

United States Senate

Financial Disclosures

Candidate Report

Mr. Josh Mandel

State of Candidacy: OH | Candidacy Commenced: 02/10/2021

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Self-Employment Income	Athene Co-Invest Reinsurance Affiliate 1A Ltd Hamilton, Bermuda	\$287,196.25	n/a
2	Self	Self-Employment Income	Lonestar Generation LLC Princeton, New Jersey	\$250,000.00	n/a
3	Self	Self-Employment Income	Schear Financial Services, LLC Dayton, Ohio	\$68,000.00	n/a
4	Self	Pension	Ohio Public Emp Ret Sys	\$205,413.36	n/a

Columbus, Ohio					
#	Who Was Paid	Income Type	Organization	Amount Paid	Comments
5	Self	Self-Employment Income	Capital Community Bank Provo, Utah	\$29,875.30	n/a
6	Self	Self-Employment Income	Legal Business Services, LLC New York, New York	\$150,000.00	n/a
7	Self	Self-Employment Income	Hawkeye Capital Management LLC Miami Beach, Florida	\$42,000.00	n/a
8	Self	Salary	LiftCamp, Inc. Beachwood, Ohio	\$12,500.00	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Charles Schwab Brokerage Account (2414)	Brokerage/Managed Account	Self			
1.1	<u>ATHX</u> - Athersys, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
1.2	<u>CDEV</u> - Centennial Resource Development, Inc. - Class A Co	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
1.3	Decision Diagnostics	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
1.4	<u>GHSI</u> - Guardion Health Sciences, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
1.5	<u>IBIO</u> - Ibio Inc	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value (or less than)	Capital Gains, Income Type	\$100,001 - \$1,000,000 Income
1.6	MVIS - MicroVision, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
1.7	VISL - Vislink Technologies, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
1.8	ET - Energy Transfer LP Common Units	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
1.9	AEPGX - American Funds Europacific Growth A	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
1.10	Schwab Value Advantage (San Francisco, California) Type: Savings,	Bank Deposit	Self	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
1.11	GHSI - Guardion Health Sciences, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
2	Charles Schwab Bank (Orlando, Florida) Type: Savings,	Bank Deposit	Self	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
3	Charles Schwab Brokerage Account (7299)	Brokerage/Managed Account	Self			
3.1	ADBE - Adobe Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
3.2	BABA - Alibaba Group Holding Limited American Depositary	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.3	AYX - Alteryx, Inc. Class A Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
3.4	PLAN - Anaplan, Inc. Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
3.5	CVNA - Carvana Co. Class A	Corporate	Self	None (or	Capital Gains,	\$1,001 - \$2,500

	Common Stock Asset	Securities Asset Type Stock	Owner	less than Value (\$1,001)	Income Type	Income
3.6	<u>DDOG</u> - Datadog, Inc. - Class A Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.7	<u>ESTC</u> - Elastic N.V. Ordinary Shares	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.8	<u>EXAS</u> - Exact Sciences Corporation - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
3.9	<u>GHSI</u> - Guardion Health Sciences, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.10	<u>LVGO</u> - Livongo Health, Inc. - common stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
3.11	<u>NOAH</u> - Noah Holdings Limited	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.12	<u>NOW</u> - ServiceNow, Inc. Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.13	<u>SMAR</u> - Smartsheet Inc. Class A Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.14	<u>SQ</u> - Square, Inc. Class A Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
3.15	Tencent Holdings	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.16	<u>TRUP</u> - Trupanion, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500

		Asset Type	Self Owner	Value	Income Type	Income
3.17	WDAY - Workday, Inc. - Class A Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000 Income
3.18	HIMS - Hims & Hers Health, Inc. Class A Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
3.19	DRIO - DarioHealth Corp. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
3.20	GOOGL - Alphabet Inc. - Class A Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
3.21	GOOGL - Alphabet Inc. - Class A Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.22	AI - C3.ai, Inc. Class A Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.23	GOCO - GoHealth, Inc. - Class A Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.24	HIMS - Hims & Hers Health, Inc. Class A Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
3.25	PAGS - PagSeguro Digital Ltd. Class A Common Shares	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.26	PANW - Palo Alto Networks, Inc. Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
3.27	ROKU - Roku, Inc. - Class A Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
3.28	SDC - SmileDirectClub, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000

3.29	SLNE - StoneCo Ltd. - Class A Common Share	Corporate Securities Stock	Self Owner	None (or less than \$1,001)	Capital Gains, Income Type	\$1,001 - \$2,500 Income
3.30	VRM - Vroom, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
3.31	ZEN - Zendesk, Inc. Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
3.32	Charles Schwab & Co., Inc. (San Francisco, California) Type: Brokerage Sweep Account,	Bank Deposit	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
4	Charles Schwab Brokerage Account (7923)	Brokerage/Managed Account	Self			
4.1	AMZN - Amazon.com, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
4.2	AMT - American Tower Corporation (REIT) Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
4.3	AAPL - Apple Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
4.4	DLR - Digital Realty Trust, Inc. Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
4.5	MSFT - Microsoft Corporation - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
4.6	AGG - iShares Core U.S. Aggregate Bond ETF	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
4.7	SPY - S&P 500 SPDR	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	\$201 - \$1,000

	Asset	Asset Type	Self Owner	Value	Dividends, Income Type	Income
4.8	SPY - SPDR S&P 500	Corporate Securities	Self	None (or less than \$1,001)	Dividends, Income Type	\$201 - \$1,000
	Asset	Stock				
4.9	Charles Schwab & Co., Inc. (San Francisco, California) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
4.10	AAPL - Apple Inc. - Common Stock	Corporate Securities	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
	Stock					
4.11	IBB - iShares Biotechnology ETF	Corporate Securities	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
	ETF	Stock				
4.12	MSFT - Microsoft Corporation - Common Stock	Corporate Securities	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
	Stock	Stock				
4.13	SHOP - Shopify Inc	Corporate Securities	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
	Stock	Stock				
4.14	XBI - SPDR Series Trust SPDR S&P Biotech ETF	Corporate Securities	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
	ETF	Stock				
4.15	SPY - SPDR??S&P 500? ETF Trust	Corporate Securities	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
	Trust	Stock				
5	Liftcamp Inc. <i>Company: Liftcamp Inc. (Beachwood, Ohio) Description: Technology company</i>	Corporate Securities	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
		Non-Public Stock				
6	Charles Schwab Bank (Orlando, Florida) <i>Type: Checking,</i>	Bank Deposit	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
7	Acacia Condo <i>Description: Acacia Condo for Rent (Lyndhurst, Ohio)</i>	Real Estate	Self	None (or less than \$1,001)	Rent/Royalties,	\$1,001 - \$2,500
		Residential				
8	Acacia Condo	Real Estate	Self	None (or less than \$1,001)	Rent/Royalties,	\$201 - \$1,000

	Asset	Real Estate Asset Type	Owner	None (or less than \$1,001)	Rent/Royalties, Income Type	\$201 - \$1,000 Income
	Acacia Condo <i>Description: Acacia Condo for Rent (Lyndhurst, Ohio)</i>	Residential	Owner			
9	Single Family Home <i>Company: 12084 Heath Road LLC (Beachwood, Ohio) Description: Non-income producing real estate holding company.</i>	Business Entity Limited Liability Company (LLC)	Self			
10	Ohio's 529 College Advantage <i>Institution: Ohio</i>	Education Savings Plans 529 College Savings Plan	Child			
10.1	Vanguard Aggressive Growth Index Portfolio	Mutual Funds Mutual Fund	Child	\$250,001 - \$500,000	None,	None (or less than \$201)
11	Ohio's 529 College Advantage <i>Institution: Ohio</i>	Education Savings Plans 529 College Savings Plan	Child			
11.1	Vanguard Aggressive Growth Index Portfolio	Mutual Funds Mutual Fund	Child	\$250,001 - \$500,000	None,	None (or less than \$201)
12	Ohio's 529 College Advantage <i>Institution: Ohio</i>	Education Savings Plans 529 College Savings Plan	Child			
12.1	Vanguard Aggressive Growth Index Portfolio	Mutual Funds Mutual Fund	Child	\$250,001 - \$500,000	None,	None (or less than \$201)
13	Compound Asset Management Inc. <i>Strike price: \$0.08 Call Expires: 10/23/2029</i>	Corporate Securities Stock Option	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

Not required

Part 4b. Transactions**Not required****Part 5. Gifts****Not required****Part 6. Travel****Not required****Part 7. Liabilities**

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2020	Self	Promissory Note	-	3.950% (5 years)	\$100,001 - \$250,000	Home Federal Savings and Loan Association of Niles Niles, Ohio	Loan fully paid off in March 2021.

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 2011 to	Other	State of Ohio	Other	n/a

#	Jan 2019 Position Dates	(Treasurer) Position Held	Columbus, Ohio Entity	(Governmental) Entity Type	Comments
2	Oct 2019 to present	Director	Athene Co-Invest Reinsurance Affiliate 1a Ltd Hamilton, Bermuda	Corporation	n/a
3	Sep 2020 to present	Director	Legal Business Services LLC New York, New York	Corporation	n/a
4	Apr 2019 to present	Director	Capital Community Bank Inc. Provo, Utah	Corporation	n/a
5	Jul 2020 to present	Director	Lonestar Generation LLC Princeton, New Jersey	Corporation	n/a
6	Sep 2020 to Feb 2021	Other (Advisor)	Hawkeye Capital Management LLC Miami Beach, Florida	Corporation	n/a
7	Jun 2019 to present	Other (Advisor)	Schear Financial Services LLC Dayton, Ohio	Corporation	n/a
8	Apr 2019 to Oct 2019	Employee	Compound Asset Management Inc. New York, New York	Corporation	n/a
9	Jun 2020 to present	Director	LiftCamp Inc. Beachwood, Ohio	Corporation	n/a
10	Jan 2020 to Jul 2021	Director	Boxbees Inc. Beachwood, Ohio	Corporation	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **Yes**

#	Source	Duties	Comments
1	Athene Co-Invest Reinsurance Affiliate 1A Ltd Hamilton, Bermuda	Director	n/a
2	Legal Business Services LLC New York, New York	Director	n/a
3	Capital Community Bank Inc. Provo, Utah	Director	n/a
4	Lonestar Generation LLC Princeton, New Jersey	Director	n/a
5	Hawkeye Capital Management, LLC Miami Beach, Florida	Advisory services	n/a
6	Schear Financial Services, LLC Dayton, Ohio	Advisory services	n/a
7	Ohio Public Emp Ret Sys Columbus, Ohio	Pension	n/a
8	Compound Asset Management Inc. New York, New York	CFO	n/a
9	LiftCamp Inc. Beachwood, Ohio	Director/CEO	n/a

Attachments & Comments

No attachments added.

No comments added.