

Rule or Flag #	Description	Internal SBA Ho
1	Criminal Record	
2	Bankruptcy	
4	Other Adverse Information (OFAC)	
5	Potential Decedent Application	
6	Inactive Business	
7	Mismatch of TIN (EIN/SSN)	
8	Mismatch of Entity Name (Individual or Company)	
9	In Operation After Feb 15,2020	
10	Loan Size Meets Special Review Criteria	
12	SBA Franchise Directory Review	
15	Potential Ineligible Business Size	
16	Large Number of Employees at Residential Business Address	
17		Ex. 5 & 7
18	Aggregate Data Mismatch	
19	Maximum Loan Size	
21	Employee Count Threshold	
22	Special Review Threshold	
24	Business Address is Currently Vacant	
26	D&B - Compliance - Marijuana/Cannabis Sales	
27	D&B - Compliance - Company Issued COVID related WARN Notice	
28	D&B - Compliance - Debarred Businesses	
29	D&B - Compliance - Defaulted SBA loan in the last 7 years	
30	D&B - Compliance - DOL OFCCP Violations	
31	NAICS 522 - Credit Intermediation	
32	Payday Lender	
33	Potential Affiliation Issue	
34	Data Anomaly Issue	
35	Research Duplicate 9 Digit Tax ID Issue	
36	General Eligibility	
37	SBA - Potential Affiliation Issue	
38	Foreign Country related entities	
39	State or Local Government	
40	Eligibility – Lobbying	
41		Ex. 5 & 7
43		Ex. 5 & 7
44		Ex. 5 & 7
46	Do Not Pay - Death Sources	
47	Do Not Pay - SAM	
48	Do Not Pay - TOP and CAIVRS	
49	Do Not Pay - TOP Education	
50		Ex. 5 & 7
51		Ex. 5 & 7
52		Ex. 5 & 7
53	OIG – Identified as Duplicate Loan	
54		Ex. 5 & 7

55	Duplicate TIN	
56	[REDACTED]	Ex. 5 & 7
57	[REDACTED]	Ex. 5 & 7
58	[REDACTED]	Ex. 5 & 7
60	OCRM - Excess Loan Amount Issue	
68	[REDACTED]	Ex. 5 & 7
69	Potential GP Issue	
70	Potential Clawback	
87	[REDACTED]	Ex. 5 & 7



