forward to receiving the requested information on or before March 16, 2023. In the meantime, if there is anything I can do to help facilitate the process, please do not hesitate to contact me.

Very kind regards,
Barrett

Barrett R. Howell

Partner

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