

Exhibit E

Empower Oversight Whistleblowers & Research

v.

Securities and Exchange Commission



**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

February 1, 2022

Mr. Jason Foster
Empower Oversight
601 King Street, STE. 200
Alexandria, VA 22314-3151

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request Nos. **22-01118-FOIA - 22-01120-FOIA**

Dear Mr. Foster:

This letter is in reference to your requests, dated January 28, 2022 and received in this office on January 31, 2022, for three subjects as shown below. Please note each subject was assigned a FOIA tracking number.

Request No.	Subject
22-01118-FOIA	1. All processing notes relating to Request Number 21-02531-FOIA and 21-02532-FOIA
22-01119-FOIA	2. All processing notes relating to Request Number 21-02535-FOIA.
22-01120-FOIA	3. All processing notes relating to Request Number 21-02537-FOIA.

You asked for a waiver of processing fees. After reviewing your requests, we have determined that your fee waiver request is moot because as a "Media-Use" requester you are not charged for search and review time, and are entitled to receive the first 100 pages of duplication free of charge. After that point duplication costs are assessed in accordance with our [fee schedule](#). However, because any records we release would be provided electronically there will be no duplication charges.

We are consulting with other SEC staff regarding your requests. As soon as we complete our consultation, we will notify you of our findings.

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22-01118-FOIA thru 22-01120-FOIA

If you have any questions, please contact Frank Mandic of my staff at mandicf@sec.gov. You may also contact me at foiapa@sec.gov or (202) 551-7900. You may also contact the SEC's FOIA Public Service Center at foiapa@sec.gov or (202) 551-7900. For more information about the FOIA Public Service Center and other options available to you please see the attached addendum.

Sincerely,

A handwritten signature in black ink, appearing to read "L. Katilius". The signature is written in a cursive, flowing style.

Lizzette Katilius
FOIA Branch Chief

Enclosure

ADDENDUM

For further assistance you can contact a SEC FOIA Public Liaison by calling (202) 551-7900 or visiting <https://www.sec.gov/oso/help/foia-contact.html>.

SEC FOIA Public Liaisons are supervisory staff within the Office of FOIA Services. They can assist FOIA requesters with general questions or concerns about the SEC's FOIA process or about the processing of their specific request.

In addition, you may also contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA dispute resolution services it offers. OGIS can be reached at 1-877-684-6448 or via e-mail at ogis@nara.gov. Information concerning services offered by OGIS can be found at their website at [Archives.gov](https://www.archives.gov). Note that contacting the FOIA Public Liaison or OGIS does not stop the 90-day appeal clock and is not a substitute for filing an administrative appeal.