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	Valley Churth
1 2	MARCH 25, 1983 9:40 a. m.
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4	THE COURT: All right, gentlemen. Call your
5	next witness.
6	MR. SALESE: Dr. Leonard Gordon.
7	
8	LEONARD GORDON,
9	was called as a witness on behalf of the defense and,
10	having been duly sworn, was examined and testified as
11	follows:
12	
13	THE COURT: Step right over here.
14	I will not put the doctor under the Rule.
15	MR. SALESE: That is correct.
16	THE WITNESS: Shall I sit there?
17	THE COURT: Sit right there.
18	
19	DIRECT EXAMINATION
20	BY MR. SALESE:
21	Q Would you state your full name, please?
22	A Leonard Gordon.
23	Q And where do you reside?
24	A In Scottsdale, Arizona.
25	Q And your profession?

Professor of sociology, Arizona State University. A 1 Sir, would you give me a brief background of 2 your educational training from college to the present, I 3 quess? I received a bachelor's degree from Sure. Wayne State University in history, and a master's degree 6 from University of Michigan in history, and a Ph.D. in 7 sociology from Wayne State University. 8 All right. Have you published any research 9 papers, any books, anything of that nature in your field 10 of expertise? 11 Yes, I have. A 12 Can you tell me generally what you have 13 published and in what area? 14 Yes, I have published research on school 15 desegregation involving blacks and whites, involving 16 research outside of Detroit in a community called Oak 17 Park in a research journal called Integrated Education, 18 and several other sources. 19 I published a book on Detroit race riot called 20 City and Racial Crisis. I am publishing an article next 21 month in Sociological Berspectives on the situation there, 22 and I published, co-authored three books that deal either

entirely or substantially with the issue, over about

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two dozen articles.

1 Okay. Do you have a text on sociology that 2 you published or co-published? 3 Yes, I published with the Houghton Mifflin 4 Company, called Sociology and American Social Issues. 5 Sir, can you give me a brief recital of your 6 professional positions held since you have graduated? 7 Yes. I have served as instructor at Wayne State 8 University in the Department of Sociology, from 1960 to 9 '62; three years before that I taught in the Oak Park 10 high school system in the Social Studies Department. 11 And then I served five years in the community relations 12 field, two years as the research director of the Jewish 13 Welfare Federation in Detroit, Michigan, and then three 14 and one-half years as the Michigan area director of the 15 American Jewish Community involved in community relations 16 and black/white relations, as well as Jewish/Christian 17 relations. I came to Arizona State University in 1967 18 as an assistant professor, and then was promoted in 1970 19 to associate professor, and full professor in 1977, and 20 I am now serving as chair of the Department of Sociology. 21 What does that mean when you say "chair" of 22 the department? 23 A I am the administrative head. I think, at 24 the University of Arizona, they are called heads, but we

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are called chairs at ASU.

Q Have you engaged in any research projects with specific emphasis on riots, crowd control, crowd reaction?

A Yes, that is one of my research areas, and I have conducted the specific research in the Detroit racial conflict, but also have used some of the theoretical research and empirical findings in other communities around the country. And I have a number that is related to the research that I have been publishing.

O Sir, can you tell me whether there are generally accepted explanatory theories dealing with behavior of individuals in a riotous or melec situation?

A Yes, there is a well established theoretical framework called symbolic interaction, which is now over three-quarters of a century old. It began initially at the University of Michigan under Charles Horton Cooley, but became part of the Chicago school, sociological school, early in the century and as it has developed over the years, part of symbolic interaction theory that relates to crowd behavior, unstructured situation, is referred to as emergent norm theory, and part of that is related to what is called the Thomas, T-h-o-m-a-s, theorem. I better explain that. That is related to W. I. Thomas, who died about four years ago. He was a sociologist at the University of Chicago, and

based his studies on the Polish community, but there have been many studies since then. The emergent norm theory has been codified and employed in many situations by Ralph Turner, who is out of Chicago school, he is at UCLA, past president of the American Sociological Association.

Q Sir, I would like to state for you certain facts which have come out in the testimony of this trial regarding an incident that occurred on April 20, 1982, in Sierra Vista, Arizona, at Buena High School. And I will attempt to present those facts in a hypothetical question to you and in as accurate way as I can, and I am sure Mr. Arentz, if I deviate from the middle of those facts, will point it out to us.

But, essentially, on that date, around noon, a young man who had been expelled from the school, Lonnie Hayes, one of the defendants here, was seen on campus by two school officials and was asked to leave. He was seen in the cafeteria of the school. He stayed there for a few seconds longer after asked to leave, walked out of the cafeteria to a car that was driven to the school by Ricky Brown, another defendant. Also present at the car was Ricky Lamar. All three are defendants. Mr. Hayes got into the car, the two school officials approached the car, one of them asked Mr. Hayes

to leave again. He then indicated to the driver of the car, Ricky Brown, a defendant, that if Mr. Hayes did not leave, the car and all its occupants would have to leave the campus. There was a verbal exchange between Mr. Hayes and the principal and it is disputed as to what that verbal exchange was.

Mr. Hayes got out of the car and walked off the campus. The principal or school administrator went back to the school and made a telephone call to the police to inform them that this individual who had been expelled had been on campus, and didn't request assistance, but indicated if he came on again, they wanted to arrest him for trespass.

One of the individuals who was in the car, Ricky Lamar, had gone off to the gym to play basketball. The car starts to leave, gets not quite off campus and is stopped by an officer in a police vehicle requesting information about -- concerning the whereabouts of Lonnie Hayes. The officer is talking then to Ricky Brown. Lonnie Hayes is seen then running toward that car, and as he approaches, he may have come on school grounds, may not. There is a conflict in that testimony. He is waved back by the individuals in the car, and he doesn't approach the car or the police officer. Ricky Lamar, another defendant, approaches the car along with

several other black Miracle Valley students. The testimony is conflicting, but Ricky Lamar essentially squeezes past or pushes past, depending on your view, the officer and the car. And he is then confronted by or pulled by another officer and there is a verbal exchange between that officer and Ricky Lamar. One version is that Ricky Lamar pushed the officer and the officer pushed back. The other version is that the officer pushed and Ricky broke the push.

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The testimony is that a school official then took Ricky Lamar and started to walk him off campus. And the other black students, and some of the defendants may have walked along with him off campus. point, as they got off campus, the officer, while this was going on, and had argued with Ricky Lamar, goes to his car and calls for backup. He wants to arrest Ricky Apparently, that is heard by some of the students. When they get to the street, Officer -- this officer goes out there and another officer is out there, and there is a suggestion that they want that particular individual, Ricky Lamar, to be arrested. The group of students attempt to get Ricky out of there, to ward off that arrest. One of the officers grabs towards Ricky Lamar, the two of them roll down an embankment. An officer then pulls a gun, there is testimony that his

nightstick was taken from him, and either directly this way or when it was up here, from that point on, it becomes a melee. There is testimony that there is confusion, people running back and forth, that officers are hit, there is testimony that one of the defendants, Jerome Pipkins, is seen sitting on top of an officer. There is testimony of an officer having a young black student in a neck lock, over a car, and another defendant, Lonnie Hayes, comes over and asks the officer to let the girl up. The officer doesn't, he hits the officer with a nightstick. There is testimony that the boys, two of the boys, Ricky Brown and Ricky Lamar, are backing up with nightsticks, and ask the driver of a car to get They get in the car, another gentleman dives into the window and they drive back to Miracle Valley at a high rate of speed, being chased by police officers.

That is the scenario. I think I have fairly stated it as I can, given all the evidence.

The defendants have testified to a fear of the police, of fear of the situation. Based upon your training and experience, and expertise in the area of race relations, riot control, or riots, can you give any explanatory theories that you are aware of in the field of sociology help the jury understand the behavior of the participants in this?

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1 I believe so. I did read Officer Bernheim's 2 report and other documents that were provided to me before today. So I was familiar with those sets of 3 facts. But I, of course, didn't see the situation and I have not interviewed the individuals involved. emergent norm theory does, and it has been tested out in many different situations involving crowd behavior, melees, other kinds of confrontations, and a great deal 8 of that literature is in respect to black/white relations. What that literature would suggest, given the set of 10 circumstances, there would be high probabilities of 11 certain actions flowing and basically what the theoreti-12 cal framework would hold is that when you get an 13 unstructured situation, that is, when the routine of 14 daily interaction is broken, and people are not moving 15 along in normative expectations of behavior, then what 16 17 occurs, just as if a fire broke out, if you walked outside, would be a situation in which people would 18 have to develop a normative response to this unstructured 19 situation. Clearly the routine was broken in the school 20 grounds and the nature of the school authorities, social 21 control mechanism that was used, appeared to be quite 22 unusual, which would have influenced the kind of emergent 23 That is, what the kinds of attitudes and behaviors 24 would be elicited once the police came onto the scene. 25

Bergman or assistant principal Sandoval meant in terms of consequences, but in terms of emergent norm theory, if you communicate to individuals in such a way that there is a break in the normative routine, the values that come in to play are based on their past experiences. And in terms of the -- Lonnie Hayes, who was on the school grounds without permission, the normal school authority approach, initially --

MR. ARENTZ: I am going to object. Beyond the competency of expert testimony.

THE COURT: Objection sustained.

MR. SALESE: Your Honor, the sequence of events which have been described, fit within the emergent norm theory, he is competent to testify to that, why it would fall into play. And I have only stated the facts as they come out.

THE COURT: I say I am going to sustain the objection. His testimony is what the normal school approach would be in a given situation. He hasn't interviewed Bergman, he hasn't interviewed any of the defendants, he hasn't interviewed Sandoval --

MR. SALESE: I understand.

THE COURT: So I am going to sustain the objection.

1 (By Mr. Salese) Dr. Gordon, calling the 2 police and informing them that Lonnie Hayes was on 3 campus without any violence being conducted by Lonnie 4 Hayes, was that -- would you consider that a normal 5 reaction or response under the theory you have expressed? 6 MR. ARENTZ: Object again, your Honor, it is 7 the same question. 8 THE COURT: I am going to sustain the 9 objection. Ask him what he knows about the school 10 district and see if he knows something. The proper 11 foundation hasn't been laid for his response as to what 12 the normal -- what is normal. 13 (By Mr. Salese) Okay. Dr. Gordon, in a 14 situation like that, what would be normal? Would it 15 be to call the parents, call the guardian --16 MR. ARENTZ: Objection again, your Honor. 17 THE COURT: Your objection? 18 MR. ARENTZ: My objection is the same, your 19 Honor. 20 THE COURT: What is your legal basis for your 21 objection? 22 MR. ARENTZ: Competency. He is asking the 23 witness to testify as an expert on school behavior and 24 normative behavior as to school personnel faced with the 25 kind of situation, and he hasn't established any

foundation that this man has any knowledge of school administration or school policies.

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THE COURT: Objection sustained.

Q (By Mr. Salese) Doctor, Lonnie Hayes and Richard Brown and Ricky Lamar, when the response to Lonnie Hayes' being on campus is to call and inform the police, not to call or inform either their parents or guardians to try to deal with the situation, how would these individuals react to that, how would they view it?

In my research in Oak Park, which involved integration with Carver Elementary School, which is an all black district at the time, this was in early 1960's, it had the rating of having the highest crime rate in the country. It was integrated into the Oak Park high school system, which was a middle class system that never had any blacks there before. When there were incidents that occurred that were of concern to the school authorities, the principal, assistant principal, school teachers, given the polarization and the community hostility to these blacks -- this made national news, it was in Time magazine at the time, it was a high profile situation. What would happen under those circumstances is that the school authorities, in order to attempt to, knowing polarization, to defuse the situation, would initially attempt to contact parents or

other guardians or authorities, because by doing that, they took the necessary steps which -- and I taught in the school for three years, but I was doing this research while I was teaching at Wayne State University, which was in that area -- what they were attempting to do, which I believe is generally the case in other areas in similar situations, was attempting to defuse the situation, which they did successfully there. In fact, it turned out to be the melees or other confrontations, but it was high tension in that community for a number of years, and the reason why there wasn't -- and I interviewed police authorities in that area for several years -- was that by engaging in that approach, they had symbolically communicated to the black students that they -- that the authorities were making an attempt to resolve issues and only at the end of that -- and there were occasions when the police were brought on campus -only after that procedure, did the police come in. At that state, the black student response tended to be cooperative. When the initial response is to bring in police, according to the police chief Glen in Oak Park, he said that the probability of a confrontation and perhaps violence would have been too high. As I understood it, based on both the literature and police practice and school authority practice there, that is the

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general approach and if that approach is not taken initially, the consequences are, you have to look at individual situation, but the consequences are very likely to increase the probability of some kind of confrontation geometrically in trying to control an interaction with school authority and these black students.

Q Why, absent that kind of normative contact from the school officials to either responsible people for the kids or parents, how then do the students view that break from the norm?

Thomas theorem, and that is utilized by both sociologists and psychologists. Mark Schneider, who just completed research at Stanford, for advanced behavioral studies, is at the University of Minnesota full time, wrote an article in Psychology Today, I believe in the July, 1982, issue, called "Stereotyping and Self-fulfilling Prophesies." When you have a view of a group, in this case blacks, which has been established for many years in generations, that is quite negative, and we have research going back to Princeton in the early '30's on this, and it has been done around the country, including Arizona State over the last 12, 14 years, there is nationally documented stereotyping data on the general negative images that

whites often have towards black about being aggressive, about being ignorant, about being dangerous, and unless there are communication steps that have been taken to short-circuit that, to communicate that, that isn't the imagery, it would be highly unusual for blacks to view authorities in a crowd situation, which existed reportedly here, in anything but threatening, in a threatening way, which could -- again, we are talking about probabilities -- but you are increasing the probability of a panic reaction, and as I understand the facts in this case, it appeared to me that is what was precipitated.

Q Okay. When you have, as I have described, the facts regarding the behavior of some of the defendants, walking backwards, even using the word "nigger," there is testimony that some of the defendants may have used that word, moving away from the police officer who is advancing with the nightstick, getting into a car, telling the driver, there is testimony, "Nigger, get out," this kind of — and you have had various testimony from three to 500 people standing around, most of whom are white students, this is lunchtime, when you have that kind of dynamics where all these people were, where you have police there, you have what preceded this, what would be the reaction? How would it be viewed?

Again, I can only speak in probabilities because there are individual psychological factors involved there, lots of factors, but in terms of what happens in these kinds of situations, you are increasing the probability of a protective and defensive panic reaction that I think is far less likely if the communications had been of a different nature to the students, at least initially. If, under the facts as I understand them, what occurred in terms of emergent norm process, usual emergent norm process, that is, if the initial communication was a police communication, because as I understand it, there was no violence prior to the police coming on campus, and if at the same time you get a predominantly white crowd with all the symbolism that means, and as I read in the news media reports, if there were actions, there were shouts of, "Shoot them, shoot them," and other kinds of emotional statements coming out of the crowd, in that kind of a situation, I think it would be quite likely that you would get an aggressive verbal response and defensive reaction to escape. At least it appeared to me as that was primarily what was occurring in the various cases that were cited. In other words, the actions of trying to get Q

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Q In other words, the actions of trying to get away, of backing up, of even using offensive terminology, was protective as opposed to aggressive?

1863 We have

A That is what it appeared to me, and I think it was precipitated by the view that there was high probability of being under attack. That would be more likely to occur in a homogeneous racial or ethnic grouping that is in context of what they perceive to be hostile environment. That is not unusual to blacks, by the way, and history of ethnic relations, they have rioting of Irish Catholics in New York, and of Jews, Greeks, Italians and others over the last century, so that is a fairly common kind of response.

Q Is it unusual for them to be protective towards one another in terms of the homogeneity of the group?

A Yes. Again, if the literature I mentioned, Chicago School, the research they precipitated on racial and ethnic neighborhood communities, if anybody looks as though they are in trouble, there is a -- it would be normative for people, if they are aware of it, to come out and gather around a situation in a protective manner. That would be common. In fact, it would be unusual for that not to occur.

Q So that the generally accepted sociological view or theory regarding this kind of -- I hate -- I use the word "riotous" conduct, is that caught in the situation, given the facts we have in this case, the

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reaction of the individuals are going to be, one, escape
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     is protective and defensive?
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                That would be the likelihood, yes.
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                MR. SALESE: I have no further questions.
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                          CROSS-EXAMINATION
 7
     BY MR. ARENTZ:
                Dr. Gordon, you are from Detroit, is that right?
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9
                Yes, that is correct.
                And you have done studying in Detroit at Wayne
10
11
     State University?
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          A
               Yes.
13
               University of Michigan?
14
          A
               Yes.
               And you are from the city of Detroit?
15
          Q
          A
               Yes.
16
               And your first large publication was concerning
17
     the 1967 racial riots in the city of Detroit, is that
18
     correct?
19
               First large publication? Actually, that was
20
21
     my first book, yes.
               I'm sorry. Yes.
22
               And when did you publish that book?
23
               The book was published in 1971, with William C.
          A
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     Brown Company.
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1 Have you ever testified in court before as an 2 expert witness? 3 No, I have served as character witness for a A student once, but not as an expert witness. 4 5 Not as sociologist? 6 No, he was student in my class. Okay. Let's talk about sociology a little bit. 7 Isn't it true that sociology is the study of group 8 behavior and group interactions, is that true? 9 10 It is social behavior, and it often involves groups, but it gets involved in interpersonal relations. 11 It could be a dyadic relationship, gets involved in 12 mass public opinion and collective behavior, which is 13 beyond a group, but certainly groups are one of our major 14 15 areas of focus, that is correct. You are not a psychologist or psychiatrist? 16 Q 17 No, no, I am a sociologist. So primarily you do not study individual 18 behavior, is that correct? 19 There is a branch of sociology that is the 20 symbolic interactions in theoretical branch -- you see, 21 the institutional theories are either in what is called 22 functional theory or conflict theory. This particular 23 area, which is one of my theoretical specialties, overlaps 24 with an area called social psychology. For example, the 25

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emergent norm theory and the Thomas theorem is employed
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     by psychologists, the Mark Schneider, whom I mentioned
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     previously, a psychologist, he is not a sociologist, he
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     used the Thomas theorem. Thomas was sociologist. The
     Princeton studies by Katz and Braly, those were conducted
     by psychologists. Gordon Al Pool of Harvard University
 6
     is used by sociologists as well as psychologists.
     have courses in our department of social psychology.
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     That is one of our examination areas. So it involves
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     individual behavior in a social context. It is what is
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     called microtheory, focusing on individuals in often
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     small group situations or crowd situations.
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               Did you, as a sociologist, give any psychological
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     testing to individuals?
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          A
               No, I don't do that.
               Do you, as a sociologist, deal with particular
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     mental disorders or mental problems that an individual
17
     may have?
18
               The area of social psychology --
          A
19
20
               Excuse me, Doctor --
               The answer is -- would you reframe that,
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     because --
22
               Do you, as a sociologist, deal with any mental
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     disorders that an individual may have, particularly to
24
     that individual?
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I would, because I do engage in case studies, 1 2 I don't want to be inaccurate in this, but I do deal in 3 deviant behavior including what are generally classified as mental disorders. I did work out of a school of 4 5 medicine at Wayne State University with H. Warren Dunham, 6 who was trained in symbolic interactionism and workeda 7 with psychiatric team to identify people who are schizo-8 phrenic in the community, and it was a team of sociologists, 9 psychologists, psychiatrists, psychiatric social workers. 10 Professor Dunham was trained at the University of Chicago, 11 but was at Wayne State at the time. His views were as a 12 social psychologist, even though his degree is in 13 sociology. 14 Q Doctor, do you do any clinical treatment of

individuals for mental disorders?

No, not treatment.

Have you done any psychological examinations or Q clinical analysis of any of the five defendants in this room?

> No. A

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Do you have any ideas as to whether they suffer from any mental disorders or psychological or psychiatric problems?

No.

Do you have any idea, Doctor, whether they

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themselves, as individuals, operated in their behavior
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     in the dynamics that you expressed?
          A
               Yes.
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               Doctor, you have never even talked to these
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     men before this morning, have you?
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               No, I didn't.
          A
6
               So you have no idea what they are thinking,
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     do you?
8
               I believe I do.
          A
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                Doctor, are you familiar with the DSM-3,
          Q
10
     Diagnostic and Statistical Manual?
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          A
                That is in psychology or psychiatry.
12
                Isn't it true that it is a manual put out by
          0
13
     the American Psychiatric Association?
14
                Yes, I am (sic).
15
          A
                That lists all mental disorders and behavior
16
     problems, specifically that individuals may suffer from?
17
                Yes, that is correct.
          A
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19
                Are you familiar with that book?
                I have -- I am familiar with the book, yes.
          A
20
                Can you, in that book, particularly find any
21
     mental disorders or abnormalities that may have affected
22
     any of these five defendants on April 20?
23
                As far as mental illness goes? I don't think so.
24
                Is there anything in the DSM-3 that you can say
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apply to -- individually to any of these five defendants? 1 Yes. I think that again, in terms of theory 2 probabilities, I think that would be the case. But that 3 would not be the source that I would look to. 4 Doctor, do you have or are you aware of any 5 0 mental disabilities or mental disorders any one of these five defendants may have that may have caused them not to know right from wrong on April 20? 8 These would be normative responses. A 9 Doctor, you are not answering my question. 10 A I --11 MR. SALESE: I'm going to object. I think 12 he should be allowed to answer the question asked. 13 THE COURT: Do you understand the questions 14 asked? Reask the question. 15 THE WITNESS: I think I do. 16 (By Mr. Arentz) Take any of the five defendants Q 17 in this room individually, are you aware of any mental 18 disorders that would cause him not to know right from 19 wrong on April 20? 20 If you mean in a medical sense, a mental 21 disorder, I don't believe so, no, I don't. 22 Okay. Sociologist, you are a social scientist, 23 is that true? 24 Yes. A 25

1 Q You deal with statistics? 2 That is one technique. There are other methodological techniques I employ which are interviews 3 and observations and field analysis as well. But I also deal with statistics. 5 6 Would you say it is a precise science? 7 If you -- like economics, we are not precise in that we don't control lab situations. We work in 8 the natural human environment, so it is a -- we deal 9 in probabilities and we can predict many behaviors with 10 a high degree of accuracy, but within a margin of error. 11 So, it is not precise 100-percent degree, that is correct. 12 Okay. Doctor, so what you're saying is that 13 your analysis of this particular situation on April 20, 14 is your opinion based upon sociological theory and 15 probabilities, is that correct? 16 And over half century of research findings in 17 similar situations. 18 Okay. What I am saying again is that based 19 20 again on case studies and probabilities? Both, that is correct. 21 Isn't it true that many social scientists, 22 sociologists, may have different opinions as to particular 23 group interactions as applied to fact situations? 24 You can find in any discipline that I'm familiar

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with, which are a fair number, trained people who will dissent from the general theoretical and research finding consensus, so the answer is yes, you will find some who will, but Jonathan Turner, no relation to Ralph Turner, has a widely used -- one of the most widely used theory textbooks. He cites Ralph Turner's emergent norm theory and the whole school of orientation --

Q Doctor, you are not answering my question.

MR. SALESE: I object, he is answering the question.

THE COURT: Let him finish.

California at Riverside, cites this as one of the most widely used theories and accepted theories because it is so well established empirically, more so than some other theoretical frameworks we have used. So it, in terms of theoretical acceptance, Robert Mertûn, who is at Columbia University, and a member of the National Academy of Science, in his social problems textbook in the last issue, he cited the Thomas theorem as the most influential theoretical frameworkwe have developed in the 20th century, and it applies to emergent norm theory and symbolic interactions, so it is a widely accepted theoretical framework.

Q (By Mr. Arentz) Okay. Let me go back. Isn't

it true that sociologists may differ as to their opinion 1 in this fact situation? 2 3 With qualifying points that I made, that some sociologists would, but not the majority. Some would? Q Some would, certainly. A Ever been to Sierra Vista? 7 No, no, I haven't, not that I'm aware of. A 8 Q Ever been to Cochise County? 9 A My wife and children went down to Nogales, and 10 I don't know if we passed through or not. I'm not aware 11 of the fact. 12 Do you know where Cochise County is? 13 It is south of here, I know that. Yes. I mean, 14 in a map sense I do, but I have not been in the -- to 15 my knowledge, unless you have to go through Cochise 16 County to get --17 Doctor, I believe Santa Cruz County is south 18 of --19 My wife is the geographer. She would know for 20 sure. But I haven't been to the church, if that is what 21 you meant, or Sierra Vista. 22 Do you know what the black population of Sierra 23 Vista is? 24 I believe it is about eight percent. 25

Q Would it surprise you if I told you Sierra

Vista had the largest black population in the city -- in
the state?

- A No. I deal with statistics, I do know that.
- Q Have you ever been to Miracle Valley?
- A I don't believe so.

Q Doctor, you wrote a study, A City in Racial Crisis, on the Detroit riots. Were the same kind of principals you talked about today employed by you in your study of the 1967 Detroit racial riots?

but not the consequences because what happened in Detroit was in context of a series of other emergent norm processes. You might recall at that time that Detroit was not the first major race riot. The Watt situation had occurred prior to that. The Cleveland Huff riot had occurred before that. The Philadelphia Kensington riots, and early that summer the Newark riots. Those, the norm that emerged by the time Detroit's events occurred, was a situation which most analysts have described as disorganized protest. After the riot occurred in Detroit, you had a great deal of looting and you had breakins of stores, you had a lot of symbolic confrontation with police and fire people, but it went on for four days of rather serious damage. There were 43 people

Blacker

killed in that situation, hundreds injured, over 50 million dollars of property damage. But that was a kind of a reaction after the riot that I think is quite different than this kind of situation.

Q Doctor, I heard you called disorganized protest in Detroit. I know in your book you called it a civil disturbance. You called 43 people dead, 1,000 injured and 50 million dollars damage a disorganized protest?

A That term was used by Professor Robert Blauner, at the University of California at Berkeley. The studies that came out by Jeffrey Page, who is now at the University of Michigan, he was at Berkeley at the time, he headed a research team that investigated 24 major riots, and based on interviews with police, city authorities, blacks who rioted, blacks who did not riot, that was the general characterization that was used. The civil disturbance term was used by General Throck Morton, who came in under orders of President Johnson at the time. That was — that was actually the official designation of that event, and I believe a number of others.

Q You talk about group characteristics. At the time, you talked about a black rage concept, the study Greer and Cobbs --

- A That is one of many studies.
- Q Is that your characteristics that you're applying

to these facts?

You were referring previously to mental disorders and the reason I didn't -- I wouldn't have -- I don't think it is likely that is occurring in this case. When you get the kinds of confrontation that existed in the Buena Vista High School on April 20, that is a rather -- probabilities of that being a normative response rather than abnormal. You see, mental illness concept would relate to abnormalities. Given the background of hostility toward blacks had been communicated in terms of kinship and familial teachings informally, and given a confrontation situation, with the crowd that symbolically looks quite hostile, are white, yelling, it would be quite likely and normative to respond in a panic way. This happened in many other situations.

Q Okay. Doctor, so it does not happen solely with black people who come from innercities, is that correct?

A No, no.

Doesn't it also happen with ethnic minorities who may have the same economic class, such as you discussed, the issue in Boston, is that right?

A Well, that went back to 1863 though. Actually a few hundred people were killed in that one.

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Q Okay, Doctor, what I would like to do is ask you precisely why it is that you talk about white/black relationships in a situation that happened on Fry Boulevard, as opposed to situations concerning other minorities?

A Situations with other minorities have not nearly the history or the extensiveness of the black experience in American society. We made tremendous changes in both attitudes and laws in the last 20 years approximately, but there really is a history of over 300 years of official hostility toward blacks. That isn't changed in terms of socialization within one generation, and the depth of confrontation is much deeper in relationship to black/white relationships than to most other minority groupings.

Q Doctor, black rage, or that concept came out in approximately 1968. The urban racial riots were in '64 through '68, your book came out in 1968 --

A 1971.

Q These defendants were three or four years old, in 1968. Are you telling me that the theories developed concerning those race relations have applied to these particular men who were four years old at the time that your studies were developed?

A If you look at the situation in Miami, with the

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recent riots there, them have been several. If you look on global basis, I engaged in research at London University, Goldsmith College in the spring of last year, while I was there, there were two riots, one in London and another in Brixham, I believe, during periods of social strain, which can be induced and often are induced during economically difficult times. You tend to get a buildup of tensions within the community and old values and attitudes emerge. One of the reasons I was engaging in research at London University and, again, at Arizona State University this last year, conducting new research on stereotyping, is to find out to what extent some of the old storeotypes have re-emerged. There appears to be some increased tension in recent years on black/white relations/compared to the early '70s, and the question of age at the time of the major conflict is not, I don't believe, critical issue because it is the attitudes and values and fears that are passed down within the family, among peers, among friends, within the community, and it is how one gets exposed as one grows up. And I think it would be highly unusual if blacks, particularly in church groups, that would be unusual within the black community, and in the general community, it would be unusual for them not to have a socialization process that would make them somewhat fearful or at least

I think that the age of four at the time of the major riots wouldn't be a factor in terms of what would have influenced them right now. There are many cities where confrontations are going on, and have gone on in the last two, three, four years. And I have been back in Detroit, there have been a number of problems emerging there, as well as other communities.

- Q When is the last time you were back in Detroit?
- A I was back there in 1980 doing research.
- Q Are you familiar with the city of Hamtramck?
- A Yes.

- Q Correct me if I'm wrong, Doctor, Hamtramck is a very small ethnic Polish community in a very low social economic class in the center of black portions of Detroit, is that correct?
- A That is correct.
 - Social economic class or level of Hamtramck is not any higher than the black areas that completely surround it, is that correct?
 - A Not quite, no. It is somewhat higher. You're talking socioeconomic status of the residents of Hamtramck?
- Q Yes.
- 25 A Would be somewhat higher than black communities.

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	1	Q You said you were back there ten years ago?
	2	A I was back there three years ago. I have four
	3	funded research projects since I have been out here.
	4	Q Isn't it true that about the only place of
	5	employment in the city of Hamtramck was Dodge-Maine, a
-	6	large automobile plant at one time?
-	7	A It is not the only place, there are a lot of
	8	good restaurants there.
	9	Q Isn't that the majority of employment in
-	10	Hamtramck?
	11	A Certainly.
-	12	Q Isn't it true that Dodge-Maine has been closed
	13	for four or five years?
-	14	A Yes.
	15	Q So are you saying that they have a higher
	16	social economic class now than the black populations
	17	that completely surrounds Detroit?
	18	A Yes, because a higher proportion of the Polish
	19	residents of Hamtramck are long-time members of the
	20	Auto Workers Union and built up seniority. Fewer
	21	of them were laid off, because they were able to
	22	there were a number of factors operating. They got more
	23	support because of the union connection in terms of unem-
	24	ployment assistance.
	25	> Projecuting afformer walved odd but MR. Defrancesco: Let him finish.
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THE WITNESS: Do you want me to finish why there is a socioeconomic situation is not the same as the blacks in that area?

MR. ARENTZ: I don't know --

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MR. SALESE: Yes, you asked the question.

[Looked up at Judge Arnold]

THE WITNESS: The children of the families

in that area, you see, with an older work force and more family resources build up, a much higher proportion of those children went on to Wayne State University, University of Michigan. There are many more family supports that are available than in the black community surrounding it, and so their situation is not nearly as desperate. As a matter of fact, it is typical of white ethnics that were in difficult situations when they came into the country, large numbers a half century ago. They came in when unskilled labor was needed. tended to move in large cities like Detroit, and unskilled workers were needed far less, so they never built up the seniority or economic support base or enabled a much higher proportion of their children to move into much more available occupational situations. And so that it is --I don't mean to underplay, they really are undergoing tremendous, terrible strain in Detroit, Acconomic disaster area, but in terms of levels of disaster, the black communities would be feeling it significantly more

objective way than Polish or other ethnic communities.

Q Okay, Doctor, we have eliminated most European ethnic communities from your characteristics. Let's talk about the Jewish population. You said that blacks have been under suppression for over 100 years. Many Jewish people have, if you agree — tell me if you do, the apprehension that they have been suppressed for nearly a thousand years. Can you attribute the same characteristics that you have to those five men if they were of Jewish nationality?

Not in American society because American society has been really open to most religious and ethnic groups including Jewish community. Where the analogy would hold, you are quite right, would be where my parents were born in Russia, both my mother and father. The reason they left that society is because there -- there were situations where Jews did riot and because they were repressed in an extremely hostile -- not only under Czar regime, but my mother lived under Lenin's Soviet regime until she was able to escape with my grandmother. depressed circumstances of the Jewish community here was such that although extremely difficult, the opportunities were there, there were no laws against Jews in the same way that they were against blacks when my family moved into Detroit, for example, where there were many restrictions,

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there were restrictive covenants on blacks moving into neighborhoods, there were all kinds of restrictions that were applied informally that didn't exist for Jews. And so the analogy is not an American analogy between blacks and Jews. It would relate to the experience in what was called the Russian -- (pa/c)

Okay, Doctor, what I'm trying to get at is this particular situation of February 20, are you saying the only ethnic background where the social interaction could have occurred is with young black males?

A What I'm saying is that in terms of the likelihood and probabilities, young blacks in that situation would be one of the most likely groups to respond in panic manner and engage in protective and defensive action. There may be some other groups that would, perhaps native American Indians, perhaps Puerto Ricans in New York, Chicanos in some communities in the southwest, under certain circumstances. In fact, during the Detroit riots in July of 1967, there were riots in south Phoenix, in the Chicano barrio, and that made some national news, but compared to what was happening in Detroit, it didn't make as much news.

Q Have you gone into the family background and upbringing of any of these five defendants?

A Do you mean in terms of interviewing them? No.

1 Individually, do you know any of the family 2 background or upbringing? 3 I have read accounts of their family background and the church community individually, but I have not 4 5 interviewed them, and I haven't observed them, if that 6 is what you mean. Do you have any idea of what kind of education experience and background they came from in Chicago 8 9 individually? My understanding is that they were part of a 10 Pentecostal all-black church, and their schooling and 11 family -- their entire social relationships were encom-12 passed within the church community. 13 14 Doctor, I'm talking individually, as far as it affects the individual man, where -- whether Ricky Lamar, 15 Lonnie Hayes or Jerome Pipkins --16 17 My understanding is that each of these individuals A 18 had this experience. 19 Are you talking about a sociological theory? Doesn't psychology interplay into the personality of 20 the individual such as Lonnie Hayes' interactions with 21 the group, may instigate the group, may cause problems? 22 Isn't that true? 23 24 That could be the case. 25 Isn't it true, Doctor, that when you are talking

about group dynamics and sociological theory, that you are eliminating certain dynamics such as particular personalities that act and may instigate the group dynamic itself, isn't that true?

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Yes, only in a certain context, and the context is that if you are saying that there may be a character disposition of an unusual nature, that could occur. only thing I can reasonably respond and evaulate in terms of the situation, is what would be the likelihood of a normative response, given the situation, and what has occurred in hundreds of other situations that have been evaluated over the last quarter of a century.

Doctor, Lonnie Hayes wasn't involved in these hundreds of other situations, was he?

A good theory predicts, both explains and predicts, and if you asked me, given a certain set of circumstances, we do this all the time with various kinds of public opinion behavior, that, in terms of elections or other kinds of behaviors, what we can say is that given the set of facts, the probability of certain kinds of behavior is likely to occur. And what I would estimate here is that there is a high probability of a confrontation here, but you're quite right, I couldn't talk about it in individual psychological circumstances, but I could talk about what one would expect.

Now, again, this gets back to how useful the theory is.

Emergent norm theory has been tested out in many specific crowd situations, and that is what I was drawing on.

Q Do you know if some shouts of, "Kill 'em" occurred before or after the fights started?

A I don't recall the time sequence noted in the documents that I received. However, that wasn't critical. The critical element was that once there was confrontation and a large crowd, a crowd in that context, serves as an audience that has a certain symbolic meaning. It just — in a similar way to an audience in an athletic situation, where there may be a home crowd or a hostile crowd, and it could influence what happens in terms of the main actors interacting. The main actors interacting here would be the officers, school officials and the black defendants and others in the situation, but the crowd itself became a symbolic factor. It would be very unusual for it not to be. That would — crowds do influence the behavior of people in very strong ways.

Q Doctor, what if the crowd, other than the students from Miracle Valley, consisted of two police officers?

A The crowd?

Q Other than those people from Miracle Valley, consisted of two police officers?

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	1	A That wouldn't be a crowd.
	2	Q It wouldn't, would it?
	3	A No, no.
	4	Q If these particular men were threatened, why
	5	didn't they run rather than attack?
	6	A That gets back to the emergent norm process
	7	that whether you are talking about a large crowd or
	8	whether you are talking about the immediate interaction
	9	with the police authorities, you had a non-normative
	10	situation, and then the question is, how do you respond.
	11	And that relates to what I believe was likely precipitated
	12	by the kind of initial communication that was occurring,
	13	namely, not family or church authorities, but the initial
	14	communication was has to do with police officers.
	15	Q Again, Doctor, you don't know Lonnie Hayes,
Annual Property	16	his personality interaction in this, do you?
	17	A No, I do not know.
	18	Q What if the school attempted previously at an
	19	expulsion hearing to notify the parents and the parents
	20	never showed up?
	21	MR. SALESE: I will object, there is no facts
	22	in evidence.
	23	THE COURT: Objection sustained.
	24	Q (By Mr. Arentz) What if, Doctor, the school
	25	personnel felt Lonnie Hayes was a threat, that they wanted

1 protection for other students and asked the police to 2 remove him?

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That gets back to a point I was raising before. There has been -- if the initial communication had been with parents or church authorities, even if there had been no response, if that had been communicated to the students, in this kind of situation, I think, again, the likelihood of the panic -- I think what I would have characterized as panic situation, I think would have been quite different. In terms of the school authorities, there is a great deal of literature that has been widely used, the Carnegie Foundation report called, "Crisis in the Classroom," came out in 1970, that is by Charles Silberman, that is standard reading of school officials for over a dozen years now, and there is a great deal of treatment of polarized interracial situations in school settings, and in that analysis, and among many others I cite that because that became a best seller and has been standard useage in school systems around the country.

Q You don't know what problems the school administration had with Lonnie Hayes, do you?

A Yes, to some extent. I know he was expelled and that he was not officially a student at the time of April 20.

Q Doctor, a man is loitering on a school campus and the school administrators fear that he may cause trouble or hurt other students. Are you saying that they shouldn't ask the police to enforce the loitering statute?

A If there is no violence, if there is no melee,

A If there is no violence, if there is no melee, if there is no confrontation, my understand — in fact, I experienced that myself at the Oak Park High School — but the standard literature would — I'm talking about just useage literature of school authorities — would hold that the first thing that you normally would do, would be to try to initiate contact with guardians or parents or if there is a body like a church body, as in this case, communicating that attempt to the students before bringing in police authorities.

Q Okay, Doctor, you mean you have to wait until violence occurs before you do anything, is that what you are saying?

A If there is no violence, and the initial communication is of the order that occurred here, then I think what is being produced is a likelihood of a view on the part of the students that confrontation is going to occur. And I think that appeared to be what was happening here.

Q Let me go back to my question, Doctor.

A Sure.

1 The school administrators have to wait until violence occurs before they try to take some action? 2 3 Well, the reason I don't understand the A question is that there wasn't any violence or threats, 4 as I understand it, before the police arrived, and in the 5 absence of that, I don't understand why school authorities 6 would believe that, because it isn't usual that you bring in police authorities when there is a problem, but there 8 is no violence or confrontation. You try other mechanisms. 9 In fact, that is a very unusual step. 10 11 Doctor, again, if someone is loitering on campus, isn't that a criminal offense? 12 13 Oh, in school terms, that would be. don't -- I don't know technical --14 15 You don't know if that is a criminal offense 16 or it is not? That would depend on the community. 17 A 18 On the situation? 19 The laws vary. I assume that you're saying that 20 it was a criminal offense in this situation. It varies from school district -- or community to community, I 21 believe, but even if that were the case, there are --22 loitering, when there is no disruption of activities, 23 is the kind of situation that usually the school 24

authorities would ordinarily respond to in a way that would

not initially include police force being brought in.

That would be highly unusual.

Q What if the school administration had previously had experience with violent behavior on the part of Lonnie Hayes, isn't their role as a school administrator to see that it doesn't happen again?

that is why I was referring to the literature that is available. There is a lot of experience now, and as the experience in Oak Park indicated, it is precisely in those situations, where it is most critical to engage in the communication process with home, family, other authorities, home authorities, before engaging in police force, when there is no violence occurring. In fact, given the set of circumstances, the usual procedure that, as I understand, occurs generally through the literature, and my own experience as a high school instructor doing research, would be --

- Q Do you have any children, Doctor?
- A Three.

MR. SALESE: Let him finish answering the question.

THE COURT: Have you finished answering the question?

THE WITNESS: I was just about finished with that, I think. Yes, it would be, it is really -- I'm

repeating the same point. I think that is a very unusual initial step in that situation.

Q (By Mr. Arentz) You said you had three children, Doctor?

A Yes.

Would you want your children going to a school where the school administrator would wait to call the police before they --

A I would want the school authorities to take
the most effective action to make sure that they contacted
the home and church authorities. I would not want my
children in a situation where there is a high probability
of a major confrontation that could produce violence,
and in terms of my own feeling, I feel much more secure
if my children were in a school where the school authorities
understood and went through what I believe to be the
normal procedures. I think what occurred here appeared
to me more dangerous approach.

Q Let's go into the facts a little bit. What if Captain Plum wanted to arrest one individual and the response to him was, "How are you going to do that? You are going to have to arrest all of us." Does that affect at all your characteristic?

A You see, at the point of time you are referring to, I believe it is very likely that quite a panic norm theory. You have an unstructured situation, it is outside, a crowd is emerging, you're likely getting, if it is a usual crowd, some chance in talking, and that is the description I have read about in that. Once you have gotten to that stage, you have increased the probability of panic, and defensive and protective escape is reaction.

Q Doctor, if there is one police officer talking to a group -- by a group, I mean more than three, maybe up to ten persons -- could one police officer cause a panic situation?

A In those circumstances, given the hostility that black students from the church, as the church generally was experiencing on both sides, as I understand it, the initial communication to bring in police officer would very likely create a great fearful response, and then you have that contest, a homogeneous group likely feeling threatened coming to the assistance of a family or church member. That would be a common type of response in that situation, I think.

Q Doctor, I'm having some problem with some of the terms. You mean panic when you say that ten people are panicking because of one police officer in the usual sense?

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A I am, in terms of the -- you have a situation in which Lonnie Hayes is not supposed to be on campus. Rather than school authorities being brought in, when police are brought in, and I think that would trigger, at least, at the very least, a defensive response on the part of the individual, or the individuals involved in that case.

Q I have trouble with the word "panic" again, Doctor, when -- if the facts show that they employed aggressive and violent behavior, is that panic?

A Given -- you were referring before to attitudes about and toward blacks, and I was referring to the socialization process, the fear that blacks are socialized into -- in our society generally. The very initial response to them in this situation, I believe, is so unusual, that is, a police officer rather than an attempt to communicate through their family or church, that I think that would have created a very tense situation and my understanding is that although Lonnie Hayes was not supposed to be on campus because he had been expelled, my understanding is that Ricky Brown and Ricky Lamar could have been there to pick up people, that that was permissible. But as I was reading Officer Bernheim's statement or report -- I believe it was Captain Plum asked who was a student, the response was to

point out that these people were not students. Now, that communication, without any qualifying statement by the school authorities, that they — it was legitimate for them to be there under the circumstances, would have, I think, triggered quite a panic response, because what if, in fact, the police officers is asking who is not a student, the response is that these two individuals were not, but there is no qualifying statement, I would have thought that would have been quite frightened that they were about to be arrested or some other action that they didn't feel was justified. Now, I think that panic state is likely precipitated if — it would be likely to be precipitated because there hadn't been other social control attempts.

Q Okay, Doctor, let's throw some more facts out.

Suppose Mr. Bergman and Mr. Sandoval, school administrators
lightly asked Lonnie Hayes, "Would you please leave
campus," and Lonnie took his good old time about it like,
in their experience, he always did on everything, did
not leave campus. Suppose further that in their experience
with Lonnie Hayes, they felt the only way they could
get him off campus was to request assistance from police
officers. Let's take that a step further and let's suppose
that the first police officer arrives and politely asks
Ricky Lamar, "Would you please leave campus"? Are you

saying that police officers or the school administration instigated the kind of situation that happened on April 20 by those actions?

A I think, again, the problem was that, as I understand the facts of the situation, as Lonnie Hayes was asked to leave, he did in fact go into an automobile, I think that was being --

Q You're not really sure, are you?

Again, I'm just -- based on the information that was provided me, I wasn't there, that is for sure, that is true, but at that point, if either the principal, Mr. Bergman, or the assistant principal, Mr. Sandoval, had gone back and instead of calling the police initially and called either parents or the family or church members to come back and communicated and said, "Look, I have talked to some people, your family, church authorities, they really think you ought to leave," or if he had said, "I called and got no response, but if you don't leave, I'm going to have to call the police," that is critical interim communication, because without doing that, which is, again, I think normal procedure, I think that is what most students would expect. But when you not only combine it with what most students would expect, but you communicated you have not done that in relationship to blacks who have already experienced a

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1 great deal of polarization in the community and the school, as evidenced by the expulsion of Lonnie Hayes 2 3 himself --4 Doctor, I'm sorry --5 -- I think at that point, you have got a communication that again would have likely precipitated 6 7 a fearful reaction. 8 Doctor, are you aware that Sierra Vista is Q 9 next to Fort Huachuca Army Base? 10 A Yes. 11 If there was a couple of Army people on campus 12 talking to or harassing girls in the high school, do you think the school would have to make the appropriate 13 14 response of requesting the commandants to talk to them or do you think they should call the police? 15 16 Well, as a matter of useful practice, if you're 17 talking -- say that again, if they were already harassing 18 some people? You see, that is a different situation. 19 My understanding of this situation is that while Lonnie 20 Eayes was not supposed to be on the school grounds, that there wasn't any harassment. There wasn't any 21 confrontation or violence. You see, if there had been a 22 melee going on, I think quite clearly that you have to 23 have social control brought in with police force, but 24

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as I understand it, that wasn't the equivalent situation.

If I understand what you said, if some soldiers came on campus on --

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Q Let me cut out the harassment. Let's say they saw them on campus and previous experience of the school administrators thought there would be trouble. Would you think that their appropriate response would be to call the police, "Let's get these military people off campus," or would they have to say, "Captain, would you please come out and get" --

I think, as a matter of fact, it is generally A the case that in terms of social control in a school, you don't escalate immediately. It would sound to me as though it would be a good idea to first contact their authorities that have influence over them, but there is something different here. Soldiers would be adults, and I think here we are talking about high school age people, one of whom had been a student in the school, and I think when you have got a former student who had been expelled, that would -- that, again, is different. I think there you have the linkage, because the reason why it is so important is that there were other students from that church who were in the school, and if you are going to use effective social control techniques, you don't want to antagonize them immediately. Sometimes you have no choice, but certainly in that kind of a

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     situation, it would be, I think, more important than
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     in the other case, with soldiers, to contact family,
     kin and church authorities, although even in that case,
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     I think it would be wise practice to initially contact
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     Army officials rather than police initially. If there --
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     again, that is assuming there wasn't any harassment.
     If there was harassment, that is a different situation,
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     and then, of course, you bring in police, I think.
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                Okay. You say you have never been to Sierra
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     Vista, is that correct?
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          A
               Again, I don't believe so.
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               Do you know anything about Buena High School?
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          A
               I have never been to Buena High School.
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               Do you know how large it is?
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               Not precisely, no. I know there are several
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     hundred students, probably upwards of close to a thousand,
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     I think, but again, I haven't been there, and I don't
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     know the exact statistics on it.
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               Would 2,200 sound right?
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          A
                I don't know.
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               Have you ever met Mr. Bergman?
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          A
               No.
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          Q
               Have you ever met Mr. Sandoval?
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          A
               No.
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                Do you have any idea of the outlay of the campus
          Q
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on Buena High School? 1 I have seen some sketches about the physical 2 layout of the school. 3 Do you have any idea what security problems 4 5 they may have? In what sense? 6 Do you have any idea what security problems 7 they may have on that campus? 8 I believe so. 9 Q You do? 10 11 In terms of the normal high school procedure, 12 yes. Are you aware that Buena High School encompasses 13 approximately 80 acres? 14 15 No, I don't know that. You referred to the church, Miracle Valley Q 16 17 church. Have you ever been there? A No. 18 19 You have never been at the school, never met the high school administration, you have no idea what 20 security problems they may have, you have no idea about 21 the church that these men belong to, you have no idea 22 about Lonnie Hayes' past experiences at that high school 23 and, yet, you are making judgments as to the role of these 24 professional people as school administrators, is that 25

correct?

Just referred to, because schools, young blacks, community situations, are not totally removed from one community to another in a society, one society, in terms of American society. Their values and attitudes are common to American blacks and whites and, again, when it is good research theory is useful and important when in fact it can explain different situations, in the only one situation where there is direct conservation, and that is why I believe that the theoretical framework, which is predicated in many independent studies, is relevant to a given case situation such as this one.

Q So we are talking -- we are dealing with theory then, are we not?

A Also on the facts of the situation, as I understand them.

- Q We are talking about theory, that means there are other theories, maybe even contradicting theories?
 - A Contradicting theories?
 - Q Counter theories?

A Yes, I think that there could be. For example, Marxian conflict theory, it would be in terms of economic classes that were operating, and you have some reputable Marxist theorists who will explain black/white

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confrontations, a capitalist's exploitation. I don't think you will find many sociological theorists who would adhere to that in this country, although there are some, but there are other theoretical frameworks, but I believe that most sociologists and social psychologists, in fact many psychologists -- I mentioned Professor Snyder, the works of Professor Alport and Katz and Braly, I think would utilize this theory to explain the situation in terms of what actually happened.

Q Doctor, when we're talking about theory, aren't you really just speculating as to what happened on April 20?

A No, there are speculative theories that are not research based. We have some theories that, in my judgment, are called phenomenological theories, which you can speculate as to what could happen and interpret it many different ways. Symbolic interaction theories, which is the large framework, and emergent norm theory, is much more grounded in actual observations of many different crowd situations.

MR. SALESE: I just have a couple of questions.

THE COURT: All right. Mr. DeFrancesco is

going to have some questions, aren't you?

MR. DeFRANCESCO: Yes.

THE COURT: So let's take our morning recess.

We will stand in recess for 15 minutes. Remember the Court's double admonition to keep an open mind and don't talk about the case.

(Whereupon, a recess was taken.)

THE COURT: All right, Mr. Salese.

MR. SALESE: I think Mr. DeFrancesco had a few questions.

CROSS-EXAMINATION

BY MR. DeFRANCESCO:

Dr. Gordon, there were some questions asked, I believe on cross-examination, about your testimony about panic situation developing here. I would ask you if you could explain that in terms of what you understand from the police reports that you have read in the development of the situation, the development of the melee up to and including the leaving in the car, going off at high speeds to Miracle Valley, with an emphasis on what you mean and the why of the panic? Could you do that for me, sir?

A Yes. There is a specific part of the research literature on panic. That is why under certain circumstances people are likely to panic and why they are not. In a way, it goes back to, I think, what Justice Holmes noted in famous terms, that one can't yell "Fire" in a

closed theatre when there is no fire, because of the recognition that might induce a panic, but since that statement, there has been a lot of research on why people do or don't panic, because we know in some kinds of situations there is no panic that occurs. appears on the surface to be the same as in other situations where it does occur. Generally, the findings hold this, and you can see it in many different situations. For example, in case of where miners are caught, panic generally occurs when either there is no fearful situation in terms of removal from an unstructured situation, that is, I mention if a mine collapses and people are caught. If all of a sudden they realize that all they have to do is just walk out of an open space, there is no panic. There tends to be no panic when people are caught in a totally hopeless situation where there doesn't appear to be any avenue of escape, that is generally the case. It is between those two polar situations that you have a high probability of panic occurring in such a way that you could get serious injurious behavior. For example, in -- there have been a number of famous fires in Boston and other places where when it appeared to people in the situation that some could escape because not everything was closed to them, it was a very fearful situation when a fire broke out, there was a high panic, and many people

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were injured as a matter of fact, and there is -- you increase the likelihood of people getting injured when people panic. But the question is, why do they panic, and they panic when they believe they are in a state of threat or very likely to be, and that the only way to get out of that situation is if they escape and they see some circumstances in which it may be possible to escape.

Now, as I look at the various reports, the news media reports, the report of Officer Bernheim, the other information that I was given by Mr. Salese, and the attorneys, it appeared to me that once the situation had developed, where there was a fearful confrontation, that you have got a rather typical situation where panic would be quite likely if these people thought they were under immediate threat to themselves, physical threat of their own safety. And I think once that happened, the rest of the set of responses tend to be what would be viewed as quite irrational, that is, once panic actually sets in, many things happen at the same time.

Q Doctor, in this situation, based on the information you have available to you, and based on all your studies and research, would there be any perception concerning any of these young men as to the capability of being killed by police?

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Well, that gets back to the findings of emergent theory researchers. In Ralph Turner's and Louis Killian's book on collective behavior, they cite literally hundreds of cases and many research sources. Again, that goes back to the nature of the theory, that is, when you have an unstructured situation in which you don't have normal routine, the question then occurs, how do people respond in that situation. That tends to be directly related to their background experiences and attitudes and values. In the case of the black experience, which is found to be the case in samples independent, independent samples since the '30s, right up to the current period, there is, relative to other groups, a high degree of fear toward police authorities to the extent that unless certain kinds of communications occur, when you combine police action initially, in this kind of situation in a school, with the attitudes that would be normally developed, being a black vis-a-vis police, and then you combine it with a crowd situation, in which the crowd is an onlooker grouping white, making statements of a hostile nature, such as the, quote, "Shoot 'em," and the term "nigger," and other kinds of chants, that would be the kind of situation where in terms of blacks and crowd situations, you are likely to get a panic, particularly given the history of police community relations in the black community, which has generally changed around the country. But if you look, for example, at the National Advisory Report on Civil Disorders, which is the official title of it, with the -- again, the research headed by Jeffrey Page, there was a large research team involved. In over half of the precipitating incidents that resulted in over two dozen of the largest riots where there was injury, a police incident precipitated the riot.

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Now, there have been a number of analyses of Since I work in Detroit, I am particularly familiar with some of the research there, for instance, a former police chief, George Edwards, who is now, I believe, a federal judge, but at the time he was police chief in Detroit, he looked at the situation in terms of the Detroit police force, and then did research on other police forces around the country. He wrote a fairly influential and typical book called, Police on the Urban Frontier, in which he noted that the likelihood of police precipitating a confrontation in a situation in a black neighborhood is heightened where you don't have the normal kind of communication in the community. Most of the riots that occurred in the '60s actually through '71, involved young black males, and as Mr. Edwards had noted, until the '60s, overwhelmingly, police forces in this country were all white. In Detroit, for example,

I believe it was -- I have to check the exact figures -I believe it was about one percent of the police force
was black in a city that had about 44, 45 percent blacks.

One of the facts in this case is, I think there was a DPS officer, a black man, who was not in uniform, but was assisting, and also that at some stage in the proceeding, there was a police assistant who wears the uniform, the same as a Sierra Vista police officer. How would that affect what you're talking about?

A That was Mr. Clabourne, Officer Clabourne?

Q Yes.

A I think that was — I would think under the circumstances, an attempt by the police authorities to bring in a black officer to communicate more effectively with the young people. The problem I think there, there are about two problems. One is the immediate situational problem, is that at the time that Officer Clabourne came in, you already had an extremely volatile situation, I believe, as I understood Officer Bernheim's report. You already had a lot of very large crowd and you had a confrontation, the lack of communication of what I believe is normative in terms of school control approaches, but in addition to that, there is the larger background of the black experience in terms of police attitudes, not attitudes so much, but the actual fact that

police tend to be white with few black officers, and the past experiences that would be taught normally in a black family is that police are not the people you call to protect yourself, because they are part of the people who often engage in hostile actions or engage in control approaches that are dissimilar. They aren't the same that are used for other citizens in the community, and so you have a fearful and really hostile kind of a concern when the immediate approach is police in this kind of situation, where there had been no prior violence.

Q The prosecutor asked you if you knew Sierra Vista had the highest black population in the state. Would that have any significance to the testimony you have given with regard to this group and the black population of Sierra Vista, as you understand it?

A It could. As I understand it, again, reading the materials that I have, including news material, many of the blacks who are older and established, are not empathetic to the church in Miracle Valley. That is not unusual. I mention the Chicago school sociology which started ethnic studies at the turn of the century, and has been developed in every city of the country. It is typical of older established minority group members to be concerned about new members of a minority community moving into their community. This is long history. The

older established Irish Catholics in Boston, there is an Oscar Handlin of Harvard University, has a good documentation on that in a book called, The Uprooted. often organize, try to keep new Irish immigrants out of Boston because they perceived that as threatening their position. German Jews in New York City did the same thing in relationship to Russian and Polish Jews. tried -- in fact, they organized & fund-raising to finance their way back to the old country. happened in Italian community and in other communities. The black community of Sierra Vista is above, the older established is above average in education, income. I would think that it is very likely that just as in these other cases historically, they probably viewed this group as a threat. Now, why is there a threat? I don't think it is the same kind of threat, for example, if a white Pentecostal group moved in. It would have been also unusual, but I don't believe there is any real likelihood that kind of community would have been viewed as a threat.

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The reason it is a threat to the black community is that I don't think whites would have viewed white Pentecostals as a threat, but when you bring in the issue of race and an unusual sect, religious sect, I believe the blacks would be fearful for the same reason that the older established Irish Catholics and Jewish community and

pre gradition

Italian community would have been fearful, fearful that would have raised up the old prejudices toward the whole group, and that they would become a target if these poorer blacks and newer blacks were in the community.

MR. DeFRANCESCO: Just a moment, your Honor,

THE COURT: Take your time, Mr. DeFrancesco, take your time.

if I can review my notes --

Q (By Mr. DeFrancesco) One further question. I think Mr. Arentz asked you, he said — and gave you a factual situation where there was one policeman confronting a black and a group, I believe, of other black people, and he indicated, I believe, that there had been no crowd. Would that have any, you know, with respect to the facts as you understand them and the development of what occurred here, would the fact that at that time, that time that in the development of this incident, would that have any significance?

A In terms of what produced the crowd, precipitated the crowd development, again, as I understand the reports, the police officer, I believe it was Captain Plum, called for assistance. Now, again, I believe that it is likely that there was a fearful situation produced by the nature of the social control mechanisms adopted, quite clearly unintentionally by the school authorities. Given a

homogeneous grouping, you do have that small religious 1 sect members in the school. The calling of other 2 officers would have produced the beginnings of a crowd 3 situation almost immediately. And that would be, I think, quite predictable on the scene. I'm sure I 5 would think that the police officer thought it could be 6 controlled, but I'm sure he would be aware, I would think, 7 that there was going to be a crowd situation produced at 8 9 that time. Would it be fair to say that a black man, even 10 with police baton in his hand, backing up on Fry 11 12

Boulevard, would be fearful that he could be shot by a policeman that particular day, based on the facts as you know?

I think the likelihood is very high, yes. A MR. DeFRANCESCO: Thank you, your Honor.

THE COURT: Mr. Kerley?

MR. KERLEY: No, your Honor, no questions.

THE COURT: Mr. Salese?

MR. SALESE: Thank you, your Honor.

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REDIRECT EXAMINATION

BY MR. SALESE: 23

> Sir, the prosecutor asked you about the Lonnie Hayes, the administration has some prior experience with

Mr. Hayes, he had been expelled, and we do have facts, and I think I recited them to you. Facts have come into evidence that at one point in this melee, after it began, Lonnie saw one of the church members, a girl, bent over the hood of a car and being choked by an officer. He ran over, he grabbed the nightstick from another church member and he ran over and asked the officer to release the girl, that is the testimony, and asked a couple of times, and then hit the officer. In the context of what we have been discussing on that day and what he saw, was that a normative or normal reaction to the circumstances?

A I believe so. And when, as I looked at the sequence of events, when you got to that stage of this interaction, as I looked at each of these incidents as they were reported, it appeared to me that, again, you have a panic and a very fearful situation developing that appeared from point of view of the black individuals involved, you've got a -- I think, two mechanisms are operating at the same time. It gets back to what I was saying about panic. You have both defensive and protective actions at the time. Now, given ultimately what happened, I think basically it was an escapist effort, but in the context of what is happening, and given the times of family and peers in that homogeneous grouping in that

setting, it would be abnormal for people not to respond to what they believe was a physical attack on one of their members. And in this particular case, you are referring to a young black female, I believe, who was being handcuffed, I think, and held. I think that under those circumstances, somebody in the church group, or more than one, would probably respond in some way, if they thought there was a physical threat.

O Doctor, you were asked if you had personally interviewed any of the defendants and then asked about any particular psychological problem they may or may not have in terms of trying to show, I suppose, that since you didn't interview them, you couldn't predict their behavior on an individual basis. Let me ask you this: What you know of their behavior on that day, under those circumstances, had they had a particular disability, mental disability, would they have acted the way they did?

A Given the situation of -- I think that is why
I was -- when questions related to mental disorders -I don't believe it likely fit this case. Now, if that
occurred, one of two things would have likely resulted.
If we are talking about an abnormal response, either no
confrontation or an extremely violent confrontation in
which there really would have been deaths, and we have a
lot of documentation on both of those responses, but the

more typical response would be what appeared to happen in this case, and that would be normative. And please understand I don't mean normative in an acceptable basis, I mean sometime what happenes in a society is normally injurious, including prejudicial attitudes and discriminatory behavior that may be normative in a certain context, but I think this was normative in that sense.

Q Does the concept, and the prosecutor asked you, did you think that these individuals knew right from wrong when they were out there that day? Does that concept enter in under these circumstances?

A I believe initially it would. These individuals were part of the school, the school has certain rules and regulations, one had been expelled, and there was a breach in the rules which occurs periodically in schools. And if there had been what I believe would be the normal communication process and social control mechanism, and then — and they didn't respond at that point, then I think that you would have had — at least, I would have interpreted that differently, but that wasn't the case here. This was a, I think, really, I think it falls within normal range of what you would expect.

Q Doctor, I have one last question. Do you know if anybody, any human being has landed on Mars or Venus?

A We have landed on the moon.

	1	Q Just general knowledge.
1000	2	A We have sent equipment to Mars.
	3	THE COURT: You can give your opinion. Go
		ahead.
	4	
	5	THE WITNESS: Not that I'm aware of.
	6	Q (By Mr. Salese) Do you know whether astronomers
	7	study Mars and Venus without ever having been there?
	8	A Oh, yes, certainly.
	9	Q And obviously you haven't landed on Lonnie Hayes
	10	and Ricky Lamar, and you can still talk about theories,
	11	can't you?
	12	A Yes, sir.
	13	MR. ARENTZ: Just a couple more questions on
	14	recross.
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-	16	RECROSS-EXAMINATION
-	17	BY MR. ARENTZ:
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-		Q Doctor, I don't know whether Mr. DeFrancesco
-	19	or Mr. Salese we are talking about a situation where
	20	you have 10 or 15 people, and Captain Plum somehow said,
	21	as a crowd or would be a crowd interaction, and you
-	22	responded, "Well, if Captain Plum went to call more
	23	reinforcements, would have caused that kind of reaction."
-	24	Is that what you said?
-	25	A I think under those circumstances, it would have
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precipitated, because there again, if there had been --1 if the police had, in this case, Captain Plum, had inquired, 2 had there been an approach to family or guardians or the 3 church, if that had been communicated to the individuals involved, either by the school authority, which would be 5 the normal procedure, or even by the police, I think the 6 likelihood of the reaction would have been there. 7 What I'm having a hard time comprehending, 8 Doctor, if this group of 10 or -- 10 black students saw 9 Captain Plum and didn't know he called for more police, 10 how was Captain Plum a crowd? 11

A My understanding is that there were that many of the black students in that area. And that would constitute a small crowd.

Q So it would be the interactions between those particular students from Miracle Valley that would have caused the situation, not pressure from other people watching?

A I think at that point, it is very likely they thought they were under threat, direct threat.

Q From one police officer?

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A Who was contacting others to come into the situation.

Q Mr. DeFrancesco asked you a couple of questions concerning the black population in Sierra Vista, and their

1.	views concerning Miracle Valley church coming in and
2	moving there. First of all, you have already said
3	earlier you don't know too much about the Miracle Valley
4	church, is that correct?
5	A Only what I have read. I haven't studied the
6	church or interviewed members; that is correct.
7	Q Do you know any black persons in Sierra Vista?
8	A Not that I'm aware of.
9	Q And do you believe everything you read in
10	newspapers?
11	A Oh, no.
12	THE COURT: Any further questions?
13	You may step down, Doctor.
14	(Witness excused.)
15	THE COURT: We will stand in recess until 1:30
16	this afternoon. Remember the Court's double admonition
17	to keep an open mind and don't talk about the case.
18	(Whereupon, at 11:55 o'clock a.m., the hearing
19	in the above-entitled matter was recessed, to
20	reconvene at 1:30 o'clock p.m. of the same day.)
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