

## **Exhibit D**

*Empower Oversight Whistleblowers & Research*

*v.*

*Securities and Exchange Commission*



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

January 31, 2022

Mr. Jason Foster Founder & President  
Empower Oversight  
601 King Street, STE. 200  
Alexandria, VA 22314-3151

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **22-01118-FOIA (1 of 3)**

Dear Mr. Foster:

This letter is an acknowledgment of your FOIA request dated January 28, 2022, and received in this office on January 31, 2022, for records regarding **all processing notes relating to Request Numbers 21-02531-FOIA and 21-02532-FOIA.**

Your request has been assigned tracking number 22-01118-FOIA. Your request will be assigned to a Research Specialist for processing and you will be notified of the findings as soon as possible. If you do not receive a response after thirty business days from when we received your request, you have the right to seek dispute resolution services from an SEC FOIA Public Liaison or the Office of Government Information Services (OGIS). A list of SEC FOIA Public Liaisons can be found on our agency website at <https://www.sec.gov/oso/contact/foia-contact.html>. OGIS can be reached at 1-877-684-6448 or [Archives.gov](https://www.archives.gov) or via email at [ogis@nara.gov](mailto:ogis@nara.gov).

In the interim, if you have any questions about your request, you may contact this office by calling (202) 551-7900, or sending an e-mail to [foiapa@sec.gov](mailto:foiapa@sec.gov). Please refer to your tracking number when contacting us.

For additional information, please visit our website at [www.sec.gov](https://www.sec.gov) and follow the FOIA link at the bottom.

Sincerely,

Office of FOIA Services



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

January 31, 2022

Mr. Jason Foster  
Founder & President  
Empower Oversight  
601 King Street, STE. 200  
Alexandria, VA 22314-3151

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **22-01119-FOIA (2 of 3)**

Dear Mr. Foster:

This letter is an acknowledgment of your FOIA request dated January 28, 2022, and received in this office on January 31, 2022, for records regarding **all processing notes relating to Request Number 21-02537-FOIA.**

Your request has been assigned tracking number 22-01119-FOIA. Your request will be assigned to a Research Specialist for processing and you will be notified of the findings as soon as possible. If you do not receive a response after thirty business days from when we received your request, you have the right to seek dispute resolution services from an SEC FOIA Public Liaison or the Office of Government Information Services (OGIS). A list of SEC FOIA Public Liaisons can be found on our agency website at <https://www.sec.gov/oso/contact/foia-contact.html>. OGIS can be reached at 1-877-684-6448 or [Archives.gov](http://Archives.gov) or via email at [ogis@nara.gov](mailto:ogis@nara.gov).

In the interim, if you have any questions about your request, you may contact this office by calling (202) 551-7900, or sending an e-mail to [foiapa@sec.gov](mailto:foiapa@sec.gov). Please refer to your tracking number when contacting us.

For additional information, please visit our website at [www.sec.gov](http://www.sec.gov) and follow the FOIA link at the bottom.

Sincerely,

Office of FOIA Services



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

January 31, 2022

Mr. Jason Foster  
Founder & President  
Empower Oversight  
601 King Street, STE. 200  
Alexandria, VA 22314-3151

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **22-01120-FOIA (3 of 3)**

Dear Mr. Foster:

This letter is an acknowledgment of your FOIA request dated January 28, 2022, and received in this office on January 31, 2022, for records regarding **all processing notes relating to Request Number 21-02535-FOIA.**

Your request has been assigned tracking number 22-01120-FOIA. Your request will be assigned to a Research Specialist for processing and you will be notified of the findings as soon as possible. If you do not receive a response after thirty business days from when we received your request, you have the right to seek dispute resolution services from an SEC FOIA Public Liaison or the Office of Government Information Services (OGIS). A list of SEC FOIA Public Liaisons can be found on our agency website at <https://www.sec.gov/oso/contact/foia-contact.html>. OGIS can be reached at 1-877-684-6448 or [Archives.gov](http://Archives.gov) or via email at [ogis@nara.gov](mailto:ogis@nara.gov).

In the interim, if you have any questions about your request, you may contact this office by calling (202) 551-7900, or sending an e-mail to [foiapa@sec.gov](mailto:foiapa@sec.gov). Please refer to your tracking number when contacting us.

For additional information, please visit our website at [www.sec.gov](http://www.sec.gov) and follow the FOIA link at the bottom.

Sincerely,

Office of FOIA Services