

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Emanuel, Rahm

Ambassador to Japan, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Emanuel, Rahm [electronically signed on 04/05/2021 by Emanuel, Rahm in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 09/14/2021 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 09/15/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	GoHealth, Inc.		Chicago, Illinois	Corporation	Independent Manager - Board of Directors	2/2020	Present
2	American Broadcasting Companies, Inc (ABC News)	See Endnote	New York, New York	Corporation	News Contributor	6/2019	Present
3	RIE Consulting,		Chicago, Illinois	Limited Liability Company	Owner	8/2019	Present
4	Centerview Partners Advisory Holdings, LLC		New York, New York	Limited Liability Corporation	Senior Advisor	7/2019	Present
5	Wicklow Capital, Inc (Technology Venture Capital Fund)		Chicago, Illinois	Corporation	Consultant	8/2019	Present
6	Dedrone Holdings, Inc		San Francisco, California	Corporation	Senior Advisor	10/2020	Present
7	City of Chicago		Chicago, Illinois	City Agency	Mayor of Chicago	5/2011	5/2019
8	Youth Guidance		Chicago, Illinois	Non-Profit	Chair of the Advisory Board	6/2019	Present
9	Filer Revocable Trust		Chicago, Illinois	Trust	Trustee	3/2021	Present
10	Family charitable Trust		Chicago, Illinois	Trust	Co-Trustee	7/2002	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1	U.S. investment account (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.2	FIDELITY TREASURY MONEY MARKET	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.3	CHAMPLAIN SMALL COMPANY INSTITUTIONAL	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.4	FIDELITY 500 INDEX	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.5	RIVERBRIDGE GROWTH INSTITUTIONAL	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.6	VANGUARD HIGH DIVIDEND YIELD INDEX ADM	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.7	DODGE & COX INCOME	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.8	DOUBLELINE TOTAL RETURN BOND I	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	RIE Consulting , LLC 401k Profit Sharing Plan	No			
2.1	U.S. investment account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2	FIDELITY TREASURY MONEY MARKET	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.3	CHAMPLAIN SMALL COMPANY INSTITUTIONAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	RIVERBRIDGE GROWTH INSTITUTIONAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	VANGUARD 500 INDEX ADMIRAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	VANGUARD HIGH DIVIDEND YIELD INDEX ADM	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.7	LOOMIS SAYLES STRATEGIC ALPHA Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	SEP IRA	No			
3.1	U.S. investment account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	CHAMPLAIN SMALL COMPANY INSTITUTIONAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	RIVERBRIDGE GROWTH INSTITUTIONAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	VANGUARD 500 INDEX ADMIRAL	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.5	VANGUARD HIGH DIVIDEND YIELD INDEX ADM	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	DODGE & COX INCOME	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	DOUBLELINE TOTAL RETURN BOND I	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Roth IRA	No			
4.1	U.S. investment account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.2	FIDELITY TREASURY MONEY MARKET	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	VANGUARD 500 INDEX ADMIRAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	RIE Consulting LLC Defined Benefit Plan (William Blair)	No			
5.1	U.S. investment account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.2	FIDELITY TREASURY MONEY MARKET	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.3	CHAMPLAIN SMALL COMPANY INSTITUTIONAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	RIVERBRIDGE GROWTH INSTITUTIONAL	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	VANGUARD 500 INDEX ADMIRAL	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.6	VANGUARD HIGH DIVIDEND YIELD INDEX ADM	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.7	DODGE & COX INCOME	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.8	DOUBLELINE TOTAL RETURN BOND I	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	LOOMIS SAYLES STRATEGIC ALPHA Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	American Broadcasting Companies, Inc (ABC News)	N/A		News Contributor wages	\$310,472
7	Municipal Employee Annuity and Benefit Fund of Chicago (defined benefit plan)	N/A	\$50,001 - \$100,000		None (or less than \$201)
8	RIE Consulting, LLC	No			
8.1	Wicklow Capital, Inc (Technology Venture Capital Fund)	N/A		Consulting Fees	\$700,000
8.2	GoHealth, Inc. health insurance marketplace)	N/A		Director Fees	\$150,431
8.3	Centerview Partners Advisory Holdings, LLC (Investment banking)	N/A		Senior Advisor Consulting fees	\$12,094,418
8.4	Leading Authorities, Inc. on behalf of National Association of Realtors - 05/12/2020	See Endnote	N/A	honorarium	\$29,750

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.5	GTN – A UTA Company on behalf of American Fuel and Petrochemical Manufacturers (AFPM) - 09/15/2020	See Endnote	N/A		honarium	\$29,750
8.6	The Panetta Institute for Public Policy - 09/28/2020	See Endnote	N/A		honarium	\$8,500
8.7	This Is Spoken LLC on behalf of BNP Paribas - 10/07/2020	See Endnote	N/A		honarium	\$63,750
8.8	Washington Speakers Bureau on behalf of Lincoln International - 10/22/2020	See Endnote	N/A		honarium	\$29,750
8.9	Morgan Stanley - 10/27/2020	See Endnote	N/A		honarium	\$29,750
8.10	Association for Corporate Growth (ACG) - Los Angeles Chapter - 11/04/2020	See Endnote	N/A		honarium	\$21,250
8.11	ARI Fleet Management Corp (ARI), a Holman Enterprises Company - 11/12/2020	See Endnote	N/A		honarium	\$29,750
8.12	Goldman Sachs & Co - 12/01/2020	See Endnote	N/A		honarium	\$25,500
8.13	Worldwide Speakers Group, LLC on behalf of Duke Energy - 01/28/2021	See Endnote	N/A		honarium	\$21,250
8.14	Credit Union National Association, Inc. (CUNA) - 03/02/2021	See Endnote	N/A		honarium	\$21,250
8.15	Leading Authorities, Inc. on behalf of Horizon Therapeutics - 03/29/2021	See Endnote	N/A		honarium	\$21,250
8.16	National Restaurant Association - 04/20/2021	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
8.17	Brokerage account					
8.17.1	U.S. investment account (cash)		N/A	\$5,000,001 - \$25,000,000	Interest	\$5,001 - \$15,000
8.18	Wicklow Capital, Inc (Technology Venture Capital Fund) Anticipated termination payment		N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.19	Centerview Partners Advisory Holdings, LLC (2021 Minimum Annual Performance Retainer)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
9	Dedrone Holdings Inc (protection from malicious drones) ., nonstatutory stock option (value not readily ascertainable)): 50,000 shs of common stock option \$.0001 par value per share, at \$.043 per share, exp 10/7/25	See Endnote	N/A		None (or less than \$201)
10	GoHealth, Inc. - vested RSUs	N/A	\$15,001 - \$50,000		None (or less than \$201)
11	"The Nation City", Alfred A Knopf, Inc (value not readily ascertainable)	N/A		advances	\$77,500
12	"The Nation City", Alfred A Knopf, Inc (value not readily ascertainable)	N/A		Rent or Royalties	\$201 - \$1,000
13	GoHealth Holdings, LLC Director Units	N/A	\$250,001 - \$500,000		None (or less than \$201)
14	GoHealth, Inc. - unvested RSUs (value not readily ascertainable)	See Endnote	N/A		None (or less than \$201)
15	GoHealth, Inc. - common shares	N/A	\$50,001 - \$100,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	RIE Consulting , LLC 401k Plan	Chicago, Illinois	Filer will continue to participate in this 401k plan. Only 2021 contribution will be made.	12/2020
2	RIE Consulting LLC Pension Plan	Chicago, Illinois	Filer will continue to participate in this pension plan. Only 2021 contribution will be made.	3/2021

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	GoHealth Holdings, LLC	Chicago, Illinois	Director Profits Unit Agreement. 186,101 Profits Units Granted. There is a provision for accelerated vesting upon government service. The unvested Profits Units granted under the Profits Unit Agreement will accelerate and vest in full in connection with Filer's termination of service. The company has the right to repurchase the Director Units within 120 days of the Filer's resignation from the board in which case the price will be fixed on the date Filer resigns. In the event the company exercises its right and the valuation at resignation exceeds the market value at repurchase, Filer would request payment only up to the market value at repurchase. If the company does not exercise its repurchase right, the Director Units can be redeemed for cash or shares of Class A common stock (at the company's election) when Filer exercises the redemption right (which must be after contractual lockup periods expire in January 2022). The company controls those redemption options, other than the election to redeem.	2/2020
4	Dedrone Holdings, Inc	San Francisco, California	Nonstatutory Stock Option Agreement. Unvested options will vest upon separation, pursuant to the plan 'public service' provision. The filer will retain the vested options.	10/2020
5	RIE Consulting, LLC	Chicago, Illinois	This will be placed into inactive status at the time the filer assumes the duties of the position. Client receivables will be fixed before the filer assumes the duties of the position of Ambassador.	6/2019
6	Municipal Employee Annuity and Benefit Fund of Chicago(defined benefit plan)	Chicago, Illinois	Filer will continue to participate in this defined benefit plan. No benefits are being received.	5/2011
7	GoHealth, Inc.	Chicago, Illinois	Unvested RSUs will lapse upon separation with the board.	2/2020
8	Wicklow Capital, Inc (Technology Venture Capital Fund)	Chicago, Illinois	Per the filer's pre-existing consulting agreement, if Filer terminates his Agreement in order to return to a role in public service, Company will pay Filer an additional three months of Fees totaling \$150,000. The Company will make this payment prior to the filer assuming the duties of the position of Ambassador.	7/2019

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
9	GoHealth, Inc.	Chicago, Illinois	Vested RSUs. Upon the resignation, the Filer's vested RSUs will convert to stock. Once the RSU's are converted to stock, the shares are liquid, subject to SEC transfer restrictions.	2/2020
10	Centerview Partners Advisory Holdings, LLC	New York, New York	Filer will received a Minimum Performance Retainer payment at separation.	7/2019

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Alfred A Knopf. Inc (The Nation City)	New York, New York	Book advance (paid through RIE Consulting LLC)
2	GoHealth, Inc.	Chcago, Illinois	Board Director (paid through RIE Consulting LLC)
3	City of Chicago	Chicago, Illinois	Mayor of Chicago
4	Leading Authorities, Inc	virtual event	Speaking engagement on behalf of National Association of Realtors (paid through RIE Consulting LLC). Joint event with Chris Christie. Honorarium donated to charity.
5	GTN – A UTA Company	virtual event	Speaking engagement on behalf of American Fuel and Petrochemical Manufacturers (AFPM) (paid through RIE Consulting LLC). Joint event with Karl Rove. Honorarium donated to charity.
6	The Panetta Institute for Public Policy	virtual event	Speaking engagement (paid through RIE Consulting LLC). Joint event with former Governor Jerry Brown and Christie Whitman. Honorarium donated to charity.
7	This Is Spoken LLC	virtual event	Speaking engagement on behalf of BNP Paribas (paid through RIE Consulting LLC). Joint event with Reince Priebus. Honorarium donated to charity.
8	Washington Speakers Bureau	virtual event	Speaking engagement on behalf of Lincoln International (paid through RIE Consulting LLC). Joint event with Chris Christie. Honorarium donated to charity.
9	Morgan Stanley	virtual event	Speaking engagement (paid through RIE Consulting LLC). Joint event with Chris Christie. Honorarium donated to charity.

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
10	Association for Corporate Growth (ACG) - Los Angeles Chapter	virtual event	Speaking engagement (paid through RIE Consulting LLC). Joint event with Karl Rove. Honorarium donated to charity.
11	ARI Fleet Management Corp (ARI), a Holman Enterprises Company	Bordentown, New Jersey	Speaking engagement (paid through RIE Consulting LLC). Joint event with Chris Christie. Honorarium donated to charity.
12	Goldman Sachs & Co	virtual event	Speaking engagement (paid through RIE Consulting LLC). Honorarium donated to charity.
13	Worldwide Speakers Group, LLC	virtual event	Speaking engagement on behalf of Duke Energy (paid through RIE Consulting LLC). Joint event with General Kelly. Honorarium donated to charity.
14	Credit Union National Association, Inc. (CUNA)	virtual event	Speaking engagement (paid through RIE Consulting LLC). Joint event with Chris Christie. Honorarium donated to charity.
15	Leading Authorities, Inc.	virtual event	Speaking engagement on behalf Horizon Therapeutics (paid through RIE Consulting LLC). Honorarium donated to charity.
16	National Restaurant Association	virtual event	Speaking engagement scheduled for 4/20/21 (will be paid through RIE Consulting LLC). Honorarium will be donated to charity.
17	Oracle North American President's Council	Chicago, Illinois	2019 Speaking engagement (paid through RIE Consulting LLC).
18	Identify Chicago	Chicago, Illinois	2019 Speaking engagement (paid through RIE Consulting LLC).
19	EccelinEd's	San Diego, California	Speaking engagement 2019 National Summit on Education Reform (paid through RIE Consulting LLC). Joint event with Governor Bill Lee and Allan Golston
20	Centerview Partners Advisory Holdings, LLC	Chicago, Illinois	Consulting fees (paid through RIE Consulting LLC).
21	American Broadcasting Companies, Inc (ABC News)	New York, New York	The filer is paid as a news contributor.
22	Wicklow Captial, Inc (Venture Fund)	Chicago, Illinois	Consulting fees (paid through RIE Consulting LLC).
23	Dedrone Holdings, Inc	San Francisco, Illinois	Senior Advisor
24	RIE Consulting, LLC	Chicago, Illinois	Single-member LLC to receive income as listed in Part 2 and elsewhere in Part 4

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. brokerage #1 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	U.S. brokerage #1 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Family trust #1	No			
3.1	Brokerage account	No			
3.1.1	U.S. investment account (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.1.2	FIDELITY TREASURY MONEY MARKET	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.1.3	CHAMPLAIN SMALL COMPANY INSTITUTIONAL	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.1.4	FIDELITY 500 INDEX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.1.5	SPDR S&P 500 ETF	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
3.1.6	ISHARES CORE MSCI EUROPE ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.1.7	DODGE & COX INCOME	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.8	DOUBLELINE TOTAL RETURN BOND I	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4	U.S. brokerage firm #1	See Endnote	No		
4.1	U.S. investment account (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.2	FIDELITY TREASURY MONEY MARKET	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.3	U.S. investment account - temporary cash holding.	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4.4	AMERICAN CENTURY EQUITY INCOME Y	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.5	CHAMPLAIN SMALL COMPANY INSTITUTIONAL	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.6	ISHARES RUSSELL 1000 GROWTH	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
4.7	JENSEN QUALITY GROWTH I	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
4.8	SCHWAB S&P 500 INDEX	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
4.9	SPDR S&P 500 ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000
4.10	VANGUARD HIGH DIVIDEND YIELD INDEX ADM	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.11	DOUBLELINE TOTAL RETURN BOND I	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
4.12	FLOSSMOOR ILL 2.000% 12/1/30 CALL 12/1/29	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
4.13	ISHARES 7 10 YEAR TREASURY BOND ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.14	LOOMIS SAYLES STRATEGIC ALPHA Y	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.15	NORTHAMPTON PA AREA SCH DIST 1.000% 10/1/27 CALL 4/1/26	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
4.16	PIMCO INVESTMENT GRADE CREDIT BOND INSTL	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.17	VANGUARD TOTAL BOND MARKET INDEX ADM	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
5	Covenant Apartment Fund X, LP	No			
5.1	Multi-Family residential, Atlanta, GA	N/A	\$15,001 - \$50,000	Rent or Royalties Capital Gains	\$2,501 - \$5,000
5.2	Multi-Family residential, , Petersburg, FL	N/A	\$15,001 - \$50,000	Capital Gains Rent or Royalties	\$2,501 - \$5,000
5.3	Multi-Family residential, Tampa, FL	N/A	\$15,001 - \$50,000	Capital Gains Rent or Royalties	\$2,501 - \$5,000
6	Southwest Value Partners Fund XXI, LP (U.S. real estate)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	U.S. brokerage firm #1	No			
7.1	FIDELITY TREASURY MONEY MARKET	Yes	\$50,001 - \$100,000		None (or less than \$201)
8	Family trust #2	See Endnote			
8.1	Brokerage account	No			
8.1.1	U.S. investment account (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
8.1.2	FIDELITY TREASURY MONEY MARKET	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.1.3	CHAMPLAIN SMALL COMPANY INSTITUTIONAL	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1.4	FIDELITY 500 INDEX	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.1.5	SPDR S&P 500 ETF	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
8.1.6	DODGE & COX INCOME	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
8.1.7	DOUBLELINE TOTAL RETURN BOND I	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
9	Golub Capital BDC 3, Inc	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
10	Golub Capital Partners 9, LP	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
11	U.S. brokerage firm #2	No			
11.1	U.S. investment account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2	SPDR S&P 500 TRUST	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
11.3	PENNSYLVANIA ST (bond)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.4	MERCER CNTY NJ (bond)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
11.5	MIAMI DADE CTRU FL (bond)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
11.6	MILWAUKEE WI AREA (bond)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
11.7	VIRGINIA CLLB BLDG AUTH (bond)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
11.8	LOUISVILLE & JEFFERSON (bond)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.9	DU PAGE COOK & WILL (bond)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
11.10	IOWA WESTN CMNTY CLLG (bond)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
11.11	METROPOLITAN WTR DIST (bond)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo		Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2021	2.5%	30 yr fixed
2	JPMorgan Chase Bank	See Endnote	Mortgage on Personal Residence	\$100,001 - \$250,000	2012	3.25%	9/2027 maturity date
3	Golub Capital Partners 9		Capital Commitment	\$15,001 - \$50,000	2014	n/a	on demand
4	Golub Capital BDC 3		Capital Commitment	\$15,001 - \$50,000	2018	n/a	on demand
5	Volta Energy Storage Fund I		Capital Commitment	\$250,001 - \$500,000	2020	n/a	on demand
6	Covenant Apartment Fund X		Capital Commitment	\$100,001 - \$250,000	2020	n/a	on demand

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
7	Southwest Value Partners Fund XXI	Capital Commitment	\$50,001 - \$100,000	2020	n/a	on demand

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	2	The parent company is Disney.
2.	8.4	Joint event with Chris Christie. Honorarium donated to charity.
2.	8.5	Joint event with Karl Rove. Honorarium donated to charity.
2.	8.6	Joint event with former Governor Jerry Brown and Christie Whitman. Honorarium donated to charity.
2.	8.7	Joint event with Reince Priebus. Honorarium donated to charity.
2.	8.8	Joint event with Chris Christie. Honorarium donated to charity.
2.	8.9	Joint event with Chris Christie. Honorarium donated to charity.
2.	8.10	Joint event with Karl Rove. Honorarium donated to charity.
2.	8.11	Joint event with Chris Christie. Honorarium donated to charity.
2.	8.12	Honorarium donated to charity.
2.	8.13	Joint event with General Kelly. Honorarium donated to charity.
2.	8.14	Joint event with Chris Christie. Honorarium donated to charity.

PART	#	ENDNOTE
2.	8.15	Honorarium donated to charity.
2.	8.16	This is a future event. Honorarium will be donated to charity.
2.	9	Filer has options for 25,000 shares that are vested and 25,000 shares that are unvested. The options vest monthly through October 2021
2.	14	Filer has 2,977 unvested RSUs that will vest on 7/14/2021.
6.	4	Capital loss resulted in no aggregate income from the account during the reporting period
6.	8	Capital loss resulted in no aggregate income from the account during the reporting period.
8.	2	This liability was paid off in on the beginning of 2021

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
