

United States Senate

Financial Disclosures

Annual Report for Calendar 2020

The Honorable Joseph Manchin III (Manchin, Joe)

Filed 05/17/2021 @ 11:49 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Pension	WV Public Employees Retirement System Charleston, West Virginia	\$48,282.24	n/a
2	Spouse	Retirement	TIAA-CREF Charlotte, North Carolina	> \$1,000	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Huntington Banks (Fairmont, West Virginia) Type: Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
2	MVB Bank (Fairmont, West Virginia) Type: Savings,	Bank Deposit	Spouse	\$500,001 - \$1,000,000	Interest,	\$2,501 - \$5,000
3	Huntington Banks (Fairmont, West Virginia) Type: Checking,	Bank Deposit	Self	\$100,001 - \$250,000	Interest,	\$201 - \$1,000
4	Branch Banking & Trust (Charleston, West Virginia) Type: Checking,	Bank Deposit	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
5	PRU - Prudential Financial, Inc. (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
6	Joseph Manchin III Qualified Blind Trust	Trust Blind	Self	\$500,001 - \$1,000,000	Qualified Blind Trust,	\$5,001 - \$15,000
7	TIAA-CREF - Traditional Annuity Provider: TIAA-CREF	Annuity Fixed	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$1,001 - \$2,500
8	Fidelity IRA	Retirement Plans IRA	Spouse			
8.1	<u>DGEIX</u> - DFA Global Equity I	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
8.2	<u>DFGFX</u> - DFA Two-Year Global Fixed-Income I	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
8.3	<u>DFGBX</u> - DFA Five-Year Global Fixed-Income I	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
8.4	<u>FDRXX</u> - Fidelity Cash Reserves	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9	Fidelity Individual Account	Brokerage/Managed Account	Self			

9.1	<u>DTMEX</u> - DFA Tax-Managed US Eq (NASDAQ)	Mutual Funds Asset Type Mutual Fund	Self Owner	\$100,001 - Value \$250,000	Excepted Income Type Investment Fund,	\$1,001 - \$2,500 Income
9.2	<u>DTMIX</u> - DFA Tax-Managed International Value (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
9.3	<u>DFTSX</u> - DFA Tax-Managed US Small Cap (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
9.4	<u>DTMVX</u> - DFA Tax-Managed US Targeted Value (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
9.5	<u>DFISX</u> - DFA International Small Company I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
9.6	<u>DISVX</u> - DFA International Small Cap Value I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
9.7	<u>DFALX</u> - DFA Large Cap International I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
9.8	<u>DFMVX</u> - DFA Tax-Managed US Marketwide Value II (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
9.9	<u>VWSUX</u> - Vanguard Short-Term Tx-Ex Adm (NASDAQ)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
9.10	FDRXX - Fidelity Cash Reserves	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
9.11	American Express Centurion Bank 2.3% 11/25/2020 (Salt Lake City, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
9.12	<u>DFCEX</u> - DFA Emerging Markets Core Equity I	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500

9.13	Synchrony Bank CD 1.9% 11/06/2023 (Draper, Utah) Type: Certificate of Deposit,	Bank Deposit Asset Type	Self Owner	\$50,001 - \$100,000 Value	Interest, Income, Type	\$201 - \$1,000 Income
9.14	Wells Fargo Bank N.A. CD 2.95% 3/27/2024 (San Francisco, California) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
9.15	FUMBX - Fidelity Short-Term Treasury Bond Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
10	Fidelity SEP IRA	Retirement Plans IRA	Self			
10.1	DFREX - DFA Real Estate Securities I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
10.2	DFSTX - DFA US Small Cap I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.3	DFUVX - DFA US Large Cap Value III (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.4	FDRXX - Fidelity Cash Reserves (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
10.5	VFIAX - Vanguard 500 Index Admiral (NASDAQ)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
10.6	Goldman Sachs Bank CD 2.45% 9/30/2021 (New York, New York) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
10.7	Goldman Sachs Bank CD 2.50% 7/22/2021 (New York, New York) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
10.8	BMW Bank of North America 1.7% 02/26/2021	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000

	1.7.76 02/20/2021 (Salt Lake City, Utah) Asset Type: Certificate of Deposit,	Asset Type	Owner	Value	Income Type	Income
10.9	Discover Bank 1.9% 06/02/2023 (Greenwood, Delaware) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
10.10	Synchrony Bank CD 1.95% 06/29/2023 (Draper, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$201 - \$1,000
10.11	DFALX - DFA Large Cap International I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
10.12	American Express Centurion Bank CD 2.40% 09/12/2022 (Salt Lake City, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
10.13	Capital One NA VA CD 2.4% 06/07/2022 (McLean, Virginia) Type: Certificate of Deposit,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
10.14	Wells Fargo Bank N.A. CD 3.10% 2/13/2024 (San Francisco, California) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
10.15	DFSVX - DFA U.S. Small Cap Value Portfolio Institutional C	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
10.16	FUMBX - Fidelity Short-Term Treasury Bond Index Fund	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
11	Fidelity Traditional IRA	Retirement Plans IRA	Self			
11.1	DFREX - DFA Real Estate Securities I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000

11.2	DFSTX - DFA US Small Cap I (NASDAQ)	Mutual Funds Mutual Fund	Owner	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.3	DFUVX - DFA US Large Cap Value III (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.4	FDRXX - Fidelity Cash Reserves (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
11.5	American Express Centurion Bank 2.05% 5/28/2020 (Salt Lake City, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
11.6	Morgan Stanley Bank NA CD 2.95% 10/19/2020 (Salt Lake City, Utah) Type: Certificate of Deposit,	Bank Deposit	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
11.7	Morgan Stanley Private Bank CD 3.25% 11/8/2021 (Purchase, New York) Type: Certificate of Deposit,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
11.8	Morgan Stanley Private Bank CD 3.55% 11/8/2023 (Purchase, New York) Type: Certificate of Deposit,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
11.9	Capital One NA VA CD 2.4% 06/07/2022 (McLean, Virginia) Type: Certificate of Deposit,	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
11.10	DFS VX - DFA U.S. Small Cap Value Portfolio Institutional C	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
11.11	FUMBX - Fidelity Short-Term Treasury Bond Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
12	MPM Properties Company: MPM Properties	Business Entity General	Self			

	Company Name and Address (Fairmont, West Virginia) <i>Description:</i> General Partnership that owns a piece of real estate	Asset Type	Owner	Value	Income Type	Income
12.1	Storage building <i>Description:</i> Storage building (Fairmont, WV)	Real Estate Commercial	Self	\$50,001 - \$100,000	Rent/Royalties,	\$2,501 - \$5,000
13	Undeveloped land in Fairmont, WV <i>Description:</i> Undeveloped land in Fairmont, WV (Fairmont, West Virginia)	Real Estate Unimproved Land	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
14	Undeveloped land in Glade Springs, WV <i>Description:</i> Undeveloped land in Glade Springs, WV (Glade Springs, West Virginia)	Real Estate Unimproved Land	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
15	Manchin Enterprises <i>Company:</i> Manchin Enterprises (Fairmont, West Virginia) <i>Description:</i> General Partnership that own a Commercial Rental property	Business Entity General Partnership	Self			
15.1	Real Estate in Fairmont, WV <i>Description:</i> Commercial rental Property (Fairmont, West Virginia)	Real Estate Commercial	Self	\$250,001 - \$500,000	Rent/Royalties, Interest, Other, (Ordinary business income)	\$5,001 - \$15,000 Other \$2,382.00
16	AA Property LLC <i>Company:</i> AA Property LLC (Charleston, West Virginia) <i>Description:</i> Commercial property investment and management	Business Entity Limited Liability Company (LLC)	Joint			
16.1	A&P, LLC <i>Company:</i> A&P, LLC (Fairmont, West Virginia) <i>Description:</i> Commercial	Business Entity Limited Liability Company (LLC)	Joint			

	property investment and management	Asset Type	Owner	Value	Income Type	Income
16.1.1	Commercial Building in Fairmont, West Virginia <i>Description:</i> Multi-tenant retail and office building (Fairmont, West Virginia)	Real Estate Commercial	Joint	\$15,001 - \$50,000	Rent/Royalties,	\$1,001 - \$2,500
17	Prudential Life Insurance Whole Life Policy Cash Value <i>Provider:</i> Prudential Life Insurance	Life Insurance Whole	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
18	Prudential Life Insurance Whole Life Policy Cash Value <i>Provider:</i> Prudential Life Insurance	Life Insurance Whole	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
19	MVBF - MVB Financial Corp. (OTC Markets)	Corporate Securities Stock	Spouse	\$250,001 - \$500,000	Dividends,	\$5,001 - \$15,000
20	Farmington Resources, Inc. - Common Stock <i>Company:</i> Farmington Resources, Inc. (Fairmont, WV) <i>Description:</i> Material provider for utility plants	Corporate Securities Non-Public Stock	Self	\$100,001 - \$250,000	None, Dividends,	None (or less than \$201)
21	Farmington Resources, Inc. - Note Receivable <i>Company:</i> Farmington Resources, Inc. (Fairmont, West Virginia)	Accounts Receivable From a Business	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
22	Energysystems, Inc. <i>Company:</i> Energysystems, Inc. (Fairmont, WV) <i>Description:</i> Contract services and material provider for utility plants	Corporate Securities Non-Public Stock	Self	\$1,000,001 - \$5,000,000	Dividends, Interest, Other, (Ordinary business income)	\$100,001 - \$1,000,000 Other \$491,949.00

Asset	Asset Type	Owner	Value	Income Type	Income
23 West Virginia Growth Investment LLC <i>Company:</i> West Virginia Growth Investment LLC (Charleston, WV) <i>Description:</i> Investment fund formed to invest in small businesses that operate in and around West Virginia <i>Filer comment:</i> Total investment in West Virginia Growth Investment LLC is \$15,001 - \$50,000. Underlying assets exceeding \$1,000 are reported below.	Business Entity Limited Liability Company (LLC)	Spouse			
23.1 nChannel, Inc. <i>Company:</i> nChannel, Inc. (Columbus, OH) <i>Description:</i> Software company providing cloud-based, multi-channel software for retail companies	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
23.2 VCalc LLC <i>Company:</i> VCalc LLC (Frederick, Maryland) <i>Description:</i> Social media productivity company that is building a calculator for the web and mobile devices.	Business Entity Limited Liability Company (LLC)	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
23.3 PECA Labs Inc. <i>Company:</i> PECA Labs Inc. (Pittsburgh, Pennsylvania) <i>Description:</i> A medical device company focused on bringing new solutions to underserved populations.	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
23.4 Figure 8 Surgical Inc. <i>Company:</i> Figure 8 Surgical Inc. (Morgantown, West Virginia)	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
	Virginia) <i>Description:</i> Sternal Close Asset is a medical device company					
23.5	PolyPlexx, LLC <i>Company:</i> PolyPlexx, LLC (Charleston, West Virginia) <i>Description:</i> Chemical process patents	Business Entity Limited Liability Company (LLC)	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
23.6	CereDX Inc. <i>Company:</i> CereDx Inc. (Morgantown, West Virginia) <i>Description:</i> Develops new testing for stroke candidates	Corporate Securities Non-Public Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
24	HBAN - Huntington Bancshares Incorporated	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
25	Country Roads Marine, LLC <i>Company:</i> Country Road Marine, LLC (Charleston, West Virginia) <i>Description:</i> Owner of house boat	Business Entity Limited Liability Company (LLC)	Joint			
25.1	House Boat <i>Description:</i> House Boat	Personal Property Other	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Self	<u>VWSUX</u>	Vanguard Short-Term Tax-Exempt Fund Class Admiral	Sale (Full)	02/28/2020	\$50,001 - \$100,000	n/a
2	Self	--	American Express Centurion Bank UT CD 2.05% 5/28/2020	Sale (Full)	05/28/2020	\$50,001 - \$100,000	Maturity
3	Self	<u>FUMBX</u>	Fidelity Short-Term Treasury Bond Index Fund	Purchase	08/12/2020	\$50,001 - \$100,000	n/a
4	Self	--	Morgan Stanley Bank Na CD 2.95% 10/19/2020	Sale (Full)	10/19/2020	\$15,001 - \$50,000	Maturity
5	Self	--	American Express Centurion Bank UT CD 2.30% 11/25/2020	Sale (Full)	11/25/2020	\$15,001 - \$50,000	Maturity
6	Self	<u>DFSTX</u>	DFA U.S. Small Cap Portfolio Institutional Class	Sale (Partial)	11/25/2020	\$1,001 - \$15,000	n/a
7	Self	<u>DFUVX</u>	DFA U.S. Large Cap Value III Portfolio	Sale (Partial)	11/25/2020	\$1,001 - \$15,000	n/a
8	Self	<u>VFIAX</u>	Vanguard 500 Index Fund Admiral Shares	Sale (Partial)	11/25/2020	\$1,001 - \$15,000	n/a
9	Self	<u>FUMBX</u>	Fidelity Short-Term Treasury Bond Index Fund	Purchase	11/25/2020	\$15,001 - \$50,000	n/a
10	Self	<u>FUMBX</u>	Fidelity Short-Term Treasury Bond Index Fund	Purchase	12/30/2020	\$50,001 - \$100,000	n/a

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **No**

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jul 1993 to present	Partner	MPM Properties Fairmont, West Virginia	Partnership	General Partnership
2	Jan 1982 to present	Partner	Manchin Enterprises Fairmont, West Virginia	Partnership	General Partnership
3	Jan 2010 to present	Other (Member)	AA Property, LLC Fairmont, West Virginia	Other (Limited Liability Company)	n/a
4	Feb 2014 to present	Other (Member)	Country Roads Marine, LLC Charleston, West Virginia	Other (Limited Liability Company)	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **No**

Attachments & Comments

No attachments added.

No comments added.