

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Monaco, Lisa

Deputy Attorney General, U.S. Department of Justice, Department of Justice

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Other Federal Government Positions Held During the Preceding 12 Months:

Special Government Employee, National Security Council (1/2021 - 1/2021)

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Names of Congressional Committees Considering Nomination:

- Committee on the Judiciary
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Monaco, Lisa [electronically signed on 01/25/2021 by Monaco, Lisa in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Lofthus, Lee, Certifying Official [electronically signed on 02/23/2021 by Lofthus, Lee in Integrity.gov]

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Other review conducted by

/s/ Shaw, Cynthia K, Ethics Official [electronically signed on 02/23/2021 by Shaw, Cynthia K in Integrity.gov]

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U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 02/23/2021 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	O'Melveny & Myers LLP		Washington, District of Columbia	Law Firm	Non-equity Partner	3/2019	Present
2	New York University School of Law		New York, New York	University/College	Distinguished Senior Fellow/Adjunct Professor of Law	2/2017	Present
3	Accenture Federal Services		Arlington, Virginia	Corporation	Member, Board of Managers	12/2017	Present
4	Cognosante LLC	See Endnote	Falls Church, Virginia	Corporation	Member, Board of Advisors	10/2017	Present
5	Hostage US		Washington, District of Columbia	Non-Profit	Member, Board of Directors	3/2018	Present
6	WestExec Advisors, LLC		Washington, District of Columbia	Corporation	Principal/Advisor	1/2018	8/2020
7	Bipartisan Commission on Biodefense/Hudson Institute		Washington, District of Columbia	Non-Profit	Commissioner	3/2018	Present
8	Alclear LLC	See Endnote	New York, New York	Corporation	Member, Security Advisory Board	6/2019	Present
9	LOM Strategies, LLC	See Endnote	Washington, District of Columbia	Corporation	Manager	3/2017	Present
10	Aspen Institute		Washington, District of Columbia	Non-Profit	Co-Chair, Cybersecurity Group	1/2018	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
11	Watson Institute for International and Public Affairs Board of Overseers, Brown University	Providence, Rhode Island	University/College	Member, Board of Overseers	5/2019	Present
12	The Belfer Center for Science and International Affairs, Harvard University	Cambridge, Massachusetts	University/College	Non-Resident Senior Fellow	1/2017	12/2019
13	Cafe Media	New York, New York	Corporation	Consultant	8/2020	Present
14	Turner Broadcasting Systems, Inc.	Atlanta, Georgia	Corporation	Senior National Security Analyst	3/2017	11/2020
15	PT Fund, Inc. (Presidential Transition Team)	Washington, District of Columbia	Non-Profit	Volunteer Advisor	9/2020	1/2021

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	O'Melveny & Myers LLP	N/A		Salary	\$693,683
2	Accenture Federal Services	N/A		Director Fees	\$174,555
3	Cognosante LLC (Health care technology)	N/A		Director Fees	\$115,234
4	New York University School of Law	N/A		Salary	\$125,000
5	New York University TIAA Retirement	No			
5.1	Vanguard Institutional Target Retirement 2035 Fund Institutional Class Shares (VITFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	O'Melveny & Myers LLP 401(k)	No			
6.1	Fidelity Freedom Index 2030 Fund Investor Class Shares (FXIFX)	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Bipartisan Commission on Biodefense/Hudson Institute	N/A		Consulting Fees	\$4,500
8	Cognosante LLC (Health care technology) vested restricted stock units	N/A	\$250,001 - \$500,000		None (or less than \$201)
9	LOM Strategies, LLC (security consulting, value reflects U.S. bank cash account)	No	\$100,001 - \$250,000	Interest	\$201 - \$1,000
9.1	WestExec Advisors, LLC (Advisory services)	N/A		Consulting Fees	\$35,890
9.2	Turner Broadcasting/CNN	N/A		Consulting fees	\$164,235
10	IRA	No			
10.1	Alger Small Cap Focus Fund Class Z Shares (AGOZX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.2	GQG Partners Emerging Markets Equity Fund Institutional Class Shares (GQGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.3	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.4	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.5	Janus Henderson Enterprise Fund Class I Shares (JMGRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.6	John Hancock Funds III International Growth Fund Class I Shares (GOGIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.7	JPMorgan Global Allocation Fund Class I Shares (GAOSX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
10.8	Loomis Sayles Growth Fund Class Y Shares (LSGRX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
10.9	Lord Abbett Ultra Short Bond Fund Class F Shares (LUBFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.10	MatthewsPacific Tiger Fund Institutional Class Shares (MIPTX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.11	PIMCO Low Duration Income Fund Class I-2 Shares (PFTPX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
10.12	Smead Value Fund Class I1 Shares (SVFFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
10.13	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Yes	\$100,001 - \$250,000		None (or less than \$201)
10.14	T Rowe Price Dividend Growth Fund, Inc (PRDGX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
10.15	Touchstone Sands CapitalEmerging Markets Growth Fund Class Y Shares (TSEM X)	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.16	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.17	Virtus KAR International Small-Cap Fund Class I Shares (VIISX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11	SEP IRA	No			
11.1	Communication Services Select Sector SPDR Fund (XLC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.2	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.3	First Trust Dow Jones Internet Index Fund (FDN)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.4	First Trust Cloud Computing ETF (SKYY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.5	Health Care Select Sector SPDR Fund (XLV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.6	iShares Nasdaq Biotechnology ETF (IBB)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.7	Pacer Benchmark Data & Infrastructure Real Estate SCTR ETF (SRVR)	Yes	None (or less than \$1,001)		None (or less than \$201)
11.8	Proshares Online Retail ETF (ONLN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.9	Financial Select Sector SPDR Fund (XLF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.10	Vanguard Consumer Staples Index Fund ETF Shares (VDC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.11	Vanguard Materials Index Fund ETF Shares (VAW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.12	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.13	Vanguard Industrials Index Fund ETF Class Shares (VIS)	Yes	\$15,001 - \$50,000		None (or less than \$201)
12	Cafe Media (fee receivable and eligible to receive vested restricted stock units)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	O'Melveny & Myers LLP	See Endnote		Bonus	\$350,000
14	O'Melveny & Myers LLP anticipated bonus	N/A	\$250,001 - \$500,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	New York University School of Law	New York, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	2/2017
2	O'Melveny & Myers LLP	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	3/2019

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
3	Cognosante LLC (restricted stock units)		Falls Church, Virginia	Pursuant to the company's director compensation plan, upon my resignation the company will repurchase my vested restricted stock units and I will receive a cash payout.	10/2017
4	O'Melveny & Myers LLP	See Endnote	Washington, District of Columbia	Pursuant to firm practice, I will receive a bonus for services rendered in 2020. I will not receive a bonus for 2021.	3/2019
5	Cafe Media		New York, New York	Pursuant to a compensation agreement entered into for work performed in 2020, I will receive a lump sum cash payment and am eligible to receive vested restricted stock units in Cafe Media, a privately held company. I will forfeit my eligibility to receive the vested restricted stock units and will not receive any additional payments or vested restricted stock units.	7/2020
6	LOM Strategies LLC		Washington, District of Columbia	My consulting business will be inactive during my appointment and all outstanding client fees will be fixed before I enter government service.	2/2021

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME		CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	New York University School of Law		New York, New York	Serve as fellow on national security issues. Teach law seminar.
2	Accenture Federal Services		Arlington, Virginia	Member, Board of Managers. Fulfill proxy board responsibilities.
3	Cognosante LLC		Falls Church, Virginia	Member, Board of Advisors. Provide periodic advice to management
4	Turner Broadcasting/CNN (client of LOM Strategies LLC)		Atlanta, Georgia	Provide periodic analysis on national security issues
5	Lyft, Inc. (client of LOM Strategies LLC)	See Endnote	San Francisco, California	Short term advisor on security issues



#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
6	O'Melveny & Myers LLP	Washington, District of Columbia	Legal services
7	Harvard University (client of O'Melveny & Myers LLP)	Cambridge, Massachusetts	Legal services
8	Apple, Inc. (client of O'Melveny & Myers LLP)	Cupertino, California	Legal services
9	ExxonMobil Corp. (client of O'Melveny & Myers LLP)	Irving, Texas	Legal services
10	Humana, Inc. (client of O'Melveny & Myers LLP)	Louisville, Kentucky	Legal services
11	Kia Motors Corp. (client of O'Melveny & Myers LLP)	Seoul, South Korea, Outside U.S.	Legal services
12	WestExec Advisors, LLC (client of LOM Strategies LLC)	Washington, District of Columbia	Advisory services
13	The Boeing Company (client of WestExec Advisors, LLC)	Chicago, Illinois	Advisory services
14	Softbank Group Corp. (client of WestExec Advisors, LLC)	Tokyo, Japan, Outside U.S.	Advisory services
15	University of Akron	Akron, Ohio	Speaking engagement on national security issues
16	Leading Authorities Speakers Bureau	Washington, District of Columbia	Speaking engagements on national security issues
17	Booz Allen Hamilton Inc.	Middleburg, Virginia	Speaking engagement on national security issues (through Leading Authorities Speakers Bureau)
18	Lockheed Martin Corp.	Arlington, Virginia	Speaking engagement on cyber security issues (through Leading Authorities Speakers Bureau)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
19	Bipartisan Commission on Biodefense/Hudson Institute	Washington, District of Columbia	Commissioner. Engage with commissioners on biodefense issues.
20	LOM Strategies LLC	Washington, District of Columbia	Managing member

## 5. Spouse's Employment Assets & Income and Retirement Accounts

None

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Brokerage account #1	No			
1.1	Communication Services Select Sector SPDR Fund (XLC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	Health Care Select Sector SPDR Fund (XLV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	iShares 3-7 Year Treasury Bond ETF (IEI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.6	iShares MSCI All Country Asia ex Japan ETF (AAXJ)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.7	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	iShares 0-5 Year TIPS Bond ETF (STIP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.9	Consumer Staples Select Sector SPDR Fund (XLP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.10	Energy Select Sector SPDR Fund (XLE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.11	Industrial Select Sector SPDR Fund (XLI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.12	Technology Select Sector SPDR Fund (XLK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.13	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.14	Financial Select Sector SPDR Fund (XLF)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.15	Vanguard Pacific Stock Index Fund Vanguard FTSE Pacific ETF Shares (VPL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.16	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.17	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2	Brokerage account #2	No			
2.1	Lyft, Inc. (LYFT)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	Brokerage account #3	No			
3.1	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2	AbbVie, Inc. (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3	Accenture Plc (ACN)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.4	Air Products and Chemicals, Inc. (APD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.5	American Express Co. (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.6	AmerisourceBergen Corp. (ABC)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.7	Amgen Inc. (AMGN)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
3.8	Analog Devices, Inc. (ADI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.9	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.10	Automatic Data Processing, Inc. (ADP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.11	AvalonBay Communities, Inc. (AVB)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.12	Becton, Dickinson and Company (BDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.13	Best Buy Co., Inc. (BBY)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.14	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.15	Boston Properties, Inc. (BXP)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.16	Bristol-Myers Squibb (BMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.17	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.18	Capital One Financial Corp. (COF)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.19	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3.20	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.21	CME Group, Inc. (CME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.22	CMS Energy Corp. (CMS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.23	The Coca-Cola Co. (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.24	Comcast Corp. (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.25	ConocoPhillips (COP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.26	Crown Castle International Corp. (CCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.27	Cullen/Frost Bankers, Inc. (CFR)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.28	CVS Health Corp. (CVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.29	Deere & Co. (DE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.30	Dover Corp. (DOV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.31	DTE Energy Co. (DTE)	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.32	Eaton Corp. Plc (ETN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.33	Eli Lilly & Co. (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.34	Entergy Corp. (ETR)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.35	Eversource Energy (ES)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.36	Fidelity National Information Services, Inc. (FIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.37	Arthur J. Gallagher & Co. (AJG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.38	General Dynamics Corp. (GD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.39	Hartford Finl Services Group (HIG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.40	Hasbro, Inc. (HAS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.41	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.42	Honeywell International, Inc. (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.43	Illinois Tool Works, Inc. (ITW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.44	International Business Machines Corp. (IBM)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.45	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.46	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.47	Lockheed Martin Corp. (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.48	LyondellBasell Industries NV (LYB)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.49	Marsh & McLennan Cos., Inc. (MMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.50	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.51	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.52	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.53	MetLife, Inc. (MET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.54	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.55	Mondelez International, Inc. (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.56	NextEra Energy, Inc. (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.57	Norfolk Southern Corp. (NSC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.58	Northern Trust Corp. (NTRS)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.59	Northrop Grumman Corp. (NOC)	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.60	Parker-Hannifin Corp. (PH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.61	Paychex, Inc. (PAYX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.62	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.63	Pfizer Inc. (PFE)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.64	Philip Morris International, Inc. (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.65	Phillips 66 (PSX)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.66	The PNC Financial Services Group, Inc. (PNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.67	PPG Industries, Inc. (PPG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.68	T. Rowe Price Group, Inc. (TROW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.69	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.70	Progressive Corp. (PGR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.71	Prologis, Inc. (PLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.72	Public Service Enterprise Group, Inc. (PEG)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.73	QUALCOMM, Inc. (QCOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.74	Raytheon Co. (RTN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.75	Republic Services, Inc. (RSG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.76	The Charles Schwab Corp. (SCHW)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.77	Sempra Energy (SRE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.78	Simon Property Group, Inc. (SPG)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.79	Stanley Black & Decker, Inc. (SWK)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.80	Starbucks Corp. (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.81	Sysco Corp. (SYY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.82	Texas Instruments Incorporated (TXN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3.83	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.84	U.S. Bancorp (USB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.85	VF Corp. (VFC)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.86	Valero Energy Corp. (VLO)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.87	Ventas, Inc. (VTR)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.88	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.89	Vornado Realty Trust (VNO)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.90	Walmart, Inc. (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.91	WEC Energy Group, Inc. (WEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.92	Xcel Energy, Inc. (XEL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.93	Alexandria Real Estate Equities, Inc. (ARE)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.94	Altria Group, Inc. (MO)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.95	Chubb Ltd. (CB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.96	Morgan Stanley (MS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.97	Otis Worldwide Corp Reg (OTIS)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.98	REALTY INCM CRP MD PV\$1	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.99	The TJX Cos., Inc. (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.100	Truist Financial Corp (TFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.101	Viatis Inc. (VTRS)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.102	Trane Technologies PLC (TT)	N/A	None (or less than \$1,001)		None (or less than \$201)
4	Brokerage account #4	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.2	Alphabet, Inc. (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.3	Amazon.com, Inc. (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.4	Autodesk, Inc. (ADSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.5	Automatic Data Processing, Inc. (ADP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.6	Boeing Co (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.7	Cerner Corp. (CERN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.8	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.9	Colgate-Palmolive Co. (CL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.10	Deere & Co. (DE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.11	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.12	Expeditors International of Washington, Inc. (EXPD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.13	Facebook, Inc. (FB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.14	FactSet Research Systems, Inc. (FDS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.15	Illumina, Inc. (ILMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.16	Intuitive Surgical, Inc. (ISRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.17	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.18	Monster Beverage Corp. (MNST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.19	NVIDIA Corp. (NVDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.20	Oracle Corp. (ORCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.21	QUALCOMM, Inc. (QCOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.22	Regeneron Pharmaceuticals, Inc. (REGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.23	Salesforce.com, Inc. (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.24	Schlumberger NV (SLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.25	SEI Investments Co. (SEIC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.26	Starbucks Corp. (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.27	Varian Medical Systems, Inc. (VAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.28	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.29	Workday, Inc. (WDAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.30	Yum! Brands, Inc. (YUM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.31	Yum China Holdings Inc (YUMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.32	Alibaba Group Holding LT (BABA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.33	Novartis ADR (NDS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.34	Novo Nordisk A S ADR (NVO)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.35	Roche Holdings Ltd SPN ADR(RHHBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Brokerage account #5	No			
5.1	US bank (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
5.2	FedFund Institutional Shares (TFDXX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	U.S. bank #1 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	U.S. bank #2 (cash)	N/A	\$100,001 - \$250,000	Interest	None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

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## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

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## Endnotes

PART	#	ENDNOTE
1.	4	Non-fiduciary role
1.	8	Uncompensated service.
1.	9	LOM Strategies, LLC is a single-member limited liability company for which I am the sole member.
2.	13	Bonus received in 2020 for work done in 2019.
3.	4	2020 bonus of \$250,000 was received February 5, 2021.
4.	5	Lyft stock received in 2019 for services provided in 2018 and stock reported in Part 6.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).



## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

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