Information submitted via Top Hat Plan Statement Online Filing System to U. S. Department of Labor under 29 CFR 2520.104-23

Date Completed: 10/2/2020 2:30 PM EST

Confirmation Number: 7021

Amended Confirmation Number:

Employer Information

Name: Trustees of the University of Pennsylvania

Address: 2929 Walnut Street, Suite 400

City: Philadelphia

State: PA Zip Code: 19104

Plan Administrator Information

Name: Trustees of the University of Pennsylvania

Address: 2929 Walnut Street, Suite 400

City: Philadelphia

State: PA Zip Code: 19104 Phone: 2157465273

Email: elizabeth.salasko@ogc.upenn.edu

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1 Plan Name: Retention Bonus of Jean-Marie Kneeley Number of

Employees: 1

Additional Information:



U. S. Department of Labor Employee Benefits Security Administration Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 7021. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.