

United States Senate

Financial Disclosures

Periodic Transaction Report for 07/23/2021

Mr. Thomas H Tuberville (Tuberville, Tommy)

■ Filed 07/23/2021 @ 2:02 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (132 transactions total) 0 Self 132 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
132	03/29/2021	Joint	<u>ALGN</u>	Align Technology, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
131	04/05/2021	Joint	<u>INTC</u>	Intel Corporation Option Type: Put Strike price: \$60.00 Expires: 10/15/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
130	01/25/2021	Joint	<u>BABA</u>	Alibaba Group Holding Limited Option Type: Put Strike price: \$230.00 Expires: 09/17/2021	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
129	01/21/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Option Type: Put Strike price: \$15.00 Expires: 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
128	01/21/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc.	Stock	Purchase	\$15,001 - \$50,000	--
127	01/15/2021	Joint	<u>SDOG</u>	ALPS Sector Dividend Dogs ETF	Stock	Purchase	\$15,001 - \$50,000	--
126	01/13/2021	Joint	<u>QQQ</u>	Invesco QQQ Trust Option Type: Put Strike price: \$262.00 Expires: 09/17/2021	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
125	01/13/2021	Joint	<u>TDY</u>	Teledyne Technologies Incorporated	Stock	Sale (Full)	\$50,001 - \$100,000	--
124	01/06/2021	Joint	<u>AA</u>	Alcoa Corporation Option Type: Put Strike price: \$22.00 Expires: 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
123	01/06/2021	Joint	<u>AA</u>	Alcoa Corporation Option Type: Put Strike price: \$22.00 Expires: 01/21/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
122	01/06/2021	Joint	<u>AA</u>	Alcoa Corporation Option Type: Call Strike price: \$26.00 Expires: 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
121	01/06/2021	Joint	<u>AA</u>	Alcoa Corporation	Stock	Purchase	\$15,001 - \$50,000	--
120	01/06/2021	Joint	<u>AA</u>	Alcoa Corporation	Stock	Purchase	\$15,001 - \$50,000	--
119	01/05/2021	Joint	<u>FB</u>	Facebook, Inc. Option Type: Put Strike price: \$225.00 Expires: 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
118	01/04/2021	Joint	<u>INTC</u>	Intel Corporation Option Type: Put Strike price: \$50.00 Expires: 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
117	01/04/2021	Joint	<u>INTC</u>	Intel Corporation Option Type: Put Strike price: \$50.00 Expires: 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
116	01/04/2021	Joint	<u>INTC</u>	Intel Corporation Option Type: Put Strike price: \$50.00 Expires: 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
115	01/04/2021	Joint	<u>INTC</u>	Intel Corporation Option Type: Put Strike price: \$50.00 Expires: 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
114	01/04/2021	Joint	<u>WY</u>	Weyerhaeuser Company	Stock	Sale (Full)	\$50,001 - \$100,000	--
113	01/04/2021	Joint	<u>ONEQ</u>	Fidelity Nasdaq Composite Index ETF	Stock	Sale (Full)	\$100,001 - \$250,000	--
112	01/04/2021	Joint	<u>DG</u>	Dollar General Corporation	Stock	Sale (Full)	\$50,001 - \$100,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
111	02/19/2021	Joint	<u>ADBE</u>	Adobe Inc. Option Type: Put <i>Strike price:</i> \$405.00 <i>Expires:</i> 06/18/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
110	02/16/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 08/20/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
109	02/16/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 08/20/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
108	02/16/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 08/20/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
107	02/16/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 08/20/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
106	02/16/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 08/20/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
105	02/16/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation	Stock	Purchase	\$15,001 - \$50,000	--
104	02/11/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
103	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Put Strike price: \$25.00 Expires: 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
102	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Put Strike price: \$25.00 Expires: 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
101	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Put Strike price: \$25.00 Expires: 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
100	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Put Strike price: \$25.00 Expires: 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
99	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Call Strike price: \$30.00 Expires: 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
98	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation	Stock	Purchase	\$1,001 - \$15,000	--
97	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation	Stock	Purchase	\$15,001 - \$50,000	--
96	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation	Stock	Purchase	\$15,001 - \$50,000	--
95	02/09/2021	Joint	<u>VEEV</u>	Veeva Systems Inc. Option Type: Put Strike price: \$240.00 Expires: 03/19/2021	Stock Option	Purchase	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
94	02/08/2021	Joint	<u>E</u>	Ford Motor Company Option Type: Put Strike price: \$11.00 Expires: 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
93	02/04/2021	Joint	<u>SSYS</u>	Stratasys Ltd. Option Type: Put Strike price: \$45.00 Expires: 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
92	02/04/2021	Joint	<u>SSYS</u>	Stratasys Ltd. Option Type: Put Strike price: \$45.00 Expires: 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
91	02/03/2021	Joint	<u>MSFT</u>	Microsoft Corporation Option Type: Put Strike price: \$185.00 Expires: 04/16/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
90	02/03/2021	Joint	<u>BRK-B</u>	Berkshire Hathaway Inc.	Stock	Purchase	\$50,001 - \$100,000	--
89	02/02/2021	Joint	<u>CSX</u>	CSX Corporation Option Type: Put Strike price: \$80.00 Expires: 08/20/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
88	03/29/2021	Joint	<u>WST</u>	West Pharmaceutical Services, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
87	03/29/2021	Joint	<u>WAL</u>	Western Alliance Bancorporation	Stock	Purchase	\$1,001 - \$15,000	--
86	03/29/2021	Joint	<u>WAT</u>	Waters Corporation	Stock	Purchase	\$1,001 - \$15,000	--
85	03/29/2021	Joint	<u>VMW</u>	VMware, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
84	03/29/2021	Joint	<u>MMM</u>	3M Company	Stock	Purchase	\$1,001 - \$15,000	--
83	03/29/2021	Joint	<u>TER</u>	Teradyne, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
82	03/29/2021	Joint	<u>SNA</u>	Snap-on Incorporated	Stock	Purchase	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
81	03/29/2021	Joint	<u>SIVB</u>	SVB Financial Group	Stock	Purchase	\$1,001 - \$15,000	--
80	03/29/2021	Joint	<u>SWKS</u>	Skyworks Solutions, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
79	03/29/2021	Joint	<u>SEIC</u>	SEI Investments Company	Stock	Purchase	\$1,001 - \$15,000	--
78	03/29/2021	Joint	<u>ROK</u>	Rockwell Automation, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
77	03/29/2021	Joint	<u>REGN</u>	Regeneron Pharmaceuticals, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
76	03/29/2021	Joint	<u>DGX</u>	Quest Diagnostics Incorporated	Stock	Purchase	\$1,001 - \$15,000	--
75	03/29/2021	Joint	<u>QCOM</u>	QUALCOMM Incorporated	Stock	Purchase	\$1,001 - \$15,000	--
74	03/29/2021	Joint	<u>PYPL</u>	PayPal Holdings, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
73	03/29/2021	Joint	<u>ODFL</u>	Old Dominion Freight Line, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
72	03/29/2021	Joint	<u>NDSN</u>	Nordson Corporation	Stock	Sale (Full)	\$1,001 - \$15,000	--
71	03/29/2021	Joint	<u>NTES</u>	NetEase, Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
70	03/29/2021	Joint	<u>MRK</u>	Merck & Co., Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
69	03/29/2021	Joint	<u>JNJ</u>	Johnson & Johnson	Stock	Sale (Full)	\$1,001 - \$15,000	--
68	03/29/2021	Joint	<u>INTU</u>	Intuit Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
67	03/29/2021	Joint	<u>IDXX</u>	IDEXX Laboratories, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
66	03/29/2021	Joint	<u>IEX</u>	IDEX Corporation	Stock	Purchase	\$1,001 - \$15,000	--
65	03/29/2021	Joint	<u>IEX</u>	IDEX Corporation	Stock	Purchase	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
64	03/29/2021	Joint	<u>HON</u>	Honeywell International Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
63	03/29/2021	Joint	<u>HSY</u>	The Hershey Company	Stock	Purchase	\$1,001 - \$15,000	--
62	03/29/2021	Joint	<u>GGG</u>	Graco Inc.	Stock	Purchase	\$1,001 - \$15,000	--
61	03/29/2021	Joint	<u>GNTX</u>	Gentex Corporation	Stock	Purchase	\$1,001 - \$15,000	--
60	03/29/2021	Joint	<u>FTNT</u>	Fortinet, Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
59	03/29/2021	Joint	<u>EA</u>	Electronic Arts Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
58	03/29/2021	Joint	<u>EBAY</u>	eBay Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
57	03/29/2021	Joint	<u>EW</u>	Edwards Lifesciences Corporation	Stock	Purchase	\$1,001 - \$15,000	--
56	03/29/2021	Joint	<u>DECK</u>	Deckers Outdoor Corporation	Stock	Purchase	\$1,001 - \$15,000	--
55	03/29/2021	Joint	<u>CNR</u>	Cornerstone Building Brands, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
54	03/29/2021	Joint	<u>CL</u>	Colgate-Palmolive Company	Stock	Sale (Full)	\$1,001 - \$15,000	--
53	03/29/2021	Joint	<u>CLX</u>	The Clorox Company	Stock	Purchase	\$1,001 - \$15,000	--
52	03/29/2021	Joint	<u>CHE</u>	Chemed Corporation	Stock	Purchase	\$1,001 - \$15,000	--
51	03/29/2021	Joint	<u>CE</u>	Celanese Corporation	Stock	Purchase	\$1,001 - \$15,000	--
50	03/29/2021	Joint	<u>BIO</u>	Bio-Rad Laboratories, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
49	03/29/2021	Joint	<u>BHP</u>	BHP Group	Stock	Purchase	\$1,001 - \$15,000	--
48	03/29/2021	Joint	<u>ATHM</u>	Autohome Inc.	Stock	Purchase	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
47	03/29/2021	Joint	<u>AME</u>	AMETEK, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
46	03/29/2021	Joint	<u>GOOGL</u>	Alphabet Inc.	Stock	Purchase	\$1,001 - \$15,000	--
45	03/16/2021	Joint	<u>AA</u>	Alcoa Corporation Option Type: Put Strike price: \$25.00 Expires: 10/15/2021	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
44	03/16/2021	Joint	<u>AA</u>	Alcoa Corporation Option Type: Call Strike price: \$26.00 Expires: 10/15/2021	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
43	03/16/2021	Joint	<u>AA</u>	Alcoa Corporation	Stock	Purchase	\$1,001 - \$15,000	--
42	03/16/2021	Joint	<u>AA</u>	Alcoa Corporation	Stock	Purchase	\$100,001 - \$250,000	--
41	03/12/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Option Type: Put Strike price: \$16.00 Expires: 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
40	03/12/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Option Type: Call Strike price: \$20.00 Expires: 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
39	03/12/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc.	Stock	Purchase	\$15,001 - \$50,000	--
38	03/11/2021	Joint	<u>ADP</u>	Automatic Data Processing, Inc. Option Type: Put Strike price: \$175.00 Expires: 08/20/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
37	04/27/2021	Joint	<u>CALX</u>	Calix, Inc. Option Type: Put Strike price: \$40.00 Expires: 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
36	04/27/2021	Joint	<u>CALX</u>	Calix, Inc. Option Type: Put Strike price: \$40.00 Expires: 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
35	04/27/2021	Joint	<u>CALX</u>	Calix, Inc. Option Type: Put Strike price: \$40.00 Expires: 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
34	04/26/2021	Joint	<u>QQQ</u>	Invesco QQQ Trust Option Type: Put Strike price: \$285.00 Expires: 03/18/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
33	04/21/2021	Joint	<u>CSX</u>	CSX Corporation Option Type: Put Strike price: \$80.00 Expires: 08/20/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
32	04/21/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Call Strike price: \$30.00 Expires: 12/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
31	04/20/2021	Joint	<u>MSFT</u>	Microsoft Corporation Option Type: Put Strike price: \$205.00 Expires: 07/16/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
30	04/20/2021	Joint	<u>FB</u>	Facebook, Inc. Option Type: Put Strike price: \$225.00 Expires: 07/16/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
29	04/19/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Put Strike price: \$25.00 Expires: 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
28	04/19/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Option Type: Put Strike price: \$17.00 Expires: 01/21/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
27	04/19/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Option Type: Call Strike price: \$20.00 Expires: 01/21/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
26	04/19/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc.	Stock	Purchase	\$50,001 - \$100,000	--
25	04/19/2021	Joint	<u>AA</u>	Alcoa Corporation	Stock	Sale (Full)	\$50,001 - \$100,000	--
24	04/16/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Call Strike price: \$30.00 Expires: 12/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
23	04/16/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation	Stock	Purchase	\$15,001 - \$50,000	--
22	04/14/2021	Joint	<u>ADBE</u>	Adobe Inc. Option Type: Put Strike price: \$400.00 Expires: 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
21	04/14/2021	Joint	<u>FCX</u>	Freeport-McMoRan Inc.	Stock	Sale (Full)	\$15,001 - \$50,000	--
20	04/12/2021	Joint	<u>SSYS</u>	Stratasys Ltd. Option Type: Put Strike price: \$22.50 Expires: 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
19	04/08/2021	Joint	<u>CALX</u>	Calix, Inc. Option Type: Put Strike price: \$40.00 Expires: 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
18	04/07/2021	Joint	<u>CALX</u>	Calix, Inc. Option Type: Put Strike price: \$40.00 Expires: 10/15/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
17	04/07/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Put Strike price: \$25.00 Expires: 11/19/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
16	04/07/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Call Strike price: \$29.00 Expires: 11/19/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
15	04/07/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Call Strike price: \$29.00 Expires: 11/19/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
14	04/07/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation	Stock	Purchase	\$50,001 - \$100,000	--
13	04/05/2021	Joint	<u>INTC</u>	Intel Corporation Option Type: Put Strike price: \$50.00 Expires: 07/16/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
12	04/05/2021	Joint	<u>EA</u>	Electronic Arts Inc. Option Type: Put Strike price: \$115.00 Expires: 06/18/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
11	04/01/2021	Joint	<u>PYPL</u>	PayPal Holdings, Inc. Option Type: Put Strike price: \$220.00 Expires: 06/17/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
10	04/01/2021	Joint	<u>PYPL</u>	PayPal Holdings, Inc. Option Type: Put Strike price: \$220.00 Expires: 06/17/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
9	05/24/2021	Joint	<u>FCX</u>	Freeport-McMoRan Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
8	05/18/2021	Joint	<u>FDSWX</u>	AIG Focused Dividend Strategy Fund Class W	Stock	Sale (Full)	\$1,001 - \$15,000	--
7	05/10/2021	Joint	<u>FCX</u>	Freeport-McMoRan Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
6	05/06/2021	Joint	<u>X</u>	United States Steel Corporation Option Type: Put Strike price: \$24.00 Expires: 10/15/2021	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
5	05/06/2021	Joint	<u>X</u>	United States Steel Corporation Option Type: Call Strike price: \$29.00 Expires: 10/15/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
4	05/06/2021	Joint	<u>X</u>	United States Steel Corporation Option Type: Call Strike price: \$29.00 Expires: 10/15/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
3	05/06/2021	Joint	<u>X</u>	United States Steel Corporation Option Type: Call Strike price: \$29.00 Expires: 10/15/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
2	05/06/2021	Joint	<u>X</u>	United States Steel Corporation Option Type: Call Strike price: \$29.00 Expires: 10/15/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
1	05/06/2021	Joint	<u>X</u>	United States Steel Corporation	Stock	Purchase	\$100,001 - \$250,000	The filer's financial advisors actively manage his accounts without the filer's day to day involvement. The filer was unaware that the specific transactions in this report occurred. Upon becoming aware of the transactions, the filer expeditiously prepared and submitted this report to the Committee.

