

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Heinzelman, Kate

Chief Counselor to the Attorney General, Department of Justice

Date of Appointment: 01/20/2021

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Heinzelman, Kate [electronically signed on 03/20/2021 by Heinzelman, Kate in Integrity.gov] - Filer received a 30 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Weinsheimer, Bradley, Certifying Official [electronically signed on 06/21/2021 by Weinsheimer, Bradley in Integrity.gov]

Other review conducted by

/s/ Shaw, Cynthia K, Ethics Official [electronically signed on 06/21/2021 by Shaw, Cynthia K in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 05/25/2021

Data Revised 05/21/2021

Data Revised 05/20/2021

Data Revised 05/14/2021

Data Revised 05/12/2021

Data Revised 05/09/2021

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(05/25/2021, Felter, Monica): Revisions made per consultation with filer and with filer's permission. Reviewed, no apparent conflict. MF/DEO

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	PT Fund, Inc.	Washington, District of Columbia	Non-profit	Director of Vetting	8/2020	1/2021
2	Sidley Austin LLP	Washington, District of Columbia	Law Firm	Partner (previously Counsel)	9/2017	7/2020
3	J.C.A. 2014 Irrevocable Trust	Washington, District of Columbia	Trust	Protector	5/2014	Present
4	J.C.I.T.	Washington, District of Columbia	Trust	Trustee	2/2016	Present
5	K.H.I.T.	Washington, District of Columbia	Trust	Settlor	2/2016	Present
6	K.H.R.T.	Washington, District of Columbia	Trust	Trustee	12/2014	Present
7	P.H. Trust u/a (Nov. 26, 2016)	New York, New York	Trust	Trustee	11/2016	Present
8	A.H. Trust u/a (Dec. 2018)	New York, New York	Trust	Trustee	12/2018	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	PT Fund, Inc.	N/A		Salary	\$63,333
2	Sidley Austin LLP (law firm)	N/A		Partnership Share and Capital Account	\$407,402
3	IRA #1	No			
3.1	SPDR S&P MidCAP 400 ETF Trust	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	Blackrock Global Allocation I	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	Cash	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	Sidley Austin 401K/Retirement Plan	See Endnote	No		
4.1	State Street S&P 500 Index Non-Lending Series CL K	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.2	Vanguard Developed Markets Index Instl	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.3	Vanguard Emerging Markets Stock Index Instl	Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Sidley Austin LLP	Washington, District of Columbia	I will continue to participate in the Savings and Investment Plan, a defined contribution plan. The plan sponsor will not make further contributions after my separation.	1/2020

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	PT Fund, Inc.	Washington, District of Columbia	Director of Vetting
2	Sidley Austin LLP	Washington, District of Columbia	Partner
3	Quest Diagnostics Inc.	Secaucus, New Jersey	Legal services
4	Walgreen Co.	Deerfield, Illinois	Legal services
5	Evercore Partners	New York, New York	Legal services
6	DaVita, Inc.	Denver, Colorado	Legal services
7	Advisor Group, Inc.	Phoenix, Arizona	Legal services
8	Piper Jaffray & Co.	See Endnote Minneapolis, Minnesota	Legal services
9	Metropolitan Life Insurance Co.	New York, New York	Legal services
10	AT&T	Dallas, Texas	Legal services
11	U.S. Chamber Institute for Legal Reform	Washington, District of Columbia	Legal services
12	Cigna Corp.	Bloomfield, Connecticut	Legal services
13	HMS Holdings Corp.	Irving, Texas	Legal services
14	CIT Group Inc.	New York, New York	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
15	Simon Markets	New York, New York	Legal services
16	McKesson Corp.	Irving, Texas	Legal services
17	Health Net Inc.	Woodland Hills, California	Legal services
18	Salesforce	San Francisco, California	Legal services
19	AbbVie, Inc.	Chicago, Illinois	Legal services
20	Kalderos LLC	Chicago, Illinois	Legal services
21	Humana	Louisville, Kentucky	Legal services
22	Las Vegas Sands Corp.	Las Vegas, Nevada	Legal services
23	PhRMA	Washington, District of Columbia	Legal services
24	Deseret Mutual Benefit Advisors	Salt Lake City, Utah	Legal services
25	uBiome	San Francisco, California	Legal services
26	Avanir Pharmaceuticals	Aliso Viejo, California	Legal services
27	JUUL Labs Inc.	San Francisco, California	Legal services
28	Fresenius Kabi USA LLC	Lake Zurich, Illinois	Legal services
29	Wal-Mart Stores Inc. Inc.	Bentonville, Arkansas	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
30	Incentrum Group	New York, New York	Legal services
31	TIBCO Software Inc.	Palo Alto, California	Legal services
32	Kuvare U.S. Holdings Inc.	Chicago, Illinois	Legal services
33	Nevro Corp.	Redwood City, California	Legal services
34	Yardi Systems Inc.	Santa Barbara, California	Legal services
35	TPG Global LLC	San Francisco, California	Legal services
36	Figure Technologies, Inc.	San Francisco, California	Legal services
37	Vitalize LLC	Boise, Idaho	Legal services
38	HireRight	Irvine, California	Legal services
39	Quartet Health	New York, New York	Legal services
40	Renaissance Acquisition Holdings, LLC	Lake Forest, Illinois	Legal services
41	Corel Inc.	Austin, Texas	Legal services
42	Baxter International Inc.	Deerfield, Illinois	Legal services
43	LifePoint Health inc.	Brentwood, Tennessee	Legal services
44	Boehringer Ingelheim USA Corp.	Ridgefield, Connecticut	Legal services
45	GBIG Holdings, Inc.	Wilmington, Delaware	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
46	LPL Financial LLC	Boston, Massachusetts	Legal services
47	Doheny Eye Institute	Los Angeles, California	Legal services
48	Abbott Laboratories	Abbott Park, Illinois	Legal services
49	Fortive Corporation	Everett, Washington	Legal services
50	Ryan Specialty Group, LLC	Chicago, Illinois	Legal services
51	Jazz Pharmaceuticals	Palo Alto, California	Legal services
52	Nektar Therapeutics	San Francisco, California	Legal services
53	Seattle Genetics	Bothell, Washington	Legal services
54	Purdue Pharma LP	Stamford, Connecticut	Legal services
55	Banc of America Securities LCC	New York, New York	Legal services
56	United Airlines	Chicago, Illinois	Legal services
57	Metagenics Inc.	Aliso Viejo, California	Legal services
58	Anixter International	Glenview, Illinois	Legal services
59	Jackson Laboratory	Bar Harbor, Maine	Legal services
60	Patroness	Nashville, Tennessee	Legal services
61	Neiman Marcus Group	Dallas, Texas	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
62	Northwestern University	Evanston, Illinois	Legal services
63	Sixth Street Partners	San Francisco, California	Legal services
64	Nurix Therapeutics Inc.	San Francisco, California	Legal services
65	OrbiMed	New York, New York	Legal services
66	Aledade	Bethesda, Maryland	Legal services
67	DP Legal (Sidley Austin)	Chicago, Illinois	Legal services
68	American Board of Prosthodontics	Chicago, Illinois	Legal services

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Quinn Emanuel Urquhart & Sullivan LLP (Law firm)	N/A		Partnership Share	
2	Roth IRA	No			
2.1	Vanguard Total Stock Market ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.2	Vanguard FTSE Emerging Markets ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.3	Vanguard FTSE Developed Markets ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.4	Vanguard Dividend Appreciation ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Quinn Emanuel Urquhart & Sullivan LLP (401K)	No			
3.1	iShares S&P Index 500 Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.2	iShares MSCI EAFE International Index Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	Quinn Emanuel Urquhart & Sullivan LLP, defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Checking Account #1	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	Savings Account #1	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Checking Account #2	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	Brokerage Account #1	No			
4.1	Vanguard Total Stock Market ETF	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
4.2	Vanguard Emerging Markets Stock Index Fund	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
4.3	Vanguard FTSE Developed Market ETF	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
4.4	Vanguard Dividend Appreciation ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.5	Vanguard Total Bond Market ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
4.6	Vanguard Real Estate ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
4.7	Vanguard Total International Bond ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5	Checking Account #3	N/A	\$15,001 - \$50,000		None (or less than \$201)
6	Savings Account #2	N/A	\$100,001 - \$250,000		None (or less than \$201)
7	Northwest Mutual, whole life insurance policy	N/A	\$100,001 - \$250,000		None (or less than \$201)
8	Brokerage Account #2	No			
8.1	Vanguard Total Stock Market ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
8.2	Vanguard FTSE Emerging Market	Yes	\$50,001 - \$100,000		\$201 - \$1,000
8.3	Vanguard FTSE Developed Markets ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.4	Vanguard Dividend Appreciation ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9	529 Account #1	No			
9.1	Vanguard Target Enrollment 2034/2035 Portfolio	Yes	\$250,001 - \$500,000		None (or less than \$201)
10	Brokerage Account #3	No			
10.1	Vanguard Total Stock Market ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.2	Vanguard FTSE Emerging Market	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.3	Vanguard FTSE Developed Markets ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.4	Vanguard Dividend Appreciation ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
11	529 Account #2	No			
11.1	Vanguard Target Enrollment 2036/2037 Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
12	K.H. Trust u/a (March 31, 1992) - Family Trust	No			
12.1	Morgan Stanley Private Bank	N/A	\$1,001 - \$15,000		None (or less than \$201)
12.2	SDPR S&P 500 Trust	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
12.3	Financial Select Sector SPDR Fund (XLF)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
12.4	Technology Select Sector SPDR Fund (XLK)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
12.5	Fidelity Advisor Strategic Dividend & Income Fund A	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
12.6	MS Insight A	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
12.7	MSIF Asia Opportunity A	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
13	P.H. Trust u/a (Nov. 26, 2016) - Family Trust	No			
13.1	ishares MSCI EAFE	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.2	SDPR S&P 500 ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
13.3	Morgan Stanley Private Bank	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.4	Fidelity Adv Fltg Rt Hi Inc.	Yes	None (or less than \$1,001)		\$201 - \$1,000
14	Brokerage Account #4	No			
14.1	IShares Russell 2000 ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
14.2	Vanguard Dividend Appreciation	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
14.3	MFS Global Total Return A	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
14.4	Bank Deposit Program (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
15	Brokerage Account #5	No			
15.1	Vanguard S&P 500 ETF	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
15.2	Vanguard Total Intl Stock	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
15.3	Cash	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	Checking Account #4	N/A	\$50,001 - \$100,000		None (or less than \$201)
17	Savings Account #3	N/A	\$15,001 - \$50,000		None (or less than \$201)
18	A.H. Trust u/a (2018) Family Trust	No			
18.1	ishares MSCI EAFE ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.2	SDPR S&P 500 ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000
19	J.C.I.T. (Family Trust)	No			
19.1	Cash	N/A	\$1,001 - \$15,000		None (or less than \$201)
20	K.H.I.T. (Family Trust)	No			
20.1	Cash	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	4	While Sidley made contributions to the Retirement Plan for Partners while I was at Sidley, I forfeited these amounts upon departing from the firm. The balance for this account is therefore \$0. The amount reflected for this account is therefore the total holdings of the Savings and Investment Plan, which reflects both employer and employee contributions.
4.	8	The company is now Piper Sandler

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
