

Exhibit G

Empower Oversight Whistleblowers & Research

v.

Securities and Exchange Commission



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

May 20, 2022

Mr. Jason Foster
Empower Oversight
601 King Street
Suite 200
Arlington, VA 22314

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request Nos. **22-01118-FOIA, 22-01119-FOIA and
22-01120-FOIA**

Dear Mr. Foster:

This letter partially responds to your requests, dated January 28, 2022 and received in this office on January 31, 2022, for the three subjects shown below. Please note each subject was assigned a separate FOIA tracking number. Reference is also made to our letter dated February 1, 2022, in which we addressed your request for a fee waiver.

Request No.	Subject
22-01118-FOIA	All processing notes relating to Request Numbers 21-02531-FOIA and 21-02532-FOIA.
22-01119-FOIA	All processing notes relating to Request Number 21-02537-FOIA.
22-01120-FOIA	All processing notes relating to Request Number 21-02535-FOIA.

This letter partially responds to all three FOIA requests.¹

¹ Records responsive to each of these three FOIA requests are often duplicative. Therefore, FOIA request numbers 22-01119-FOIA and 22-01120-FOIA will be administratively closed and all records will be processed under FOIA request number 21-01118-FOIA.

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22-01119-FOIA and
22-01120-FOIA

Given the amount of time it takes to review the potentially responsive records, we will be issuing rolling responses as we complete our review of the records. Enclosed are 233 pages of records that are being provided to you, with the exception of certain information that is being withheld under 5 U.S.C. § 552(b)(5) and/or (b)(6), for the following reasons:

- Exemption 5 protects information that was prepared in anticipation of litigation, forms an integral part of the pre-decisional process, and/or contains advice given to the Commission or senior staff by the Commission's attorneys. This material is protected from release by the attorney work-product, deliberative process and/or attorney-client privileges embodied in Exemption 5. Under this exemption portions of email exchanges between SEC staff have been withheld.
- Exemption 6 protects from disclosure information that, if released, would constitute a clearly unwarranted invasion of personal privacy. Under this exemption email addresses and telephone numbers of SEC staff have been withheld.

Please be advised that we have considered the foreseeable harm standard in preparing this response.

I am the deciding official with regard to this adverse determination. You have the right to appeal my decision to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(f)(1). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address.

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This concludes this portion of our response. In the interim, if you have any questions, please contact Joel Hansen of my staff at hansenjo@sec.gov or (202) 551-8377. You may also contact me at foiapa@sec.gov or (202) 551-7900. You may also contact the SEC's FOIA Public Service Center at foiapa@sec.gov or (202) 551-7900. For more information about the FOIA Public Service Center and other options available to you please see the attached addendum.

Sincerely,

A handwritten signature in black ink, appearing to read "L. Katilius". The signature is written in a cursive, flowing style.

Lizzette Katilius
FOIA Branch Chief

Enclosures

ADDENDUM

For further assistance you can contact a SEC FOIA Public Liaison by calling (202) 551-7900 or visiting <https://www.sec.gov/oso/help/foia-contact.html>.

SEC FOIA Public Liaisons are supervisory staff within the Office of FOIA Services. They can assist FOIA requesters with general questions or concerns about the SEC's FOIA process or about the processing of their specific request.

In addition, you may also contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA dispute resolution services it offers. OGIS can be reached at 1-877-684-6448 or via e-mail at ogis@nara.gov. Information concerning services offered by OGIS can be found at their website at [Archives.gov](https://www.archives.gov). Note that contacting the FOIA Public Liaison or OGIS does not stop the 90-day appeal clock and is not a substitute for filing an administrative appeal.