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12 SUPERIOR COURT OF CALIFORNIA

13 COUNTY OF SANTA CLARA

14 TIA POPE HUDSON, et al.,

15 Plaintiffs,

16 vs.

17 SPECTRA-PHYSICS, INC.; NEWPORT  
18 CORPORATION as successor-in-interest to  
19 Spectra-Physics, Inc.; and DOES 1 through  
20 100, inclusive,

21 Defendants.

CASE NO. 110-CV-188516

**MEMORANDUM SUPPORTING  
DEFENDANTS' MOTION FOR  
SUMMARY JUDGMENT - NO  
MEDICAL CAUSATION**

Accompanying Documents:

- 1) Notice of Motion & Motion
- 2) Separate Statement of Undisputed Material Facts
- 3) Request for Judicial Notice
- 4) Evidence, including Declarations of Enns, Fischman, Fryzek, Kelman, Robbins & Willenburg

Date: June 6, 2013

Time: 9:00 a.m.

Dept.: 8

Judge: Hon. Peter Kirwan

Trial Date: July 10, 2013

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## INTRODUCTION

1  
2  
3 “The law is well settled that in a personal injury action causation must be proven  
4 within a reasonable medical probability based upon competent expert testimony.” (*Jones*  
5 *v. Ortho Pharm. Corp.* (1985) 163 Cal.App.3d 396, 402.) Plaintiffs have no “competent  
6 expert testimony” showing that any of the chemicals to which they claim Ms. Flores was  
7 exposed at Spectra -Physics, Inc. (“Spectra”) caused injury to either her or her son Mark.<sup>1</sup>  
8 The undisputed facts establish that: (1) there is no known link between Ms. Flores’ blood  
9 disorder, essential thrombocythemia, and exposure to chemicals; and (2) there is no  
10 known link between Mark’s condition and exposure to the chemicals at issue here, even  
11 at much higher levels of exposure than the evidence can support on this record

12 Plaintiffs lack evidence of “general causation,” that the chemicals of which they  
13 complain can cause the medical issues complained of. For example, according to the  
14 medical literature, Ms. Flores’s blood disorder is not caused by chemical exposure. Her  
15 other medical complaints – headaches, etc. – are either subject to too many possible  
16 causes to single out any one, or are so far removed in time from her exposure that they  
17 simply cannot be attributed to chemical exposure. Mark’s conditions are also not known  
18 to be caused by chemical exposure. Many are the exact *opposite* of what the medical  
19 literature, including that relied on by Plaintiffs in discovery responses, says would be the  
20 result of chemical exposure. His condition is, more likely than not, the result of genetic or  
21 other factors.

22 Plaintiffs also lack evidence of “specific causation,” meaning that even if any of  
23 the substances could cause any of the health problems Plaintiffs have, there is no  
24 evidence that Ms. Flores inhaled, ingested or otherwise absorbed a dose known to be  
25 sufficient to trigger that adverse effect.

26 Plaintiffs’ argument will instead likely be some variation on “Ms. Flores was

27 <sup>1</sup> Because of the shared family name, this brief sometimes uses the parties’ first names.  
28 No disrespect is intended. (See *Maughan v. Correia* (2012) 210 Cal.App.4th 507, 509  
n.2.)

1 exposed to these chemicals in some amount we cannot quantify, and sometimes these  
2 chemicals may cause problems, so the chemicals must have caused the problems  
3 Plaintiffs have.” Even if Plaintiffs’ experts attempt this logical leap, their testimony  
4 would be inadmissible and insufficient to create a triable issue of fact on causation due to  
5 an evidentiary gap that cannot be filled: the lack of any scientific or evidentiary basis for  
6 such testimony. Accordingly, the Court should grant summary judgment.

7  
8 **II**

9 **FACTS**

10 Plaintiffs claim Ms. Flores was exposed to chemicals when she worked at Spectra  
11 in the late 1970s that caused injuries to her and her then-in-utero son Mark. She was  
12 diagnosed with a blood disorder in 2005, which Plaintiffs claim is an aggravation of what  
13 Plaintiffs call her “systemic chemical poisoning” at Spectra.

14 Defendants agree that chemicals were used at Spectra, but dispute that any of them  
15 caused Plaintiffs’ conditions.

16 First and foremost, the epidemiology and other medical literature contradicts  
17 Plaintiffs’ position. Plaintiffs have no scientific evidence that exposure to these chemicals  
18 at any level would cause “systemic chemical poisoning,” or cause Yvette’s blood  
19 disorders [much less 30 years after exposure], or cause in utero developmental  
20 disabilities. “Essential thrombocythemia, also known as essential thrombocytosis, is a  
21 condition of unknown etiology (cause) . . . . There are no recognized chemical causes of  
22 essential thrombocythemia.” (EV 23<sup>2</sup>, Fischman Dec., ¶ 11.) “[N]o chemicals of any type  
23 have been shown to be capable of causing ET.” (*Ibid.*) Her own doctor does not believe  
24 that Yvette’s current blood condition is related to chemical exposure. (EV 111-115, 1  
25 Levitt Transcript, 18 [“toxin exposures are not classically associated with the etiology of  
26 these . . . diseases”], 24-25 [“these mutations are not believed to be caused by toxin or

27 <sup>2</sup> “EV” plus a number refers to the stamped page number of the evidence collected in the  
28 EVIDENCE SUPPORTING DEFENDANTS’ MOTION FOR SUMMARY JUDGMENT – NO MEDICAL CAUSATION – AND INDEX THERE TO filed contemporaneously herewith.

1 chemical exposure”], 38-39.)

2 Similarly, neither lead nor the other chemicals at issue here are recognized in the  
3 relevant medical literature as causing Mark’s conditions. (EV 30-31, Fischman Dec., ¶¶  
4 24-25; EV 43-45, Kelman Dec., ¶¶ 9-10, 14-16.) Although “prenatal exposure to lead  
5 may cause deficits in cognitive development . . . reported deficits have been relatively  
6 mild and do not persist; exposed children do not show noticeably different cognition by  
7 the time they reach school age. There are no data to support the contention that lead  
8 exposure in utero causes the degree of mental impairment observed in Mark Flores.” (EV  
9 6, Enns Dec., ¶ 12.)

10 Mark’s conditions are in fact the exact opposite of what would be expected from  
11 lead exposure. “[I]f significant lead exposure in utero were to have occurred, growth  
12 retardation (not macrosomia) and microcephaly (not macrocephaly) would have been the  
13 likely consequences.” (EV 6, Enns Dec., ¶ 12; see also EV 47, Kelman Dec., ¶ 21.b  
14 [Mark’s conditions the opposite of typical exposure symptoms].) Mark’s head and body  
15 are large, not small.

16 This point is conceded by Plaintiffs in their discovery responses, where they  
17 assert: “[A] detailed picture of lead’s effect on human growth and development had been  
18 formed by the middle of the twentieth century . . . . ‘It is generally agreed that if  
19 pregnancy does occur it is frequently characterized by miscarriage, intrauterine death of  
20 the fetus, premature birth and, if living children are born, they are usually smaller,  
21 weaker, slower in development and have a higher infant mortality.’” (EV 89, 90-100;  
22 Responses to Special Interrogatories, Set Two (Mark), Nos. 2, 4-7.) This does not  
23 describe Mark. He was not miscarried; he was born late, not early; he did not die; he is  
24 not small or weak. Plaintiffs’ own authorities point away from the causation Plaintiffs  
25 claim.

26 The medical literature does not associate any of the other alleged chemical  
27 exposures with Mark’s conditions. “For the remainder of the substances, mixed or poorly  
28 documented exposures (or no data on exposures at all) do not allow any of these

1 substances to be considered a human reproductive hazard. Environmental chemical  
2 exposure is common. Indeed, 99-100% of women in the United States have evidence of  
3 exposure to multiple combinations of chemicals . . . in pregnancy. There is not a reported  
4 association between any of these alleged exposures with Mark Flores' features." (EV 6,  
5 Enns Dec., ¶ 13.)

6 In short, Plaintiffs' claim that "alleged exposure to numerous chemicals in utero . .  
7 . caused the observed mental retardation in Mark Flores . . . has no basis in medical  
8 fact." (EV 8, Enns Dec., ¶ 8.) Instead, "Mark Flores' constellation of features likely  
9 reflects a developmental or genetic etiology." (*Ibid.*) "His clinical features are unrelated  
10 to any alleged in utero exposures." (EV 6-7, *Id.*, ¶ 14.)

11 Plaintiffs have no evidence of the level of Ms. Flores' exposure to any of the  
12 chemicals of which they complain. Without such evidence, it is impossible to  
13 demonstrate that the "dose-response" relationship is sufficient to cause any particular  
14 malady, including those of which Plaintiffs complain. (EV 68-69, Robbins Dec., ¶¶ 4, 7-  
15 8; EV 42, Kelman Dec., ¶ 8.)

16 Given that there are no "data showing that Mark Flores had in utero exposure to  
17 lead, methanol, beryllium, and volatile degreasing solvents . . . the claimed exposure of  
18 Mrs. Flores cannot be regarded as a substantial contributing factor to Mark Flores'  
19 developmental deficits" and other problems. (EV 40-41, 47-48, Kelman Dec., ¶¶ 5, 21-  
20 22; see also EV 6, Enns Dec., ¶ 13.)

21 Any expert opinion Plaintiffs might offer on the issue of causation will, in light of  
22 the above, founder and likely be so unreliable as to be inadmissible.

### 23 III

### 24 ARGUMENT

25 **A. A defendant is entitled to summary judgment upon showing the nonexistence**  
26 **of a triable issue, and need not "conclusively negate" plaintiff's claims.**

27 The Court must grant summary judgment where, as here, there is no triable issue  
28 of material fact. A "motion for summary judgment *shall be granted* if all the papers



1 submitted show that there is no triable issue as to any material fact and that the moving  
2 party is entitled to a judgment as a matter of law.” (Code Civ. Proc., § 437c, subd. (c),  
3 emphasis added.)

4 “A defendant . . . has met [this] burden of showing that a cause of action has no  
5 merit if that party has shown that one or more elements of the cause of action . . . cannot  
6 be established, or that there is a complete defense to that cause of action.” (Code Civ.  
7 Proc., § 437c, subd. (p)(2).) “[T]he party moving for summary judgment bears an initial  
8 burden of production to make a prima facie showing of the nonexistence of any triable  
9 issue of material fact; if he carries his burden of production, he causes a shift, and the  
10 opposing party is then subjected to a burden of production of his own to make a prima  
11 facie showing of the existence of a triable issue of material fact.” (*Aguilar v. Atlantic*  
12 *Richfield Co.* (2001) 25 Cal.4th 826, 845.)

13 A moving defendant is not required “to conclusively negate an element of  
14 plaintiff’s cause of action” – i.e., prove the negative - in order to shift the burden.  
15 (*Aguilar*, 25 Cal.4th at 853.) The defendant need only show that the plaintiff “does not  
16 possess” and “cannot reasonably obtain” needed evidence, “that the plaintiff cannot  
17 establish at least one element of the cause of action -- for example, that the plaintiff  
18 cannot prove element X.” (*Id.* at 853, 854.) That a plaintiff “cannot reasonably obtain”  
19 the “needed evidence” is shown where, as here, “plaintiff [is] allowed a reasonable  
20 opportunity to oppose the motion” and still does not present needed evidence. (*Ibid.*) This  
21 is also demonstrated by “admissions by the plaintiff following . . . discovery to the effect  
22 that he has discovered nothing.” (*Ibid.*)

23 Where there are “evidentiary gaps” in plaintiffs’ case, summary judgment is  
24 proper. (*Doe v. Salesian Society* (2008) 159 Cal.App.4th 474, 481.) “Filling in these gaps  
25 requires [the court] to speculate about the basis for the [contentions] asserted by  
26 [plaintiffs]. Such speculation is impermissible, however, and is grounds for granting  
27 summary judgment.” (*Ibid.*)

28 “The purpose of summary judgment is to separate those cases in which there are

1 *material* issues of fact meriting a trial from those in which there are no such issues. . . .  
2 For this purpose, responsive evidence that gives rise to no more than mere speculation  
3 cannot be regarded as substantial, and is insufficient to establish a triable issue of  
4 material fact.” (*Barker v. Hennessy Industries, Inc.* (2012) 206 Cal.App.4th 140, 146,  
5 citations omitted, emphasis in original.)

6 **B. A plaintiff must have evidence that the alleged toxins caused the alleged**  
7 **injuries, based on valid expert testimony.**

8 1. **Plaintiffs must have evidence, amounting to more than mere**  
9 **possibility, (1) of specific alleged toxins that allegedly caused the injury,**  
10 **(2) that as a result of the exposure, the toxins entered the body, and (3)**  
11 **that each toxin that entered plaintiff’s body was a substantial factor in**  
12 **causing a specific malady.**

13 “The law is well settled that in a personal injury action causation must be proven  
14 within a reasonable medical probability based upon competent expert testimony.” (*Jones*  
15 *v. Ortho Pharm. Corp.* (1985) 163 Cal.App.3d 396, 402, citations omitted [granting  
16 nonsuit for failure to prove causation], quoted and applied in *Miranda v. Bomel*  
17 *Construction Co., Inc.* (2010) 187 Cal.App.4th 1326, 1336 [affirming summary judgment  
18 on same basis]; *Bromme v. Pavitt* (1992) 5 Cal.App.4th 1487 [partial nonsuit affirmed for  
19 failure to demonstrate causation in medical negligence case].) “Mere possibility alone is  
20 insufficient to establish a prima facie case.” (*Ibid*; accord, *Cottle v. Superior Court*  
21 (*Oxnard Shores Co.*) (1992) 3 Cal.App.4th 1367 [excluding as inadequate expert  
22 testimony on causation that plaintiffs’ medical conditions “could possibly” be due to  
23 chemical exposure; trial court held it would have granted nonsuit].)

24 In a “toxic tort” or chemical exposure case, this means that plaintiffs must prove  
25 which specific products and chemicals caused which specific harm or injury. (*Bockrath v.*  
26 *Aldrich Chemical Co.* (1999) 21 Cal.4th 71.) “[W]hen the pleaded facts do not naturally  
27 give rise to an inference of causation, the plaintiff must plead specific facts affording an  
28 inference the one caused the other.” (*Id.* at 79, quoting *Christensen v. Superior Court*  
(1991) 54 Cal.3d 868, 900-901.)

*Bockrath* requires that a toxic tort plaintiff must, at a minimum, plead all of the

1 following:

2           “(1) Plaintiff must allege that he was exposed to each of the toxic materials  
3 claimed to have caused a specific illness.

4           (2) He must identify each product [or alleged toxin] that allegedly caused  
5 the injury.

6           (3) He must allege that as a result of the exposure, the toxins entered his  
7 body.

8           (4) He must allege that he suffers from a specific illness, and that each  
9 toxin that entered his body was a substantial factor in bringing about, prolonging,  
10 or aggravating that illness.”

11 (21 Cal.4th at 80; cf. *Setliff v. E.I. Du Pont de Nemours & Co.* (1995) 32 Cal.App.4th  
12 1525, 1529 [sustaining order granting defendants’ motion for judgment on the pleadings  
13 because “plaintiff’s inability to identify the substance that caused his injuries render[ed]  
14 his complaint fatally defective”].) Of course, if failure to plead such matters is fatal, so  
15 too is failure to have any evidence to prove them.

16           **2. Plaintiffs must also have evidence of the amount of an alleged toxin**  
17 **necessary to cause their specific illness, and that they were exposed to**  
**that “threshold amount” or more of the toxin.**

18           As Paracelsus, the “father of toxicology,” famously pronounced: “The dose makes  
19 the poison.” (Eaton, *Scientific Judgment and Toxic Torts – A Primer in Toxicology for*  
20 *Judges and Lawyers* (2003) 12 J.L. & Policy 5, 11.) Even if Plaintiffs were able to come  
21 up with evidence that, as a general matter, exposure to the chemicals alleged could result  
22 in the medical conditions complained of, that would not be enough to defeat summary  
23 judgment. Plaintiffs would also have to have evidence that the exposures rose to a level  
24 scientifically accepted as sufficient to trigger that result. (EV 68-69, 71 Robbins Decl., ¶¶  
25 4, 7-8, 13.)

26           “Scientific knowledge of the harmful level of exposure to a chemical, plus  
27 knowledge that the plaintiff was exposed to such quantities, are minimal facts necessary  
28 to sustain the plaintiff’s burden in a toxic tort case.” (*Allen v. Penn. Eng’g. Corp.* (5th

1 Cir. 1996) 102 F.3d 194, 198.) “It is not adequate to simply establish that ‘some’  
2 exposure occurred. Because most chemically induced adverse health effects clearly  
3 demonstrate ‘thresholds,’ there must be reasonable evidence that the exposure was of  
4 sufficient magnitude to exceed the threshold before a likelihood of ‘causation’ can be  
5 inferred.” (*Borg-Warner Corp. v. Flores* (Tex. 2007) 232 S.W.3d 765, 773, citing  
6 *Rutherford v. Owens-Illinois, Inc.* (1997) 16 Cal.4th 953.) If the dose does not exceed this  
7 threshold, then the product at issue cannot be a cause of harm, and cannot be a danger  
8 requiring warning.

9 The California Supreme Court has set forth a two-part test for causation in toxic  
10 tort actions:

11 [T]he plaintiff *must first establish some threshold exposure* to the [toxin for  
12 which defendant is responsible], *and must further establish* in reasonable  
13 medical probability that a particular exposure or series of exposures was a  
‘legal cause’ of his injury, i.e., a substantial factor in bringing about the  
injury.

14 (*Rutherford v. Owens-Illinois, Inc.* (1997) 16 Cal.4th 953, 982 [emphasis partially in  
15 original; footnote omitted].) To be substantial, the exposure’s effect must be more than  
16 “negligible or theoretical.” (*Id.* at 978.) A “substantial factor” necessary to prove  
17 causation must be truly substantial, not just “minor . . . negligible, theoretical, or  
18 infinitesimal,” or a “mere possibility.” (*Bockrath*, 21 Cal.4th at 79.)

19 Plaintiffs’ arguments and evidence in opposition to this motion will likely focus on  
20 part of the first step, the question of whether Yvette or Mark was exposed to chemicals.  
21 But the Supreme Court did not say “any” exposure would suffice. Instead, it said a  
22 “threshold exposure” is required. Establishing an adequate “threshold” requires a  
23 determination of what “dose makes the poison.” Further, the Supreme Court requires a  
24 second step: “evaluat[ing] whether the exposure was a substantial factor,” i.e. more than a  
25 negligible or theoretical factor, in causing the plaintiff’s disease. (*Rutherford*, 16 Cal.4th  
26 at 982, citation omitted.) Arguing that any exposure is unsafe would ignore this second  
27 step and would impose liability even where exposure was not a “substantial factor,” or  
28 indeed any factor at all.

1           The Texas Supreme Court relied on *Rutherford* in holding that a plaintiff must, to  
2 establish causation, prove that the dose of exposure from a particular product exceeds a  
3 threshold level medically sufficient to cause disease. (*Borg-Warner Corp. v. Flores* (Tex.  
4 2007) 232 S.W.3d 765, 773.) If the dose does not exceed this threshold, then the product  
5 at issue cannot be a cause of harm, and cannot be a danger requiring warning. Because  
6 the *Borg-Warner* plaintiff could demonstrate only that he was exposed to “some”  
7 asbestos fibers from Borg-Warner brake pads, but could not quantify the dose, the Texas  
8 Supreme Court reversed a jury verdict and awarded judgment to Borg-Warner. “[T]here  
9 must be reasonable evidence that the exposure was of sufficient magnitude to exceed the  
10 threshold before a likelihood of ‘causation’ can be inferred.” (*Id.* at 773, citations  
11 omitted; see also *Gregg v. V. J. Auto Parts, Inc.* (Pa. 2007) 596 Pa. 274, 291 [experts’  
12 “generalized opinions” that “any exposure . . . , no matter how minimal, is a substantial  
13 contributing factor to . . . disease . . . do not suffice to create a jury question”].)

14           The *Borg-Warner* court’s formulation is completely consistent with California  
15 law. Indeed, *Rutherford* is cited no fewer than three times in the *Borg-Warner* opinion.  
16 (*Id.* at 772-773.) Like *Borg-Warner*, *Rutherford* recognized that a factor could not be  
17 considered “substantial” unless it rises to a particular evidentiary threshold, because  
18 something that “plays only an ‘infinitesimal’ or ‘theoretical’ part in bringing about injury,  
19 damage, or loss is not a substantial factor.” (*Rutherford*, 16 Cal.4th at 969.)

20           **3. Plaintiffs’ evidence of causation must be founded on reliable medical**  
21           **expert testimony.**

22           The California Supreme Court has stressed that a plaintiff must prove causation  
23 “through *reliable* medical expert testimony,” and that “[e]xpert medical opinion,  
24 however, does not always constitute substantial evidence.” (*Lockheed Martin Corp. v.*  
25 *Superior Court (Carillo)* (2003) 29 Cal.4th 1096, 1107, emphasis added.) *Lockheed*, and  
26 many other cases, held that “an expert’s opinion which rests upon guess, surmise, or  
27 conjecture, rather than relevant, probative facts, cannot constitute substantial evidence.”  
28 (*Id.* at 1110 [internal citations and quotation marks omitted].)

1           The California Supreme Court has even more recently re-affirmed that expert  
2 testimony needs to be carefully scrutinized for reliability. “Under California law, trial  
3 courts have a substantial ‘gatekeeping’ responsibility,” because of “the need to exclude  
4 unreliable evidence.” (*Sargon Enters., Inc. v. Univ. of Southern Cal.* (2012) 55 Cal.4th  
5 747, 769, citations omitted.) *Sargon* underscored that the Evidence Code empowers  
6 courts to inquire into the “matter” “upon which [expert opinion] is based.” (Evid. Code,  
7 §§ 801, 802.) “The *reasons* for the experts’ opinions are part of the matter on which they  
8 are based just as is the *type* of matter.” (55 Cal.4th at 771, emphasis in original.)

9           Thus, “a court may inquire into, not only the type of material on which an expert  
10 relies, but also whether that material actually supports the expert’s reasoning. ‘A court  
11 may conclude that there is simply too great an analytical gap between the data and the  
12 opinion proffered.’” (55 Cal.4th at 771, quoting *General Elec. Co. v. Joiner* (1997) 522  
13 U.S. 130, 146.) “[T]he matter relied on must provide a reasonable basis for the particular  
14 opinion offered, and . . . an expert opinion based on speculation or conjecture is  
15 inadmissible.” (*Id.* at 770, quoting with approval *Lockheed Litigation Cases* (2004) 115  
16 Cal.App.4th 558, 564.)

17           “[A]n expert opinion must be based on matter that provides a reasonable basis for  
18 the opinion” or it should be excluded. (*Lockheed Litigation Cases*, 115 Cal.App.4th  
19 at 561.) Expert opinions “are worth no more than the reasons and factual data on which  
20 they are based.” (*Griffith v. County of Los Angeles* (1968) 267 Cal.App.2d 837, 847.)  
21 “[T]he *ipse dixit* of the most profound expert proves nothing except it finds support on  
22 some adequate foundation.” (*Estate of Teed* (1952) 112 Cal.App.2d 638, 646 [reversing  
23 judgment because expert physician’s testimony lacked factual foundation].) The court  
24 must give “critical consideration to the expert’s reasoning.” (*Pacific Gas & Electric Co.*  
25 *v. Zuckerman* (1987) 189 Cal.App.3d 1113, 1136 [excluding poorly reasoned expert  
26 valuation].) If the reasons on which the opinion is based fail, the opinion “cannot rise to  
27 the dignity of substantial evidence.” (*San Diego Gas & Elec. Co. v. Sinclair* (1963) 214  
28 Cal.App.2d 778, 783; see also *City of San Diego v. Sobke* (1998) 65 Cal.App.4th

1 379 [reversing jury verdict where expert opinion based on flawed methodology];  
2 *Hewiston v. Hewiston* (1983) 142 Cal.App.3d 874, 884 [reversing judgment based on  
3 expert's improper valuation methods]; *People v. Dellinger* (1984) 163 Cal.App.3d  
4 284 [reversing verdict where foundational errors invalidated expert's conclusions].)

5 When viewed through the lens of this well-developed case law, Plaintiffs do not  
6 have, and cannot expect to obtain, admissible evidence demonstrating causation.

7 **C. Plaintiffs' expert testimony will be insufficient to demonstrate a triable issue**  
8 **of fact as to causation, or inadmissible, or both.**

9 Plaintiffs have no evidence that any specific chemical with which Ms. Flores  
10 worked is a cause of Mark's condition, or of her blood disorder or other complaints. The  
11 evidence instead is that the medical literature does not recognize any chemical exposure  
12 as a cause of their problems. Mark's conditions are likely the result of genetic or other  
13 factors. Indeed, even authorities cited by Plaintiffs describe symptoms related to lead that  
14 are diametrically different than his condition and medical history. Any contrary testimony  
15 from Plaintiffs' experts would be speculative, lack the reliability required for this Court  
16 to admit the evidence under *Sargon*, and fail to "rise to the dignity of substantial  
17 evidence." (*San Diego Gas & Elec. Co.*, 214 Cal.App.2d at 783.)

18 **1. Ms. Flores' blood disorder is not caused by chemical exposure, and**  
19 **there is no evidence it was caused by any exposures at Spectra.**

20 Plaintiffs will have no admissible expert testimony establishing general or specific  
21 causation of Ms. Flores' blood disorder.

22 Plaintiffs have no evidence that any chemical is a general cause of Ms. Flores'  
23 blood disorder. To the contrary, Ms. Flores' own treating physician knows of no  
24 established connection between her blood disorder and chemical exposures. (EV 111-  
25 115, 1 Levitt Transcript, 18 ["toxin exposures are not classically associated with the  
26 etiology of these . . . diseases"], 24-25 ["these mutations are not believed to be caused by  
27 toxin or chemical exposure"], 38-39.) This is confirmed by the declaration of  
28 epidemiologist John Fryzek, which establishes that there is absolutely no basis in the

1 scientific literature for a link between Ms. Flores' blood disorder and chemical exposures.  
2 (EV 13-14, Fryzek Dec., ¶¶ 16-18.)

3 Even if there was an established general connection between chemical exposures  
4 and Ms. Flores' blood disorder, Plaintiffs can offer no specific evidence showing (1) that  
5 the chemicals Ms. Flores actually encountered in her workplace can cause the disorder,  
6 (2) the threshold level at which exposure to such chemicals could cause her disorder, or  
7 (3) that she was in fact exposed at such levels. (See EV 42-43, Kelman Dec., ¶¶ 8-12 ;  
8 EV 68-69, Robbins Dec., ¶¶ 4, 7-8 .) No expert testimony can fill this "evidentiary gap."  
9 Any such testimony would lack a scientific or evidentiary basis, would be entirely  
10 speculative and would, therefore, be inadmissible. "[T]he courtroom is not the place for  
11 scientific guesswork, even of the inspired sort. Law lags science; it does not lead it."  
12 (*Rosen v. Ciba-Geigy Corp.* (7th Cir. 1996) 78 F.3d 316, 319 [Posner, J.].) Accordingly,  
13 summary judgment must be granted in favor of Defendants on Ms. Flores' claims.

14 **2. Mark's conditions are not caused by chemical exposure, and there is no**  
15 **evidence they were caused by any in utero exposures at Spectra.**

16 Similarly, Plaintiffs will have no admissible expert testimony establishing general  
17 or specific causation of Mark's alleged injuries. The only substance Plaintiffs have  
18 identified in discovery that, according to the medical literature, may cause developmental  
19 problems in fetuses is lead. For the other chemicals, there is no known association. (EV  
20 6, Enns Dec. ¶¶ 12-13; EV 43, Kelman Dec. ¶ 10.)

21 Ms. Flores worked with small quantities of a solder glass "frit" material containing  
22 lead oxide. However, the declarations filed herewith establish that this was not the cause  
23 of Mark's condition for all the following reasons:

24 (1) There is no connection in the scientific literature between lead exposure and  
25 Mark's severe developmental deficits. For example, the literature supports only a  
26 marginal, temporary drop in IQ points. Although "prenatal exposure to lead may cause  
27 deficits in cognitive development . . . reported deficits have been relatively mild and do  
28 not persist; exposed children do not show noticeably different cognition by the time they



1 reach school age. There are no data to support the contention that lead exposure in utero  
2 causes the degree of mental impairment observed in Mark Flores.” (EV 6, Enns Dec., ¶  
3 12.)

4 (2) The symptoms Plaintiffs say lead causes are not presented in this case. In fact,  
5 Mark’s symptoms are the opposite of what would be expected of harmful prenatal  
6 exposure to lead or other substances. As Plaintiffs themselves represent: “It is generally  
7 agreed that if pregnancy does occur it is frequently characterized by miscarriage,  
8 intrauterine death of the fetus, premature birth and, if living children are born, they are  
9 usually smaller, weaker, slower in development and have a higher infant mortality.” (EV  
10 89, 90-100, Responses to Special Interrogatories, Set Two (Mark), Nos. 2, 4-7.) This  
11 picture does not describe Mark. He was not miscarried; he was born late, not early; he did  
12 not die; and he is neither small nor weak.

13 (3) Even if such a general connection did exist, Ms. Flores was not exposed to  
14 sufficient quantities of lead to cause the deficits. Among other things, the lead in the frit  
15 was not “bio-available,” meaning it would not be taken into Ms. Flores’s system. (EV 48,  
16 Kelman Dec., ¶ 22.) Further, lead “poisoning” is the result of a dose-response  
17 relationship. For an amount of lead sufficient to harm Mark, his mother Yvette would  
18 have displayed signs of lead poisoning when she was pregnant, and this is not indicated  
19 in her medical records.

20 (4) The much more probable cause of Mark’s problems is either birth trauma or  
21 genetic factors. (EV 4-6, Enns Dec., ¶¶ 9-13; EV 45-46, Kelman Dec., ¶ 18; EV 29-30,  
22 Fischman Dec., ¶¶ 22-24.) Unlike chemical exposure, these are associated with the  
23 various conditions Mark presents.

#### 24 IV

#### 25 CONCLUSION

26 Courts have a special responsibility regarding expert evidence. Many other  
27 evidentiary questions may be resolved by saying “let the jury decide” on the basis of the  
28 collective experiences of the jurors. But expert testimony is only admissible if on a

1 subject "beyond common experience" (Evid. Code, § 801), and therefore by definition  
2 outside the jury's experience. Because the subject matter is outside the jury's experience,  
3 and because "[I]ay jurors tend to give considerable weight to 'scientific' evidence when  
4 presented by 'experts' with impressive credentials," such evidence can have a  
5 "misleading aura of certainty." (*People v. Kelly* (1976) 17 Cal.3d 24, 31, 32 [citations  
6 omitted].) The court's oversight is necessary to prevent lay jurors from being improperly  
7 swayed by experts. That oversight is apparent from the special statutory restrictions and  
8 the many California decisions limiting or excluding improper expert testimony.

9 The expert witness is the only kind of witness who is permitted to reflect,  
10 opine, and pontificate, in language as conclusory as he may wish. Once we  
11 recognize the expert witness for what he is, an unusually privileged  
12 interloper, it becomes apparent why we must limit just how far the  
13 interloping may go. A witness cut loose from time-tested rules of evidence  
14 to engage in purely personal, idiosyncratic speculation offends legal  
15 tradition quite as much as the tradition of science. Unleashing such an  
16 expert in court [is] not just unfair, it is inimical to the pursuit of truth. The  
17 expert whose testimony is not firmly anchored in some broader body of  
18 objective learning is just another lawyer, masquerading as a pundit.

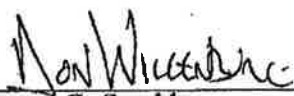
19 (*People v. Johnson* (1993) 19 Cal.App.4th 778, 789-790, quoting Huber, Galileo's  
20 Revenge: Junk Science in the Courtroom (2d ed. 1993), p. 204.)

21 Because the medical and scientific literature holds that Plaintiffs' medical  
22 problems were not caused by chemical exposure, and Plaintiffs lack admissible expert  
23 testimony regarding causation, this Court should grant Defendants summary judgment.

24 Respectfully submitted,

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