

**UNITED STATES HOUSE OF REPRESENTATIVES
2020 FINANCIAL DISCLOSURE STATEMENT**

Form A
For Use by Members, Officers, and Employees

202 - 225-5711

HAND DELIVERED Page 1 of 1

LEGISLATIVE RESOURCE SERVICES
(Office Use Only)
2021 AUG 10 AM 10:57

A \$200 penalty shall be assessed against any individual who files/more than 30 days late.

Name: W. Kurt Schneider Daytime Telephone: _____

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>Oregon</u>	Officer or Employee	Employing Office:	Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
		District: <u>5</u>			
REPORT TYPE	<input checked="" type="checkbox"/> 2020 Annual (Due: May 17, 2021)		<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? OR b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"		

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "Yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "Yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

SCHEDULE A - ASSETS & "UNEARNED"

Name: *W. Kurt Schroder*

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income													BLOCK E Transaction	
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII			
SP, JT, JT, or E	ASSET NAME	None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,000	\$2,001-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$150,000	\$150,001-\$500,000	\$500,001-\$1,000,000	Over \$1,000,000	Spouse/DC Asset with income over \$1,000,000*	P, S, S(part), or E	
	<i>Eidolfy Treasury Mt</i>			<input checked="" type="checkbox"/>																																	
	<i>Archway Middeor</i>																																				
	<i>Hohlkiss Willy & Co.</i>																																				
	<i>PKM Co. W. V. St.</i>																																				
	<i>Katon Lonie Growth</i>			<input checked="" type="checkbox"/>																																	
	<i>Emeryld Growth</i>																																				
	<i>Wells Fargo CBG MLP</i>																																				
	<i>Townwater Int. Bk. F.</i>																																				
	<i>PKM Co. W. V. St.</i>																																				
	<i>Heading Lower Int. F.</i>																																				
	<i>Victory Supreme Svc. Cn.</i>																																				
	<i>Point MLP Int. Cn.</i>																																				
	<i>Grave Adv. Svc. Bnd. F.</i>																																				
	<i>W. Fund Op. Bnd. F.</i>																																				
	<i>Messachusetts Invest Trst</i>																																				
	<i>HS Rec'd. T. H. F.</i>																																				

See Prudential check with P.S. type and amount of income

SCHEDULE A - ASSETS & UNEARNED

Name:

W. Kurt Schrader

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BLOCK A Assets and/or Income Sources	BLOCK B Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income													BLOCK E Transaction
			A	B	C	D	E	F	G	H	I	J	K	L	M	
	None		None													
	\$1-\$1,000															
	\$1,001-\$15,000															
	\$15,001-\$50,000															
	\$50,001-\$100,000															
	\$100,001-\$250,000															
	\$250,001-\$500,000															
	\$500,001-\$1,000,000															
	\$1,000,001-\$5,000,000															
	\$5,000,001-\$25,000,000															
	\$25,000,001-\$50,000,000															
	Over \$50,000,000															
	Spouse/DC Asset over \$1,000,000*															
	NONE															
	DIVIDENDS															
	RENT															
	INTEREST															
	CAPITAL GAINS															
	EXCEPTED/BLIND TRUST															
	TAX-DEFERRED															
	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)															
	None		None													
	\$1-\$200															
	\$201-\$1,000															
	\$1,001-\$2,500															
	\$2,501-\$5,000															
	\$5,001-\$15,000															
	\$15,001-\$50,000															
	\$50,001-\$100,000															
	\$100,001-\$1,000,000															
	\$1,000,001-\$5,000,000															
	Over \$5,000,000															
	Spouse/DC Asset with Income over \$1,000,000*															

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & " UNEARNED

Name:

Mr. Kurt Schaefer

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	None																																				
	Microsoft Corp																																				
	Visa Inc																																				
	Alabama Exp Hlth S																																				
	Home Depot																																				
	Dana her Corp.																																				
	Zacks Inc																																				
	Fortune Corp																																				
	Fidelity National Ins																																				
	Rocky Hills Inc																																				
	Pender Mex. Resour																																				
	Blackrock Inc.																																				
	CHB Exp Inc																																				
	United Health Exp Inc																																				
	Chick's Corp.																																				
	Waste Management																																				
	Solo Exp Corp																																				

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED"

Name:

W. Kurt Schrade

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BLOCK A Assets and/or Income Sources	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction					
	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X		XI	XII			
None																																					
\$1-\$1,000																																					
\$1,001-\$15,000																																					
\$15,001-\$50,000																																					
\$50,001-\$100,000																																					
\$100,001-\$250,000																																					
\$250,001-\$500,000																																					
\$500,001-\$1,000,000																																					
\$1,000,001-\$5,000,000																																					
\$5,000,001-\$25,000,000																																					
\$25,000,001-\$50,000,000																																					
Over \$50,000,000																																					
Spouse/DC Asset over \$1,000,000*																																					
ASSET NAME																																					
SP, JT, JT, JT																																					
Service Above Inc																																					
Actvision Blend Inc																																					
Dawg (w/15) Kelly Co																																					
Aspen Corp.																																					
Safar S.A.																																					
Bank of America Corp																																					
MSCI Inc																																					
STP Global Inc																																					
W.H. Co. S.H. S.H.																																					
ZETA HOLD Inc																																					
Union Pacific Corp																																					
Ampland Corp.																																					
Keen Technology Inc																																					
Chautauque Community																																					
Teambuild First																																					
Teambuild First																																					
Teambuild First																																					

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & " UNEARNED

Name: W. Kurt Schrader Page 7 of 25

BLOCK A Assets and/or Income Sources	BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction					
	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	I	II	III	IV	V	VI	VII	VIII	IX	X		XI	XII			
ASSET NAME	None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*																									
OW Credit Income Fund																																						
Hi New York Mutual Holdings																																						
Russ Stores Inc																																						
AOI PLC																																						
Blackstone Group																																						
Schwab Charles Company																																						
Alaba Inc.																																						
A. Product Chemicals																																						
American Tower Corp																																						
Sea LLA ORE																																						
WPH MetLife Group																																						
ASITL Holdings																																						
Fluor Inc																																						
Victor's Inc																																						

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED"

Name:

W. Kurt Schade

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction				
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, M, or E		
	Bank of America																																				
	Appl Inc																																				
	Blackrock Inc																																				
	Bancorp Hal Corp																																				
	Johnson & Johnson																																				
	Microsoft Corp.																																				
	PepsiCo																																				
	Pf. Inc 66 SHS																																				
	Disney (Walt) Corp																																				
	Zusel Corp																																				
	Appl Inc SHS CR																																				
	Appl Inc SHS CIA																																				
	Lockwood Holdings																																				
	TD Ameritrade Fidelity																																				
	Ameritrade Inc																																				
	CMBS Group Inc																																				

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED

Name: W. Kurt Schneider Page 9 of 25

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction				
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, SPO, JT, or E			
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with income over \$1,000,000*				
	SP Mega Class Co.					X																																
	Verizon Communications																																					
	Bohannon Village Flats																																					
	Heritage Home Strt 5000																																					
	ISLams SFP 5000																																					
	Select Sector SPDR																																					
	SPDR US Financial & Ins																																					
	Vansect Ventures Coll																																					
	Vanguard Growth ETF																																					
	Blackstone AT1 Trd																																					
	SubHawood Trd 16A																																					
	SP 10 year Strategy																																					
	Amer Fund End																																					
	Wes Inc Asset Mgmt																																					

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & UNEARNED

Name:

W. Kurt Schnacker

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SP, DC, JT	BLOCK A Assets and/or Income Sources	EIF	BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction		
			A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII			
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*								None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with income over \$1,000,000*				
	<i>Abbie Inc SRS</i>															X																						
	<i>ATI Inc</i>																																					
	<i>Coyle Co LP</i>																																					
	<i>Century Link Inc SRS</i>		X																																			
	<i>SPR Call Est</i>																																					
	<i>Adobe Inc</i>																																					
	<i>America's International</i>																																					
	<i>Citrus Systems Inc</i>																																					
	<i>ELI Lilly & Co.</i>																																					
	<i>Nvidia</i>																																					
	<i>Queltern Tr.</i>																																					
	<i>Blackrock Global Log</i>																																					
	<i>3 Shares Kresky Botell</i>																																					
	<i>TPP Energy Consulting</i>																																					
	<i>Western Asset Co & Bd</i>																																					

SCHEDULE A - ASSETS & "UNEARNED"

Name:

W. Ruf Schneider

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction					
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
sp	Cap. for 1000 Bank Acct.																																				
sp	RI/VEP/FC FDIC																																				
sp	Palmer's Ins. Co.																																				
sp	St. H. Mutual Bank																																				
sp	Stevens IH Co																																				
sp	Robert + Leavelle																																				
sp	Devin + Co.																																				
sp	Monsieur Pension																																				
sp	Reps (new Excel)																																				

SCHEDULE A - ASSETS & " UNEARNED

Name: *W. Kurt Schneider*

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction								
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII						
		None	\$1-\$1,000	\$1,001-\$14,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with income over \$1,000,000*						
SP	Am cap Fund C			X																																				
SP	America Balanced Fund			X																																				
SP	American High Income Fund			X																																				
SP	American Mid Fund			X																																				
SP	Capt America Bal Fd			X																																				
SP	Emer Pacific Growth Fd			X																																				
SP	Fundamental Investors C			X																																				
SP	Global Balanced Fund C			X																																				
SP	Global Fund of America			X																																				
SP	Instrucor Growth Fund			X																																				
SP	Investment Corp of America			X																																				
SP	Toledo Energy Fund C			X																																				
SP	Toledo Energy Fund C			X																																				
SP	Wells Fargo Mid Fund C			X																																				
SP	Washington Fund Fd			X																																				

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED"

Name: W. Kurt Schroeder Page 13 of 25

BLOCK A
Assets and/or Income Sources

BLOCK B
Value of Asset

BLOCK C
Type of Income

BLOCK D
Amount of Income

BLOCK E
Transaction

SP, JT, JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	sp American Mutual Fund H																																						
	sp Global Balanced Fund H																																						
	sp T. Rowe Price Fund A																																						
	sp Small Cap Value Fund H																																						

See Asset Values
Additional Type
and amount of income
See Assets
Values
Additional Type
and amount of income

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED"

Name: W. K. Schrader Page 15 of 25

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset														BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction				
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	N	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E				
	None																																						
	\$1-\$1,000																																						
	\$1,001-\$16,000																																						
	\$16,001-\$60,000																																						
	\$60,001-\$100,000																																						
	\$100,001-\$250,000																																						
	\$250,001-\$500,000																																						
	\$500,001-\$1,000,000																																						
	\$1,000,001-\$5,000,000																																						
	\$5,000,001-\$25,000,000																																						
	\$25,000,001-\$50,000,000																																						
	Over \$50,000,000																																						
	Spouse/DC Asset over \$1,000,000*																																						
	None																																						
	\$1-\$200																																						
	\$201-\$1,000																																						
	\$1,001-\$2,500																																						
	\$2,501-\$5,000																																						
	\$5,001-\$16,000																																						
	\$16,001-\$50,000																																						
	\$50,001-\$100,000																																						
	\$100,001-\$1,000,000																																						
	\$1,000,001-\$5,000,000																																						
	Over \$5,000,000																																						
	Spouse/DC Asset with Income over \$1,000,000*																																						
	P, S, S(part), or E																																						

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED"

Name:

A. Kurt Schwab

Page

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction				
SP, OP, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	D	B	N	V	W	WB	WB	R	X	XI	XII	P, S, S(part), or B			
	None																																					
	\$1-\$1,000																																					
	\$1,001-\$16,000																																					
	\$16,001-\$50,000																																					
	\$50,001-\$100,000																																					
	\$100,001-\$250,000																																					
	\$250,001-\$500,000																																					
	\$500,001-\$1,000,000																																					
	\$1,000,001-\$5,000,000																																					
	\$5,000,001-\$25,000,000																																					
	\$25,000,001-\$50,000,000																																					
	Over \$50,000,000																																					
	Spouse/DC Asset over \$1,000,000*																																					
	None																																					
	\$1-\$200																																					
	\$201-\$1,000																																					
	\$1,001-\$2,500																																					
	\$2,501-\$5,000																																					
	\$5,001-\$15,000																																					
	\$15,001-\$50,000																																					
	\$50,001-\$100,000																																					
	\$100,001-\$1,000,000																																					
	\$1,000,001-\$5,000,000																																					
	Over \$5,000,000																																					
	Spouse/DC Asset with income over \$1,000,000*																																					
SP	Am. Cap. F.I. 529A																																					
SP	Totawa F.I. 529A																																					
SP	New Biomy F.I. 529A																																					
SP	New Biomy F.I. 529A																																					
SP	Small Cap World F.I. 529A																																					

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name:

W Kurt Schraeder

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated net income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was a result in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets held by your spouse or dependent child.

SP, DC, JT	SP	Example	Mgmt Org Stock	Asset	Type of Transaction				Date (MM/YY) or Quarter, Month, or Bi- weekly, if applicable	Amount of Transaction														
					Purchase	Sale	Partial Sale	Exchange		Check Box if Capital Gain Exceeded \$200	A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$200,000	E \$200,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)			
		See Prudential Statements					X																	
		Jan-Dec 2020 for transect																						
		See Bessener Trust for																						
		Jan-Dec 2020 for funds																						
		See Merrill Lynch shares for																						
		Jan-Dec 2020 for funds																						
		Three Aces Firm -																						
		no changes																						
		See American Fund shares for																						
		Jan-Dec. 2020 Transac																						
		See IRT FBO Suzanne																						
		Jan-Dec 2020 Transac																						

Use additional sheets if more space is required.

SCHEDULE C - EARNED INCOME

Name:

W. Kurt Schneider

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.
EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.
INCOME LIMITS and PROHIBITED INCOME: The 2020 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,845. The 2021 limit is \$29,595. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Source (include date of receipt for honoraria)	Type	Amount
Examples: Kansas State State of Maryland Civil War Roundtable, Oct. 2, Ontario, Ontario Board of Education	Approved Teaching Fee Legislative Session Spouse Speech Spouse Salary	\$0.00 \$18,000 \$1,000 N/A
<i>(SP) Excelon (Reps) Salary</i>	<i>Spouse Salary</i>	<i>N.A.</i>
<i>Oregon PERS (Pension)</i>	<i>Pension</i>	<i>813,671</i>

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name:

W. Kurt Schade

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability												
				A	B	C	D	E	F	G	H	I	J	K		
	<i>Example</i> First Bank of Wilmington, DE	<i>5/20</i>	<i>Mortgage on Rental Property, Dover, DE</i>				X									
	<i>Mccrillpack Credit Corp</i>	<i>Oct 2012</i>	<i>Mortgage, Washington, DC</i>				X									
	<i>Key Bank L.O.C. - Oregon</i>	<i>June 2015</i>	<i>L.O.C. Cashy Oregon</i>				X									
<i>sp</i>	<i>Leolar</i>	<i>March 2015</i>	<i>Mortgage - Maple House</i>					X								

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor or organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
<i>Partner</i>	<i>Three Rivers Farm LLC</i>
<i>Manager</i>	<i>Shelby Island LLC</i>

SCHEDULE F - AGREEMENTS

Name: *W. Kurt Schneider*

Page *22* of *25*

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
<i>Jan 1997</i>	<i>State of Oregon</i>	<i>Oregon PERS (continued participation)</i>

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$415 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives; gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$166 or less need not be added towards the \$415 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
<i>Example:</i> Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$600
<i>N.A.</i>		

SCHEDULE H - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name:

W. Kurt Schroeder

Page 23 of 25

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$415 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Source	Details	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Government of China (MEMEA)	Aug 6-11	DC-Beijing, China-DC	Y	Y	N
Habitat for Humanity (Charity Fundraising)	Mar. 3-4	DC-Beacon-DC	Y	Y	Y
<i>N.A.</i>					

Use additional sheets if more space is required.

SCHEDULE I - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: W. Kurt Schaefer Page 24 of 25

Let the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

Source	Activity	Date	Amount
<i>Examples:</i> Association of American Associations, Washington, DC	Speech	Feb. 2, 2020	\$2,000
XYZ Magazine	Article	Aug. 13, 2020	\$500
<i>W.A.</i>			

Use additional sheets if more space is required.

**FILER NOTES
(Optional)**

NOTE NUMBER	NOTES

Use additional sheets if more space is required.

Statement for the Period January 1, 2020 to January 31, 2020

W K SCHRADER - Prudential Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE

Investment Discipline: FUND ADVISORY PROGRAM

HOLDINGS > MUTUAL FUNDS ~~continued~~



Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
12/31/19	CASH	REINVESTMENT	PCIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$6.91	4.165	(\$28.78)
12/31/19	CASH	REINVESTMENT	PCIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.40	6.394	(\$92.00)
Total Securities Purchased					

Pruco Securities, LLC

MIN C7RH7K7RRRV8XR RRRR [REDACTED]

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period January 1, 2020 to January 31, 2020

W K SCHRADER - Premier Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE

Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/02/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1	0.55	(\$0.55)
01/02/20	CASH	YOU SOLD	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 AS OF 01:10:20	(0.64.57)	\$764.57
01/31/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1	1.89	(\$1.89)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/31/19	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL 2		\$28.78
12/31/19	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL 2		\$92.08
01/31/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKMT FD DAILY MONEY DIVIDEND RECEIVED		\$1.88
Total Dividends					[REDACTED]

TOTAL INCOME

ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Account Fees					

Pruco Securities, LLC
 MN CTRN2K7R8RV8XR BRND [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period January 1, 2020 to January 31, 2020

W K SCHRADER - Prudential Select IRA
 Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > TAXES, FEES AND EXPENSES *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/10/20	CASH	FEE	MANAGED ACCOUNT FEE		(\$304.57)
Total Account Fees					[REDACTED]

TOTAL TAXES, FEES AND EXPENSES [REDACTED]

ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/02/20	CASH	ADJUSTMENT	MUTUAL FUND 128BT FEE CREDIT		\$0.55
TOTAL OTHER ACTIVITY					[REDACTED]

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the grant is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NPS is not the custodian (e.g. insurance and Annuities; Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NPS may or may not receive an allocation of called/redemmed securities by the issuer. Transfer agent and/or depository, if NPS is allocated a portion of the called/redemmed securities, NPS utilizes an impartial lottery allocation system. In accordance with applicable rules, the randomly selects the securities within customer accounts that will be called/redemmed. NPS' allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NPS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NPS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NPS. More favorable rates may be available through third parties not affiliated with NPS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Prudential Securities, LLC

MN FRH7K7RRRVRXR RRRR [REDACTED]

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period February 1, 2020 to February 29, 2020

W K SCHRAEDER - Premier Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE

Investment Discipline: FUND ADVISORY PROGRAM

HOLDINGS > MUTUAL FUNDS *continued*



Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
07/31/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$7.02	4.516	(\$31.70)
07/31/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.72	6.317	(\$92.99)
Total Securities Purchased					

Prudential Securities, LLC

MEM FTFR19CCTTRRAVV77G RRRRR [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period February 1, 2020 to February 29, 2020

W K SCHRAEDER - Premier Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/03/20	CASH	YOU BOUGHT	FIDELITY TREASURY MARKT FD DAILY MONEY @ 1	0.44	(\$0.44)
02/28/20	CASH	YOU BOUGHT	FIDELITY TREASURY MARKT FD DAILY MONEY @ 1	1.34	(\$1.34)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
01/31/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL 2		\$31.70
01/31/20	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL 2		\$82.89
02/28/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MARKT FD DAILY MONEY DIVIDEND RECEIVED		\$1.34
Total Dividends					\$126.03

TOTAL INCOME

ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/03/20	CASH	ADJUSTMENT	MUTUAL FUND 1281 FEE CREDIT FIDELITY TREASURY		\$0.44

TOTAL OTHER ACTIVITY

Prud Securities, LLC
 MN CTRAKSCTTB8BV2ZG RBRB [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period March 1, 2020 to March 31, 2020
 W K SCHRADER - ~~Prudential~~ Select IRA
 Account Number: ~~XXXXXXXXXX~~
 Separate Acct Manager: PRU CHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS *continued*

ACTIVITY

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
02/28/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$17.02	3.0986	(\$21.75)
02/28/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.89	5.873	(\$87.45)
03/30/20	CASH	REINVESTMENT	THE HARTFORD DIV AND GROWTH FD CL1 REINVEST @ \$20.0200	4.092	(\$81.92)
Total Securities Purchased					

Prudential Securities, LLC
 MN CRFRGRRRRRRXWBR RRRR

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period March 1, 2020 to March 31, 2020
 W K SCHRADER - Prudential Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
03/02/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKT FD DAILY MONEY @ 1	0.34	(\$0.34)
03/30/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKT FD DAILY MONEY @ 1	0.24	(\$0.24)
03/31/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKT FD DAILY MONEY @ 1	0.5	(\$0.50)
TOTAL CORE FUND ACTIVITY					

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/28/20	CASH	DIVIDEND RECEIVED	PCIM GLOBAL TOTAL RETURN CL Z		\$21.75
02/28/20	CASH	DIVIDEND RECEIVED	PCIM TOTAL RETURN BOND CL Z		\$87.45
03/30/20	CASH	DIVIDEND RECEIVED	THE HARTFORD DIV AND GROWTH FD CL 1		\$81.82
03/31/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKT FD DAILY MONEY DIVIDEND RECEIVED		\$0.50
Total Dividends					
TOTAL INCOME					

Prudential Securities, LLC
 MN FR1GRVRRRRXVSR RRRRR [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period March 1, 2020 to March 31, 2020

W K SCHRADER - Broker/Dealer, Select IRA
 Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
03/02/20	CASH	ADJUSTMENT	MUTUAL FUND 1281 FEE CREDIT		\$0.34
			FIDELITY TREASURY 23889201		
03/30/20	CASH	ADJUSTMENT	MUTUAL FUND 1281 FEE CREDIT		\$0.24
			FIDELITY TREASURY		
TOTAL OTHER ACTIVITY					

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redemmed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redemmed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redemmed. NFS' allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the call date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Pruco Securities, LLC
 MN CTR1RNRBRXWQR RRAR [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period April 1, 2020 to April 30, 2020
 W K SCHRADER - Prudence Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUDCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS *continued*

Description Symbol/Cusip Price on 4/30/20 Current Estimated Actual Return

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
03/31/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$6.36	5.018	(\$31.90)
03/31/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$13.89	7.244	(\$100.55)
Total Securities Purchased					

ACTIVITY

Prud Securities, LLC
 MN CTRJNKXHBSTZT BBB

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period April 1, 2020 to April 30, 2020

W K SCHRADER - Beneficiary Select IRA
 Account Number: ██████████
 Separate Acct Manager: PRU CHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
04/13/20	CASH	YOU SOLD	FIDELITY TREASURY MKT FD DAILY MONEY @ 1 AS OF 04-13-20	• (618.5)	4418.50
04/30/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKT FD DAILY MONEY @ 1	0.01	(80.01)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
03/31/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL 2		\$31.90
03/31/20	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL 2		\$100.55
04/30/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKT FD DAILY MONEY DIVIDEND RECEIVED		\$0.01

TOTAL INCOME

ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
04/13/20	CASH	FEE	MANAGED ACCOUNT FEE		(618.50)

Account Fees

Total Account Fees

Prudential Securities, LLC
 MN CB8JJKX18987ZT 89898

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period May 1, 2020 to May 31, 2020
 W K SCHRADER - Premier Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS *Continued*

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
04/30/20	CASH	REINVESTMENT	PCIM GLOBAL TOTAL RETURN CL 2 REINVESTED @ \$6.53	4.625	(\$30.20)
04/30/20	CASH	REINVESTMENT	PCIM TOTAL RETURN BOND CL 2 REINVESTED @ \$14.21	6.876	(\$97.91)
Total Securities Purchased					[REDACTED]

Pruco Securities, LLC
 MN CFRIU NAKRRRTTRN RRNR [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period May 1, 2020 to May 31, 2020

W K SCHRADER - Premier Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE

Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
06/29/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKT F'D DAILY MONEY @ 1	0.01	(\$0.01)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
04/30/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL Z		\$30.20
04/30/20	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$97.91
06/29/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKT F'D DAILY MONEY DIVIDEND RECEIVED		\$0.01
Total Dividends					
TOTAL INCOME					[REDACTED]

Miscellaneous Footnotes

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Prudential Securities, LLC

MN CR811 NAKRBRTRPPN RRRA [REDACTED]

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period June 1, 2020 to June 30, 2020
 W K SCHRAEDER - Premier Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
06/29/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL 2 REINVESTED @ \$6.72	4.854	(332.62)
06/29/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL 2 REINVESTED @ \$14.50	7.008	(101.62)
06/29/20	CASH	REINVESTMENT	THE HARTFORD DIV AND GROWTH FD CL REINVEST @ \$22.6100	2.847	(64.38)
Total Securities Purchased					

Pruco Securities, LLC
 MN FRPFRHRRRXXCGR RR [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period June 1, 2020 to June 30, 2020

W K SCHRADER - Premiere Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
06/30/20	CASH	YOU SOLD	BROWN ADVISORY GROWTH EQUITY INST SOLICITED ORDER COMMISSION @ 28.5400	(40.855)	\$1,156.00
Total Securities Sold					

ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
06/30/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKT FD DAILY MONEY MORNING TRADE @ 1	1.166	(\$1,165.00)
06/30/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKT FD DAILY MONEY @ 1	0.02	(\$0.02)
TOTAL CORE FUND ACTIVITY					

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
05/29/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL Z		\$32.82
05/29/20	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$101.82
06/29/20	CASH	DIVIDEND RECEIVED	THE HARTFORD DIV AND GROWTH FD CL 1		\$64.38
06/30/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKT FD DAILY MONEY DIVIDEND RECEIVED		\$0.02
Total Dividends					

Prudential Securities, LLC
 MN CEBJ7RHBBXCOB BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period July 1, 2020 to July 31, 2020
 W K SCHRADER, Brenhete Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS *Prudential*

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
06/30/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$6.82	4.513	(\$30.78)
06/30/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.70	6.596	(\$96.96)
07/01/20	CASH	REINVESTMENT	MASSACHUSETTS INVEST TRUST FUND CL R6 REINVEST @ \$29.9600	5.294	(\$158.69)
07/01/20	CASH	REINVESTMENT	MASSACHUSETTS INVEST TRUST FUND CL R6 REINVEST @ \$29.9600	1.972	(\$59.07)

Pruco Securities, LLC

MN FRJRNORRBTZVF BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period July 1, 2020 to July 31, 2020

W K SCHRADER - Premier Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
					[REDACTED]
Total Securities Purchased					

ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
07/10/20	CASH	YOU SOLD	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 AS OF 07-10-20	(736.27)	\$736.27
07/31/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1	0.02	(\$0.02)
TOTAL CORE FUND ACTIVITY					

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
06/30/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL 2		\$30.78
06/30/20	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL 2		\$96.95
07/01/20	CASH	DIVIDEND RECEIVED	MASSACHUSETTS INVEST TRUST FUND CL R6		\$190.60
07/31/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKMT FD DAILY MONEY DIVIDEND RECEIVED		\$0.02
Total Dividends					

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
07/01/20	CASH	LONG CAP GAIN	MASSACHUSETTS INVEST TRUST FUND CL R6		\$59.07
Total Capital Gain					

Prudential Securities, LLC
 MN CEBRNORRBBTZYF BBBB 20200731

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period July 1, 2020 to July 31, 2020

W K SCHRADER - Broker/Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE

Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY continued

TOTAL INCOME Amount \$345.43

ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
07/10/20	CASH	FEE	MANAGED ACCOUNT FEE		(\$28.27)
Total Account Fees					(\$28.27)
TOTAL TAXES, FEES AND EXPENSES					(\$28.27)

Miscellaneous Footnotes

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CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redemmed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redemmed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redemmed. NFS allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Pruco Securities, LLC

MN CPNIRNORBRRT7VF RRRR [REDACTED]

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period August 1, 2020 to August 31, 2020

W K SCHIRADER - Broker/Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE

Investment Discipline: FUND ADVISORY PROGRAM

HOLDINGS > MUTUAL FUNDS *continued*



Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
07/31/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$7.10	4.183	(\$29.56)
07/31/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$15.03	6.186	(\$92.97)
08/19/20	CASH	REINVESTMENT	EATON VANCE GROWTH CLASS I REINVEST @ \$34,1800	8.885	(\$303.69)
Total Securities Purchased					

Pruco Securities, LLC

MN CRHTVPPRRHVRH V RRRR [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period August 1, 2020 to August 31, 2020

W K SCHRADER - Brantner Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
08/31/20	CASH	YOU BOUGHT	FIDELITY TREASURY MGMT FD DAILY MONEY @ 1	0.01	(\$0.01)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
07/31/20	CASH	DIVIDEND RECEIVED	PCGM GLOBAL TOTAL RETURN CL Z		\$29.56
07/31/20	CASH	DIVIDEND RECEIVED	PCGM TOTAL RETURN BOND CL Z		\$82.97
08/31/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MGMT FD DAILY MONEY DIVIDEND RECEIVED		\$0.01
Total Dividends					

Capital Gain

08/19/20	CASH	LONG CAP GAIN	EATON VANCE GROWTH CLASS I		\$303.88
Total Capital Gain					

TOTAL INCOME

Price Securities, LLC

MN CR11TVPP1818181 V BRAN [REDACTED]

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period September 1, 2020 to September 30, 2020
 W K SCHRADER - Premier Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUDENCE
 Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS *continued*

ACTIVITY

PURCHASES, SALES, AND REDEMPTIONS

Statement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
08/31/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL 2 REINVESTED @ \$17.99	4.343	(\$30.79)
08/31/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL 2 REINVESTED @ \$14.69	6.277	(\$93.49)
08/29/20	CASH	REINVESTMENT	THE HARTFORD DIV AND GROWTH FD CL 1 REINVEST @ \$24.5200	2.644	(\$64.82)
Total Securities Purchased					

Prudential Securities, LLC

MEMBER FINRA SIPC

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period September 1, 2020 to September 30, 2020

W K SCHRAEDER - Premium Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRU CHOICE

Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
09/30/20	CASH	YOU BOUGHT	FIDELITY TREASURY MARKT FD DML V MONEY @ 1	0.01	(\$0.01)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
09/31/20	CASH	DIVIDEND RECEIVED	PCGM GLOBAL TOTAL RETURN CL Z		\$39.79
09/31/20	CASH	DIVIDEND RECEIVED	PCGM TOTAL RETURN BOND CL Z		\$93.46
09/29/20	CASH	DIVIDEND RECEIVED	THE HARTFORD DW AND GROWTH FD CL 1		\$64.62
09/30/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MARKT FD DML V MONEY DIVIDEND RECEIVED		\$0.01

TOTAL INCOME

Miscellaneous Footnotes

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Pruco Securities, LLC

MEMBER FINRA, SIPC

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period October 1, 2020 to October 31, 2020

W K SCHRADER - Premier Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRU CHOICE

Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 10/31/20	Current Market Value	Estimated Annual Income
Fixed Income					
PGM GLOBAL TOTAL RETURN CL Z	P2TRX CASH	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Estimated Yield 3.51%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
PGM TOTAL RETURN BOND CL Z	P0BZX CASH	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Estimated Yield 3.05%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Fixed Income					
Total Mutual Funds					
Total Securities					
TOTAL PORTFOLIO VALUE					

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
09/30/20	CASH	REINVESTMENT	PGM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$7.03	4.228	[REDACTED]
09/30/20	CASH	REINVESTMENT	PGM TOTAL RETURN BOND CL Z REINVESTED @ \$14.85	6.125	[REDACTED]
Total Securities Purchased					

Securities Sold

Pruco Securities, LLC
MN CFPRKRRRRRVMMO RRRR [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period October 1, 2020 to October 31, 2020

W K SCHRADER - Premier Select IRA
 Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
10/09/20	CASH	YOU SOLD	EATON VANCE GROWTH CLASS I SOLICITED ORDER CONF-03571824 @ 35.5100	(21.735)	\$885.00
Total Securities Sold					

ACTIVITY > CORE FUND ACTIVITY

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Settlement Date	Account Type	Transaction	Description	Quantity	Amount
10/09/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DAILY MONEY MORNING TRADE @ 1	.. 985	(3985.00)
10/12/20	CASH	YOU SOLD	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 AS OF 10-12-20	(784.53)	\$784.53
10/30/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1	0.02	(\$0.02)
TOTAL CORE FUND ACTIVITY					

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
09/30/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL Z		\$29.72
09/30/20	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$80.95
10/30/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKMT FD DAILY MONEY DIVIDEND RECEIVED		\$0.02
Total Dividends					
					\$120.69
TOTAL INCOME					

Prud Securities, LLC
 MN CTRKRHRPRHRVMMO RRBR [REDACTED]

Account certified with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period October 1, 2020 to October 31, 2020

W K SCHRADER - Beneficiary Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE

Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
	Account Fees				
10/1/20	CASH	FEE	MANAGED ACCOUNT FEE		(\$784.53)
	Total Account Fees				[REDACTED]

TOTAL TAXES, FEES AND EXPENSES

[REDACTED]

Miscellaneous Footnotes

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CALLABLE SECURITIES LOTTERY - When stated name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redeemed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redeemed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redeemed. NFS' allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the call date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, LLC, on a principal basis. Fidelity Forex, LLC, an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, LLC, may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Prucio Securities, LLC
100 GREENWICH AVENUE, 10TH FLOOR, NEW YORK, NY 10006

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period November 1, 2020 to November 30, 2020
 W K SCHRADER - Prudential Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUDCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
10/30/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$7.00	4.394	(\$30.78)
10/30/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.71	6.472	(\$95.27)
11/10/20	CASH	YOU BOUGHT	HOTCHKISS & WILEY LARGE CAP VALUE CL 1 PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER COMM @ 31.4400	96.438	(\$3,032.00)
11/17/20	CASH	YOU BOUGHT	THE HARTFORD DIV AND GROWTH FD CL 1 PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER COMM @ 26.7900	623.18	(\$16,685.00)

Prudential Securities, LLC
 MN FRRRDNMTBRVVR RRBR [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period November 1, 2020 to November 30, 2020

WIK SCHRADER - Greenleaf Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/17/20	CASH	YOU BOUGHT	MFS RESEARCH INTERNATIONAL FD R6 PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER CON- [REDACTED] @ 20.9800	1,420.433	(\$29,545.00)
11/17/20	CASH	YOU BOUGHT	MFS RESEARCH INTERNATIONAL FD R6 PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER CON- [REDACTED] @ 20.9800	15.179	(\$320.00)
11/17/20	CASH	YOU BOUGHT	MASSACHUSETTS INVEST TRUST FUND CL R6 PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER CON- [REDACTED] @ 34.4000	29.36	(\$1,010.00)
11/17/20	CASH	YOU BOUGHT	PGM TOTAL RETURN BOND CL Z PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER @ 14.8400	126.55	(\$1,878.00)
11/17/20	CASH	YOU BOUGHT	VICTORY SYCAMORE SMALL COMPANY OPP R6 PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER CON- [REDACTED] @ 43.6600	1.887	(\$82.00)
11/17/20	CASH	REINVESTMENT	ARTISAN MID CAP FUND ADVISOR REINVEST @ \$41,5000 AS OF 11/17/20	20.69	(\$920.65)
11/17/20	CASH	REINVESTMENT	ARTISAN MID CAP FUND ADVISOR REINVEST @ \$41,5000 AS OF 11/17/20	4.571	(\$201.54)
Total Securities Purchased					
Securities Sold					
11/17/20	CASH	YOU SOLD	ARTISAN MID CAP FUND ADVISOR SOLICITED ORDER @ \$1,1400	(\$4,419)	\$2,783.00
11/17/20	CASH	YOU SOLD	BAIRD MID CAP INSTL CLASS SHS SOLICITED ORDER CON- [REDACTED] @ 28.1600	(35,238)	\$994.00
11/17/20	CASH	YOU SOLD	BROWN ADVISORY GROWTH EQUITY INSTL SOLICITED ORDER CON- [REDACTED] @ 27.7400	(48,633)	\$1,625.00

Prudential Securities, LLC
 MN CRKDYXMTTRRVVVR R [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period November 1, 2020 to November 30, 2020

W K SCHRADER - Premium Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE

Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/17/20	CASH	YOU SOLD	HOTCHINS & WILEY LARGE CAP VALUE CL 1 SOLICITED ORDER CONFIRMATION # 31,7709*	(483,404)	\$14,722.35
11/17/20	CASH	YOU SOLD	HOTCHINS & WILEY LARGE CAP VALUE CL 1 SOLICITED ORDER CONFIRMATION # 31,8600	(101,302)	\$3,287.22
11/17/20	CASH	YOU SOLD	TRANSAMERICA INTL EQUITY SOLICITED ORDER CONFIRMATION # 17,9400	(1,708,715)	\$30,483.48
Total Securities Sold [REDACTED]					

ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/10/20	CASH	YOU BOUGHT	FIDELITY TREASURY MARKET FUND DAILY MONEY MORNING TRADE # 1	745	(\$745.00)
11/17/20	CASH	YOU BOUGHT	FIDELITY TREASURY MARKET FUND DAILY MONEY MORNING TRADE # 1	1,838.05	(\$1,838.05)
11/17/20	CASH	YOU SOLD	FIDELITY TREASURY MARKET FUND DAILY MONEY # 1	(1,340)	\$1,340.00
11/23/20	CASH	YOU BOUGHT	FIDELITY TREASURY MARKET FUND DAILY MONEY # 1	490.69	(\$490.69)
11/24/20	CASH	YOU BOUGHT	FIDELITY TREASURY MARKET FUND DAILY MONEY # 1	51.96	(\$51.96)
11/30/20	CASH	YOU BOUGHT	FIDELITY TREASURY MARKET FUND DAILY MONEY # 1	0.02	(\$0.02)

TOTAL CORE FUND ACTIVITY

[REDACTED]

Pruce Securities, LLC

MEM *****RRRRVVVR *****

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period November 1, 2020 to November 30, 2020

W K SCHRADER, Executive Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRU CHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > MISCELLANEOUS & CORPORATE ACTIONS

This section includes miscellaneous and certain corporate action transactions such as mergers, acquisitions, currency conversions, shares delivered or received in-kind, with a zero dollar amount at the time the transaction occurred. It also includes Return of Principal transactions.

Date	Account Type	Transaction	Description	Quantity	Amount
11/6/20	CASH	CONVERSION	HARTFORD DIV AND GROWTH FD CL Y SHR CLASS CONVERSION CNY CUSIP: [REDACTED] TRAN VALUE: (\$35,601.41)	1,260.227	\$0.00
11/6/20	CASH	CONVERSION	THE HARTFORD DIV AND GROWTH FD CL I SHR CLASS CONVERSION CNY CUSIP: [REDACTED] TRAN VALUE: \$35,601.43	(1,303.604)	\$0.00

ACTIVITY > INCOME

Statement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
10/30/20	CASH	DIVIDEND RECEIVED	PGM GLOBAL TOTAL RETURN CL Z		\$30.76
10/30/20	CASH	DIVIDEND RECEIVED	PGM TOTAL RETURN BOND CL Z		\$95.21
11/30/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MGMT FD ONLY MONEY DIVIDEND RECEIVED		\$0.02
Total Dividends					
Capital Gain					
11/24/20	CASH	LONG CAP GAIN	ARTISAN MID CAP FUND ADVISOR		\$920.69
11/24/20	CASH	SHORT CAP GAIN	ARTISAN MID CAP FUND ADVISOR		\$301.64
Total Capital Gain					
TOTAL INCOME					

Prudential Securities, LLC
 MN CRKDKXMTTRRVVVA RRRI [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period November 1, 2020 to November 30, 2020

W K SCHRADER - Premium Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRU CHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/23/20	CASH	JOURNALLED	ACCOUNT CREDIT CALL 1-800-220-4453 WITH QUESTIONS		\$490.69
11/24/20	CASH	JOURNALLED	ACCOUNT CREDIT CALL 1-800-220-4453 WITH QUESTIONS		\$51.95
TOTAL OTHER ACTIVITY					[REDACTED]

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redemmed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redemmed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redemmed. NFS' allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the call date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, LLC, on a principal basis. Fidelity Forex, LLC, an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, LLC, may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Price Securities, LLC
 NY PRK17MTRRNVVR RRBR [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period December 1, 2020 to December 31, 2020

W K SCHRADER - Prudential Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRU CHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
11/30/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$1.21	3.764	(\$27.14)
11/30/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$15.04	6.286	(\$94.54)
12/09/20	CASH	REINVESTMENT	MFS RESEARCH INTERNATIONAL FD R6 REINVEST @ \$21.2900	15.401	(\$327.89)
12/11/20	CASH	REINVESTMENT	EATON VANCE GROWTH CLASS I REINVEST @ \$36.3900	32.083	(\$1,167.51)
12/11/20	CASH	REINVESTMENT	HARTFORD DIV AND GROWTH FD CL Y REINVEST @ \$28.2200	25.237	(\$712.18)
12/15/20	CASH	REINVESTMENT	BROWN ADVISORY GROWTH EQUITY INSTL REINVEST @ \$32.4000	26.143	(\$847.03)

Prudential Securities, LLC

MEMBER SIPC

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period December 1, 2020 to December 31, 2020

W K SCHRADER - Premium Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRU CHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS continued

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/15/20	CASH	REINVESTMENT	BROWN ADVISORY GROWTH EQUITY INSTL REINVEST @ \$34,000	3.833	(\$124.18)
12/15/20	CASH	REINVESTMENT	HARROING LOEWEN INTL EQUITY PORT INSTL REINVEST @ \$27,700 AS OF 12/15/20	9.788	(\$270.86)
12/16/20	CASH	REINVESTMENT	MASSACHUSETTS INVEST TRUST FUND CL R6 REINVEST @ \$33,800	37.562	(\$1,273.35)
12/16/20	CASH	REINVESTMENT	MASSACHUSETTS INVEST TRUST FUND CL R6 REINVEST @ \$33,900	6.213	(\$210.62)
12/17/20	CASH	REINVESTMENT	BARO MID CAP INSTL CLASS SHS REINVEST @ \$27,500 AS OF 12/17/20	15.413	(\$425.08)
12/17/20	CASH	REINVESTMENT	BARO MID CAP INSTL CLASS SHS REINVEST @ \$27,500 AS OF 12/17/20	3.076	(\$84.83)
12/17/20	CASH	REINVESTMENT	VICTORY SYCAMORE SMALL COMPANY OPP R6 REINVEST @ \$47,380	0.367	(\$17.38)
12/17/20	CASH	REINVESTMENT	WELLS FARGO CAB MID CAP VALUE INSTL SHS REINVEST @ \$42,200	1.56	(\$65.83)
12/18/20	CASH	REINVESTMENT	EMERALD GROWTH INSTITUTIONAL REINVEST @ \$35,200	13.537	(\$476.78)
12/18/20	CASH	REINVESTMENT	EMERALD GROWTH INSTITUTIONAL REINVEST @ \$35,200	1.756	(\$87.84)
12/23/20	CASH	REINVESTMENT	PGM GLOBAL TOTAL RETURN CL Z REINVEST @ \$7,260 AS OF 12/23/20	7.855	(\$57.03)
12/23/20	CASH	REINVESTMENT	VICTORY SYCAMORE SMALL COMPANY OPP R6 REINVEST @ \$46,270	0.885	(\$37.27)
12/30/20	CASH	REINVESTMENT	HARTFORD DIV AND GROWTH FD CL Y REINVEST @ \$28,050	6.347	(\$178.11)
Total Securities Purchased					

Pruso Securities, LLC
 MN CFNKHPRRHXXPMN RRRR [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period December 1, 2020 to December 31, 2020

W K SCHRADER Prudential Select IRA
 Account Number: [REDACTED]
 Separate Acct Manager: PRU CHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/31/20	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1	0.03	(\$0.03)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
11/30/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL Z		\$27.14
11/30/20	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$94.54
12/09/20	CASH	DIVIDEND RECEIVED	MFS RESEARCH INTERNAL TOTAL FD R6		\$327.89
12/15/20	CASH	DIVIDEND RECEIVED	HARDING LOEWNER INTL EQUITY PORT INSTL		\$270.86
12/16/20	CASH	DIVIDEND RECEIVED	MASSACHUSETTS INVEST TRUST FUND CL R8		\$210.62
12/17/20	CASH	DIVIDEND RECEIVED	WELLS FARGO CAB MID CAP VALUE INSTL SHS		\$65.83
12/23/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL Z		\$57.03
12/23/20	CASH	DIVIDEND RECEIVED	VICTORY SYCAMORE SMALL COMPANY OPP R8		\$37.27
12/30/20	CASH	DIVIDEND RECEIVED	HARTFORD DIV AND GROWTH FD CL Y		\$178.11
12/31/20	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKMT FD DAILY MONEY DIVIDEND RECEIVED		\$0.03
Total Dividends					
Capital Gain					
12/1/20	CASH	LONG CAP GAIN	EATON VANCE GROWTH CLASS I		\$1,167.51

Prudential Securities, LLC
 MN CEBKJHPRBBBXPAN BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Statement for the Period December 1, 2020 to December 31, 2020

W K SCHRADER - Premier Select IRA
 Account Number [REDACTED]

Separate Acct Manager: PRUCHOICE
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > INCOME continued

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/11/20	CASH	LONG CAP GAIN	HARTFORD DIV AND GROWTH FD CL Y		\$712.18
12/15/20	CASH	LONG CAP GAIN	BROWN ADVISORY GROWTH EQUITY INSTL		\$847.03
12/15/20	CASH	SHORT CAP GAIN	BROWN ADVISORY GROWTH EQUITY INSTL		\$124.18
12/18/20	CASH	LONG CAP GAIN	MASSACHUSETTS INVEST TRUST FUND CL B6		\$1,273.35
12/17/20	CASH	LONG CAP GAIN	BARCL MID CAP INSTL CLASS SHS		\$425.08
12/17/20	CASH	LONG CAP GAIN	VICTORY SYCAMORE SMALL COMPANY OPP R6		\$17.36
12/17/20	CASH	SHORT CAP GAIN	BAIRD MID CAP INSTL CLASS SHS		\$84.83
12/18/20	CASH	LONG CAP GAIN	EMERALD GROWTH INSTITUTIONAL		\$476.78
12/18/20	CASH	SHORT CAP GAIN	EMERALD GROWTH INSTITUTIONAL		\$61.84
Total Capital Gain					[REDACTED]
TOTAL INCOME					[REDACTED]

Miscellaneous Footnotes

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CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NPS is not the custodian (e.g. insurance and Annuities, Assets Held Away and Other Assets Held Away).

Prud Securities, LLC
 MN CEBKJPRBBXPM BBR [REDACTED]

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Cash Transaction Detail

Report dated April 23, 2021
 V. KURT SCHPADER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Summary: Portfolio: Cash Date: Units: Description: US Code: Principal Balance:

Additions

EUR 04/30/2020 ZS Sale (25,000) SARHAN SA @907.635 283 (SALE CASH SETTLEMENT) 2,188.70 0.00

Total additions EUR

HKD 06/22/2020 ZS Sale (10,000) TENCENT HOLDINGS LTD @958.337 283 (SALE CASH SETTLEMENT) 9,582.16 0.00

Total additions HKD

USD 12/19/2019 1L Dividend 25,000 HOME DEPOT REVERSAL OF TRANSACTION REPORTED ON 12/19/2019 183 (CASH DIVIDEND) (\$34.00) 0.00

Total additions USD

01/02/2020 1L Dividend 60,000 NIKE INC CL B 183 (CASH DIVIDEND) \$34.00 \$0.00

Total additions HKD

01/03/2020 1L Dividend 55,000 HEALTH CARE SELECT SPDR TAX YEAR 2019 183 (CASH DIVIDEND) \$38.80 \$0.00

Total additions USD

01/07/2020 1L Dividend 55,000 FED TRUST UST OBLIG #59 183 (CASH DIVIDEND) \$18.95 \$0.00

Total additions USD

01/08/2020 1L Dividend 35,000 AMPHENOL CORP 183 (CASH DIVIDEND) \$8.75 \$0.00

Total additions USD

01/10/2020 1L Dividend 48,000 CHUBB LIMITED 183 (CASH DIVIDEND) \$38.75 \$0.00

Total additions USD

Z# Money mkt fund sale (10,053,000) FED TRUST UST OBLIG #59 227 (SWEEP REDEMPTION) \$10,053.00 \$0.00

Total additions USD

ZS Sale (1,302,159) OW LARGE CAP STRATEGIES FD @916.290 283 (SALE CASH SETTLEMENT) \$1,910.00 \$0.00

Total additions USD

01/13/2020 Z# Money mkt fund sale (25,000) FED TRUST UST OBLIG #59 227 (SWEEP REDEMPTION) \$25.00 \$0.00

Total additions USD

04/14/2020 1L Dividend 25,000 American Tower Corp TAX YEAR 2019 183 (CASH DIVIDEND) \$25.25 \$0.00

B
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 TRUST

Cash Transaction Detail

Account: [REDACTED] Period: 01/01/2020 - 12/31/2020 Units: Description US Code: [REDACTED] [REDACTED]

USD	01/14/2020	1L Dividend	20.000	PIONEER NATURAL RESOURCES	183 (CASH DIVIDEND)	\$0.80	\$0.00
	01/15/2020	1L Dividend	20.000	ONE GROUP INC	183 (CASH DIVIDEND)	\$0.00	\$0.00
		2# Money mkt fund sale	25.000	THERMO FISHER SCIENTIFIC	183 (CASH DIVIDEND)	\$4.75	\$0.00
			15,345.000	FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$5,345.00	\$0.00
			661.000				
	01/18/2020	1L Dividend	36.000	WALT DISNEY CO	183 (CASH DIVIDEND)	\$30.80	\$0.00
	01/21/2020	2# Money mkt fund sale	12,080.000	FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$2,080.00	\$0.00
			671.000				
		2S Sale	75.000	DISCOVER FINANCIAL SVCS	263 (SALE CASH SETTLEMENT)	\$9,274.19	\$0.00
			683,898				
			1,000	BOOKING HLDGS INC	263 (SALE CASH SETTLEMENT)	\$2,050.20	\$0.00
			62,050.248				
	01/24/2020	2S Sale	90.000	ACTIVISION BLIZZARD INC	263 (SALE CASH SETTLEMENT)	\$4,941.02	\$0.00
			690.524				
	01/31/2020	1L Dividend	0.000	OW MULTI-ASSET OPFS FUND	183 (CASH DIVIDEND)	(\$5,153.10)	\$0.00
			0.000	TAX YEAR 2019 REALLOCATION OF 12/29/2019 PAYMENT			
			0.000	OW MULTI-ASSET OPFS FUND	183 (CASH DIVIDEND)	\$5,102.75	\$0.00
			0.000	TAX YEAR 2019 REALLOCATION OF 12/29/2019 PAYMENT			
			0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	(\$1,792.81)	\$0.00
			0.000	TAX YEAR 2018 REALLOCATION OF 09/10/2019 PAYMENT			
			0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	(\$1,782.12)	\$0.00
			0.000	TAX YEAR 2018 REALLOCATION OF 12/10/2019 PAYMENT			
			0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	(\$1,725.74)	\$0.00
			0.000	TAX YEAR 2019 REALLOCATION OF 08/11/2019 PAYMENT			
			0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$1,895.81	\$0.00
			0.000	TAX YEAR 2019 REALLOCATION OF 09/10/2019 PAYMENT			

Cash Transaction Detail

Report dated April 23, 2021
 [REDACTED] N. KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Account	Portfolio no	Cash date	Units	Description	US cents	Principal Income
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USD	[REDACTED]	03/31/2020	1L Dividend	0.000	OW MUNICIPAL BOND FUND TAX YEAR 2019 REALLOCATION OF 12/10/2019 PAYMENT	183 (CASH DIVIDEND) \$1,885.89 \$0.00
				0.000	OW MUNICIPAL BOND FUND TAX YEAR 2019 REALLOCATION OF 08/11/2019 PAYMENT	183 (CASH DIVIDEND) \$1,832.55 \$0.00
				0.000	OW MUNICIPAL BOND FUND TAX YEAR 2019 REALLOCATION OF 08/12/2019 PAYMENT	183 (CASH DIVIDEND) (\$1,597.24) \$0.00
				0.000	OW MUNICIPAL BOND FUND TAX YEAR 2019 REALLOCATION OF 08/12/2019 PAYMENT	183 (CASH DIVIDEND) \$1,510.99 \$0.00
				0.000	OW MUNICIPAL BOND FUND TAX YEAR 2019 REALLOCATION OF 08/10/2019 PAYMENT	183 (CASH DIVIDEND) \$98.80 \$0.00
				0.000	OW MUNICIPAL BOND FUND TAX YEAR 2019 REALLOCATION OF 12/10/2019 PAYMENT	183 (CASH DIVIDEND) \$86.23 \$0.00
				0.000	OW MUNICIPAL BOND FUND TAX YEAR 2019 REALLOCATION OF 08/11/2019 PAYMENT	183 (CASH DIVIDEND) \$83.19 \$0.00
				0.000	OW MUNICIPAL BOND FUND TAX YEAR 2019 REALLOCATION OF 08/12/2019 PAYMENT	183 (CASH DIVIDEND) \$86.25 \$0.00
				0.000	OW MULTI-ASSET OPFS FUND TAX YEAR 2019 REALLOCATION OF 12/23/2019 PAYMENT	183 (CASH DIVIDEND) \$48.71 \$0.00
				0.000	HEALTH CARE SELECT SPOR TAX YEAR 2019 REALLOCATION OF 01/03/2020 PAYMENT	183 (CASH DIVIDEND) \$45.53 \$0.00
				0.000	OW MULTI-ASSET OPFS FUND TAX YEAR 2019 REALLOCATION OF 12/23/2019 PAYMENT	183 (CASH DIVIDEND) \$39.80 \$0.00
				0.000	CONSUMER STAPLES INDEX FD TAX YEAR 2019 REALLOCATION OF 09/25/2019 PAYMENT	183 (CASH DIVIDEND) (\$39.38) \$0.00
				0.000	HEALTH CARE SELECT SPOR TAX YEAR 2019 REALLOCATION OF 01/03/2020 PAYMENT	183 (CASH DIVIDEND) (\$36.88) \$0.00
				0.000	CONSUMER STAPLES INDEX FD TAX YEAR 2019 REALLOCATION OF 08/25/2019 PAYMENT	183 (CASH DIVIDEND) \$34.17 \$0.00

Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRAEDER IRA
 All transactions entered between 01/01/2020 and 12/31/2020

Currency	Portfolio no	Cash Date	Units	Description	DS Code	Price/Income
USD	USD-P	01/31/2020	1L Dividend			
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 07/12/2019	PAYMENT	183 (CASH DIVIDEND)		(\$32.20) \$0.00
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 07/12/2019	PAYMENT	183 (CASH DIVIDEND)		\$32.14 \$0.00
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 04/28/2019	PAYMENT	183 (CASH DIVIDEND)		(\$31.50) \$0.00
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 04/28/2019	PAYMENT	183 (CASH DIVIDEND)		\$31.48 \$0.00
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 01/14/2020	PAYMENT	183 (CASH DIVIDEND)		(\$25.25) \$0.00
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 01/14/2020	PAYMENT	183 (CASH DIVIDEND)		\$25.20 \$0.00
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 10/17/2019	PAYMENT	183 (CASH DIVIDEND)		(\$23.75) \$0.00
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 10/17/2019	PAYMENT	183 (CASH DIVIDEND)		\$23.71 \$0.00
0.000	HEALTH CARE SELECT SPDR	TAX YEAR 2019 REALLOCATION OF 12/28/2019	PAYMENT	183 (CASH DIVIDEND)		(\$23.20) \$0.00
0.000	HEALTH CARE SELECT SPDR	TAX YEAR 2019 REALLOCATION OF 01/09/2020	PAYMENT	183 (CASH DIVIDEND)		\$13.87 \$0.00
50.000	DANAHER CORP			183 (CASH DIVIDEND)		\$8.50 \$0.00
0.000	CONSUMER STAPLES INDEX FD	TAX YEAR 2019 REALLOCATION OF 09/25/2019	PAYMENT	183 (CASH DIVIDEND)		\$5.19 \$0.00
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 07/12/2019	PAYMENT	183 (CASH DIVIDEND)		\$0.08 \$0.00
0.000	American Tower Corp	TAX YEAR 2019 REALLOCATION OF 01/14/2020	PAYMENT	183 (CASH DIVIDEND)		\$0.05 \$0.00

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Cash Transaction Detail

Report dated April 23, 2021
 [REDACTED] KURT SCHRADER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Account	Portfolio no	Cash date	Date	Description	US Code	Principal (us\$)
USD	[REDACTED]	USD-P 01/31/2020	11 Dividend	0.000 American Tower Corp TAX YEAR 2019 REALLOCATION OF 10/17/2019 PAYMENT	183 (CASH DIVIDEND)	\$0.04 \$0.00
				0.000 American Tower Corp TAX YEAR 2019 REALLOCATION OF 04/28/2019 PAYMENT	183 (CASH DIVIDEND)	\$0.04 \$0.00
				0.000 OW MULTI-ASSET OPPS FUND TAX YEAR 2019 REALLOCATION OF 12/23/2019 PAYMENT	182 (CAPITAL GAINS DIST RECEIPT (SHORT))	(\$3,916.14) \$0.00
				0.000 OW MULTI-ASSET OPPS FUND TAX YEAR 2019 REALLOCATION OF 12/23/2019 PAYMENT	182 (CAPITAL GAINS DIST RECEIPT (SHORT))	\$3,877.88 \$0.00
				0.000 FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$13.52 \$0.00
				(250.000) FED TRUST UST OBLIG #59 @#1.000	227 (SWEEP REDEMPTION)	\$250.00 \$0.00
				60.000 TEXAS INSTRUMENTS INC	183 (CASH DIVIDEND)	\$54.00 \$0.00
				(388.349) OW LARGE CAP STRATEGIES FO @#15.450	263 (SALE CASH SETTLEMENT)	\$6,000.00 \$0.00
				(10.000) CHUBB LIMITED @#163.277	263 (SALE CASH SETTLEMENT)	\$1,832.84 \$0.00
				(5.000) BLACKROCK INC @#354.620	263 (SALE CASH SETTLEMENT)	\$2,772.84 \$0.00
				(5.000) WALT DISNEY CO @#140.890	263 (SALE CASH SETTLEMENT)	\$703.18 \$0.00
				(5.000) AMERICAN WATER WORKS CO @#134.705	263 (SALE CASH SETTLEMENT)	\$873.31 \$0.00
				30.000 APPLE INC	183 (CASH DIVIDEND)	\$23.10 \$0.00
				(5,400.000) FED TRUST UST OBLIG #59 @#1.000	227 (SWEEP REDEMPTION)	\$5,400.00 \$0.00
				100.000 BLACKSTONE GROUP INC	183 (CASH DIVIDEND)	\$61.00 \$0.00

Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency	Portfolio no	Cash date	Units	Description	US code	Principal/Income
USD	SD-P	02/24/2020	28	Sale		
			(10,000)	MSCI INC	263	\$3,219.40
				03321.957	SETTLEMENT	\$0.00
			(6,000)	SERVENDOW INC	263	\$1,760.94
				03392.226	SETTLEMENT	\$0.00
			80,000	CITIGROUP INC	183	\$40.80
			120,000	CONOCOPHILLIPS	183	\$50.40
			(30,000)	WALT DISNEY CO	263	\$3,591.86
				03119.775	SETTLEMENT	\$0.00
			(10,000)	CHUBB LIMITED	263	\$1,545.38
				03154.579	SETTLEMENT	\$0.00
			80,000	VISA INC	183	\$18.00
			55,000	ZOETIS INC	183	\$11.00
			0,000	FED TRUST UST OBLIG #59	183	\$10.38
			30,000	AMERICAN WATER WORKS CO	183	\$15.00
			(232,000)	FED TRUST UST OBLIG #59	227	\$232.00
				0361.000	REDEMPTION	\$0.00
			10,000	MSCI INC	183	\$6.80
			(951,000)	FED TRUST UST OBLIG #59	227	\$951.00
				0361.000	REDEMPTION	\$0.00
			10,000	SAP GLOBAL INC.	183	\$8.70
			136,000	MICROSOFT CORP	183	\$98.85
			(5,400,000)	FED TRUST UST OBLIG #59	227	\$5,400.00
				0361.000	REDEMPTION	\$0.00
			43,722,292	DIV MUNICIPAL BOND FUND	183	\$1,981.45
			35,000	WASTE MANAGEMENT INC NEW	183	\$18.08
			4,000	BLACKROCK INC	183	\$14.52

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Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRADER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Quantity	Particulars	Cash Date	Units	Description	US Code	Principals Code
USD	SD-P	03/24/2020	1L Dividend	20.000 UNITEDHEALTH GROUP INC	183 (CASH DIVIDEND)	\$21.80 \$0.00
		03/25/2020	1L Dividend	20.000 ONE GROUP INC	183 (CASH DIVIDEND)	\$17.00 \$0.00
		03/26/2020	1L Dividend	26.000 HOME DEPOT	183 (CASH DIVIDEND)	\$37.50 \$0.00
		03/27/2020	1L Dividend	63.000 HEALTHCARE SELECT SPDR	183 (CASH DIVIDEND)	\$21.01 \$0.00
				285.000 BANK OF AMERICA CORP	183 (CASH DIVIDEND)	\$51.30 \$0.00
				60.000 FIDELITY NATL INFO SVCS	183 (CASH DIVIDEND)	\$21.00 \$0.00
				70.000 FORTNE CO	183 (CASH DIVIDEND)	\$4.90 \$0.00
	ZS Sale			113,805.31(0) OMV MULTI-ASSET OPPS FUND	263 (SALE CASH SETTLEMENT)	\$78,000.00 \$0.00
				4,404.59(8) OMV MUNICIPAL BOND FUND	263 (SALE CASH SETTLEMENT)	\$54,000.00 \$0.00
		03/31/2020	1L Dividend	55.000 PEPSCO INC	183 (CASH DIVIDEND)	\$52.53 \$0.00
				60.000 UNION PACIFIC CORP	183 (CASH DIVIDEND)	\$48.50 \$0.00
				65.000 AMEREN CORP	183 (CASH DIVIDEND)	\$32.18 \$0.00
				40.000 HILTON WORLDWIDE HOLDINGS	183 (CASH DIVIDEND)	\$6.00 \$0.00
	Z# Money mkt fund sale			729,250.00(0) FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$29,250.00 \$0.00
				4051.00(0)		
		04/01/2020	1L Dividend	70.000 NIKE INC CL B	183 (CASH DIVIDEND)	\$17.15 \$0.00
	Z# Money mkt fund sale			1704.00(0) FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$704.00 \$0.00
				4051.00(0)		
		04/02/2020	1L Dividend	0.000 FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$9.30 \$0.00
	Z# Money mkt fund sale			1243.00(0) FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$243.00 \$0.00
				4051.00(0)		
		04/08/2020	1L Dividend	35.000 AMPHENOL CORP	183 (CASH DIVIDEND)	\$8.75 \$0.00

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Cash Transaction Detail

Account	Portfolio	Cash Date	Units	Description	US Funds	Folio/Portfolio
USD	SDP	04/08/2020	2#	Money mkt fund sale	12,551,000	227 (SWEEP REDEMPTION)
					\$61,000	
04/10/2020	1L	Dividend	29,000	CHUBB LIMITED		183 (CASH DIVIDEND)
04/14/2020	1L	Dividend	20,000	PIONEER NATURAL RESOURCES		183 (CASH DIVIDEND)
04/15/2020	2#	Money mkt fund sale	(5,400,000)	FED TRUST UST DBLIG #59		227 (SWEEP REDEMPTION)
					\$61,000	
04/16/2020	1L	Dividend	25,000	TERVAD FISHER SCIENTIFIC		183 (CASH DIVIDEND)
04/24/2020	1L	Dividend	50,000	DANAHER CORP		183 (CASH DIVIDEND)
04/29/2020	1L	Dividend	25,000	American Tower Corp		183 (CASH DIVIDEND)
	2#	Money mkt fund sale	(1,714,000)	FED TRUST UST DBLIG #59		227 (SWEEP REDEMPTION)
					\$61,000	
04/30/2020	2S	Sale	(35,000)	BLACKSTONE GROUP INC		263 (SALE CASH SETTLEMENT)
					\$62,500	
			(5,000)	HOME DEPOT		263 (SALE CASH SETTLEMENT)
					\$217,225	
			(10,000)	HILTON WORLDWIDE HOLDINGS		263 (SALE CASH SETTLEMENT)
					\$677,000	
05/01/2020	2S	Sale	(20,000)	HEALTH CARE SELECT SPDR		263 (SALE CASH SETTLEMENT)
					\$100,653	
05/04/2020	1L	Dividend	0,000	FED TRUST UST DBLIG #59		183 (CASH DIVIDEND)
05/08/2020	2#	Money mkt fund sale	(289,000)	FED TRUST UST DBLIG #59		227 (SWEEP REDEMPTION)
					\$61,900	
05/11/2020	1L	Dividend	120,000	BLACKSTONE GROUP INC		183 (CASH DIVIDEND)
05/14/2020	1L	Dividend	45,000	APPLE INC		183 (CASH DIVIDEND)
05/15/2020	2#	Money mkt fund sale	(5,400,000)	FED TRUST UST DBLIG #59		227 (SWEEP REDEMPTION)
					\$61,000	

Cash Transaction Detail

Report dated April 23, 2021
 M. KURT SCHMADER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency: USD Portfolio: Cash Cash Date: Description US Code: Principal Income

USD	05/18/2020	1L Dividend	65,000	TEXAS INSTRUMENTS INC	183 (CASH DIVIDEND)	\$53.50	\$0.00
	05/22/2020	1L Dividend	140,000	CITIGROUP INC	183 (CASH DIVIDEND)	\$71.49	\$0.00
	05/29/2020	1L Dividend	105,000	TENCENT HOLDINGS LTD	183 (CASH DIVIDEND)	\$16.24	\$0.00
	06/01/2020	1L Dividend	130,000	CONOCOPHILLIPS	183 (CASH DIVIDEND)	\$54.80	\$0.00
	06/02/2020	1L Dividend	55,000	ZOETIS INC	183 (CASH DIVIDEND)	\$11.00	\$0.00
	06/02/2020	1L Dividend	75,000	VISA INC	183 (CASH DIVIDEND)	\$22.50	\$0.00
	06/04/2020	2# Money mkt fund sale	30,000	AMERICAN WATER WORKS CO	183 (CASH DIVIDEND)	\$16.50	\$0.00
	06/04/2020	2# Money mkt fund sale	0,000	FED TRUST UST DBLG #59	183 (CASH DIVIDEND)	\$1.83	\$0.00
	06/04/2020	2# Money mkt fund sale	273,000	FED TRUST UST DBLG #59	227 (SWEEP REDEMPTION)	\$273.00	\$0.00
	06/05/2020	2# Money mkt fund sale	465,000	FED TRUST UST DBLG #59	227 (SWEEP REDEMPTION)	\$465.00	\$0.00
	06/10/2020	2S Sale	15,000	HEALTH CARE SELECT SPOR @ \$102.255	263 (SALE CASH SETTLEMENT)	\$1,533.19	\$0.00
	06/10/2020	1L Dividend	10,000	S&P GLOBAL INC.	183 (CASH DIVIDEND)	\$0.70	\$0.00
	06/11/2020	1L Dividend	145,000	MICROSOFT CORP	183 (CASH DIVIDEND)	\$73.95	\$0.00
	06/15/2020	2# Money mkt fund sale	15,400,000	FED TRUST UST DBLG #59	227 (SWEEP REDEMPTION)	\$5,400.00	\$0.00
	06/18/2020	1L Dividend	39,317,724	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$1,494.07	\$0.00
	06/18/2020	2S Sale	25,000	HOME DEPOT	183 (CASH DIVIDEND)	\$37.50	\$0.00
	06/18/2020	2S Sale	4,385,995	OW LARGE CAP STRATEGIES FD @ \$14.250	263 (SALE CASH SETTLEMENT)	\$62,500.00	\$0.00
	06/18/2020	2S Sale	3,108,883	OW SMALL & MIDCAP STRAT FD @ \$14.470	263 (SALE CASH SETTLEMENT)	\$45,000.00	\$0.00
	06/18/2020	2S Sale	1,048,513	OW MULT-ASSET OPS FUND @ \$63.390	263 (SALE CASH SETTLEMENT)	\$67,000.00	\$0.00

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Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency Code	Posting date	Cash date	Units	Description	US code	Principals/amount
USD	08/19/2020	1L Dividend	40,000	WASTE MANAGEMENT INC NEW	1B3 (CASH DIVIDEND)	\$21.80 \$0.00
		24 Money mkt fund sale	(113,807.00)	FED TRUST UST OBLIG ASB	227 (SWEEP REDEMPTION)	\$113,807.00 \$0.00
		25 Sale	(20,000)	MICROSOFT CORP	2B3 (SALE CASH SETTLEMENT)	\$3,898.81 \$0.00
			(5,000)	CHARTER COMMUNICATIONS INC	2B3 (SALE CASH SETTLEMENT)	\$2,878.26 \$0.00
			(10,000)	FACEBOOK INC-A	2B3 (SALE CASH SETTLEMENT)	\$2,362.28 \$0.00
			(5,000)	SERVENCORP INC	2B3 (SALE CASH SETTLEMENT)	\$2,003.04 \$0.00
			(10,000)	VISA INC	2B3 (SALE CASH SETTLEMENT)	\$1,941.43 \$0.00
			(5,000)	APPLE INC	2B3 (SALE CASH SETTLEMENT)	\$1,788.40 \$0.00
			(5,000)	THERMO FISHER SCIENTIFIC	2B3 (SALE CASH SETTLEMENT)	\$1,752.28 \$0.00
			(10,000)	FIDELITY NATL INFO SVCS	2B3 (SALE CASH SETTLEMENT)	\$1,390.75 \$0.00
			(5,000)	American Tower Corp	2B3 (SALE CASH SETTLEMENT)	\$1,326.61 \$0.00
			(10,000)	PERSCO INC	2B3 (SALE CASH SETTLEMENT)	\$1,320.24 \$0.00
			(5,000)	HOME DEPOT	2B3 (SALE CASH SETTLEMENT)	\$1,259.16 \$0.00
			(10,000)	SEA LTD ADR	2B3 (SALE CASH SETTLEMENT)	\$1,059.18 \$0.00

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Cash Transaction Detail

Report dated April 23, 2021
 W. KURT SCHMIDT IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency: USD Portfolio no: USD-P 03/19/2020 2S Sale Date: Description: US code: Percentage/amount

USD	Description	US code	Percentage/amount
(40,000)	BANK OF AMERICA CORP @325.205	203 (SALE CASH SETTLEMENT)	\$1,008.58 \$0.00
(10,000)	NIKE INC CL B @399.468	203 (SALE CASH SETTLEMENT)	\$399.47 \$0.00
(5,000)	SALESFORCE COM @3181.714	203 (SALE CASH SETTLEMENT)	\$308.35 \$0.00
(5,000)	DANAHER CORP @178.877	203 (SALE CASH SETTLEMENT)	\$893.17 \$0.00
(5,000)	UNION PACIFIC CORP @172.288	203 (SALE CASH SETTLEMENT)	\$861.11 \$0.00
(15,000)	BLACKSTONE GROUP INC @57.381	203 (SALE CASH SETTLEMENT)	\$860.10 \$0.00
(15,000)	CITIGROUP INC @53.318	203 (SALE CASH SETTLEMENT)	\$799.14 \$0.00
(10,000)	AMEREN CORP @72.694	203 (SALE CASH SETTLEMENT)	\$725.52 \$0.00
(5,000)	Hydrex Holdings Inc @138.926	203 (SALE CASH SETTLEMENT)	\$697.31 \$0.00
(5,000)	ZOETIS INC @136.902	203 (SALE CASH SETTLEMENT)	\$694.44 \$0.00
(10,000)	FORTIVE CO @388.235	203 (SALE CASH SETTLEMENT)	\$981.93 \$0.00
(15,000)	CONOCOPHILLIPS @44.557	203 (SALE CASH SETTLEMENT)	\$667.74 \$0.00
(5,000)	CHUBB LIMITED @130.880	203 (SALE CASH SETTLEMENT)	\$658.09 \$0.00
(5,000)	AMERICAN WATER WORKS CO @130.150	203 (SALE CASH SETTLEMENT)	\$650.54 \$0.00

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Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRADEN IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency Code	Portfolio	Cash Flow	Units	Description	US Code	Principal Income
USD		USD P	06/19/2020	2S Sale		
			(5,000)	TEXAS INSTRUMENTS INC	283 (SALE CASH SETTLEMENT)	\$834.59 \$0.00
				095128.955		
			(5,000)	WASTE MANAGEMENT INC NEW	283 (SALE CASH SETTLEMENT)	\$531.48 \$0.00
				095108.334		
			(5,000)	HEALTH CARE SELECT SPDR	283 (SALE CASH SETTLEMENT)	\$502.07 \$0.00
				095100.485		
			(5,000)	AMPHENOL CORP	283 (SALE CASH SETTLEMENT)	\$494.55 \$0.00
				09398.952		
			(5,000)	HILTON WORLDWIDE HOLDINGS	283 (SALE CASH SETTLEMENT)	\$379.67 \$0.00
				09575.975		
			9,000	BLACKROCK INC	183 (CASH DIVIDEND)	\$32.67 \$0.00
			20,000	CAIE GROUP INC	183 (CASH DIVIDEND)	\$17.00 \$0.00
			25,000	HEALTH CARE SELECT SPDR	183 (CASH DIVIDEND)	\$10.62 \$0.00
			335,000	BANK OF AMERICA CORP	183 (CASH DIVIDEND)	\$80.30 \$0.00
			70,000	FIDELITY NATL INFO SVCS	183 (CASH DIVIDEND)	\$24.50 \$0.00
			75,000	FORTUNE CO	183 (CASH DIVIDEND)	\$5.25 \$0.00
			(35,000)	WASTE MANAGEMENT INC NEW	283 (SALE CASH SETTLEMENT)	\$3,550.80 \$0.00
				095101.494		
			80,000	PEPSICO INC	183 (CASH DIVIDEND)	\$81.35 \$0.00
			60,000	UNION PACIFIC CORP	183 (CASH DIVIDEND)	\$58.20 \$0.00
			70,000	AMEREN CORP	183 (CASH DIVIDEND)	\$34.65 \$0.00
			25,000	UNITEDHEALTH GROUP INC	183 (CASH DIVIDEND)	\$31.25 \$0.00
			(10,000)	SEALTD ADR	283 (SALE CASH SETTLEMENT)	\$1,090.00 \$0.00
				095106.042		
			80,000	NIKE INC CL B	183 (CASH DIVIDEND)	\$19.60 \$0.00
			0,000	FED TRUST UST CBUG #39	183 (CASH DIVIDEND)	\$1.44 \$0.00

Cash Transaction Detail

Report dated April 23, 2021
 V. KURT SCHRADER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency: USD Portfolio: SID-P Cash date: 07/08/2020 Unit: Description US code Principal/term

USD SID-P 07/08/2020 2# Money mkt fund sale (254,000) FED TRUST UST OBLIG #59 2Z7 (SWEEP REDEMPTION) \$254.00 \$0.00

07/08/2020 1L Dividend 40,000 AMPHENOL CORP 1B3 (CASH DIVIDEND) \$10.00 \$0.00

07/09/2020 1L Dividend 10,000 LVMH MOET HENNESSY VUITTON 1B3 (CASH DIVIDEND) \$28.47 \$0.00

07/10/2020 1L Dividend 20,000 American Tower Corp 1B3 (CASH DIVIDEND) \$22.00 \$0.00

07/14/2020 1L Dividend 20,000 PIONEER NATURAL RESOURCES 1B3 (CASH DIVIDEND) \$11.00 \$0.00

07/15/2020 1L Dividend 25,000 THERMO FISHER SCIENTIFIC 1B3 (CASH DIVIDEND) \$5.50 \$0.00

07/31/2020 1L Dividend 45,000 DANAHER CORP 1B3 (CASH DIVIDEND) \$8.10 \$0.00

08/04/2020 1L Dividend 0,000 FED TRUST UST OBLIG #59 1B3 (CASH DIVIDEND) \$0.98 \$0.00

08/08/2020 2# Money mkt fund sale (285,000) FED TRUST UST OBLIG #59 2Z7 (SWEEP REDEMPTION) \$285.00 \$0.00

08/10/2020 1L Dividend 105,000 BLACKSTONE GROUP INC 1B3 (CASH DIVIDEND) \$38.85 \$0.00

08/13/2020 1L Dividend 15,000 AIR PRODUCTS & CHEMICALS 1B3 (CASH DIVIDEND) \$20.10 \$0.00

08/14/2020 2# Money mkt fund sale (5,400,000) FED TRUST UST OBLIG #59 2Z7 (SWEEP REDEMPTION) \$5,400.00 \$0.00

08/17/2020 1L Dividend 80,000 TEXAS INSTRUMENTS INC 1B3 (CASH DIVIDEND) \$54.00 \$0.00

2# Sale (10,000) ZETTES INC 2B3 (SCALE CASH SETTLEMENT) \$1,580.04 \$0.00

(10,000) SEA LTD ADR 2B3 (SCALE CASH SETTLEMENT) \$1,280.81 \$0.00

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Cash Transaction Detail

Report dated April 23, 2021
 M. KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency: USD Portfolio no: Cash date: Date: Description: US entry: Participant(s):

Additions

USD	SP-P	08/17/2020	2S Sale	(5,000)	SALESFORCE COM	06195.180	283 (SALE CASH SETTLEMENT)	8975.89	0.00
		08/28/2020	1L Dividend	125,000	OTISGROUP INC		183 (CASH DIVIDEND)	663.75	0.00
		08/31/2020	L1 Stock Dividend	120,000	APPLE INC STOCK SPLIT		155 (STOCK SPLIT)	90.00	0.00
		09/01/2020	1L Dividend	115,000	CONOCOPHILLIPS		183 (CASH DIVIDEND)	448.30	0.00
				65,000	VISA INC		183 (CASH DIVIDEND)	19.50	0.00
				26,000	AMERICAN WATER WORKS CO		183 (CASH DIVIDEND)	13.75	0.00
				50,000	ZOETIS INC		183 (CASH DIVIDEND)	10.00	0.00
		09/02/2020	1L Dividend	0,000	FED TRUST UST OBLIG #59		183 (CASH DIVIDEND)	0.41	0.00
		09/04/2020	2S Sale	(20,000)	CME GROUP INC	06172.548	283 (SALE CASH SETTLEMENT)	33,450.04	0.00
		09/10/2020	1L Dividend	125,000	MICROSOFT CORP		183 (CASH DIVIDEND)	663.75	0.00
				10,000	SAP GLOBAL INC.		183 (CASH DIVIDEND)	80.70	0.00
		09/15/2020	1L Dividend	39,317.724	OW MUNICIPAL BOND FUND		183 (CASH DIVIDEND)	11,378.12	0.00
			2S Sale	(4,150.782)	OW SMALL & MIDCAP STRAT FD	0615.890	283 (SALE CASH SETTLEMENT)	965,000.00	0.00
		09/18/2020	2# Money mkt fund sale	(25,000)	FED TRUST UST OBLIG #59	061,000	227 (SWEEP REDEMPTION)	325.00	0.00
		09/17/2020	1L Dividend	20,000	HOME DEPOT		183 (CASH DIVIDEND)	380.00	0.00
		09/22/2020	1L Dividend	9,000	BLACKROCK INC		183 (CASH DIVIDEND)	322.67	0.00
				25,000	UNITEHEALTH GROUP INC		183 (CASH DIVIDEND)	331.25	0.00
		09/24/2020	1L Dividend	25,000	HEALTH CARE SELECT SPDR		183 (CASH DIVIDEND)	110.48	0.00
		09/25/2020	1L Dividend	286,000	BANK OF AMERICA CORP		183 (CASH DIVIDEND)	653.10	0.00
				60,000	FIDELITY NATL INFO SVCS		183 (CASH DIVIDEND)	271.00	0.00

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Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRAUER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency: USD Portfolio no: Cash date: Units: Description: US code: Principal amount

USD	ISD-P	09/29/2020	11 Dividend	65.000	FORTIVE CO	183 (CASH DIVIDEND)	\$4.56	\$0.00
		09/29/2020	Z1 Security receipt	0.000	FED HERMES TR UST ORL 59 SECURITY NAME CHANGE	151 (SECURITY NAME CHANGE)	\$0.00	\$0.00
		09/30/2020	11 Dividend	55.000	UNION PACIFIC CORP	183 (CASH DIVIDEND)	\$53.35	\$0.00
				50.000	PEPSICO INC	183 (CASH DIVIDEND)	\$51.13	\$0.00
				60.000	AMEREN CORP	183 (CASH DIVIDEND)	\$29.70	\$0.00
		10/01/2020	11 Dividend	70.000	NIKE INC CL B	183 (CASH DIVIDEND)	\$17.15	\$0.00
			Z5 Sale	(13,501.699)	OW MULT-ASSET OPPS FUND Settled in kind via a basket of securities and cash. Details available upon request. @98.715	283 (SALE CASH SETTLEMENT)	\$90,693.90	\$0.00
				(2,700.340)	OW MULT-ASSET OPPS FUND @98.715	283 (SALE CASH SETTLEMENT)	\$18,132.78	\$0.00
		10/02/2020	11 Dividend	0.000	FED HERMES TR UST ORL 59	183 (CASH DIVIDEND)	\$0.11	\$0.00
		10/08/2020	Z# Money mkt fund sale	(278.000)	FED HERMES TR UST ORL 59 @81.000	ZZ7 (SWEEP REDEMPTION)	\$278.00	\$0.00
		10/07/2020	11 Dividend	35.000	AMPHENOL CORP	183 (CASH DIVIDEND)	\$8.75	\$0.00
		10/09/2020	11 Dividend	24.000	CHUBB UNLTD	183 (CASH DIVIDEND)	\$18.72	\$0.00
			Z# Money mkt fund sale	(250.000)	FED HERMES TR UST ORL 59 @81.000	ZZ7 (SWEEP REDEMPTION)	\$250.00	\$0.00
			Z1 Security receipt	28.000	VONTIER CORP SPIN OFF	323 (SPIN OFF)	\$0.00	\$0.00
		10/14/2020	11 Dividend	20.000	PIONEER NATURAL RESOURCES	183 (CASH DIVIDEND)	\$11.00	\$0.00
		10/15/2020	11 Dividend	20.000	TERMO FISHER SCIENTIFIC	183 (CASH DIVIDEND)	\$4.40	\$0.00
			Z# Money mkt fund sale	(5,396.000)	FED HERMES TR UST ORL 59 @81.000	ZZ7 (SWEEP REDEMPTION)	\$5,396.00	\$0.00
		10/16/2020	11 Dividend	20.000	American Tower Corp	183 (CASH DIVIDEND)	\$22.80	\$0.00

Cash Transaction Detail

Report dated April 23, 2021
 M. KURT SCHAADEN IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency: USD Portfolio: Cash Blue Units: Description DR code Principal Income

Additions

USD	10/28/2020	2# Money mkt fund sale	(1,000)	FED HERMES TR UST OBL 58	227 (SWEEP REDEMPTION)	\$1.00	\$0.00	
			251,000					
	10/30/2020	1L Dividend	45,000	DAVAHER CORP	183 (CASH DIVIDEND)	\$8.10	\$0.00	
	11/05/2020	2# Money mkt fund sale	(285,000)	FED HERMES TR UST OBL 59	227 (SWEEP REDEMPTION)	\$355.00	\$0.00	
			51,000					
	11/09/2020	1L Dividend	15,000	AIR PRODUCTS & CHEMICALS	183 (CASH DIVIDEND)	\$20.10	\$0.00	
	11/12/2020	1L Dividend	180,000	APPLE INC	183 (CASH DIVIDEND)	\$32.80	\$0.00	
	11/13/2020	1L Dividend	15,000	ADN PLC	183 (CASH DIVIDEND)	\$0.90	\$0.00	
				INCLUDES WITHHELDING TAX OF \$1.73				
	2# Money mkt fund sale	(2,987,000)	FED HERMES TR UST OBL 59	227 (SWEEP REDEMPTION)	\$2,987.00	\$0.00	\$0.00	
			81,000					
	2S Sale	(5,000)	HOMER DEPOT	263 (SALE CASH SETTLEMENT)	\$1,370.22	\$0.00	\$0.00	
			274,089					
	(5,000)	DAVAHER CORP	263 (SALE CASH SETTLEMENT)	\$1,141.23	\$0.00	\$0.00	\$0.00	
			228,261					
	(28,000)	VONTRER CORP	263 (SALE CASH SETTLEMENT)	\$748.07	\$0.00	\$0.00	\$0.00	
			28,708					
	11/18/2020	1L Dividend	80,000	TEXAS INSTRUMENTS INC	183 (CASH DIVIDEND)	\$81.20	\$0.00	
			105,000	BLACKSTONE GROUP INC	183 (CASH DIVIDEND)	\$58.70	\$0.00	
	11/25/2020	1L Dividend	145,000	CITIGROUP INC	183 (CASH DIVIDEND)	\$73.95	\$0.00	
			2S Sale	(80,000)	CITIGROUP INC	263 (SALE CASH SETTLEMENT)	\$4,202.27	\$0.00
			53,402					
	(25,000)	HEALTH CARE SELECT SPDR	263 (SALE CASH SETTLEMENT)	\$2,718.45	\$0.00	\$0.00	\$0.00	
			108,441					
	(10,000)	AMEREN CORP	263 (SALE CASH SETTLEMENT)	\$791.16	\$0.00	\$0.00	\$0.00	
			79,138					

Cash Transaction Detail

Report dated April 23, 2021
 MW, KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency: Portfolio no: Cash flow: Units: Description: IP code: Principal amount

Additions

USD	12/01/2020	1L Dividend	115,000	CONIDCOPHILLIPS	183 (CASH DIVIDEND)	\$49.45	\$0.00
			75,000	VISA INC	183 (CASH DIVIDEND)	\$24.80	\$0.00
			40,000	ZOETIS INC	183 (CASH DIVIDEND)	\$0.00	\$0.00
	12/02/2020	1L Dividend	25,000	AMERICAN WATER WORKS CO	183 (CASH DIVIDEND)	\$13.75	\$0.00
			0,000	FED HERMES TR UST ORL 59	183 (CASH DIVIDEND)	\$0.01	\$0.00
	12/03/2020	1L Dividend	10,000	LVMH MOET HENNESSY VUITTON	183 (CASH DIVIDEND)	\$24.18	\$0.00
				INCLUDES WITHHELDING TAX OF \$8.77			
	12/04/2020	1L Dividend	15,000	CINTAS CORP	183 (CASH DIVIDEND)	\$42.15	\$0.00
			15,000	CINTAS CORP	183 (CASH DIVIDEND)	\$10.80	\$0.00
		2S Sale	(25,000)	SALESFORCE COM	283 (SALE CASH SETTLEMENT)	\$5,517.63	\$0.00
				MACROSOFT CORP	183 (CASH DIVIDEND)	\$70.00	\$0.00
	12/10/2020	1L Dividend	125,000	MACROSOFT CORP	183 (CASH DIVIDEND)	\$70.00	\$0.00
			10,000	S&P GLOBAL INC.	183 (CASH DIVIDEND)	\$8.70	\$0.00
	12/15/2020	1L Dividend	39,317.724	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$1,326.19	\$0.00
			25,000	UNITEDHEALTH GROUP INC	183 (CASH DIVIDEND)	\$81.25	\$0.00
		1P Long term cap gain	39,317.724	OW MUNICIPAL BOND FUND	782 (CAPITAL GAINS DIST RECEIPT (LONG))	\$2,048.24	\$0.00
		TV Short term cap gain	39,317.724	OW MUNICIPAL BOND FUND	182 (CAPITAL GAINS DIST RECEIPT (SHORT))	\$807.20	\$0.00
		2# Money mkt fund sale	(3,535,000)	FED HERMES TR UST ORL 59	227 (SWEEP REDEMPTION)	\$3,535.00	\$0.00
						\$1,000	
	12/18/2020	1L Dividend	40,010,030	OW LARGE CAP STRATEGIES FO	183 (CASH DIVIDEND)	\$1,976.90	\$0.00
		1P Long term cap gain	40,010,030	OW LARGE CAP STRATEGIES FO	782 (CAPITAL GAINS DIST RECEIPT (LONG))	\$7,494.28	\$0.00
	12/17/2020	1L Dividend	15,000	HOME DEPOT	183 (CASH DIVIDEND)	\$22.50	\$0.00

Cash Transaction Detail

Report dated April 23, 2021
 M. KLURT SCHRADER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency: USD Portfolio: Cash/Bond Office: Des Moines US code: Principal: [REDACTED]

USD [REDACTED] 12/18/2020 2# Money mkt fund sale (1,746,000) FED HERMITES TR JUST ORL 59 221 (SWEEP \$1,746.00 \$0.00
 REMIPTION)

12/21/2020 1G Cash liq distribution 0.000 OW MULTI-ASSET OPFS FUND 188 (LIQUIDATING \$110.75 \$0.00
 DIVIDEND)
 To receive pro-rata post-liquidation cash distribution from OW MULTI-ASSET OPFS Fund. Taxability to be reflected as cash liquidation distributions.

12/23/2020 1L Dividend 9,000 BLACKROCK INC 183 (CASH DIVIDEND) \$32.67 \$0.00

12/23/2020 1L Dividend 9,086,390 OW CREDIT INCOME FUND 183 (CASH DIVIDEND) \$979.35 \$0.00

12/23/2020 1L Dividend 13,200,841 OW SMALL & MIDCAP STRAT FD 183 (CASH DIVIDEND) \$380.51 \$0.00

12/23/2020 1P Long term cap gain 13,200,841 OW SMALL & MIDCAP STRAT FD 782 (CAPITAL GAINS \$7,487.52 \$0.00
 DIST RECEIPT (LONG))

12/24/2020 1L Dividend 295,000 BANK OF AMERICA CORP 183 (CASH DIVIDEND) \$53.10 \$0.00

12/28/2020 1L Dividend 60,000 FIDELITY NATL INFV SVCS 183 (CASH DIVIDEND) \$21.00 \$0.00

12/29/2020 1L Dividend 65,000 FORTIVE CO 183 (CASH DIVIDEND) \$4.55 \$0.00

12/29/2020 1L Dividend 70,000 NIKE INC CL B 183 (CASH DIVIDEND) \$18.25 \$0.00

12/30/2020 1L Dividend 55,000 UNION PACIFIC CORP 183 (CASH DIVIDEND) \$53.35 \$0.00

12/31/2020 1L Dividend 50,000 AMEREN CORP 183 (CASH DIVIDEND) \$26.75 \$0.00

Total additions USD [REDACTED] \$0.00

Subtractions

EUR [REDACTED] 02/11/2020 5P Purchase 5,000 LVAH MOET HENNESSY VUITTON 282 (PURCHASE CASH (2,272.79) 0.00
 SETTLEMENT)

EUR [REDACTED] 03/31/2020 5P Purchase 5,000 LVAH MOET HENNESSY VUITTON 282 (PURCHASE CASH (1,900.42) 0.00
 SETTLEMENT)

Cash Transaction Detail

Report dated April 23, 2021
 W. KURT SCHRADER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency Code	Portfolio name	Cash flow	Units	Description	US code	Principal Income
Subtractions						
EUR	ICR-P	03/31/2020	SP Purchase	10.000	SARFAN SA €1100.339	282 (PURCHASE CASH SETTLEMENT) 1,007.40) 0.00
		12/04/2020	SP Purchase	5.000	ASML HOLDING €6448.202	282 (PURCHASE CASH SETTLEMENT) (2,242.36) 0.00
Total subtractions EUR						
HKD	ICR-P	04/01/2020	SP Purchase	15.000	TENCENT HOLDINGS LTD €647.977	282 (PURCHASE CASH SETTLEMENT) (721.29) 0.00
Total subtractions HKD						
USD	SD-P	01/02/2020	5# Money mkt fund purch	14.000	FED TRUST UST 0816 #59 €61.000	228 (SWEEP PURCHASE) (\$14.00) \$0.00
		01/03/2020	5# Money mkt fund purch	54.000	FED TRUST UST 0816 #59 €61.000	228 (SWEEP PURCHASE) (\$54.00) \$0.00
		01/07/2020	81 Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 12/31/19	6001 (CORE SERVICES FEE) (\$268.25) \$0.00
		01/08/2020	5# Money mkt fund purch	9.000	FED TRUST UST 0816 #59 €61.000	228 (SWEEP PURCHASE) (\$9.00) \$0.00
		01/10/2020	4Z Cash disbursement	0.000	KURT SCHRADER BANK NATIONAL ASSOCIATION PER REQUEST 1/8/2020 XI	7 (MISCELLANEOUS DISBURSEMENT) (\$30,000.00) \$0.00
				0.000	EXPENSE REIMBURSEMENT WIRE TRANSFER XI	7 (MISCELLANEOUS DISBURSEMENT) (\$26.00) \$0.00
		01/14/2020	5# Money mkt fund purch	34.000	FED TRUST UST 0816 #59 €61.000	228 (SWEEP PURCHASE) (\$34.00) \$0.00
		01/15/2020	8L Penitance & dep.	0.000	KEY BANK	219 (PENALTANCES AND DEBITS) (\$6,400.00) \$0.00
		01/16/2020	5# Money mkt fund purch	30.000	FED TRUST UST 0816 #59 €61.000	228 (SWEEP PURCHASE) (\$30.00) \$0.00
		01/21/2020	5# Purchase	100.000	BLACKSTONE GROUP INC €6594.518	282 (PURCHASE CASH SETTLEMENT) (\$5,955.84) \$0.00

B
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Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency: USD Portfolio no: Cash date: Units: Description US code Principal/balance

Subtractions

USD	SP-P	01/21/2020	SP Purchase	40.000	HILTON WORLDWIDE HOLDINGS	06111.191	282 (PURCHASE CASH SETTLEMENT)	(\$4,449.25)	\$0.00
		01/24/2020	SP Money mkt fund purch	2,614.000	FED TRUST UST OBLIG #59	0611.000	228 (SWEEP PURCHASE)	(\$2,614.00)	\$0.00
			SP Purchase	50.000	SEA LTD ADR	0694.499	282 (PURCHASE CASH SETTLEMENT)	(\$2,226.99)	\$0.00
		01/21/2020	SP Money mkt fund purch	8.000	FED TRUST UST OBLIG #59	0611.000	228 (SWEEP PURCHASE)	(\$8.00)	\$0.00
		02/04/2020	SP Money mkt fund purch	14.000	FED TRUST UST OBLIG #59	0611.000	228 (SWEEP PURCHASE)	(\$14.00)	\$0.00
		02/06/2020	61 Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 01/31/20		9001 (CORE SERVICES FEE)	(\$250.00)	\$0.00
		02/10/2020	SP Money mkt fund purch	54.000	FED TRUST UST OBLIG #59	0611.000	228 (SWEEP PURCHASE)	(\$54.00)	\$0.00
		02/11/2020	SP Money mkt fund purch	4,178.000	FED TRUST UST OBLIG #59	0611.000	228 (SWEEP PURCHASE)	(\$4,178.00)	\$0.00
			SP Purchase	15.000	CITICORP INC	06578.776	282 (PURCHASE CASH SETTLEMENT)	(\$1,181.94)	\$0.00
		02/12/2020	SP Money mkt fund purch	4,149.000	FED TRUST UST OBLIG #59	0611.000	228 (SWEEP PURCHASE)	(\$4,149.00)	\$0.00
		02/13/2020	SP Money mkt fund purch	23.000	FED TRUST UST OBLIG #59	0611.000	228 (SWEEP PURCHASE)	(\$23.00)	\$0.00
		02/14/2020	61. Renittance & dep.	0.000	KEY BANK		219 (REMITTANCES AND DEPOSITS)	(\$6,400.00)	\$0.00
		02/18/2020	SP Money mkt fund purch	61.000	FED TRUST UST OBLIG #59	0611.000	228 (SWEEP PURCHASE)	(\$61.00)	\$0.00
		02/24/2020	SP Money mkt fund purch	2,726.000	FED TRUST UST OBLIG #59	0611.000	228 (SWEEP PURCHASE)	(\$2,726.00)	\$0.00

B
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 TRUST

Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRADER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency	Portfolio	Cash date	Units	Description	US code	Principal Income
USD		02/24/2020	SP Purchase	20.000 BTTRGROUP INC @577.914	282 (PURCHASE CASH SETTLEMENT)	(\$1,559.07) \$0.00
				20.000 BANK OF AMERICA CORP @324.711	282 (PURCHASE CASH SETTLEMENT)	(\$956.02) \$0.00
		02/24/2020	SP Money mkt fund purch	41.000 FED TRUST UST OBLIG #59 @91.000	228 (SWEEP PURCHASE)	(\$41.00) \$0.00
		03/02/2020	SP Money mkt fund purch	2,652.000 FED TRUST UST OBLIG #59 @91.000	228 (SWEEP PURCHASE)	(\$2,652.00) \$0.00
			SP Purchase	30.000 BLACKSTONE GROUP INC @564.300	282 (PURCHASE CASH SETTLEMENT)	(\$1,630.19) \$0.00
				10.000 NINE INC CL B @990.520	282 (PURCHASE CASH SETTLEMENT)	(\$905.60) \$0.00
		03/03/2020	SP Money mkt fund purch	39.000 FED TRUST UST OBLIG #59 @91.000	228 (SWEEP PURCHASE)	(\$39.00) \$0.00
		03/04/2020	SP Money mkt fund purch	15.000 FED TRUST UST OBLIG #59 @91.000	228 (SWEEP PURCHASE)	(\$15.00) \$0.00
		03/05/2020	61 Fee/Commission	0.000 CORE SERVICES FEE FOR THE PERIOD ENDED 02/29/20	5001 (CORE SERVICES FEE)	(\$32.73) \$0.00
		03/06/2020	SP Purchase	10.000 HILTON WORLDWIDE HOLDINGS @358.727	282 (PURCHASE CASH SETTLEMENT)	(\$357.67) \$0.00
		03/11/2020	SP Money mkt fund purch	7.000 FED TRUST UST OBLIG #59 @91.000	228 (SWEEP PURCHASE)	(\$7.00) \$0.00
		03/12/2020	SP Money mkt fund purch	88.000 FED TRUST UST OBLIG #59 @91.000	228 (SWEEP PURCHASE)	(\$88.00) \$0.00
		03/13/2020	61 Remittance & dep.	0.000 KEY BANK JANUARY KURT SCHRADER MONTHLY WITHDRAWAL	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00) \$0.00
		03/17/2020	SP Money mkt fund purch	1,982.000 FED TRUST UST OBLIG #59 @91.000	228 (SWEEP PURCHASE)	(\$1,982.00) \$0.00

Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRADER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency	Portfolio no	Cash date	Units	Description	US code	Principal/Income
USD	ISD-P	03/20/2020	19.000	FED TRUST UST OBLIG #59		228 (SWEEP PURCHASE) (\$19.00) \$0.00
			0001.000			
		03/23/2020	14.000	FED TRUST UST OBLIG #59		228 (SWEEP PURCHASE) (\$14.00) \$0.00
			0001.000			
		03/24/2020	22.000	FED TRUST UST OBLIG #59		228 (SWEEP PURCHASE) (\$22.00) \$0.00
			0001.000			
		03/25/2020	17.000	FED TRUST UST OBLIG #59		228 (SWEEP PURCHASE) (\$17.00) \$0.00
			0001.000			
		03/26/2020	59.000	FED TRUST UST OBLIG #59		228 (SWEEP PURCHASE) (\$59.00) \$0.00
			0001.000			
		03/27/2020	49,327.000	FED TRUST UST OBLIG #59		228 (SWEEP PURCHASE) (\$49,327.00) \$0.00
			0001.000			
			5,781.144	OW SMALL & MIDCAP STRAT FD		282 (PURCHASE CASH (\$68,590.00) \$0.00
			0001.880			SETTLEMENT)
			1,185.188	OW LARGE CAP STRATEGIES FD		282 (PURCHASE CASH (\$14,250.00) \$0.00
			0001.220			SETTLEMENT)
			15.000	VISA INC		282 (PURCHASE CASH (\$2,417.55) \$0.00
			000161.130			SETTLEMENT)
			5.000	CHARTER COMMUNICATIONS INC		282 (PURCHASE CASH (\$2,195.25) \$0.00
			000439.010			SETTLEMENT)
			5.000	BLACKROCK INC		282 (PURCHASE CASH (\$2,142.90) \$0.00
			000428.540			SETTLEMENT)
			10.000	FACEBOOK INC-A		282 (PURCHASE CASH (\$1,588.00) \$0.00
			000158.580			SETTLEMENT)
			10.000	MICROSOFT CORP		282 (PURCHASE CASH (\$1,518.70) \$0.00
			000151.830			SETTLEMENT)
			10.000	UNION PACIFIC CORP		282 (PURCHASE CASH (\$1,356.80) \$0.00
			000135.820			SETTLEMENT)

B
 BSSBMBR
 TRUST

Cash Transaction Detail

Report dated April 23, 2021
 M. KURT SCHRAEDER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency: USD Portfolio: Cash Flow Units: Description US Code: Principal/Income

Subtractions USD 03/31/2020 SP Purchase

5,000	APPLE INC	000292.920	202 (PURCHASE CASH SETTLEMENT)	(\$1,294.80)	\$0.00
5,000	UNITEDHEALTH GROUP INC	000242.940	202 (PURCHASE CASH SETTLEMENT)	(\$1,214.80)	\$0.00
10,000	FIDELITY NATL INFO SVCS	000119.920	202 (PURCHASE CASH SETTLEMENT)	(\$1,199.00)	\$0.00
25,000	BLACKSTONE GROUP INC	00046.470	202 (PURCHASE CASH SETTLEMENT)	(\$1,137.75)	\$0.00
25,000	CITIGROUP INC	00043.790	202 (PURCHASE CASH SETTLEMENT)	(\$1,095.75)	\$0.00
50,000	BANK OF AMERICA CORP	00021.620	202 (PURCHASE CASH SETTLEMENT)	(\$1,082.75)	\$0.00
10,000	Lyda Holdings Inc	000105.720	202 (PURCHASE CASH SETTLEMENT)	(\$1,057.80)	\$0.00
5,000	HOME DEPOT	000188.490	202 (PURCHASE CASH SETTLEMENT)	(\$942.05)	\$0.00
10,000	HEALTH CARE SELECT SPDR	00084.340	202 (PURCHASE CASH SETTLEMENT)	(\$943.80)	\$0.00
10,000	NIKE INC CL B	00081.890	202 (PURCHASE CASH SETTLEMENT)	(\$917.20)	\$0.00
10,000	HILTON WORLDWIDE HOLDINGS	00089.000	202 (PURCHASE CASH SETTLEMENT)	(\$890.40)	\$0.00
5,000	PERSICO INC	000118.570	202 (PURCHASE CASH SETTLEMENT)	(\$893.05)	\$0.00
5,000	AMERICAN WATER WORKS CO	000116.770	202 (PURCHASE CASH SETTLEMENT)	(\$894.05)	\$0.00
5,000	TEXAS INSTRUMENTS INC	000105.000	202 (PURCHASE CASH SETTLEMENT)	(\$825.20)	\$0.00

B
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 TRUST

Cash Transaction Detail

Currency	Portfolio no	Cash date	Units	Description	US code	Principal/Interest
USD	USD-P 03/31/2020	SP Purchase	5.000	WASTE MANAGEMENT INC NEW @995.300	262 (PURCHASE CASH SETTLEMENT)	(\$476.70) \$0.00
			10.000	SEALTD ADR @945.311	262 (PURCHASE CASH SETTLEMENT)	(\$453.51) \$0.00
			5.000	AMPHENOL CORP @875.980	262 (PURCHASE CASH SETTLEMENT)	(\$390.10) \$0.00
			5.000	AMEREN CORP @871.103	262 (PURCHASE CASH SETTLEMENT)	(\$355.70) \$0.00
			10.000	CONOCOPHILLIPS @830.129	262 (PURCHASE CASH SETTLEMENT)	(\$301.60) \$0.00
			5.000	PORTIVE CO @849.300	262 (PURCHASE CASH SETTLEMENT)	(\$246.70) \$0.00
			10.000	FED TRUST UST OBLIG #59 @851.000	226 (SWEEP PURCHASE)	(\$110.00) \$0.00
			0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 03/31/20	5001 (CORE SERVICES FEE)	(\$242.48) \$0.00
			10.000	APPLE INC @255.912	262 (PURCHASE CASH SETTLEMENT)	(\$2,559.52) \$0.00
			22.000	FED TRUST UST OBLIG #59 @851.000	226 (SWEEP PURCHASE)	(\$22.00) \$0.00
			11.000	FED TRUST UST OBLIG #59 @851.000	226 (SWEEP PURCHASE)	(\$11.00) \$0.00
			0.000	KEY BANK /AND KURT SCHRAEDER MONTHLY WITHDRAWAL	218 (REMITTANCES AND DEPOSITS)	(\$5,400.00) \$0.00
			6.000	FED TRUST UST OBLIG #59 @851.000	226 (SWEEP PURCHASE)	(\$6.00) \$0.00
			9.000	FED TRUST UST OBLIG #59 @851.000	226 (SWEEP PURCHASE)	(\$9.00) \$0.00

B
 BBSMEMBER
 TRUST

Cash Transaction Detail

Report dated April 23, 2021
 W. KURT SCHRAEDER III
 All transactions entered between 01/01/2020 and 12/31/2020

Account	Posting Date	Cash Date	Debit	Description	US Credit	Principal Interest
Subtractions						
USD	04/29/2020	SP Purchase	5,000	ADORE INC 06348.027		282 (PURCHASE CASH (\$1,740.28) SETTLEMENT) \$0.00
	04/20/2020	SP Money mkt fund purch	4,027,000	FED TRUST UST OBLIG #59 061,000		226 (SWEEP PURCHASE) (\$4,027.00) \$0.00
		SP Purchase	20,000	ROSS STORES INC 06922.815		282 (PURCHASE CASH (\$1,857.09) SETTLEMENT) \$0.00
	05/01/2020	SP Money mkt fund purch	1,298,000	FED TRUST UST OBLIG #59 061,000		226 (SWEEP PURCHASE) (\$1,298.00) \$0.00
		SP Purchase	5,000	lyria Holdings Inc 06142.904		282 (PURCHASE CASH (\$374.72) SETTLEMENT) \$0.00
	05/04/2020	SP Money mkt fund purch	4,000	FED TRUST UST OBLIG #59 061,000		226 (SWEEP PURCHASE) (\$4.00) \$0.00
	05/05/2020	61 Fee/Commission	0,000	CORE SERVICES FEE FOR THE PERIOD ENDED 04/30/20		5001 (CORE SERVICES FEE) (\$288.90) \$0.00
	05/11/2020	SP Money mkt fund purch	47,000	FED TRUST UST OBLIG #59 061,000		226 (SWEEP PURCHASE) (\$47.00) \$0.00
	05/14/2020	SP Money mkt fund purch	38,000	FED TRUST UST OBLIG #59 061,000		226 (SWEEP PURCHASE) (\$38.00) \$0.00
	05/15/2020	81 Remittance & dep.	0,000	KEY BANK N/A KURT SCHRAEDER MONTHLY WITHDRAWAL		219 (REMITTANCES AND DEPOSITS) (\$5,400.00) \$0.00
	05/18/2020	SP Money mkt fund purch	58,000	FED TRUST UST OBLIG #59 061,000		226 (SWEEP PURCHASE) (\$58.00) \$0.00
	05/22/2020	SP Money mkt fund purch	71,000	FED TRUST UST OBLIG #59 061,000		226 (SWEEP PURCHASE) (\$71.00) \$0.00
	05/29/2020	42 Cash disbursement	25,000	ALBABA GROUP HOLDINGS LTD ADR Depository Charge		5139 (ADR EXPENSE) (\$0.60) \$0.00
	06/01/2020	SP Money mkt fund purch	82,000	FED TRUST UST OBLIG #59 061,000		226 (SWEEP PURCHASE) (\$82.00) \$0.00

Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRAEDER MA
 All transactions entered between 01/01/2020 and 12/31/2020

Subcategory	Account	Portfolio no	Cash Date	Units	Description	U.S. Code	Principal/Income
USD	USD-P	06/02/2020	5# Money mkt fund purch	41.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$41.00) \$0.00
				061.000			
		06/04/2020	61 Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 06/31/20	5000 (CORE SERVICES FEE)	(\$278.41) \$0.00
		06/05/2020	5# Purchase	20.000	ROSS STORES INC	262 (PURCHASE CASH SETTLEMENT)	(\$1,899.21) \$0.00
				06999.931			
		06/10/2020	5# Money mkt fund purch	7.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$7.00) \$0.00
				061.000			
		06/11/2020	5# Money mkt fund purch	74.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$74.00) \$0.00
				061.000			
		06/15/2020	6L Remittances & dep.	0.000	KEY BANK	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00) \$0.00
					AND KURT SCHRAEDER MONTHLY WITHDRAWAL		
		06/16/2020	5# Money mkt fund purch	1,494.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$1,494.00) \$0.00
				061.000			
		06/18/2020	5# Money mkt fund purch	114,237.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$114,237.00) \$0.00
				061.000			
		06/19/2020	4Z Cash disbursement	0.000	KURT SCHRAEDER	7 (MISCELLANEOUS DISBURSEMENT)	(\$150,000.00) \$0.00
					KEYBANK NATIONAL ASSOCIATION PER REQUEST 6/17/20 XI		
				0.000	EXPENSE REIMBURSEMENT	7 (MISCELLANEOUS DISBURSEMENT)	(\$25.00) \$0.00
					WIRE TRANSFER XI		
		06/22/2020	5# Money mkt fund purch	557.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$557.00) \$0.00
				061.000			
		06/23/2020	5# Money mkt fund purch	33.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$33.00) \$0.00
				061.000			
		06/25/2020	5# Money mkt fund purch	28.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$28.00) \$0.00
				061.000			
		06/28/2020	5# Money mkt fund purch	142.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$142.00) \$0.00
				061.000			

Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRADER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency: USD Portfolio no: Cash name: Units: Description US code: Principal/Income

Subtractions

USD	ISO-P	08/28/2020	SP Purchase	15.000	AIR PRODUCTS & CHEMICALS	08223 221	282 (PURCHASE CASH SETTLEMENT)	(31,498.92)	\$0.00
		08/30/2020	5# Money mkt fund purch	1,245.000	FED TRUST UST OBLIG #59		226 (SWEEP PURCHASE)	(61,245.00)	\$0.00
		07/01/2020	5# Money mkt fund purch	20.000	FED TRUST UST OBLIG #59		226 (SWEEP PURCHASE)	(20.00)	\$0.00
		07/02/2020	5# Money mkt fund purch	1.000	FED TRUST UST OBLIG #59		226 (SWEEP PURCHASE)	(1.00)	\$0.00
		07/08/2020	6# Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 06/30/20		9001 (CORE SERVICES FEE)	(254.02)	\$0.00
		07/08/2020	5# Money mkt fund purch	10.000	FED TRUST UST OBLIG #59		226 (SWEEP PURCHASE)	(10.00)	\$0.00
		07/09/2020	5# Money mkt fund purch	21.000	FED TRUST UST OBLIG #59		226 (SWEEP PURCHASE)	(21.00)	\$0.00
			62 Withholding Tax	10.000	LVNH MCOET HENNESSY VULTON		183 (CASH DIVIDEND)	(88.25)	\$0.00
					INCLUDES WITHHOLDING TAX OF \$8.25				
		07/10/2020	5# Money mkt fund purch	22.000	FED TRUST UST OBLIG #59		226 (SWEEP PURCHASE)	(22.00)	\$0.00
		07/13/2020	5# Money mkt fund purch	19.000	FED TRUST UST OBLIG #59		226 (SWEEP PURCHASE)	(19.00)	\$0.00
		07/14/2020	5# Money mkt fund purch	11.000	FED TRUST UST OBLIG #59		226 (SWEEP PURCHASE)	(11.00)	\$0.00
		07/15/2020	6# Remittances & dep.	0.000	KEY BANK		219 (REMITTANCES AND DEPOSITS)	(5,400.00)	\$0.00
		07/31/2020	5# Money mkt fund purch	9.000	FED TRUST UST OBLIG #59		226 (SWEEP PURCHASE)	(9.00)	\$0.00
					NVO KURT SCHRADER MONTHLY WITHDRAWAL				

Cash Transaction Detail

Currency Code	Portfolio no	Cash date	Units	Description	US Code	Principal Income
USD		08/04/2020	5# Money mkt fund purch	1.000 FED TRUST UST 08LIG #59 (\$61.000)	228 (SWEEP PURCHASE)	(\$1.00) \$0.00
		08/08/2020	6# Fee/Commission	0.000 CORE SERVICES FEE FOR THE PERIOD ENDED 07/31/20	5001 (CORE SERVICES FEE)	(\$284.43) \$0.00
		08/10/2020	5# Money mkt fund purch	59.000 FED TRUST UST 08LIG #59 (\$61.000)	228 (SWEEP PURCHASE)	(\$59.00) \$0.00
		08/13/2020	5# Money mkt fund purch	33.000 FED TRUST UST 08LIG #59 (\$61.000)	228 (SWEEP PURCHASE)	(\$33.00) \$0.00
		08/14/2020	6# Remittance & dep.	0.000 KEY BANK AND KURT SCHRADER MONTHLY WITHDRAWAL	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00) \$0.00
		08/17/2020	5# Money mkt fund purch	882.000 FED TRUST UST 08LIG #59 (\$61.000)	228 (SWEEP PURCHASE)	(\$882.00) \$0.00
			5# Purchase	10.000 VISA INC (\$6197.725)	282 (PURCHASE CASH SETTLEMENT)	(\$1,977.85) \$0.00
				20.000 CITIGROUP INC (\$652.801)	282 (PURCHASE CASH SETTLEMENT)	(\$1,058.82) \$0.00
		08/28/2020	5# Money mkt fund purch	84.000 FED TRUST UST 08LIG #59 (\$61.000)	228 (SWEEP PURCHASE)	(\$84.00) \$0.00
		09/01/2020	5# Money mkt fund purch	91.000 FED TRUST UST 08LIG #59 (\$61.000)	228 (SWEEP PURCHASE)	(\$91.00) \$0.00
		09/02/2020	5# Money mkt fund purch	1.000 FED TRUST UST 08LIG #59 (\$61.000)	228 (SWEEP PURCHASE)	(\$1.00) \$0.00
		09/04/2020	5# Money mkt fund purch	23.000 FED TRUST UST 08LIG #59 (\$61.800)	228 (SWEEP PURCHASE)	(\$23.00) \$0.00
			5# Purchase	15.000 *ADN PLC (\$209.209)	282 (PURCHASE CASH SETTLEMENT)	(\$3,148.28) \$0.00
			6# Fee/Commission	0.000 CORE SERVICES FEE FOR THE PERIOD ENDED 08/31/20	5001 (CORE SERVICES FEE)	(\$280.92) \$0.00

B
BESSEMER
TRUST

Cash Transaction Detail

Report dated April 29, 2021
 KURT SCHRADER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency: USD Portfolio: Cash Date: US State: Pennsylvania

Subtractions

USD	USD-P	09/10/2020	5# Money mkt fund purch	70.000	FED TRUST UST OBLIG #59	061.000	Z28 (SWEEP PURCHASE)	(\$70.00)	\$0.00
		09/19/2020	42 Cash disbursement	0.000	KURT SCHRADER		7 MISCELLANEOUS	(\$65,000.00)	\$0.00
				0.000	KEYBANK NATIONAL ASSOCIATION PER REQUEST 9/14/2020 XI		DISBURSEMENT)		
				0.000	EXPENSE REMBURSEMENT		7 MISCELLANEOUS	(\$25.00)	\$0.00
					WIRE TRANSFER XI		DISBURSEMENT)		
				5,978.000	FED TRUST UST OBLIG #59	061.000	Z28 (SWEEP PURCHASE)	(\$5,978.00)	\$0.00
				0.000	KEY BANK		219 (REMITTANCES	(\$5,400.00)	\$0.00
					AND DEPOSITS)				
		09/17/2020	5# Money mkt fund purch	30.000	FED TRUST UST OBLIG #59	061.000	Z28 (SWEEP PURCHASE)	(\$30.00)	\$0.00
				84.000	FED TRUST UST OBLIG #59	061.000	Z28 (SWEEP PURCHASE)	(\$84.00)	\$0.00
		09/22/2020	5# Money mkt fund purch	11.000	FED TRUST UST OBLIG #59	061.000	Z28 (SWEEP PURCHASE)	(\$11.00)	\$0.00
				78.000	FED HERMES TR UST OBL 59	061.000	Z28 (SWEEP PURCHASE)	(\$78.00)	\$0.00
		09/24/2020	5# Money mkt fund purch	134.000	FED HERMES TR UST OBL 59	061.000	Z28 (SWEEP PURCHASE)	(\$134.00)	\$0.00
		09/30/2020	5# Money mkt fund purch	18.000	FED HERMES TR UST OBL 59	061.000	Z28 (SWEEP PURCHASE)	(\$18.00)	\$0.00
		10/01/2020	5# Money mkt fund purch	9,088.390	OW CREDIT INCOME FUND	0610.000	Z82 (PURCHASE CASH	(\$90,983.90)	\$0.00
					Settled in kind via a basket of securities and cash. Details available upon request.		SETTLEMENT)		
				1,172.128	OW LARGE CAP STRATEGIES FD	0615.470	Z82 (PURCHASE CASH	(\$18,132.79)	\$0.00
							SETTLEMENT)		

Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRADER MM
 All transactions entered between 01/01/2020 and 12/31/2020

Country: USD Period: 01/01/2020 - 12/31/2020 Unit: Description D/S code Principal amount

Subtractions

Date	Description	Amount	D/S Code	Principal Amount
10/09/2020	6I Fee/Commission	0.000		
10/07/2020	5F Money mkt fund purch	9.000	5001 (CORE SERVICES FEE)	(\$275.63) \$0.00
10/09/2020	6Y Other financial services	0.000		
10/13/2020	5F Money mkt fund purch	19.000	228 (SWEEP PURCHASE)	(\$93.00) \$0.00
10/14/2020	5F Money mkt fund purch	11.000	228 (SWEEP PURCHASE)	(\$11.00) \$0.00
10/15/2020	6I Remittance & dep.	0.000		
10/16/2020	5F Money mkt fund purch	23.000	228 (SWEEP PURCHASE)	(\$23.00) \$0.00
10/27/2020	4Z Cash disbursement	30.000	5139 (ADR EXPENSE)	(\$0.60) \$0.00
10/30/2020	5F Money mkt fund purch	8.000	228 (SWEEP PURCHASE)	(\$8.00) \$0.00
11/05/2020	6I Fee/Commission	0.000		
11/09/2020	5F Money mkt fund purch	20.000	5001 (CORE SERVICES FEE)	(\$286.64) \$0.00
11/12/2020	5F Money mkt fund purch	33.000	228 (SWEEP PURCHASE)	(\$20.00) \$0.00
11/13/2020	5F Purchase	5.000	282 (PURCHASE CASH SETTLEMENT)	(\$643.98) \$0.00
	6I Remittance & dep.	0.000		
	219 (REMITTANCES AND DEPOSITS)			(\$5,400.00) \$0.00

B
 BESSMER TRUST

Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRAUBER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency	Portfolio	Cashflow	Units	Description	US Code	Principal/Income
USD	SD-P	11/13/2020	62	Withholding Tax		
			15.000	ADN PLC		
				INCLUDES WITHHOLDING TAX OF \$1.73		
		11/16/2020	5# Money mkt fund purch	123.000	FED HERMES TR UST DBL 59	226 (SWEEP PURCHASE)
				61.000		(\$123.00) \$0.00
		11/25/2020	5# Money mkt fund purch	895.000	FED HERMES TR UST DBL 59	226 (SWEEP PURCHASE)
				61.000		(\$895.00) \$0.00
			5# Purchase	70.000	SCHWAB CHARLES CORP NEW	262 (PURCHASE CASH SETTLEMENT)
					647.538	(\$3,356.34) \$0.00
				5.000	AIR PRODUCTS & CHEMICALS	262 (PURCHASE CASH SETTLEMENT)
					275.282	(\$1,378.51) \$0.00
				5.000	American Tower Corp	262 (PURCHASE CASH SETTLEMENT)
					230.015	(\$1,150.12) \$0.00
		12/01/2020	5# Money mkt fund purch	82.000	FED HERMES TR UST DBL 59	226 (SWEEP PURCHASE)
					1.050	(\$82.00) \$0.00
		12/02/2020	5# Money mkt fund purch	13.000	FED HERMES TR UST DBL 59	226 (SWEEP PURCHASE)
					1.000	(\$13.00) \$0.00
		12/03/2020	5# Money mkt fund purch	18.000	FED HERMES TR UST DBL 59	226 (SWEEP PURCHASE)
					1.000	(\$18.00) \$0.00
			62	Withholding Tax	10.000	LVNH MDCT HENNESSY VULTON
						183 (CASH DIVIDEND)
						(\$8.77) \$0.00
				INCLUDES WITHHOLDING TAX OF \$8.77		
		12/04/2020	5# Money mkt fund purch	859.000	FED HERMES TR UST DBL 59	226 (SWEEP PURCHASE)
					1.000	(\$859.00) \$0.00
			5# Purchase	5.000	ADOBE INC	262 (PURCHASE CASH SETTLEMENT)
					478.520	(\$2,382.70) \$0.00
			81	Fee/Commission	0.000	5001 (CORE SERVICES FEE)
						(\$285.53) \$0.00
				CORE SERVICES FEE FOR THE PERIOD ENDED 11/30/20		

Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRAEDER (M)
 All transactions entered between 01/01/2020 and 12/31/2020

Currency	Portfolio	Cash date	Trans	Description	US code	Principle amount
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Subtractions

USD	JSD-P	12/16/2020	54	Money mkt fund purch				
				77,000	FED HERMES TR UST DBL 59	228 (SWEEP PURCHASE)	(\$77.00)	\$0.00
				(\$51,000)				
		12/15/2020	59	Purchase				
				163,418	OM MUNICIPAL BOND FUND	354 (DIVIDEND REINVESTMENT)	(\$2,049.24)	\$0.00
				(\$512,540)				
				0,000	KEY BANK	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
					W/O KURT SCHRAEDER MONTHLY WITHDRAWAL			
		12/16/2020	54	Money mkt fund purch				
				1,977,000	FED HERMES TR UST DBL 59	228 (SWEEP PURCHASE)	(\$1,977.00)	\$0.00
				(\$51,000)				
				446,088	OM LARGE CAP STRATEGIES FD	354 (DIVIDEND REINVESTMENT)	(\$7,494.28)	\$0.00
				(\$516,800)				
		12/17/2020	54	Money mkt fund purch				
				22,000	FED HERMES TR UST DBL 59	228 (SWEEP PURCHASE)	(\$22.00)	\$0.00
				(\$51,000)				
		12/18/2020	59	Purchase				
				10,000	lyria Holdings Inc	282 (PURCHASE CASH SETTLEMENT)	(\$1,748.28)	\$0.00
				(\$5174,598)				
		12/21/2020	54	Money mkt fund purch				
				33,000	FED HERMES TR UST DBL 59	228 (SWEEP PURCHASE)	(\$33.00)	\$0.00
				(\$51,000)				
		12/22/2020	54	Money mkt fund purch				
				110,000	FED HERMES TR UST DBL 59	228 (SWEEP PURCHASE)	(\$110.00)	\$0.00
				(\$51,000)				
		12/23/2020	54	Money mkt fund purch				
				1,340,000	FED HERMES TR UST DBL 59	228 (SWEEP PURCHASE)	(\$1,340.00)	\$0.00
				(\$51,000)				
				411,828	OM SMALL & MIDCAP STRAT FD	354 (DIVIDEND REINVESTMENT)	(\$7,487.52)	\$0.00
				(\$518,190)				
		12/24/2020	54	Money mkt fund purch				
				53,000	FED HERMES TR UST DBL 59	228 (SWEEP PURCHASE)	(\$53.00)	\$0.00
				(\$51,000)				
		12/29/2020	54	Money mkt fund purch				
				28,000	FED HERMES TR UST DBL 59	228 (SWEEP PURCHASE)	(\$28.00)	\$0.00
				(\$51,000)				
		12/29/2020	54	Money mkt fund purch				
				19,000	FED HERMES TR UST DBL 59	228 (SWEEP PURCHASE)	(\$19.00)	\$0.00
				(\$51,000)				

B
 BESSMER
 TRUST

Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHNADLER IM
 All transactions entered between 01/01/2020 and 12/31/2020

Currency Code	Portfolio Code	Cash Date	Units	Description	US Code	Principal/Income
Subtractions						
USD		12/30/2020	53.000	FED HERMANS TR UST ORL 59 @ \$1.000	228 (SWEEP PURCHASE)	(\$53.00) \$0.00
USD		12/31/2020	28.000	FED HERMANS TR UST ORL 59 @ \$1.000	228 (SWEEP PURCHASE)	(\$28.00) \$0.00
Total subtractions USD						\$81.00

Cash Transaction Detail

Report dated April 23, 2021
 M. KURT SCHARADER CUSTODY
 All transactions entered between 01/01/2020 and 12/31/2020

Currency	Portfolio no	Cash date	Date	Description	ISS code	Portofolio name
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Additions

USD	USD-P	01/02/2020	11	Interest				
				0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 12/01/2019 TO 12/31/2019	5050 (INTEREST ON BESSEMER SWEEP)	\$2.77	\$0.00
		02/03/2020	11	Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 01/01/2020 TO 01/31/2020	\$2.79	\$0.00
		03/02/2020	11	Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 02/01/2020 TO 02/29/2020	\$2.81	\$0.00
		03/06/2020	11	Dividend	2,363.000	PFIZER INC	\$897.94	\$0.00
		04/01/2020	11	Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 03/01/2020 TO 03/31/2020	\$0.97	\$0.00
		06/05/2020	11	Dividend	2,363.000	PFIZER INC	\$897.94	\$0.00
		09/01/2020	11	Dividend	2,363.000	PFIZER INC	\$897.94	\$0.00
		11/17/2020	21	Security receipt	294.688	WATRIS INC SPIN OFF	\$0.00	\$0.00
					(294.688)	WATRIS INC SPIN OFF REVERSAL OF TRANSACTION REPORTED ON 11/17/2020	\$0.00	\$0.00
					293.199	WATRIS INC SPIN OFF	\$0.00	\$0.00
		12/01/2020	11	Dividend	2,363.000	PFIZER INC	\$897.94	\$0.00
					(0.199)	WATRIS INC FRACTIONAL SHARES	\$3.49	\$0.00

Total additions USD

Subtractions

USD	BK3220	USD-P	01/07/2020	61	Fee/Commission			
					0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 12/31/19	\$0.76	\$0.00
			02/09/2020	61 <td>Fee/Commission</td> <td>0.000</td> <td>DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 01/31/20</td> <td>\$0.76</td>	Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 01/31/20	\$0.76

B
 BESSEMER TRUST

Cash Transaction Detail

Report dated April 23, 2021
 KURT SCHRAUBER CUSTODY
 All transactions entered between 01/01/2020 and 12/31/2020

Balance: Debit: Credit: Date: Description: US code: Principal/Interest:

Subtractions	USD	03/05/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 02/29/20	5008 (DIRECTED CUSTODY FEE)	(\$0.78)	\$0.00
		04/08/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 03/31/20	5008 (DIRECTED CUSTODY FEE)	(\$0.94)	\$0.00
		05/08/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 04/30/20	5008 (DIRECTED CUSTODY FEE)	(\$0.94)	\$0.00
		06/04/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 05/31/20	5008 (DIRECTED CUSTODY FEE)	(\$0.94)	\$0.00
		07/06/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 06/30/20	5008 (DIRECTED CUSTODY FEE)	(\$1.13)	\$0.00
		08/06/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 07/31/20	5008 (DIRECTED CUSTODY FEE)	(\$1.13)	\$0.00
		09/04/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 08/31/20	5008 (DIRECTED CUSTODY FEE)	(\$1.13)	\$0.00
		10/06/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 09/30/20	5008 (DIRECTED CUSTODY FEE)	(\$1.32)	\$0.00
		11/05/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 10/31/20	5008 (DIRECTED CUSTODY FEE)	(\$1.32)	\$0.00
		12/04/2020	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 11/30/20	5008 (DIRECTED CUSTODY FEE)	(\$2.34)	\$0.00
Total subtractions USD								(\$20.00)

All Activity

Single Activity Type: Security Transactions

74 Items - Custom - From 1/1/2020 to 12/31/2020 as of Close of Business 4/26/2021

Total Count: [REDACTED]
 Total Amount: [REDACTED]
 Pending Amount: [REDACTED]
 Settled Count: [REDACTED]
 Settled Amount: [REDACTED]



Account / Nickname	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security #	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
CHA	12/24/2020	12/30/2020	Sale	ISHARES S&P 500 GROWTH VEP 12/25/18 100 0000 PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TYW	388E7	464287309	2		0		(100)	63.57	6,354.00
CHA	12/24/2020	12/24/2020	Reinvestment Share(s)	BLACKSTONE ALT MULTI STRATEGY FUND CLASS I AGENT REINV AMT \$647.52 REINV PRICE \$18.15000 REINV SHRS 35.7040 AS OF 12/21	BXMX	973L3	09157V201	6	684			63.7040		0.00
CHA	12/22/2020	12/22/2020	Reinvestment Share(s)	JOHN HANCOCK INTL GROWTH FUND CL I AGENT REINV AMT \$48.99 REINV PRICE \$36.13000 REINV SHRS 1.3560 AS OF 12/18	GOGX	8L8M3	478037627	7	684			1.3560		0.00
CHA	12/22/2020	12/22/2020	Reinvestment Share(s)	JPMORGAN EMERGING MARKETS EQ FUND CL I AGENT REINV AMT \$51.57 REINV PRICE \$42.53000 REINV SHRS 1.2600 AS OF 12/18	JEMX	98944	4812A0623	4	684			1.2600		0.00
CHA	12/21/2020	12/21/2020	Reinvestment Share(s)	BLACKROCK INC PRINCIPAL REINV AMT \$293.03 REINV PRICE \$899.37000 REINV SHRS .4255	BLK	080L2	09247X101	4	671			0.4255		0.00
CHA	12/14/2020	12/14/2020	Reinvestment Share(s)	DANMARK INTL FUND CL ADV AGENT REINV AMT \$423.66 REINV PRICE \$25.42000 REINV SHRS 16.6660 AS OF 12/10	DATX	9UDY3	413838731	3	684			16.6660		0.00
CHA	12/10/2020	12/10/2020	Reinvestment Share(s)	MICROSOFT CORP PRINCIPAL REINV AMT \$366.48 REINV PRICE \$211.80000 REINV SHRS 1.7390	MSFT	49522	594918104	4	671			1.7390		0.00
CHA	12/08/2020	12/08/2020	Reinvestment Share(s)	JOHNSON AND JOHNSON COM PRINCIPAL REINV AMT \$277.29 REINV PRICE \$149.97100 REINV SHRS 1.8490	JNJ	40853	478160104	3	671			1.8490		0.00
CHA	11/30/2020	12/02/2020	Sale	ISHARES S&P 500 GROWTH PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TYW	388E7	464287309	1		0		(400)	61.3775	24,550.46
CHA	11/30/2020	12/01/2020	Purchase	JPMORGAN EMERGING MARKETS EQ FUND CL I FRAC SHR QUANTITY 255 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. ML ACTED AS AGENT	JEMX	98944	4812A0623	3	000	0		495.2950	43.38	(20,800.01)
CHA	11/09/2020	11/12/2020	Sale	VANGUARD GROWTH ETF PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VUG	31PM4	922988736	2		0		(25)	243.8387	6,091.34
CHA	11/09/2020	11/12/2020	Sale	SELECT SECTOR SPDR TR PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XLK	673G5	813699803	3		0		(100)	124.55	12,454.72
CHA	11/09/2020	11/10/2020	Sale	BLACKSTONE ALT MULTI STRATEGY FUND CLASS I FRAC SHR QUANTITY .897 THIS SALE CONSTITUTES A REDEMPTION PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BXMX	973L3	09157V201	1		0		(493.0972)	10.14	5,000.00
CHA	11/09/2020	11/10/2020	Sale	WESTERN ASSET MANAGED MUNICIPALS FUND I FRAC SHR QUANTITY .892 THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WMTX	97561	524691509	2		0		(307.8920)	15.25	5,000.00
CHA	10/26/2020	10/28/2020	Purchase	CITRIX SYSTEMS INC COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CTXS	13289	177378100	2	000	0		100	121.565	(12,156.50)
CHA	10/26/2020	10/28/2020	Sale	INTEL CORP EXECUTED 100% AGENCY ACTUAL PRICES, REHABILITATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	INTC	38886	458148100	3		0		(500)	45.9231	22,961.03
CHA	10/26/2020	10/28/2020	Purchase	ELI LILLY & CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LLY	44534	532457108	4	000	0		28	141.0284	(2,820.57)
CHA	10/26/2020	10/28/2020	Sale	LINEN TECHNOLOGIES INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LPHX	13119	156700106	1		0		(4,000)	9.39	37,559.17
CHA	10/26/2020	10/28/2020	Purchase	QUALCOMM INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	QCOM	63487	747925103	5	000	0		100	127.3429	(12,734.29)
CHA	10/26/2020	10/27/2020	Purchase	JPMORGAN EMERGING MARKETS EQ FUND CL I FRAC SHR QUANTITY .094 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. ML ACTED AS AGENT.	JEMX	92544	4812A0623	1	000	0		393.4940	38.12	(14,999.99)
CHA	10/21/2020	10/21/2020	Stock Dividend Due Bill	ISHARES S&P 500 GROWTH	TYW	388E7	464287309	2				(1,800)		0.00
CHA	10/21/2020	10/21/2020	Dividend	ISHARES S&P 500 GROWTH HOLDING 500.0000 PAY DATE 10/16/2020	TYW	388E7	464287309	1	000			1,800		0.00

10/19/2020	10/19/2020	Stock Dividend Due BII	IVW	188E7	444287309	1		1,820		0.00		
10/02/2020	10/06/2020	Purchase ISHARES S&P 500 GROWTH	AIG	02A17	026874784	1	000	0	100	27,514	(2,751.40)	
10/02/2020	10/06/2020	Purchase AMERICAN INTERNATIONAL GROUP INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LLY	44534	534457108	2	000	0	30	144,5705	(4,336.52)	
09/30/2020	09/30/2020	Reinvestment Share(s) PEPSICO INC PRINCIPAL REINV AMT \$218.33 REINV PRICE \$137.92200 REINV SHRS 1.5830	PEP	60739	713448108	11	671		1,5830		0.00	
09/22/2020	09/22/2020	Reinvestment Share(s) BLACKROCK INC PRINCIPAL REINV AMT \$293.09 REINV PRICE \$51.15000 REINV SHRS .5318	BLK	080L2	09247X101	3	671		0.5318		0.00	
09/19/2020	09/10/2020	Reinvestment Share(s) MICROSOFT CORP PRINCIPAL REINV AMT \$334.78 REINV PRICE \$21.71300 REINV SHRS 1.5663	MSFT	49522	594918104	4	671		1.5663		0.00	
09/08/2020	09/08/2020	Reinvestment Share(s) JOHNSON AND JOHNSON COM PRINCIPAL REINV AMT \$275.44 REINV PRICE \$150.25100 REINV SHRS 1.8332	JNJ	40853	478160104	3	671		1.8332		0.00	
09/03/2020	09/03/2020	Purchase AMERICAN INTERNATIONAL GROUP INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AIG	02A17	026874784	1	000	0	50	29,0983	(1,454.92)	
09/01/2020	09/01/2020	Purchase ELI LILLY & CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LLY	44534	532487108	2	000	0	25	147,7798	(3,694.50)	
09/02/2020	09/02/2020	Stock Dividend Due BII	AAFL	05001	037833100	2				(1,050)	0.00	
09/02/2020	09/02/2020	Dividend APPLE INC HOLDING 350.0000 PAY DATE 08/28/2020	AAFL	05001	037833100	1	000		1.050		0.00	
08/31/2020	08/31/2020	Stock Dividend Due BII	AAFL	05001	037833100	1			1.050		0.00	
07/24/2020	07/28/2020	Purchase BLACKROCK GLOBAL LONG SHORT EQUITY FD INSTL CL FRAC SHR QUANTITY .217 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT, ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. ML ACTED AS AGENT.	BDHX	97M27	011936526	2	000	0	428.2370	11.72	(14,999.99)	
07/28/2020	07/29/2020	Purchase JP MORGAN EMERGING MARKETS FD CL I FRAC SHR QUANTITY 0.04 FUND SUBJECT TO RED FEE PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT, ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. ML ACTED AS AGENT.	JEMSX	92W4	4812A0823	1	000	0	438.0840	34.24	(15,800.00)	
06/30/2020	06/30/2020	Reinvestment Share(s) PEPSICO INC PRINCIPAL REINV AMT \$214.65 REINV PRICE \$131.78000 REINV SHRS 1.6440	PEP	60739	713448108	10	671		1.6440		0.00	
06/23/2020	06/23/2020	Reinvestment Share(s) BLACKROCK INC PRINCIPAL REINV AMT \$291.20 REINV PRICE \$560.00000 REINV SHRS 5.200	BLK	080L2	09247X101	3	671		0.5200		0.00	
06/11/2020	06/11/2020	Reinvestment Share(s) MICROSOFT CORP PRINCIPAL REINV AMT \$323.90 REINV PRICE \$193.14100 REINV SHRS 1.7288	MSFT	49522	594918104	3	671		1.7288		0.00	
06/09/2020	06/08/2020	Reinvestment Share(s) JOHNSON AND JOHNSON COM PRINCIPAL REINV AMT \$205.37 REINV PRICE \$148.51900 REINV SHRS 1.3828	JNJ	40853	478160104	3	671		1.3828		0.00	
06/01/2020	06/01/2020	Purchase ADOBE INC SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ADBE	08380	00724F101	1	000	0	25	386,8281	(9,678.20)	
06/01/2020	06/01/2020	Purchase AMERICAN INTERNATIONAL GROUP INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AIG	02A17	026874784	2	000	0	250	30,7299	(7,682.48)	
06/01/2020	06/01/2020	Purchase JOHNSON AND JOHNSON COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JNJ	40853	478160104	3	000	0	60	146,972	(6,994.10)	
06/01/2020	06/01/2020	Purchase ELI LILLY & CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LLY	44534	532487108	4	000	0	65	152,1921	(8,892.49)	
06/01/2020	06/01/2020	Purchase NVDA PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NVDA	528G9	07048Q104	5	000	0	28	351,2898	(9,836.11)	
06/01/2020	06/01/2020	Sale SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XLP	072G3	81369Y805	6				(2,394)	23,6835	58,697.05
05/24/2020	05/28/2020	Purchase VANECK VECTORS GOLD MINERS ETF PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GOX	39QP6	93189F106	1	000	0	100	34.515	(3,451.50)	
05/24/2020	05/28/2020	Purchase SPDR GOLD TRUST PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GLD	685W8	78462V107	3	000	0	60	161,6589	(9,689.53)	
05/26/2020	05/27/2020	Purchase WESTERN ASSET CORP BOND FD CL I FRAC SHR QUANTITY .509 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT, ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. ML ACTED AS AGENT	WATFX	8LD29	937468305	1	000	0	2,145.5090	13.36	(30,060.00)	

05/26/2020	05/27/2020	Purchase	BLACKROCK GLOBAL LONG SHORT EQUITY FD INSTL CL FRAC SHR QUANTITY .605 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT, ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. ML ACTED AS AGENT.	BOMIX	97M27	091936526	4	000	0	1,734,8050	11.53	(20,000.00)
05/26/2020	05/27/2020	Sale	MUNDO INCOME FUND CL 12 FRAC SHR QUANTITY .964 THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	FOHFX	8PKY4	722018719	3	0	0	(2,332.9640)	11.30	25,458.48
05/26/2020	05/27/2020	Sale	EATON VANCE FLOATING RATE FUND CL 1 FRAC SHR QUANTITY .647 THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	EBLX	8JME1	377911491	3	0	0	(5,295.6470)	8.09	42,841.79
04/17/2020	04/17/2020	Fractional Share Sale	FRACSHR Shares Sold	PSX	603W6	718546104	2	871	0	(0.2291)		12.50
04/17/2020	04/17/2020	Fractional Share Sale	EXXON MOBIL CORP COM SALE PRICE \$40.00000 QTY SOLD .2291	XOM	25704	302310102	1	671	0	(0.8876)		35.48
03/30/2020	04/01/2020	Purchase	VANECK VECTORS GOLD MINERALS ETF PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	GDV	390P6	821897108	8	000	0	200	24.00	(4,822.00)
03/30/2020	04/01/2020	Sale	CARLYLE (THE) GROUP INC REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	CG	12816	143161108	5	0	0	(650)	23.00	14,949.67
03/30/2020	04/01/2020	Sale	BLACKROCK INC PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BLK	080L2	09247X101	4	0	0	(18)	432.00	7,198.84
03/30/2020	04/01/2020	Sale	MILLIPS 66 SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	PSX	603W6	718546104	9	0	0	(100)	52.50	5,249.88
03/30/2020	04/01/2020	Purchase	ISHARES NASDAQ BIOTECH ETF PRODUCT DESCRIPTION SHCL PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	IBB	31CM7	464287358	7	000	0	150	105.85	(15,877.50)
03/30/2020	04/01/2020	Sale	EXXON MOBIL CORP COM PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	XOM	25704	302310102	6	0	0	(778)	26.50	28,104.28
03/31/2020	03/31/2020	Reinvestment Share(s)	PEPSICO INC PRINCIPAL REINV AMT \$260.81 REINV PRICE \$124.33500 REINV SHRS 1.6151	PEP	60739	713448108	12	671	0	1.6151		9.00
03/23/2020	03/23/2020	Reinvestment Share(s)	BLACKROCK INC PRINCIPAL REINV AMT \$345.70 REINV PRICE \$330.85000 REINV SHRS .9853	BLK	080L2	09247X101	4	671	0	6.9853		9.00
03/12/2020	03/12/2020	Reinvestment Share(s)	MICROSOFT CORP PRINCIPAL REINV AMT \$332.74 REINV PRICE \$145.81900 REINV SHRS 2.2810	MSFT	49522	594918104	5	671	0	2.2810		0.00
03/10/2020	03/12/2020	Purchase	AMAZON COM INC COM PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	AMZN	011K2	023125106	3	000	0	10	1,850.00	(18,500.00)
03/10/2020	03/11/2020	Sale	EATON VANCE FLOATING RATE FUND CL 1 FRAC SHR QUANTITY .083 THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	EBLX	8JME1	377911491	1	0	0	(2,953.0835)	8.46	28,000.00
03/10/2020	03/10/2020	Reinvestment Share(s)	EXXON MOBIL CORP COM PRINCIPAL REINV AMT \$658.28 REINV PRICE \$46.32000 REINV SHRS 14.2423	XOM	25704	302310102	8	671	0	14.2423		0.00
03/10/2020	03/10/2020	Reinvestment Share(s)	JOHNSON AND JOHNSON COM PRINCIPAL REINV AMT \$191.86 REINV PRICE \$139.84100 REINV SHRS 1.3720	JNJ	40853	478160104	6	671	0	1.3720		0.00
01/09/2020	01/13/2020	Sale	ABBVIE INC SHS PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	ABV	02PC3	00287Y109	1	0	0	(50)	80.00	4,498.91
01/09/2020	01/13/2020	Sale	ISHARES RUSSELL 2000 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	IUV	31CK2	464287689	2	0	0	(125)	191.00	23,874.51
01/07/2020	01/09/2020	Sale	BLACKROCK INC PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BLK	080L2	09247X101	1	0	0	(7)	507.89	3,549.56
01/07/2020	01/09/2020	Purchase	EXXON MOBIL CORP COM PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	XOM	25704	302310102	2	000	0	50	69.6266	(3,460.33)
01/07/2020	01/07/2020	Reinvestment Share(s)	PEPSICO INC PRINCIPAL REINV AMOUNT \$199.41 REINV PRICE \$138.88000 QUANTITY 1.4675	PEP	60739	713448108	3	671	0	1.4675		0.00
01/02/2020	01/02/2020	Exchange	CARLYLE GROUP LP COMMON UNITS PAY DATE 01/02/2020		16295	14309L102	3	000	0	(650)		9.00
01/02/2020	01/02/2020	Exchange	CARLYLE (THE) GROUP INC REG SHS PAY DATE 01/02/2020	CG	12816	143161108	2	000	0	650		9.00

Activity is shown in the currency of the account.



Primary account number: [REDACTED]

Fund results from a long-term perspective

Fund results above are preliminary and may be subject to change. Figures shown are past results for the funds and are not predictive of results in future periods nor do they reflect your personal rate of return. Current and future results may be lower or higher than those shown. Share prices and returns will vary, so investors may lose money. Investing for short periods makes losses more likely. Fund results for Class A shares of the American Funds reflect deduction of the funds' maximum sales charge (5.75% for equity funds and target date funds; 3.75% for most bond funds; 2.50% for intermediate and short-term bond funds). There is no sales charge for AF U.S. Government Money Market Fund Class A shares. Results for other share classes are at net asset value. If a sales charge had been deducted, results would have been lower. Investment results assume all distributions are reinvested and reflect applicable fees and expenses. For current information, including month-end results, results that reflect the impact of sales charges and results for Class A shares at net asset value, please visit capitalgroup.com. Expense ratios are as of each fund's most recent prospectus. Expense ratios reflect any applicable fee waivers or expense reimbursements. Please see the fund's most recent shareholder report or prospectus for details. Investment results reflect the waivers and/or reimbursements, without which they would have been lower. Results for Class B, C, F-1, F-2, and R shares prior to the date of first sale are hypothetical based on Class A share results without a sales charge, adjusted for estimated annual expenses. Results for certain funds also include hypothetical returns because those funds' shares sold after the funds' date of first offering. Fund lifetime results are based on the inception date of Class A shares. Please see capitalgroup.com for more information on specific expense adjustments and the actual dates of first sale for each fund and share class.

Activity detail for account

Account value

Account registration:
SUZANNA E MORA

AMCAP Fund-C Fund number: 302 Symbol: AMPCX Objective: Growth

4.02% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis: [REDACTED]

Cost per share: [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends \$0.00 Short-term capital gains \$0.00 Long-term capital gains \$768.12

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$11,270.99	\$28.99 / \$28.99		388.789
03/09/20	Fundlink Purchase	\$3,461.53	\$25.08 / \$25.08	138.020	526.809
06/17/20	Capital Gain 0.5817	\$306.44	\$28.77 / \$28.77	10.651	537.460
12/16/20	Capital Gain 0.859	\$461.68	\$32.80 / \$32.80	14.076	551.536
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

American Balanced Fund-C Fund number: 311 Symbol: BALCX Objective: Balanced

8.19% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis: [REDACTED]

Cost per share: [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends \$228.77 Short-term capital gains \$11.45 Long-term capital gains \$1,098.18

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$32,659.64	\$28.30 / \$28.30		1,154.051
01/14/20	Fundlink Redemption	-\$2,380.00	\$28.55 / \$28.55	-83.363	1,070.688
03/09/20	Fundlink Purchase	\$3,461.54	\$26.22 / \$26.22	132.019	1,202.707
03/16/20	Income Dividend 0.0471	\$56.65	\$23.66 / \$23.66	2.394	1,205.101
06/15/20	Income Dividend 0.0523	\$63.03	\$27.46 / \$27.46	2.295	1,207.396
06/15/20	Capital Gain 0.1375	\$165.70	\$27.46 / \$27.46	6.034	1,213.430
06/15/20	Short Term Gain 0.0095	\$11.45	\$27.46 / \$27.46	0.417	1,213.847
09/14/20	Income Dividend 0.0454	\$55.11	\$28.87 / \$28.87	1.909	1,215.756
12/15/20	Income Dividend 0.0444	\$53.98	\$29.67 / \$29.67	1.819	1,217.575
12/15/20	Capital Gain 0.767	\$932.48	\$29.67 / \$29.67	31.428	1,249.003
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]



Primary account number [REDACTED]

Activity detail for account # [REDACTED] (continued) Account value: [REDACTED]

Account registration:
SUZANNA E MORA

American High-Income Trust-C Fund number: 321 Symbol: AHTCX Objective: Bond

0.00% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Not applicable

Year-to-date: Dividends \$11.01 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$5,643.99	\$10.08 / \$10.08		559.920
01/14/20	Fundslink Redemption	-\$5,666.39	\$10.12 / \$10.12	-559.920	0.000
01/14/20	Income Dividend	\$11.01	/ \$10.12		0.000
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

Daily dividend. Since the fund declares dividends daily, the amount of your income dividend depends on the number of days between the day you paid for your shares and the day the dividend was paid.

American Mutual Fund-A Fund number: 03 Symbol: AMRMX Objective: Growth-and-income

1.97% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]

Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends \$78.94 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$0.00	\$43.52 / \$43.52		0.000
07/10/20	Share Class Div Exch	\$1,040.90	\$40.10 ¹ / \$40.10	25.958	25.958
07/10/20	Share Class Exchange	\$3,505.33	\$40.10 ¹ / \$40.10	87.415	113.373
08/10/20	Share Class Div Exch	\$167.08	\$42.15 ¹ / \$42.15	3.964	117.337
08/10/20	Share Class Exchange	\$562.94	\$42.15 ¹ / \$42.15	13.356	130.693
09/10/20	Share Class Div Exch	\$163.82	\$41.40 ¹ / \$41.40	3.957	134.650
09/10/20	Share Class Exchange	\$552.62	\$41.40 ¹ / \$41.40	13.348	147.998
09/16/20	Income Dividend 0.205	\$30.34	\$42.05 / \$42.05	0.722	148.720
10/12/20	Share Class Div Exch	\$172.96	\$43.04 ¹ / \$43.04	4.019	152.739
10/12/20	Share Class Exchange	\$575.16	\$43.04 ¹ / \$43.04	13.363	166.102
11/10/20	Share Class Div Exch	\$175.32	\$43.65 ¹ / \$43.65	4.016	170.118
11/10/20	Share Class Exchange	\$583.04	\$43.65 ¹ / \$43.65	13.357	183.475
12/10/20	Share Class Div Exch	\$178.37	\$44.35 ¹ / \$44.35	4.022	187.497
12/10/20	Share Class Exchange	\$592.00	\$44.35 ¹ / \$44.35	13.348	200.845
12/16/20	Special Dividend 0.037	\$7.43	\$44.16 / \$44.16	0.168	201.013
12/16/20	Income Dividend 0.205	\$41.17	\$44.16 / \$44.16	0.932	201.945
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

¹The above investment was placed with no sales charge.

American Mutual Fund-C Fund number: 303 Symbol: AMFCX Objective: Growth-and-income

8.99% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]

Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends \$400.22 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$30,942.26	\$42.84 / \$42.84		722.275
03/09/20	Fundslink Purchase	\$3,461.54	\$36.81 / \$36.81	94.038	816.313

Primary account number: [REDACTED]

Activity detail for account [REDACTED] (continued) [REDACTED] Account values [REDACTED]

Account registration:
SUZANNA E MORA

American Mutual Fund-C Fund number: 303 Symbol: AMFCX Objective: Growth-and-income

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
03/18/20	Income Dividend 0.1252	\$102.20	\$32.81 / \$32.81	3.115	819.428
06/17/20	Income Dividend 0.1371	\$112.34	\$39.40 / \$39.40	2.851	822.279
07/10/20	Share Class Exchange	-\$3,505.33	\$39.47 / \$39.47	-88.810	733.469
07/10/20	Share Class Div Exch	-\$1,040.90	\$39.47 / \$39.47	-26.372	707.097
08/10/20	Share Class Exchange	-\$562.94	\$41.46 / \$41.46	-13.578	693.519
08/10/20	Share Class Div Exch	-\$167.08	\$41.46 / \$41.46	-4.030	689.489
09/10/20	Share Class Exchange	-\$552.62	\$40.70 / \$40.70	-13.578	675.911
09/10/20	Share Class Div Exch	-\$163.82	\$40.70 / \$40.70	-4.025	671.886
09/16/20	Income Dividend 0.126	\$84.66	\$41.41 / \$41.41	2.044	673.930
10/12/20	Share Class Exchange	-\$575.16	\$42.36 / \$42.36	-13.578	660.352
10/12/20	Share Class Div Exch	-\$172.96	\$42.36 / \$42.36	-4.083	656.269
11/10/20	Share Class Exchange	-\$583.04	\$42.94 / \$42.94	-13.578	642.691
11/10/20	Share Class Div Exch	-\$175.32	\$42.94 / \$42.94	-4.083	638.608
12/10/20	Share Class Exchange	-\$592.00	\$43.60 / \$43.60	-13.578	625.030
12/10/20	Share Class Div Exch	-\$178.37	\$43.60 / \$43.60	-4.091	620.939
12/16/20	Special Dividend 0.037	\$22.97	\$43.48 / \$43.48	0.528	621.467
12/16/20	Income Dividend 0.1257	\$78.05	\$43.48 / \$43.48	1.795	623.262
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

Capital Income Builder-A Fund number: 12 Symbol: CAIBX Objective: Equity-income

0.00% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Not applicable

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,950.72	\$63.32 / \$63.32		62.393
01/14/20	Fundlink Redemption	-\$3,971.31	\$63.65 / \$63.65	-62.393	0.000
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

EuroPacific Growth Fund-C Fund number: 316 Symbol: AEPX Objective: Growth

4.09% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]
Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$10,985.02	\$54.28 / \$54.28		202.377
03/09/20	Fundlink Purchase	\$3,461.54	\$45.54 / \$45.54	76.011	278.388
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

Primary account number: [REDACTED]

Activity detail for account [REDACTED] (continued) [REDACTED] Account value [REDACTED]

Account registration:
 SUZANNA E MORA

Fundamental Investors-C Fund number: 310 Symbol: AFICX Objective: Growth-and-income

10.19% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
 Not available

Cost basis: Covered shares (2012 and later)
 Total cost basis: [REDACTED]
 Cost per share: [REDACTED]
 Calculation method: Average Cost

Year-to-date: Dividends \$383.27 Short-term capital gains \$0.00 Long-term capital gains \$517.74

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$36,799.24	\$61.66 / \$61.66		596.809
03/09/20	Fundlink Purchase	\$3,461.54	\$52.06 / \$52.06	66.491	663.300
03/18/20	Income Dividend 0.0532	\$35.29	\$43.28 / \$43.28	0.815	664.115
06/17/20	Capital Gain 0.7796	\$517.74	\$57.16 / \$57.16	9.058	673.173
06/17/20	Income Dividend 0.0722	\$47.95	\$57.16 / \$57.16	0.839	674.012
09/16/20	Income Dividend 0.052	\$35.05	\$61.47 / \$61.47	0.570	674.582
12/18/20	Special Dividend 0.345	\$232.73	\$68.34 / \$68.34	3.405	677.987
12/18/20	Income Dividend 0.0478	\$32.25	\$68.34 / \$68.34	0.472	678.459
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

Global Balanced Fund-A Fund number: 37 Symbol: GBLAX Objective: Balanced

0.04% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
 Not available

Cost basis: Covered shares (2012 and later)
 Total cost basis: [REDACTED]
 Cost per share: [REDACTED]
 Calculation method: Average Cost

Year-to-date: Dividends \$0.80 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$0.00	\$34.34 / \$34.34		0.000
12/10/20	Share Class Div Exch	\$3.22	\$37.09 ¹ / \$37.09	0.087	0.087
12/10/20	Share Class Exchange	\$197.18	\$37.09 ¹ / \$37.09	5.316	5.403
12/18/20	Foreign Tax Paid	\$0.05	/ \$37.24		5.403
12/18/20	Income Dividend 0.138	\$0.75	\$37.24 / \$37.24	0.020	5.423
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

¹The above investment was placed with no sales charge.

Global Balanced Fund-C Fund number: 337 Symbol: GBLCX Objective: Balanced

4.43% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
 Not available

Cost basis: Covered shares (2012 and later)
 Total cost basis: [REDACTED]
 Cost per share: [REDACTED]
 Calculation method: Average Cost

Year-to-date: Dividends \$130.59 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$14,813.51	\$34.26 / \$34.26		432.385
03/09/20	Fundlink Purchase	\$3,461.54	\$30.97 / \$30.97	111.771	544.156
03/20/20	Income Dividend 0.0361	\$19.64	\$26.88 / \$26.88	0.731	544.887
03/20/20	Foreign Tax Paid	\$4.79	/ \$26.88		544.887
06/19/20	Income Dividend 0.032	\$17.44	\$33.19 / \$33.19	0.525	545.412
06/19/20	Foreign Tax Paid	\$5.07	/ \$33.19		545.412
09/18/20	Income Dividend 0.0665	\$36.27	\$34.35 / \$34.35	1.056	546.468
09/18/20	Foreign Tax Paid	\$5.07	/ \$34.35		546.468
12/10/20	Share Class Exchange	-\$197.18	\$36.98 / \$36.98	-5.332	541.136
12/10/20	Share Class Div Exch	-\$3.22	\$36.98 / \$36.98	-0.087	541.049



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AMERICAN FUNDS®

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Primary account number [REDACTED]

Activity detail for account [REDACTED] (continued) Account value [REDACTED]

Account registration:
SUZANNA E MORA

Global Balanced Fund-C Fund number: 337 Symbol: GBLCX Objective: Balanced

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
12/18/20	Foreign Tax Paid	\$4.87	/ \$37.19		541.049
12/18/20	Income Dividend 0.0692	\$37.44	\$37.19 / \$37.19	1.007	542.056
12/31/20	Ending balance	[REDACTED]	[REDACTED]		[REDACTED]

The Growth Fund of America-A Fund number: 05 Symbol: AGTHX Objective: Growth

2.79% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]
Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends \$30.77 Short-term capital gains \$0.00 Long-term capital gains \$495.03

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$0.00	\$51.13 / \$51.13		0.000
07/10/20	Share Class Div Exch	\$1,906.22	\$57.93 ¹ / \$57.93	32.906	32.906
07/10/20	Share Class Exchange	\$4,183.49	\$57.93 ¹ / \$57.93	72.216	105.122
08/10/20	Share Class Div Exch	\$279.92	\$58.82 ¹ / \$58.82	4.759	109.881
08/10/20	Share Class Exchange	\$613.88	\$58.82 ¹ / \$58.82	10.437	120.318
09/10/20	Share Class Div Exch	\$281.38	\$59.28 ¹ / \$59.28	4.747	125.065
09/10/20	Share Class Exchange	\$618.36	\$59.28 ¹ / \$59.28	10.431	135.496
10/12/20	Share Class Div Exch	\$304.08	\$64.00 ¹ / \$64.00	4.751	140.247
10/12/20	Share Class Exchange	\$667.11	\$64.00 ¹ / \$64.00	10.424	150.671
11/10/20	Share Class Div Exch	\$299.96	\$63.13 ¹ / \$63.13	4.751	155.422
11/10/20	Share Class Exchange	\$657.69	\$63.13 ¹ / \$63.13	10.418	165.840
12/10/20	Share Class Div Exch	\$323.22	\$68.10 ¹ / \$68.10	4.746	170.586
12/10/20	Share Class Exchange	\$708.97	\$68.10 ¹ / \$68.10	10.411	180.997
12/18/20	Income Dividend 0.17	\$30.77	\$67.15 / \$67.15	0.458	181.455
12/18/20	Capital Gain 2.735	\$495.03	\$67.15 / \$67.15	7.372	188.827
12/31/20	Ending balance	[REDACTED]	[REDACTED]		[REDACTED]

¹The above investment was placed with no sales charge.

The Growth Fund of America-C Fund number: 305 Symbol: GFACKX Objective: Growth

10.01% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]
Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends \$0.00 Short-term capital gains \$0.00 Long-term capital gains \$1,962.43

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$38,822.62	\$46.62 / \$46.62		832.746
03/09/20	Fundslink Purchase	\$3,461.54	\$41.10 / \$41.10		916.968
07/10/20	Share Class Exchange	-\$4,183.49	\$52.61 / \$52.61	-79.519	837.449
07/10/20	Share Class Div Exch	-\$1,906.22	\$52.61 / \$52.61	-36.233	801.216
08/10/20	Share Class Exchange	-\$613.88	\$53.39 / \$53.39	-11.498	789.718
08/10/20	Share Class Div Exch	-\$279.92	\$53.39 / \$53.39	-5.243	784.475
09/10/20	Share Class Exchange	-\$618.36	\$53.78 / \$53.78	-11.498	772.977
09/10/20	Share Class Div Exch	-\$281.38	\$53.78 / \$53.78	-5.232	767.745
10/12/20	Share Class Exchange	-\$667.11	\$58.02 / \$58.02	-11.498	756.247
10/12/20	Share Class Div Exch	-\$304.08	\$58.02 / \$58.02	-5.241	751.006
11/10/20	Share Class Exchange	-\$657.69	\$57.20 / \$57.20	-11.498	739.508



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Primary account number: [REDACTED]

Activity detail for account: [REDACTED] (continued): [REDACTED] Account value: [REDACTED]

Account registration:
SUZANNA E MORA

The Growth Fund of America-C Fund number: 305 Symbol: GFACX Objective: Growth

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
11/10/20	Share Class Div Exch	-\$299.96	\$57.20 / \$57.20	-5.244	734.264
12/10/20	Share Class Exchange	-\$708.97	\$61.66 / \$61.66	-11.498	722.766
12/10/20	Share Class Div Exch	-\$323.22	\$61.66 / \$61.66	-5.242	717.524
12/18/20	Capital Gain 2.735	\$1,962.43	\$60.68 / \$60.68	32.341	749.865
12/31/20	Ending balance				

International Growth and Income-C Fund number: 334 Symbol: IGICX Objective: Growth-and-income

3.59% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis: [REDACTED]

Cost per share: [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends

\$146.68

Short-term capital gains

\$0.00

Long-term capital gains

\$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$11,142.61	\$36.11 / \$36.11		308.574
03/09/20	Fundlink Purchase	\$3,461.54	\$29.62 / \$29.62	116.865	425.439
03/20/20	Income Dividend 0.072	\$30.63	\$23.99 / \$23.99	1.277	426.716
03/20/20	Foreign Tax Paid	\$7.62	/ \$23.99		426.716
06/19/20	Income Dividend 0.0443	\$18.90	\$30.81 / \$30.81	0.613	427.329
06/19/20	Foreign Tax Paid	\$7.00	/ \$30.81		427.329
09/18/20	Income Dividend 0.0958	\$40.94	\$32.98 / \$32.98	1.241	428.570
09/18/20	Foreign Tax Paid	\$7.09	/ \$32.98		428.570
12/21/20	Foreign Tax Paid	\$7.33	/ \$37.25		428.570
12/21/20	Income Dividend 0.0634	\$27.17	\$37.25 / \$37.25	0.729	429.299
12/31/20	Ending balance				

The Investment Company of America-C Fund number: 304 Symbol: AICCX Objective: Growth-and-income

9.62% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis: [REDACTED]

Cost per share: [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends

\$328.27

Short-term capital gains

\$0.00

Long-term capital gains

\$113.11

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$34,604.44	\$39.10 / \$39.10		885.024
03/09/20	Fundlink Purchase	\$3,461.54	\$32.91 / \$32.91	105.182	990.206
03/18/20	Income Dividend 0.0819	\$81.10	\$28.77 / \$28.77	2.819	993.025
06/17/20	Capital Gain 0.1139	\$113.11	\$37.46 / \$37.46	3.019	996.044
06/17/20	Income Dividend 0.0914	\$90.76	\$37.46 / \$37.46	2.423	998.467
09/16/20	Income Dividend 0.0796	\$79.48	\$40.12 / \$40.12	1.981	1,000.448
12/16/20	Income Dividend 0.0769	\$76.93	\$43.38 / \$43.38	1.773	1,002.221
12/31/20	Ending balance				

Primary account number [REDACTED]

Activity detail for account [REDACTED] (continued) Account value [REDACTED]

Account registration:
 SUZANNA E MORA

The New Economy Fund-C Fund number: 314 Symbol: ANFCX Objective: Growth

9.79% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
 Not available

Cost basis: Covered shares (2012 and later)
 Total cost basis [REDACTED]
 Cost per share [REDACTED]
 Calculation method: Average Cost

Year-to-date: Dividends \$0.00 Short-term capital gains \$0.00 Long-term capital gains \$1,232.42

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$29,886.53	\$40.08 / \$40.08		745.672
03/09/20	Fundslink Purchase	\$3,461.54	\$35.45 / \$35.45	97.646	843.318
12/16/20	Capital Gain 1.4614	\$1,232.42	\$50.47 / \$50.47	24.419	867.737
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

New Perspective Fund-C Fund number: 307 Symbol: NPFCX Objective: Growth

4.47% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
 Not available

Cost basis: Covered shares (2012 and later)
 Total cost basis [REDACTED]
 Cost per share [REDACTED]
 Calculation method: Average Cost

Year-to-date: Dividends \$0.00 Short-term capital gains \$0.00 Long-term capital gains \$826.92

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$11,433.50	\$45.25 / \$45.25		252.674
03/09/20	Fundslink Purchase	\$3,461.54	\$39.13 / \$39.13	88.463	341.137
12/17/20	Capital Gain 2.424	\$826.92	\$56.68 / \$56.68	14.589	355.726
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

SMALLCAP World Fund-A Fund number: 35 Symbol: SMCWX Objective: Growth

2.34% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
 Not available

Cost basis: Covered shares (2012 and later)
 Total cost basis [REDACTED]
 Cost per share [REDACTED]
 Calculation method: Average Cost

Year-to-date: Dividends \$3.07 Short-term capital gains \$0.00 Long-term capital gains \$168.35

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$0.00	\$58.83 / \$58.83		0.000
07/10/20	Share Class Div Exch	\$1,178.03	\$62.02 ¹ / \$62.02	18.994	18.994
07/10/20	Share Class Exchange	\$3,956.30	\$62.02 ¹ / \$62.02	63.791	82.785
08/10/20	Share Class Div Exch	\$145.32	\$64.50 ¹ / \$64.50	2.253	85.038
08/10/20	Share Class Exchange	\$486.97	\$64.50 ¹ / \$64.50	7.550	92.588
09/10/20	Share Class Div Exch	\$143.61	\$63.86 ¹ / \$63.86	2.249	94.837
09/10/20	Share Class Exchange	\$481.80	\$63.86 ¹ / \$63.86	7.545	102.382
10/12/20	Share Class Div Exch	\$158.17	\$70.48 ¹ / \$70.48	2.244	104.626
10/12/20	Share Class Exchange	\$531.45	\$70.48 ¹ / \$70.48	7.540	112.166
11/10/20	Share Class Div Exch	\$156.97	\$70.14 ¹ / \$70.14	2.238	114.404
11/10/20	Share Class Exchange	\$528.60	\$70.14 ¹ / \$70.14	7.536	121.940
12/10/20	Share Class Div Exch	\$179.53	\$76.85 ¹ / \$76.85	2.336	124.276
12/10/20	Share Class Exchange	\$604.24	\$76.85 ¹ / \$76.85	7.863	132.139
12/17/20	Capital Gain 1.274	\$168.35	\$78.36 / \$78.36	2.148	134.287
12/17/20	Foreign Tax Paid	\$3.07	[REDACTED] / \$78.36		134.287
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

¹The above investment was placed with no sales charge.



CAPITAL GROUP*
AMERICAN FUNDS*

Year-End Statement
December 31, 2020

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Primary account number [REDACTED]

Activity detail for account [REDACTED] (continued) - 12/31/20 Account value [REDACTED]

Account registrations:
SUZANNA E MORA

SMALLCAP World Fund-C Fund number: 335 Symbol: SCWCX Objective: Growth

8.91% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]
Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends \$13.84 Short-term capital gains \$0.00 Long-term capital gains \$760.13

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$33,615.18	\$50.05 / \$50.05		671.632
03/09/20	Fundlink Purchase	\$3,461.54	\$42.71 / \$42.71	81.048	752.680
07/10/20	Share Class Exchange	-\$3,956.30	\$52.56 / \$52.56	-75.272	677.408
07/10/20	Share Class Div Exch	-\$1,178.03	\$52.56 / \$52.56	-22.413	654.995
08/10/20	Share Class Exchange	-\$486.97	\$54.63 / \$54.63	-8.914	646.081
08/10/20	Share Class Div Exch	-\$145.32	\$54.63 / \$54.63	-2.660	643.421
09/10/20	Share Class Exchange	-\$481.80	\$54.05 / \$54.05	-8.914	634.507
09/10/20	Share Class Div Exch	-\$143.61	\$54.05 / \$54.05	-2.657	631.850
10/12/20	Share Class Exchange	-\$531.45	\$59.62 / \$59.62	-8.914	622.936
10/12/20	Share Class Div Exch	-\$158.17	\$59.62 / \$59.62	-2.653	620.283
11/10/20	Share Class Exchange	-\$528.60	\$59.30 / \$59.30	-8.914	611.369
11/10/20	Share Class Div Exch	-\$156.97	\$59.30 / \$59.30	-2.647	608.722
12/10/20	Share Class Exchange	-\$604.24	\$64.93 / \$64.93	-9.306	599.416
12/10/20	Share Class Div Exch	-\$179.53	\$64.93 / \$64.93	-2.765	596.651
12/17/20	Foreign Tax Paid	\$13.84	/ \$66.00		596.651
12/17/20	Capital Gain 1.274	\$760.13	\$66.00 / \$66.00	11.517	608.168
12/31/20	Ending balance	[REDACTED]	[REDACTED]		[REDACTED]

Washington Mutual Investors Fund-C Fund number: 301 Symbol: WSHCX Objective: Growth-and-income

9.56% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)
Not available

Cost basis: Covered shares (2012 and later)
Total cost basis: [REDACTED]
Cost per share: [REDACTED]
Calculation method: Average Cost

Year-to-date: Dividends \$499.29 Short-term capital gains \$0.00 Long-term capital gains \$578.69

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$36,761.70	\$47.45 / \$47.45		774.746
03/09/20	Fundlink Purchase	\$3,461.53	\$39.74 / \$39.74	87.104	861.850
03/18/20	Income Dividend 0.1175	\$101.27	\$33.68 / \$33.68	3.007	864.857
06/17/20	Income Dividend 0.1311	\$113.38	\$43.42 / \$43.42	2.611	867.468
09/16/20	Income Dividend 0.1177	\$102.10	\$45.86 / \$45.86	2.226	869.694
12/18/20	Capital Gain 0.6654	\$578.69	\$48.84 / \$48.84	11.849	881.543
12/18/20	Special Dividend 0.05	\$43.48	\$48.84 / \$48.84	0.890	882.433
12/18/20	Income Dividend 0.1139	\$99.06	\$48.84 / \$48.84	2.028	884.461
12/31/20	Ending balance	[REDACTED]	[REDACTED]		[REDACTED]



CAPITAL GROUP

AMERICAN FUNDS

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Primary account number: [REDACTED]

Activity detail for account:

Account values:

Account registration:
VCSP/COLLEGEAMERICA
SUZANNA E MORA OWNER
FBO RENEE G QUARANTA

AMCAP Fund-529A Fund number: 1002 Symbol: CAFAX Objective: Growth

15.40% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:
WALTER K SCHRADER

Year-to-date: Dividends

\$0.84

Short-term capital gains

\$0.00

Long-term capital gains

\$70.78

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,436.33	\$33.15 / \$33.15		103.640
06/17/20	Capital Gain 0.5817	\$60.30	\$33.08 / \$33.08	1.823	105.483
08/28/20	Contrib To *****089	-\$2,581.19	\$36.45 / \$36.45	-70.815	34.668
08/28/20	Earnings To *****089	-\$818.81	\$36.45 / \$36.45	-22.463	12.205
12/16/20	Capital Gain 0.859	\$10.48	\$37.92 / \$37.92	0.276	12.481
12/16/20	Income Dividend 0.069	\$0.84	\$37.92 / \$37.92	0.022	12.503
12/31/20	Ending balance				

The Growth Fund of America-529A Fund number: 1005 Symbol: CGFAX Objective: Growth

29.41% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:
WALTER K SCHRADER

Year-to-date: Dividends

\$2.06

Short-term capital gains

\$0.00

Long-term capital gains

\$36.24

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,436.40	\$50.49 / \$50.49		68.061
08/28/20	Contrib To *****089	-\$2,581.19	\$62.03 / \$62.03	-41.612	26.449
08/28/20	Earnings To *****089	-\$818.81	\$62.03 / \$62.03	-13.200	13.249
12/18/20	Income Dividend 0.1554	\$2.06	\$66.27 / \$66.27	0.031	13.280
12/18/20	Capital Gain 2.735	\$36.24	\$66.27 / \$66.27	0.547	13.827
12/31/20	Ending balance				

The New Economy Fund-529A Fund number: 1014 Symbol: CNGAX Objective: Growth

15.88% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:
WALTER K SCHRADER

Year-to-date: Dividends

\$0.05

Short-term capital gains

\$0.00

Long-term capital gains

\$12.07

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,294.97	\$45.17 / \$45.17		72.946
08/28/20	Contrib To *****089	-\$2,581.19	\$52.56 / \$52.56	-49.109	23.837
08/28/20	Earnings To *****089	-\$818.81	\$52.56 / \$52.56	-15.579	8.258
12/16/20	Capital Gain 1.4614	\$12.07	\$57.46 / \$57.46	0.210	8.468
12/16/20	Income Dividend 0.0065	\$0.05	\$57.46 / \$57.46	0.001	8.469
12/31/20	Ending balance				

Primary account number [REDACTED]

Activity detail for account [REDACTED] (continued) [REDACTED] Account value [REDACTED]

Account registration:
 VCSP/COLLEGEAMERICA
 SUZANNA E MORA OWNER
 FBO RENEE G QUARANTA

New Perspective Fund-529A Fund number: 1007 Symbol: CNPAX Objective: Growth

23.51% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:
 WALTER K SCHRADER

Year-to-date: Dividends \$0.75 Short-term capital gains \$0.00 Long-term capital gains \$28.73

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,448.61	\$46.64 / \$46.64		73.941
08/28/20	Contrib To *****089	-\$2,581.20	\$54.76 / \$54.76	-47.137	26.804
08/28/20	Earnings To *****089	-\$818.80	\$54.76 / \$54.76	-14.952	11.852
12/17/20	Capital Gain 2.424	\$28.73	\$58.85 / \$58.85	0.488	12.340
12/17/20	Income Dividend 0.063	\$0.75	\$58.85 / \$58.85	0.013	12.353
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

SMALLCAP World Fund-529A Fund number: 1035 Symbol: CSPAX Objective: Growth

15.80% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:
 WALTER K SCHRADER

Year-to-date: Dividends \$0.14 Short-term capital gains \$0.00 Long-term capital gains \$7.92

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,360.23	\$58.01 / \$58.01		57.925
08/28/20	Contrib To *****089	-\$2,581.20	\$65.75 / \$65.75	-39.258	18.667
08/28/20	Earnings To *****089	-\$818.80	\$65.75 / \$65.75	-12.453	6.214
12/17/20	Foreign Tax Paid	\$0.14	/ \$77.23		6.214
12/17/20	Capital Gain 1.274	\$7.92	\$77.23 / \$77.23	0.103	6.317
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

Activity detail for account [REDACTED] (continued) [REDACTED] Account value [REDACTED]

Account registration:
 VCSP/COLLEGEAMERICA
 SUZANNA E MORA OWNER
 FBO MARIE V QUARANTA

AMCAP Fund-529A Fund number: 1002 Symbol: CAFAX Objective: Growth

18.52% of the account's assets are in this fund

Beneficiary age: 19

Successor owner:
 WALTER KURT SCHRADER

Year-to-date: Dividends \$7.28 Short-term capital gains \$0.00 Long-term capital gains \$150.91

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,436.33	\$33.15 / \$33.15		103.660
06/17/20	Capital Gain 0.5817	\$60.30	\$33.08 / \$33.08	1.823	105.483
12/16/20	Income Dividend 0.069	\$7.28	\$37.92 / \$37.92	0.192	105.675
12/16/20	Capital Gain 0.859	\$90.61	\$37.92 / \$37.92	2.390	108.065
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

Primary account number [REDACTED]

Activity detail for account [REDACTED] (continued) Account value: [REDACTED]

Account registration:
VCSP/COLLEGEAMERICA
SUZANNA E MORA OWNER
FBO MARIE V QUARANTA

The Growth Fund of America-529A Fund number: 1005 Symbol: CGFAX Objective: Growth

21.04% of the account's assets are in this fund

Beneficiary age: 19

Successor owner:
WALTER KURT SCHRADER

Year-to-date: Dividends \$10.58 Short-term capital gains \$0.00 Long-term capital gains \$186.15

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,436.40	\$50.49 / \$50.49		68.061
12/18/20	Capital Gain 2.735	\$186.15	\$66.27 / \$66.27	2.809	70.870
12/18/20	Income Dividend 0.1554	\$10.58	\$66.27 / \$66.27	0.160	71.030
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

The New Economy Fund-529A Fund number: 1014 Symbol: CNGAX Objective: Growth

19.52% of the account's assets are in this fund

Beneficiary age: 19

Successor owner:
WALTER KURT SCHRADER

Year-to-date: Dividends \$0.47 Short-term capital gains \$0.00 Long-term capital gains \$106.60

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,294.97	\$45.17 / \$45.17		72.946
12/16/20	Capital Gain 1.4614	\$106.60	\$57.46 / \$57.46	1.855	74.801
12/16/20	Income Dividend 0.0065	\$0.47	\$57.46 / \$57.46	0.008	74.809
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

New Perspective Fund-529A Fund number: 1007 Symbol: CNPAX Objective: Growth

20.42% of the account's assets are in this fund

Beneficiary age: 19

Successor owner:
WALTER KURT SCHRADER

Year-to-date: Dividends \$4.66 Short-term capital gains \$0.00 Long-term capital gains \$179.23

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,448.61	\$46.64 / \$46.64		73.941
12/17/20	Income Dividend 0.063	\$4.66	\$58.85 / \$58.85	0.079	74.020
12/17/20	Capital Gain 2.424	\$179.23	\$58.85 / \$58.85	3.046	77.066
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

SMALLCAP World Fund-529A Fund number: 1035 Symbol: CSPAX Objective: Growth

20.50% of the account's assets are in this fund

Beneficiary age: 19

Successor owner:
WALTER KURT SCHRADER

Year-to-date: Dividends \$1.34 Short-term capital gains \$0.00 Long-term capital gains \$73.80

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$3,360.23	\$58.01 / \$58.01		57.925
12/17/20	Capital Gain 1.274	\$73.80	\$77.23 / \$77.23	0.956	58.881
12/17/20	Foreign Tax Paid	\$1.34	\$77.23 / \$77.23		58.881
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]



Primary account number [REDACTED]

Activity detail for account

Account value

Account registration:
VCSP/COLLEGEAMERICA
SUZANNA E MORA OWNER
FBO MICHAEL F QUARANTA

AMCAP Fund-529A Fund number: 1002 Symbol: CAFAX Objective: Growth

11.53% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$2.66 Short-term capital gains \$0.00 Long-term capital gains \$51.04

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$1,023.27	\$33.15 / \$33.15		30.868
06/17/20	Capital Gain 0.5817	\$17.96	\$33.08 / \$33.08	0.543	31.411
08/28/20	Contrib From *****032	\$2,581.19	\$36.45 ¹ / \$36.45	70.815	102.226
08/28/20	Earnings From *****032	\$818.81	\$36.45 ¹ / \$36.45	22.463	124.689
09/11/20	Dist To Owner Earnings	-\$654.51	\$34.81 / \$34.81	-18.802	105.887
09/11/20	Dist To Owner Basis	-\$2,345.49	\$34.81 / \$34.81	-67.380	38.507
12/16/20	Income Dividend 0.069	\$2.66	\$37.92 / \$37.92	0.070	38.577
12/16/20	Capital Gain 0.859	\$33.08	\$37.92 / \$37.92	0.872	39.449
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

¹The above investment was placed with no sales charge.

American Balanced Fund-529A Fund number: 1011 Symbol: CLBAX Objective: Balanced

0.00% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$5.37 Short-term capital gains \$0.26 Long-term capital gains \$3.80

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$782.69	\$28.45 / \$28.45		27.511
03/16/20	Income Dividend 0.0974	\$2.68	\$23.77 / \$23.77	0.113	27.624
06/15/20	Capital Gain 0.1375	\$3.80	\$27.59 / \$27.59	0.138	27.762
06/15/20	Short Term Gain 0.0095	\$0.26	\$27.59 / \$27.59	0.009	27.771
06/15/20	Income Dividend 0.0974	\$2.69	\$27.59 / \$27.59	0.097	27.868
09/11/20	Dist To Owner Basis	-\$629.89	\$28.91 / \$28.91	-21.788	6.080
09/11/20	Dist To Owner Earnings	-\$175.77	\$28.91 / \$28.91	-6.080	0.000
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

American High-Income Trust-529A Fund number: 1021 Symbol: CITAX Objective: Bond

0.00% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$20.27 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$513.87	\$10.08 / \$10.08		50.979
01/31/20	Income Dividend	\$2.52	\$10.04 / \$10.04	0.251	51.230
02/28/20	Income Dividend	\$2.41	\$9.85 / \$9.85	0.245	51.475
03/31/20	Income Dividend	\$2.66	\$8.65 / \$8.65	0.308	51.783
04/30/20	Income Dividend	\$2.38	\$8.87 / \$8.87	0.268	52.051
05/29/20	Income Dividend	\$2.38	\$9.18 / \$9.18	0.259	52.310
06/30/20	Income Dividend	\$2.40	\$9.25 / \$9.25	0.259	52.569
07/31/20	Income Dividend	\$2.38	\$9.61 / \$9.61	0.248	52.817
08/31/20	Income Dividend	\$2.29	\$9.72 / \$9.72	0.236	53.053
09/11/20	Dist To Owner Basis	-\$401.92	\$9.69 / \$9.69	-41.478	11.575
09/11/20	Dist To Owner Earnings	-\$112.16	\$9.69 / \$9.69	-11.575	0.000



CAPITAL GROUP

AMERICAN FUNDS

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Primary account number [REDACTED]

Activity detail for account [REDACTED] (continued) [REDACTED] of Account values [REDACTED]

Account registrations:
VCSP/COLLEGEAMERICA
SUZANNA E MORA OWNER
FBO MICHAEL F QUARANTA

American High-Income Trust-529A Fund number: 1021 Symbol: CITAX Objective: Bond

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
09/11/20	Income Dividend	\$0.85	[REDACTED] / \$9.69		0.000
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

Daily dividend. Since the fund declares dividends daily, the amount of your income dividend depends on the number of days between the day you paid for your shares and the day the dividend was paid.

American Mutual Fund-529A Fund number: 1003 Symbol: CMLAX Objective: Growth-and-income

0.00% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$8.17 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$877.79	\$43.41 / \$43.41		20.221
03/18/20	Income Dividend 0.2013	\$4.07	\$33.22 / \$33.22	0.123	20.344
06/17/20	Income Dividend 0.2014	\$4.10	\$39.90 / \$39.90	0.103	20.447
09/11/20	Dist To Owner Basis	-\$664.38	\$41.56 / \$41.56	-15.986	4.461
09/11/20	Dist To Owner Earnings	-\$185.40	\$41.56 / \$41.56	-4.461	0.000
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

Fundamental Investors-529A Fund number: 1010 Symbol: CFNAX Objective: Growth-and-income

0.00% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$5.02 Short-term capital gains \$0.00 Long-term capital gains \$11.89

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$940.56	\$61.92 / \$61.92		15.190
03/18/20	Income Dividend 0.165	\$2.51	\$43.42 / \$43.42	0.058	15.248
06/17/20	Income Dividend 0.1646	\$2.51	\$57.35 / \$57.35	0.044	15.292
06/17/20	Capital Gain 0.7796	\$11.89	\$57.35 / \$57.35	0.207	15.499
09/11/20	Dist To Owner Basis	-\$737.84	\$60.89 / \$60.89	-12.118	3.381
09/11/20	Dist To Owner Earnings	-\$205.89	\$60.89 / \$60.89	-3.381	0.000
12/31/20	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		[REDACTED]

The Growth Fund of America-529A Fund number: 1005 Symbol: CGFAX Objective: Growth

29.70% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$8.76 Short-term capital gains \$0.00 Long-term capital gains \$154.10

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$77.25	\$50.49 / \$50.49		1.530
08/28/20	Contrib From *****032	\$2,581.19	\$62.03 ¹ / \$62.03	41.612	43.142
08/28/20	Earnings From *****032	\$818.81	\$62.03 ¹ / \$62.03	13.200	56.342
12/18/20	Capital Gain 2.735	\$154.10	\$66.27 / \$66.27	2.325	58.667

Primary account number [REDACTED]

Activity detail for account [REDACTED] (continued) Account value: \$18,199.48

Account registration:
VCSP/COLLEGEAMERICA
SUZANNA E MORA OWNER
FBO MICHAEL F QUARANTA

The Growth Fund of America-529A Fund number: 1005 Symbol: CGFAX Objective: Growth

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
12/18/20	Income Dividend 0.1554	\$8.76	\$66.27 / \$66.27	0.132	58.798
12/31/20	Ending balance	[REDACTED]	[REDACTED]		[REDACTED]

1The above investment was placed with no sales charge.

The Investment Company of America-529A Fund number: 1004 Symbol: CICAX Objective: Growth-and-income

0.00% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$6.28 Short-term capital gains \$0.00 Long-term capital gains \$2.37

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$817.89	\$39.46 / \$39.46		20.727
03/18/20	Income Dividend 0.1509	\$3.13	\$29.01 / \$29.01	0.108	20.835
06/17/20	Income Dividend 0.1513	\$3.15	\$37.78 / \$37.78	0.083	20.918
06/17/20	Capital Gain 0.1139	\$2.37	\$37.78 / \$37.78	0.063	20.981
09/11/20	Dist To Owner Basis	-\$457.29	\$40.07 / \$40.07	-16.404	4.577
09/11/20	Dist To Owner Earnings	-\$183.42	\$40.07 / \$40.07	-4.577	0.000
12/31/20	Ending balance	[REDACTED]	[REDACTED]		[REDACTED]

The New Economy Fund-529A Fund number: 1014 Symbol: CNGAX Objective: Growth

29.53% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$0.42 Short-term capital gains \$0.00 Long-term capital gains \$94.54

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$0.00	\$45.17 / \$45.17		0.000
08/28/20	Contrib From *****032	\$2,581.19	\$52.56 ¹ / \$52.56	49.109	49.109
08/28/20	Earnings From *****032	\$818.81	\$52.56 ¹ / \$52.56	15.579	64.688
12/16/20	Capital Gain 1.4614	\$94.54	\$57.46 / \$57.46	1.645	66.333
12/16/20	Income Dividend 0.0065	\$0.42	\$57.46 / \$57.46	0.007	66.340
12/31/20	Ending balance	[REDACTED]	[REDACTED]		[REDACTED]

1The above investment was placed with no sales charge.

New Perspective Fund-529A Fund number: 1007 Symbol: CNPAX Objective: Growth

29.24% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$3.91 Short-term capital gains \$0.00 Long-term capital gains \$150.50

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$0.00	\$46.64 / \$46.64		0.000
08/28/20	Contrib From *****032	\$2,581.20	\$54.76 ¹ / \$54.76	47.137	47.137
08/28/20	Earnings From *****032	\$818.80	\$54.76 ¹ / \$54.76	14.952	62.089
12/17/20	Income Dividend 0.063	\$3.91	\$58.85 / \$58.85	0.066	62.155



CAPITAL GROUP

AMERICAN FUNDS

Year-End Statement
December 31, 2020

Page 18 of 19

Primary account number [REDACTED]

Activity detail for account (continued) Account value:

Account registration:
VCSP/COLLEGEAMERICA
SUZANNA E MORA OWNER
FBO MICHAEL F QUARANTA

New Perspective Fund-529A Fund number: 1007 Symbol: CNPAX Objective: Growth

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
12/17/20	Capital Gain 2.424	\$150.50	\$58.85 / \$58.85	2.557	64.712
12/31/20	Ending balance	[REDACTED]	[REDACTED]		[REDACTED]

1The above investment was placed with no sales charge.

SMALLCAP World Fund-529A Fund number: 1035 Symbol: CSPAX Objective: Growth

0.00% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$0.00	\$58.01 / \$58.01		0.000
08/28/20	Contrib From *****032	\$2,581.20	\$65.75 ¹ / \$65.75	39.258	39.258
08/28/20	Earnings From *****032	\$818.80	\$65.75 ¹ / \$65.75	12.453	51.711
09/11/20	Dist To Owner Basis	-\$2,545.02	\$62.95 / \$62.95	-40.429	11.282
09/11/20	Dist To Owner Earnings	-\$710.19	\$62.95 / \$62.95	-11.282	0.000
12/31/20	Ending balance	[REDACTED]	[REDACTED]		[REDACTED]

1The above investment was placed with no sales charge.

Washington Mutual Investors Fund-529A Fund number: 1001 Symbol: CWMAX Objective: Growth-and-income

0.00% of the account's assets are in this fund

Beneficiary age: 22

Successor owner:
MICHAEL J QUARANTA

Year-to-date: Dividends \$8.32 Short-term capital gains \$0.00 Long-term capital gains \$0.00

Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/20	Beginning balance	\$988.84	\$48.03 / \$48.03		20.588
03/18/20	Income Dividend 0.201	\$4.14	\$34.07 / \$34.07	0.122	20.710
06/17/20	Income Dividend 0.2016	\$4.18	\$43.92 / \$43.92	0.095	20.805
09/11/20	Dist To Owner Basis	-\$744.82	\$45.79 / \$45.79	-16.266	4.539
09/11/20	Dist To Owner Earnings	-\$207.84	\$45.79 / \$45.79	-4.539	0.000
12/31/20	Ending balance	[REDACTED]	[REDACTED]		[REDACTED]

About your accounts

Information about your investments

Your responsibilities. Please review this statement carefully to confirm that we have accurately acted on your instructions. If you identify any discrepancies, please immediately notify your financial professional or call us at (800) 421-4225. If you delay in reporting an error, we may be unable to adjust your account.

Rollovers. If you roll over funds into CollegeAmerica or ABLEAmerica, you'll need to give us appropriate documentation from the prior institution showing the earnings portion of the rollover. We must treat the entire rollover as earnings if this information is not provided.

ACTIVITY SUMMARY (All amounts in dollars and cents)

	Credit This Period	Debit This Period	Net This Period	Credit Year-to-Date	Debit Year-to-Date	Net Year-to-Date
Dividends and Interest:	\$0.44	\$0.00	\$0.44	\$0.44	\$0.00	\$0.44
Funds Transferred Bank Deposits	\$0.00	\$18.46	-\$18.46	\$0.00	\$18.46	-\$18.46
Totals						

Transactions by Type of Activity

General Description	Account Type	Description	Quantity	Price	Account Balance	Market (Closing)
Dividends and Interest	Income	Dividend Income	0.44	\$1.00	\$0.44	\$1.00
Funds Transferred Bank Deposits	Transfer	Transfer to Bank	18.46	\$1.00	-\$18.46	\$1.00
Total						



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 Anytown, CA 90210

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 Page 1 of 1

and financial info.
 Social Security
 number, EIN, etc.
 01/14/2020
 01/14/2020
 01/14/2020



January 4, 2020 - January 31, 2020
 IRA FBO: SUZANNA MORRIS

Transactions by Type of Activity (continued)

Date	Activity Type	Description	Quantity	Price	Account Interest	Account Currency
01/14/2020	FIDC Insured Bank Deposits	LIQUID INS DEPOSITS			-18.02	USD
01/14/2020	FIDC Insured Bank Deposits	LIQUID INS DEPOSITS INTEREST REINVESTED			0.44	USD
Total FIDC Insured Bank Deposits						USD
Total Value of all Transactions						USD

The price and quantity displayed may have been rounded.

Income and Expense Summary

Account Name	General Period	Year-to-Date
FIDC Insured Bank Deposits		
Total Income		

DISCLOSURE

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Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the shares of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus on the bank deposit product's disclosure document on hand. You may wish to consult with your broker for additional information. Pursuant to SEC Rule 17c-10(d)(1), confirmation are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, specific guarantees will be provided monthly or quarterly.

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February 1, 2020 - February 29, 2020
 RA\FBO\SUZANNA MORA

Transactions by Type of Activity

Period/ Settlement Date	Activity Type	Description	Quantity	Price	Account Balance	Account Currency
Dividends and Interest						
02/18/20	CASH DIVIDEND RECEIVED	27 SPCS DEERE & CO RD 02/18/20			20.52	USD
02/18/20	DE	LIQUID MGS DEPOSITS INTEREST CREDITED			0.48	USD
02/18/20	FMC INSURED BANK DEPOSITS INTEREST RECEIVED					
02/18/20	FERS02572					
02/18/20	CASH DIVIDEND RECEIVED	32 SPCS PROCTER & GAMBLE CO COM RD 02/18/20			23.87	USD
02/18/20	PG					
Total Dividends and Interest						
						USD
02/11/20	FMC Insured Bank Deposits	LIQUID MGS DEPOSITS			-20.52	USD
02/18/20	US0595997					
02/18/20	FMC INSURED BANK DEPOSITS INTEREST REQUESTED	LIQUID MGS DEPOSITS INTEREST REQUESTED			-0.48	USD
02/18/20	FERS02572					
02/18/20	FMC INSURED BANK DEPOSIT	LIQUID MGS DEPOSITS			-23.87	USD
02/18/20	US0299997					
Total FMC Insured Bank Deposits						
Total Value of Transactions						

The price and quantity displayed may have been adjusted.

Income and Expense Summary

Period	Income	Expense
Equities	44.38	44.38
Fixed Income	0.48	0.48
Other		
Total Income	44.86	44.86

Income and Expense Summary

Income and Expense Summary

Income and Expense Summary

Income and Expense Summary

Income and Expense Summary

Transactions by Proof Activity

Period / Settlement Date	Activity Type	Description	Quantity	Price	Account Interest	Amount / Currency
03/02/20	Dividends and Interest	CASH DIVIDEND RECEIVED	19 SHRS SAUCPER JM CO COM NEW RD 02/14/20 03/02/20			16.72 USD
03/10/20	CASH DIVIDEND RECEIVED	32 SHRS THE SPOTTS MARBLE GRD CO HLDG CO RD 02/25 PD 03/10/20				18.56 USD
03/16/20	INT. INCREASE BANK DEPOSITS INTEREST RECEIVED	DEFIND INC (EQUIS) INTEREST CREDITED				0.35 USD
03/17/20	CASH DIVIDEND RECEIVED	34 SHRS EQUIGAS INC (EQUIS) NEW RD 02/14/20 03/17/20				18.72 USD
Total Dividends and Interest						44.35 USD






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March 1, 2020 - March 31, 2020
 IRA: FBO: SUZANNA MORIA

Transactions by Type of Activity (Continued)

Period Start/End Date	Activity Type	Description	Quantity	Price	Account Interest	Account Currency
	FDIC Insured Bank Deposits					
03/03/20	FDIC INSURED BANK DEPOSIT	LIQUID HAS DEPOSITS				-16.72 USD
	USD999997					
03/11/20	FDIC INSURED BANK DEPOSIT	LIQUID HAS DEPOSITS				-18.56 USD
	USD999997					
03/16/20	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED	LIQUID HAS DEPOSITS INTEREST REINVESTED				-0.35 USD
	FD990573					
	Total FDIC Insured Bank Deposits					
	Total Value of Transactions					

The price and quantity displayed may have been rounded.

Transactions by Type of Activity

Period	Account	Activity Type	Quantity	Price	Account Balance	Account Balance
12/31/2010	Development and Marketing	SPR (SOURCES BANK)				0.01 USD
12/31/2010	Development and Marketing	SPR (SOURCES BANK)				USD



 U.S. DEPARTMENT OF ENERGY

 Office of Energy Efficiency & Renewable Energy

 Energy Research and Development Administration

 Washington, DC 20585

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H. BECK INC.

April 1, 2020 - April 30, 2020
 IRA, FBO SUZANNA MORA

Transactions by Type of Activity (continued)

Period	Description	Quantity	Price	Amount/Amount	Asset Class
04/21/20	MAINTENANCE FEE			45.00	USD
	US2929921			50.00	USD
	Total Fees			-95.00	USD
04/16/20	FIDIC INSURED BANK DEPOSIT			-19.72	USD
	US0399991			-19.72	USD
04/16/20	FIDIC INSURED BANK DEPOSITS INTEREST REINVESTED			-0.01	USD
	PER000571			-0.01	USD
04/21/20	FIDIC INSURED BANK WITHDRAWAL			45.00	USD
	US2929921			45.00	USD
	Total FIDIC Insured Bank Deposits			-19.73	USD
	Total Value of Transactions			-50.01	USD

The prices and quantities displayed may have been rounded.

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May 1, 2020 - May 31, 2020
 IRA FBO SUZANNA MORRA

Transactions by Type of Activity

Period/ Settlement Date	Activity Type	Description	Quantity	Price	Amount Debit	Amount Credit	Amount Currency
Dividends and Interest							
05/08/20	CASH DIVIDEND RECEIVED	27 SHRS DEERE & CO RD 01/21 PD 05/08/20				20.52	USD
05/15/20	CASH DIVIDEND RECEIVED	12 SHRS PROCTER & GAMBLE CO COM RD 04/24 PD 05/05/20				25.30	USD
05/18/20	FIDC INSURED BANK DEPOSITS INTEREST RECEIVED	LIQUID HAS DEPOSITS INTEREST CREDITED				0.01	USD
Total Dividends and Interest							
					50.00	546.83	USD
05/11/20	FIDC INSURED BANK DEPOSITS	LIQUID HAS DEPOSITS				-20.52	USD
05/18/20	FIDC INSURED BANK DEPOSITS INTEREST REINVESTED	LIQUID HAS DEPOSITS INTEREST REINVESTED				-0.01	USD
05/18/20	FIDC INSURED BANK DEPOSIT	LIQUID HAS DEPOSITS				-26.30	USD
Total FIDC Insured Bank Deposits							
Total Value of Transactions							
The prices are reported delayed and may differ from those reported.							

Income and Expense Summary

Category	Amount	Currency
Income	546.83	USD
Expense	-46.83	USD
Total	499.99	USD



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Statement Date	Account Type	Description	Quantity	Price	Account Balance	Account Currency
06/01/20	Dividends and Interest	CASH DIVIDEND RECEIVED	19	SHARS SANDNER AM CO COM NEW RD 06/01/20	1672	USD
06/15/20	CASH	CASH DIVIDEND RECEIVED	32	SHARS THE SCOTTS MANOR CO DO HILLS CO MO 06/15/20	1856	USD
06/15/20	INTEREST	INTEREST RECEIVED	1	LIQUID NS PERCENTS INTEREST EXPDITED	001	USD
06/30/20	INTEREST	INTEREST RECEIVED	34	SHARS PROLOGS INC COM MO 06/30/20	1972	USD
Total Dividends and Interest						1972 USD



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H. BECK INC.

June 1, 2020 - June 30, 2020
 RAFAEL SUZANNAMORA

Transactions by Type of Activity (continued)

Period	Account	Activity Type	Description	Quantity	Price	Account Interest	Market (Buy/Sell)
06/01/20	06/01/20	TRF: INSURED BANK DEPOSIT	LIQUID HAS DEPOSITS				-16.72 USD
06/11/20	06/11/20	TRF: INSURED BANK DEPOSIT	LIQUID HAS DEPOSITS				-18.56 USD
06/16/20	06/16/20	TRF: INSURED BANK DEPOSIT	LIQUID HAS DEPOSITS				-0.01 USD
Total From Insured Bank Deposits							USD
Total Value of Transactions							USD

The prices and quantities displayed may have been rounded.

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FIDIC Inward Bank Deposits

Date: Activity Type: Description:

✓

Description:

Transactions by Type of Activity

Account No.	Account Name	Quantity	Unit	Amount	Account Currency
0100	GENERAL INVESTMENT				
	DISPOSABLE INVESTMENT				
	REVENUE				
	INTEREST				
	DIVIDENDS				
	RENTS				
	ROYALTIES				
	GRANTS				
	DONATIONS				
	OTHER				
	TOTAL				
	TOTAL DISPOSITIONS AND OTHERS				

Bank of India Ltd.
Head Office: 1, Market Street, Madras.
Branches: 1, Market Street, Madras; 1, Market Street, Madras; 1, Market Street, Madras.
Registered Office: 1, Market Street, Madras.
Incorporated in India.
Authorized Capital: Rs. 1000 Crores.
Paid-up Capital: Rs. 200 Crores.
Reserves: Rs. 300 Crores.
Total Assets: Rs. 1000 Crores.
Total Liabilities: Rs. 1000 Crores.
Branches: 1, Market Street, Madras; 1, Market Street, Madras; 1, Market Street, Madras.
Telephone: 1, Market Street, Madras.
Telex: 1, Market Street, Madras.

Total FDIC Insured Bank Deposits
 FDIC Insured Bank Deposits
 07/01/20
 07/01/20
 07/01/20



July 1, 2020 - July 31, 2020
 IRV/FBO/SUZANNA MORRIS

Transactions by Type of Activity (continued)

Period	Date	Activity Type	Description	Quantity	Price	Account Interest	Account Currency	
FDIC Insured Bank Deposits	07/01/20	FDIC INSURED BANK DEPOSIT	LIQUID INS DEPOSITS					
		15089999						
	07/01/20	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED	LIQUID INS DEPOSITS INTEREST REINVESTED					
		REINVESTED						
		REINVESTED						
Total FDIC Insured Bank Deposits							19.72	USD
Total Value of Transactions							0.01	USD

The price and quantity displayed may have been rounded.

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 Banker First, SPIC



August 1, 2020 - August 31, 2020
 HANDED SUZANNA WGBA

Transactions by Type of Activity

Received Postdate Date	Activity Type	Description	Quantity	Price	Account Interest	Amount Deposited
08/18/20	CHALLENGER and STEVENSON	CASH DIVIDEND RECEIVED	27	SHS DEERE & CO RD 06/30 PD 08/10/20		20.52 USD
08/17/20	BEACON	LIQUID INC DEPOSITS INTEREST CREDITED				0.01 USD
08/17/20	BEACON	CASH DIVIDEND RECEIVED	22	SHS PROCTER & GAMBLE CO COM RD 07/24 PD 08/17/20		21.30 USD
Total Deposits and Interest						
08/17/20	TRICAL	TRICAL Investment Bank Deposits				20.52 USD
08/17/20	BEACON	BEACON Investment Bank Deposits				0.01 USD
08/17/20	BEACON	BEACON Investment Bank Deposits				21.30 USD
Total Net Deposits and Interest						
08/17/20	BEACON	BEACON Investment Bank Deposits				41.83 USD
Total Net Deposits and Interest						
Total Net Deposits and Interest						

Income and Expense Summary

Account Name	Balance	Other Activity
Equities		
Other Holdings		

FDIC Insured Bank Deposits (continued)

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Transactions by Type of Activity

Interest Statement Date	Activity Type	Description	Quantity	Price	Adjusted Balance	Quoted Strategy
09/30/20	CASH DIVIDEND RECEIVED	10 SHRS SAUNDERS JN CO COM NEW RD 09/14 PD 09/30/20				17.10 USD
09/10/20	CASH DIVIDEND RECEIVED	22 SHRS THE SCOTT'S IMPACTE ERD CO HLDG CO RD 09/27 PD 09/10/20				178.84 USD
09/16/20	EXCHANGED BANK DEPOSITS INTEREST RECEIVED	LIQUID HS DEPOSITS INTEREST CREDITED				0.01 USD
09/30/20	CASH DIVIDEND RECEIVED	34 SHRS PRODUCE INC COM RD 09/27 PD 09/30/20				0.9972 USD
Total Dividends and Interest						180.9472 USD



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H. BECK INC.
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September 30, 2020 - September 30, 2019
 RAIFOSIZANINA MORA

Transactions by Type of Activity (continued)

Period	Account	Activity Type	Description	Quantity	Price	Account Interest	Amount / Currency
		From Insured Bank Deposits					
09/01/20	62099997	FDC INSURED BANK DEPOSIT	LIQUID MFS DEPOSITS				17.10 USD
09/11/20	62099997	FDC INSURED BANK DEPOSIT	LIQUID MFS DEPOSITS				179.84 USD
09/15/20	62099997	FDC INSURED BANK DEPOSITS INTEREST	LIQUID MFS DEPOSITS INTEREST REINVESTED				4.07 USD
							REINVESTED
							REINVESTED
Total FDC Insured Bank Deposits							190.01 USD
Total Value of Transactions							190.01 USD

Total Value of Transactions
 Total Value of Transactions

H. BECK INC.
 15
 H. BECK INC.
 15

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FIDELITY BANK DEPOSITS

Balance

Transactions By Type of Activity

Period	Account	Description	Debit	Credit	Balance
		Dividends and Interest		1,000	
		SAVINGS DEPOSITS		1,000	
		DEPOSITS INTEREST RECEIVED		1,000	
		RESERVE		1,000	
		Total Dividends and Interest		3,000	

10/1/62

10/1/62

10/1/62

10/1/62

Page 1 of 1

Transactions by Type of Activity

Period/Description	Activity Type	Description	Quantity	Rate	Account Balance	Market Currency
11/09/20	Deposits and Interest	CASH ON HAND RECEIVED				20.52 USD
11/16/20		EDGE INSURED BANK DEPOSITS INTEREST RECEIVED				0.07 USD
11/16/20		EDGE INSURED BANK DEPOSITS INTEREST RECEIVED				28.30 USD
Total Deposits and Interest						

Page 6 of 40

Printed Report Date:
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19
H BECK INC

November 1, 2020 - November 30, 2020
 IRANIBO SUZANNA MORRA

Transactions by Type of Activity (continued)

Report Date	Activity Type	Description	Quantity	Price	Account Interest	Amount Currency
11/17/20	FDIC INSURED BANK DEPOSIT	LIQUID HAS DEPOSITS				-20.52 USD
11/16/20	FDIC INSURED BANK DEPOSIT	LIQUID HAS DEPOSITS INTEREST REAWESTED				-0.07 USD
11/17/20	FDIC INSURED BANK DEPOSIT	LIQUID HAS DEPOSITS				25.30 USD
Total Total Insured Bank Deposits						
Total Value of Transactions						

The price and quantity displayed may have been rounded.

RAVERBO SUZANNA MORRA

RAVERBO SUZANNA MORRA

RAVERBO SUZANNA MORRA

RAVERBO SUZANNA MORRA

Transactions by Type of Activity

General Ledger	Account	Description	Quantity	Price	Amount/Debit	Amount/Credit
Dividends and Interest						
12/30/20	CASH DIVIDEND RECEIVED	9 SHRS SULLINGER INC COM NEW RD TRD PD EXCH 20				17.10 USD
12/30/20	CASH DIVIDEND RECEIVED	22 SHRS SPOTTS ANKLE AND CO CL A RD TRD PD EXCH 20				18.84 USD
12/30/20	PRC INVESTMENT ADVISORS SERVICES / REVENUE	LIQUIDTES DEBITORS INTEREST CREDITED				(0.00) USD
12/30/20	CASH DIVIDEND RECEIVED	41 SHRS PROQUEST INC COM RD TRD PD EXCH 20				18.72 USD
Total Dividends and Interest:						(0.00) USD

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 H. BECK INC

December 1, 2020 - December 31, 2020
 RAIFBO SUZANNAH/ORA

Transactions by Type of Activity (continued)

Period	Activity Type	Description	Quantity	Price	Account Interest	Account Currency
12/02/20	FMC INSURED BANK DEPOSIT	LIQUID MGS DEPOSITS				-17.10 USD
12/11/20	FMC INSURED BANK DEPOSIT	LIQUID MGS DEPOSITS				-19.84 USD
12/16/20	FMC INSURED BANK DEPOSITS INTEREST REINVESTED	LIQUID MGS DEPOSITS INTEREST REINVESTED				-0.01 USD
Total Type Insured Bank Deposits Total Value of Transactions						

Total Value of Transactions may differ from actual amounts reported on the financial statements.

USD
 USD