United States Senate

Financial Disclosures

Annual Report for Calendar 2020

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 06/21/2021 @ 5:41 PM

The f	followina	statements	were chec	ked be	efore filina:

- 🗹 I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an electronic amendment to this report.
- ☐ I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? Yes

#	Who Was Paid	Туре	Who Paid	Amount Paid	Comments
1	Self	Pension	Retirement Systems of Alabama Montgomery, AL	\$43,246.32	n/a
2	Self	Royalties	WB Studio Enterprises Inc. Burbank, CA	\$1,534.49	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? Yes

	Asset	Asset Type	8wner	Value Value	Income Type	Income
1	Hancock Whitney Bank (Santa Rosa Beach, FL) <i>Type:</i> Checking,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
2	Rental Property 1 Description: Condominium (Santa Rosa Beach, FL)	Real Estate Residential	Joint	\$500,001 - \$1,000,000	Rent/Royalties,	\$15,001 - \$50,000
3	Rental Property 2 Description: Condominium (Santa Rosa Beach, FL)	Real Estate Residential	Joint	None (or less than \$1,001)	Rent/Royalties, Capital Gains,	\$100,001 - \$1,000,000
4	Fidelity Joint Brokerage Account	Brokerage/Managed Account	Joint			
4.1	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
4.2	<u>DG</u> - Dollar General Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
4.3	Fidelity (SPAXX) (Boston, MA) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
4.4	BOX - Box, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
4.5	<u>PENN</u> - Penn National Gaming, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
4.6	<u>TDOC</u> - Teladoc Health, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
4.7	<u>TDY</u> - Teledyne Technologies Incorporated	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
5	Prudential Variable Annuity Provider: Prudential	Annuity Variable Annuity	Self			
5.1	AST Preservation Asset Allocation Portfolio	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.2	AST AllianzGI World Trends Portfolio	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

5.3	ASEridelity Institutional AM* Quant	M्रुपुरुष्ट्वित्र Mutual Fund	% ₩ner	\$⁄ֈֈ ըն1 - \$15,000	McOme Type	Nമാന് (er less than \$201)
5.4	AST J.P. Morgan Global Thematic	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
	ACT Duridoutiel Outside	Mutual Funda	Calt	Φ1 001	Nana	Name (ay loop they \$201)
5.5	AST Prudential Growth Allocation	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6	Lincoln IRA	Retirement Plans IRA	Self			
6.1	Fidelity (FDRXX) (Boston, MA) Type: Brokerage Sweep Account,	Bank Deposit	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.2	GOOGL - Alphabet Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.3	AAPL - Apple Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.4	<u>C</u> - Citigroup Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.5	<u>GE</u> - General Electric Company	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.6	NUE - Nucor Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.7	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.8	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.9	<u>UA</u> - Under Armour, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.10	<u>UAA</u> - Under Armour, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.11	<u>VZ</u> - Verizon Communications Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.12	<u>WMT</u> - Walmart Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.13	<u>FSPSX</u> - Fidelity International Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100.000	None,	None (or less than \$201)

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6.14	<u>₱\$₩DX</u> - Fidelity Mid Cap	MateaTym a ds	S Myner	\$⁄90 4 9 01 -	ഗ്ര വ്വാ	l/tଉଙ୍ଘ୍(ଡ r less than \$201)
	Index Fund	Mutual Fund		\$100,000		

6.15	<u>SGIIX</u> - First Eagle Global Fund Class I	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)	
6.16	<u>EIBLX</u> - Eaton Vance Floating - Rate Fund Institutional Cla	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)	
6.17	<u>FTBFX</u> - Fidelity Total Bond Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)	
6.18	<u>PIPNX</u> - PIMCO Income Fund Class I-3	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	None,	None (or less than \$201)	
6.19	<u>PCNNX</u> - PIMCO Investment Grade Credit Bond Fund Class I-3	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)	
6.20	<u>TDIV</u> - First Trust NASDAQ Technology Dividend Index Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)	
6.21	<u>IVV</u> - iShares Core S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)	
6.22	XLP - Consumer Staples Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)	
6.23	XLU - Utilities Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)	
6.24	XLV - Health Care Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)	
6.25	XAR - SPDR S&P Aerospace & Defense ETF	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)	
6.26	<u>VIG</u> - Vanguard Dividend Appreciation Index Fund	Mutual Funds Exchange Traded	Self	\$50,001 - \$100,000	None,	None (or less than \$201)	

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6.27	<u>ଦିଷ୍ଟିପ୍</u> ଟି - Invesco QQQ	Asset Type Mutual Funds	Owner Self	\$15,001 - \$50,000	Income Type None,	Income None (or less than \$201)
	Trust	Exchange Traded Fund/Note		\$50,000		
6.28	<u>EFAV</u> - iShares Edge MSCI Min Vol EAFE ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.29	<u>PFF</u> - iShares Preferred and Income Securities ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.30	<u>VTRS</u> - Viatris Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.31	XLC - Communication Services Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.32	<u>DTD</u> - WisdomTree U.S. Total Dividend Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
7	Lincoln Joint Brokerage Account	Brokerage/Managed Account	Joint			
7.1	Fidelity (SPAXX) (Boston, MA) Type: Brokerage Sweep Account,	Bank Deposit	Joint	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
7.2	<u>ABBV</u> - AbbVie Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.3	<u>ACN</u> - Accenture plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.4	<u>ADBE</u> - Adobe Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.5	<u>AGN</u> - Allergan plc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
7.6	GOOGL - Alphabet Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.7	<u>AWK</u> - American Water Works Company, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

7.8	<u>ABC</u> - ÅmerisourceBergen	Corporate Securities இத ்து ^T ype	Joint Owner	\$15,001 - ¥9 6, 0 00	Dividends, Income Type	\$201 - \$1,000 Income	
	Corporation						

7.9	<u>AMGN</u> - Amgen Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.10	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
7.11	<u>ADM</u> - Archer-Daniels- Midland Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.12	<u>BDX</u> - Becton, Dickinson and Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.13	<u>FUN</u> - Cedar Fair, L.P.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.14	<u>CELG</u> - Celgene Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
7.15	<u>CTAS</u> - Cintas Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.16	<u>CSX</u> - CSX Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.17	<u>CVS</u> - CVS Health Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.18	<u>STZ</u> - Constellation Brands, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.19	<u>DEO</u> - Diageo plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.20	<u>DIS</u> - The Walt Disney Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.21	<u>D</u> - Dominion Energy, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.22	<u>DOW</u> - Dow Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.23	<u>DUK</u> - Duke Energy Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.24	XOM - Exxon Mobil senate.gov/search/view/annual/17abcf53-316c-4a	Corporate Securities	Joint	None (or less	Dividends,	\$201 - \$1,000

	Corporation	Stock Asset Type	Owner	than \$1,001) Value	Income Type	Income
7.25	<u>FDX</u> - FedEx Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

7.26	<u>GD</u> - General Dynamics Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.27	<u>GILD</u> - Gilead Sciences, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.28	<u>GSBD</u> - Goldman Sachs BDC, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
7.29	<u>GS</u> - The Goldman Sachs Group, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.30	<u>HON</u> - Honeywell International Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.31	<u>JD</u> - JD.com, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
7.32	<u>JNJ</u> - Johnson & Johnson	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.33	<u>JPM</u> - JPMorgan Chase & Co.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.34	<u>KMB</u> - Kimberly-Clark Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.35	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.36	<u>LMT</u> - Lockheed Martin Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.37	<u>MA</u> - Mastercard Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.38	MCD - McDonald's Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.39	MDT - Medtronic plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.40	MSFT - Microsoft Corporation	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500

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7.59		Stasek Type	Owner	tVhaalon.e\$1,001)	Income Type	Income
	<u>VZ</u> - Verizon Communications Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.60	<u>WMT</u> - Walmart Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.61	XEL - Xcel Energy Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.62	ALABAMA PUB HSG AUTHS CAP PROGRAM REVENUE BOND (01039WAZ5) Rate/Coupon: 4.45% Matures: 01/01/2024	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
7.63	ALABAMA STATE UNIV REV GEN TUITION FEE REVENUE BOND (010632PC5) Rate/Coupon: 4.5% Matures: 09/01/2024	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
7.64	BIRMINGHAM ALA GO WTS SER (09088RJ31) Rate/Coupon: 5% Matures: 12/01/2043	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
7.65	NEW YORK NY GO BDS (64966MHL6) Rate/Coupon: 5.0% Matures: 12/01/2041	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
7.66	NPFCX - American Funds New Perspective Fund Class C	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
7.67	FGSCX - Federated MDT Mid Cap Growth Fund Class C Shares	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000
7.68	ANACX - AB Global Bond Fund Class C	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000

eFD: Annual Report for 2020 - Tuberville, Thomas H

<u>JHCBX</u> - John Hancock Mutual Funds Joint \$50,001 - Excepted \$1,001 - \$2,500 **Bestel Fund Class C Ms.tetaTyfoe**nd Owner \$**/மு**\$000 **Imcestre Ty**foe Income Fund,

9	Fifth Third Bank	Bank Deposit	Joint	\$50,001 -	Interest,	None (or less than \$201)
8	Southern States Bank (Auburn, AL) <i>Type:</i> Checking, Money Market Account,	Bank Deposit	Joint	\$500,001 - \$1,000,000	Interest,	\$201 - \$1,000
7.80	XLC - Communication Services Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.79	CLOU - Global X Cloud Computing ETF Global X Cloud Comput	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.78	<u>ABQCX</u> - AB Total Return Bond Portfolio Class C	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
7.77	<u>VTRS</u> - Viatris Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
7.76	<u>RTX</u> - Raytheon Technologies Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
7.75	<u>DGX</u> - Quest Diagnostics Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.74	<u>OTIS</u> - Otis Worldwide Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.73	<u>CTVA</u> - Corteva, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.72	<u>BMY</u> - Bristol-Myers Squibb Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.71	<u>CARR</u> - Carrier Global Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.70	<u>PONCX</u> - PIMCO Income Fund Class C	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000

(Cincinnati, OH) \$100,000 Type: Checking, Savings, Asset Type Owner Value Income Type Income

10	Alabama Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	Other, (Pension Payment)	Other \$43,246.32
11	University of Miami Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None,	None (or less than \$201)
12	Disney SIP	Retirement Plans IRA	Self			
12.1	BTC LifePath Ret F	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13	LPL Financial Joint Brokerage Account	Brokerage/Managed Account	Joint			
13.1	ADBE - Adobe Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.2	<u>BABA</u> - Alibaba Group Holding Limited	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.3	<u>GOOG</u> - Alphabet Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.4	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
13.5	<u>ANET</u> - Arista Networks, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.6	<u>ASML</u> - ASML Holding N.V.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.7	<u>ADP</u> - Automatic Data Processing, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.8	<u>BRK-B</u> - Berkshire Hathaway Inc.	Corporate Securities Stock	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
13.9	<u>BFB</u> - Brown-Forman Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.10	KO - The Coca-Cola	Corporate Securities	Joint	\$1,001 -	Dividends,	None (or less than \$201)

	Company	Stock		\$15,000		
13.11	<u>ĈĈŃX</u> - Cognex	Asset Type Corporate Securities	Syner	Value None (or less	Income Type Dividends,	\$1,001°- \$2,500
	Corporation	Stock		than \$1,001)	Capital Gains,	

13.12	<u>CSX</u> - CSX Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.13	<u>EW</u> - Edwards Lifesciences Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.14	<u>EA</u> - Electronic Arts Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.15	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.16	<u>FB</u> - Facebook, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.17	<u>FDS</u> - FactSet Research Systems Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.18	<u>FLT</u> - FLEETCOR Technologies, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.19	<u>FTNT</u> - Fortinet, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.20	<u>FOXA</u> - Fox Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
13.21	<u>GRMN</u> - Garmin Ltd.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.22	<u>GNTX</u> - Gentex Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.23	<u>GGG</u> - Graco Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
13.24	HEI - HEICO Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
13.25	<u>IEX</u> - IDEX Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
13.26	<u>ITW</u> - Illinois Tool Works Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.27	INTC - Intel Corporation	Corporate Securities	Joint	\$1,001 -	Dividends,	None (or less than \$201)

	Accet	Stock	Owner	\$15,000	Income Type	Incomo	
13.28	Asset <u>INTU</u> - Intuit Inc.	Asset Type Corporate Securities	Owner Joint	Value \$1,001 -	Income Type Dividends,	Income None (or less than \$201)	
		Stock		\$15,000			

13.29	<u>JKHY</u> - Jack Henry & Associates, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.30	<u>JNJ</u> - Johnson & Johnson	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.31	KLAC - KLA Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
13.32	<u>LRCX</u> - Lam Research Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.33	<u>LULU</u> - Lululemon Athletica Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
13.34	<u>MKTX</u> - MarketAxess Holdings Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.35	<u>MAR</u> - Marriott International, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
13.36	<u>MA</u> - Mastercard Incorporated	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.37	<u>MXIM</u> - Maxim Integrated Products, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.38	MRK - Merck & Co., Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.39	MTD - Mettler-Toledo International Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.40	<u>MSFT</u> - Microsoft Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.41	<u>MNST</u> - Monster Beverage Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.42	MCO - Moody's Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.43	<u>NVDA</u> - NVIDIA Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

13.46	TROW - T. Rowe Price Group, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.47	RMD - ResMed Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.48	SPGI - S&P Global Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.49	<u>TXN</u> - Texas Instruments Incorporated	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.50	<u>UI</u> - Ubiquiti Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.51	<u>VEEV</u> - Veeva Systems Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.52	<u>VRSK</u> - Verisk Analytics, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.53	<u>V</u> - Visa Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.54	<u>VMW</u> - VMware, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.55	XLNX - Xilinx, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.56	ZTS - Zoetis Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.57	<u>BBN</u> - BlackRock Taxable Municipal Bond Trust	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
13.58	<u>DSL</u> - DoubleLine Income Solutions Fund	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
13.59	<u>EVT</u> - Eaton Vance Tax- Advantaged Dividend Income Fund	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
12 AN	DTV - DIMCO Corporate enate gov/search/view/annual/17abcf53-316c-4	Mutual Funde	loint	None for less	Fycanted	\$201 - \$1 000

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Fund

eFD: Annual Report for 2020 - Tuberville, Thomas H

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than \$1,001) Investment Fund, Income

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13.61	<u>PSDYX</u> - Putnam Ultra Short Duration Income Fund Class Y	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
13.62	NVDA - NVIDIA Corporation Strike price: \$240.00 Put Expires: 09/18/2020	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
13.63	<u>AA</u> - Alcoa Corporation	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
13.64	<u>AON</u> - Aon plc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.65	<u>AMAT</u> - Applied Materials, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.66	<u>APAM</u> - Artisan Partners Asset Management Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.67	<u>GOLD</u> - Barrick Gold Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
13.68	<u>AA</u> - Alcoa Corporation Strike price: \$25.00 Call Expires: 04/16/21	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.69	GOLD - Barrick Gold Corporation Strike price: \$30.00 Call Expires: 01/21/22	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.70	<u>FCX</u> - Freeport- McMoRan Inc. Strike price: \$19.00 Call Expires: 05/21/21	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
13.71	<u>AMAT</u> - Applied Materials, Inc. Strike price: \$60.00 Put Expires: 07/17/20	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
10 70	CD Conodion Docific	Cornorata Conurition	laint	¢1 ∩∩1	Dividondo	Nana (ar loss than \$201)

13.75	CL - Colgate-Palmolive Company CPRT - Copart, Inc.	Stock Corporate Securities	Joint	\$15,000 \$1,001 -	Dividends, None,	None (or less than \$201) None (or less than \$201)
	· ,	Stock		\$15,000	,	
13.76	<u>DG</u> - Dollar General Corporation	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
13.77	EBAY - eBay Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.78	<u>FAST</u> - Fastenal Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.79	<u>FCX</u> - Freeport- McMoRan Inc.	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
13.80	<u>HON</u> - Honeywell International Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.81	<u>INFY</u> - Infosys Limited	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.82	NTES - NetEase, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.83	NDSN - Nordson Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.84	NVO - Novo Nordisk A/S	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.85	<u>SCCO</u> - Southern Copper Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.86	<u>TDY</u> - Teledyne Technologies Incorporated	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
13.87	<u>UNP</u> - Union Pacific Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.88	<u>WY</u> - Weyerhaeuser Company	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)

13.89	₩₹ Wipro Limited	Gegger a taSecurities Stock	Wint er	\$¼ <u>የ</u> Q1 - \$15,000	McCome Type	Never less than \$201)
13.90	FDSWX - AIG Focused Dividend Strategy Fund Class W	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.91	SDOG - ALPS Sector Dividend Dogs ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
13.92	ONEQ - Fidelity Nasdaq Composite Index ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
13.93	LPL Financial (Fort Mill, SC) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Joint	\$100,001 - \$250,000	Interest,	None (or less than \$201)
13.94	<u>ADBE</u> - Adobe Inc. Strike price: \$410.00 Put Expires: 03/19/21	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.95	<u>AA</u> - Alcoa Corporation Strike price: \$22.00 Put Expires: 04/16/21	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.96	BABA - Alibaba Group Holding Limited Strike price: \$250.00 Put Expires: 07/16/21	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
13.97	GOLD - Barrick Gold Corporation Strike price: \$22.00 Put Expires: 01/21/22	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.98	<u>EA</u> - Electronic Arts Inc. Strike price: \$115.00 Put Expires: 06/18/21	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.99	FCX - Freeport- McMoRan Inc. Strike price: \$15.00 Put Expires: 05/21/21	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)

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13.100	MSFT - Microsoft Corporation Strike price: \$185.00 Put Expires: 04/16/21	சுதை ர்ந்∤≱Securities Stock Option	Øwn er	\$ ⁄ ክ ዕ ው1 - \$15,000	M തയ്നെ Type	Nതയ് (er less than \$201)
13.101	MSFT - Microsoft Corporation Strike price: \$205.00 Put Expires: 07/16/21	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.102	<u>VEEV</u> - Veeva Systems Inc. Strike price: \$240.00 Put Expires: 03/19/21	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.103	<u>INTC</u> - Intel Corporation Strike price: \$47.00 Put Expires: 01/15/21	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
13.104	NVDA - NVIDIA Corporation Strike price: \$270.00 Put Expires: 12/18/20	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
13.105	QQQ - Invesco QQQ Trust Strike price: \$233.00 Put Expires: 12/18/20	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
13.106	TXN - Texas Instruments Incorporated Strike price: \$125.00 Put Expires: 01/15/21	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
14	McVean Trading Brokerage Account	Brokerage/Managed Account	Self			
14.1	Put - April 2020 Feeder Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
14.2	April 2020 Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
14.3	March 2020 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
14.4	May 2020 Feeder Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500

14.5	Çall October 2020 Cattle	Commodities/Futures Asset Type Contract	Selfner	None (or less than \$1,001)	Gapital Gains,	\$1,001e \$2,500
14.6	August 2020 Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
14.7	McVean Trading & Investments, LLC (Memphis, TN) Type: Brokerage Sweep Account,	Bank Deposit	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
14.8	October 2020 Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
14.9	December 2020 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
14.10	November 2020 CBT Soybeans	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
14.11	December 2020 Soybean Meal	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
14.12	Call March 2021 Soybean Oil	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
14.13	March 2021 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
14.14	Call May 2021 Soybean Oil - Exp 04/23/21	Commodities/Futures Contract	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
14.15	May 2021 Feeder Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
14.16	May 2021 CBT Soybeans	Commodities/Futures Contract	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
15	Tiger Farms, LLC Company: Tiger Farms, LLC (Auburn, Alabama) Description: Real property holding company	Business Entity Limited Liability Company (LLC)	Joint			
15.1	Unimproved land <i>Description:</i> Unimproved land (Dadeville, Alabama)	Real Estate Unimproved Land	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **No**

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? No

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel? No

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Туре	Points	Rate (Term)	Amount	Creditor	Comments
1	2020	Spouse	Revolving Charge	-	15.24% (NA)	\$10,001 - \$15,000	American Express New York, NY	n/a
2	2020	Self	Revolving Charge	-	15.74% (NA)	\$10,001 - \$15,000	American Express New York, NY	n/a
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Part 8. Positions

Did you hold any reportable outside positions during the reporting period? Yes

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2015 to present	Other (Chairman of the Board)	Tommy Tuberville Foundation Auburn, AL	Nonprofit Organization	n/a
2	Feb 2005 to present	Other (Member)	Tiger Farms, LLC Auburn, Alabama	Company	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? Yes

#	Date	Parties Involved	Туре	Status and Terms	Comments
1	Dec 1998	Retirement Systems of Alabama Birmingham, AL	Continuing participation in an employee benefit plan	Pension plan from which the candidate is currently receiving monthly payments.	n/a
2	Jan 1986	University of Miami Miami, FL	Continuing participation in an employee benefit plan	Pension plan from which the candidate is not yet receiving payments or distributions.	n/a
3	Jul 2017	Walt Disney Company Burbank, CA	Continuing participation in an employee benefit plan	401k profit-sharing plan from which the candidate is not currently receiving income	n/a
4	Nov	WB Studio	Royalty Agreement	Agreement to receive continuing	n/a

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royalties from movie appearance in

The Blind Side

Status and Terms

BHANDARDK, CA Type Date

Enterprises

Parties

Comments

Part 10. Compensation

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Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? This is not my first report.

Attachments & Comments

No attachments added. No comments added.